



Max-Planck-Institut für Physik  
(Werner-Heisenberg-Institut)

# The



# Plasma-Wakefield Experiment at CERN

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<https://www.mpp.mpg.de/~muggli>



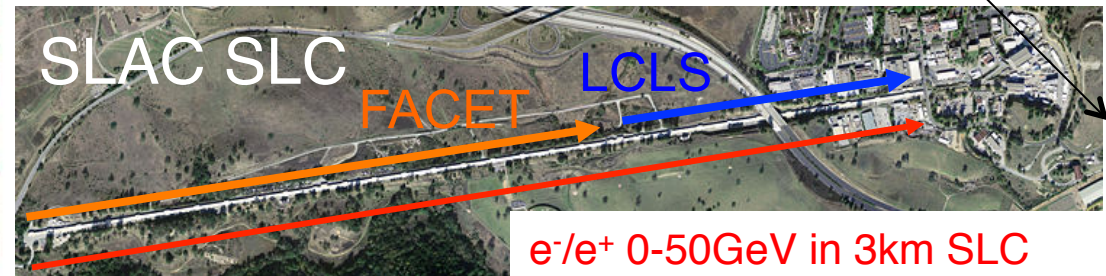
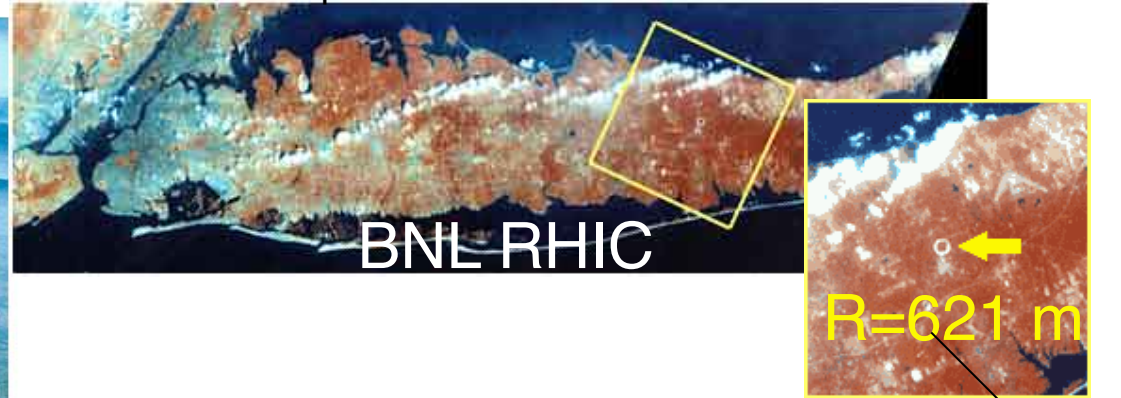
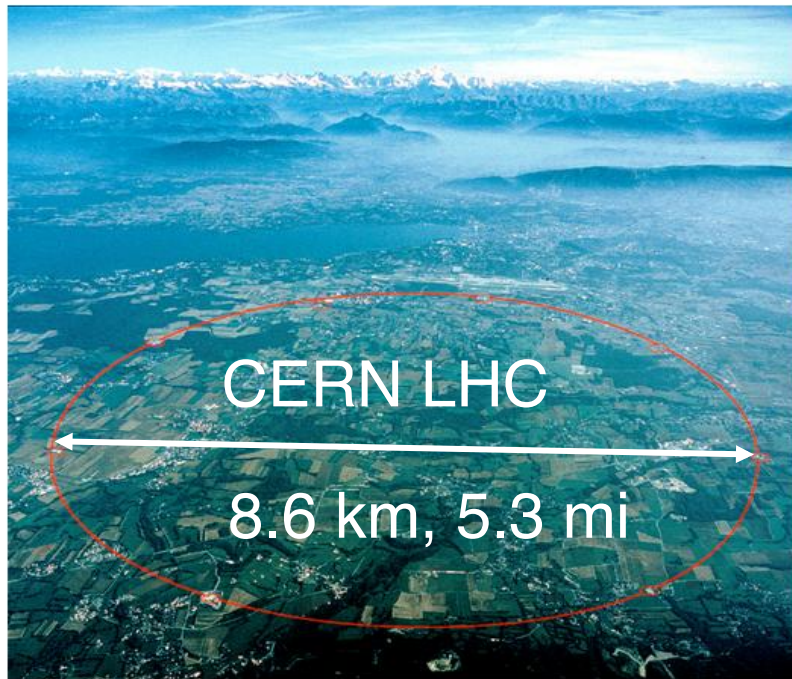
MAX-PLANCK-GESELLSCHAFT  
P. Muggli, LAL. 10/31/2014



# PARTICLE ACCELERATORS



“The 2.4-mile circumference RHIC ring is large enough to be seen from space”



$e^-/e^+$  0-50GeV in 3km SLC  
 $e^-/e^+$  0-23GeV in 2km FACET  
 $e^-$  0-14GeV in 1km LCLS

- ➡ Some of the largest and most complex (and most expensive) scientific instruments ever built!
- ➡ All use rf technology to accelerate particles
- ➡ Can we make them smaller (and cheaper) and with a higher energy?





# PARTICLE ACCELERATORS



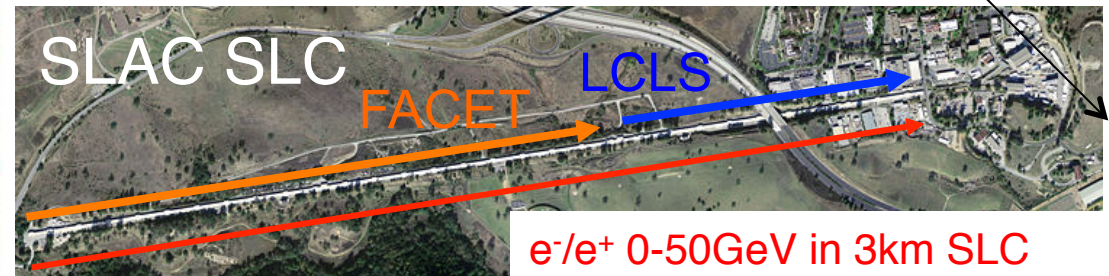
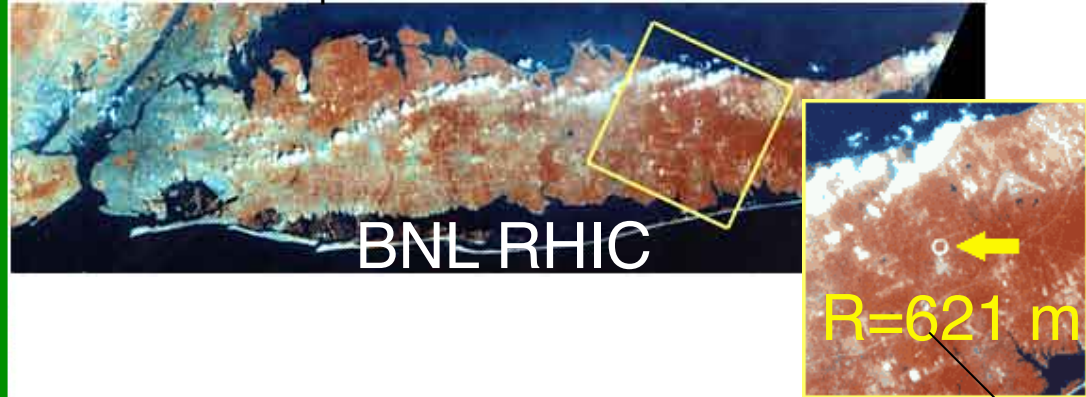
Light particles ( $e^-/e^+$ )  
accelerator  
Limited by synchrotron  
radiation

$$P_{synchr} = \frac{e^2}{6\pi\epsilon_0 c^3} \frac{E^4}{R^2 m^4}$$

Must be linear  
But ...

$$L = \frac{E(eV)}{G(eV/m)}$$

“The 2.4-mile circumference RHIC ring is large enough to be seen from space”



$e^-/e^+$  0-50GeV in 3km SLC  
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... complex (and most expensive) scientific

→ All use the technology to accelerate particles

→ Can we make them smaller (and cheaper) and with a higher energy?

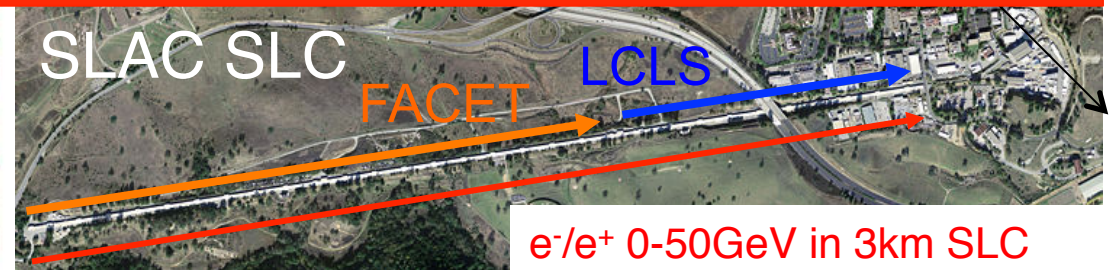




# PARTICLE ACCELERATORS



Could plasmas be used to accelerate particles at high-gradient ( $>100\text{MeV/m}$ ) and reduce the size and cost of a future linear  $e^-/e^+$  collider or of a x-ray FEL?



$e^-/e^+$  0-50GeV in 3km SLC  
 $e^-/e^+$  0-23GeV in 2km FACET  
 $e^-$  0-14GeV in 1km LCLS

- ➔ Some of the largest and most complex (and most expensive) scientific instruments ever built!
- ➔ All use rf technology to accelerate particles
- ➔ Can we make them smaller (and cheaper) and with a higher energy?





# OUTLINE



- ✧ Introduction to Plasma Wakefield Accelerator (PWFA)
- ✧ Introduction to the self-modulation instability (SMI)
- ✧ SMI PWFA experiments at CERN with  $p^+$ : AWAKE
- ✧ SMI experiments at SLAC E209 with  $e^-/e^+$
- ✧ Linear PWFA – SMI seeding at BNL-ATF
- ✧ Summary





# OUTLINE



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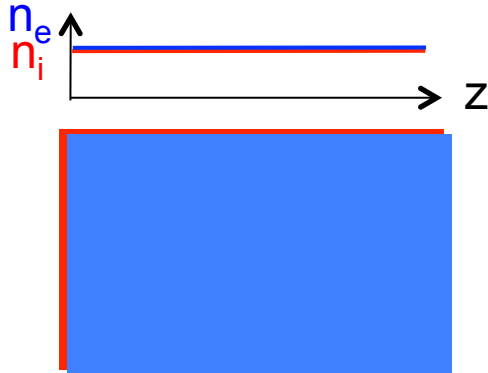




# WHY PLASMAS?



✧ Relativistic Electron Electrostatic Plasma Wave (Electrostatic,  $E_z // k$ ):



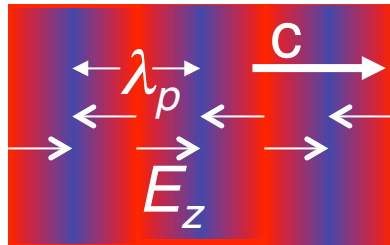
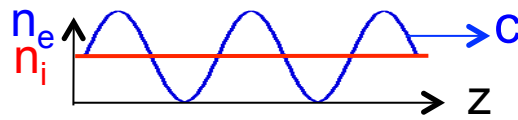
✧ Plasmas wave or wake can be driven by:

- Intense laser pulses (LWFA)
- Dense particle bunch (PWFA)



# WHY PLASMAS?

✧ Relativistic Electron Electrostatic Plasma Wave (Electrostatic,  $E_z // k$ ):



**LARGE**

**Collective response!**

$$\vec{\nabla} \cdot \vec{E} = \frac{\rho}{\epsilon_0}$$

$$k_p E_z = \frac{\omega_{pe}}{c} E_z = \frac{n_e e}{\epsilon_0}$$

$$\omega_{pe} = \left( \frac{n_e e^2}{\epsilon_0 m_e} \right)^{1/2} \text{ Plasma Frequency}$$

$$E_z = \left( \frac{m_e c^2}{\epsilon_0} \right)^{1/2} n_e^{1/2} \cong 100 \sqrt{n_e (cm^{-3})} = \underline{1 \text{ GV} / m}$$

$$n_e = 10^{14} \text{ cm}^{-3}$$

Cold Plasma “Wavebreaking” Field

$$E_{WB} = m_e c \omega_{pe} / e$$

✧ Plasmas can sustain very large (collective)  $E_z$ -field, acceleration

✧ Wave, wake phase velocity = driver velocity ( $\sim c$  when relativistic)

✧ Plasma is already (partially) ionized, difficult to “break-down”

✧ Plasmas wave or wake can be driven by:

- Intense laser pulses (LWFA)
- Dense particle bunch (PWFA)





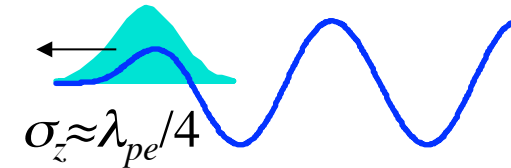
## 4 PLASMA ACCELERATORS\*



- **Plasma Wakefield Accelerator (PWFA)**

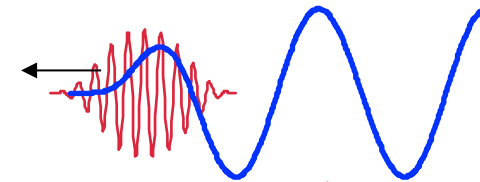
A high energy particle bunch ( $e^-$ ,  $e^+$ , ...)

P. Chen et al., Phys. Rev. Lett. 54, 693 (1985)



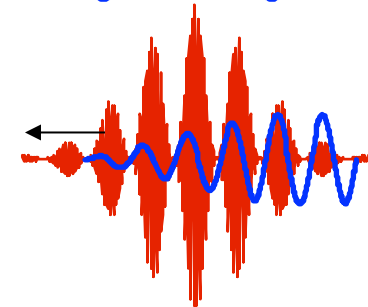
- **Laser Wakefield Accelerator (LWFA)\***

A short laser pulse (photons, ponderomotive)



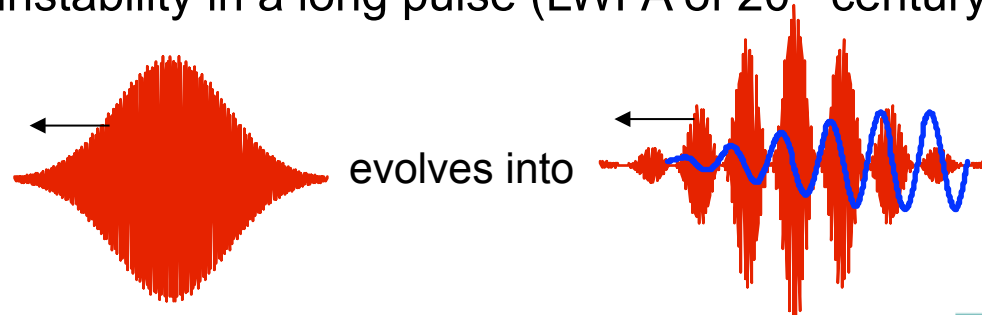
- **Plasma Beat Wave Accelerator (PBWA)\***

Two frequencies laser pulse, i.e., a train of pulses



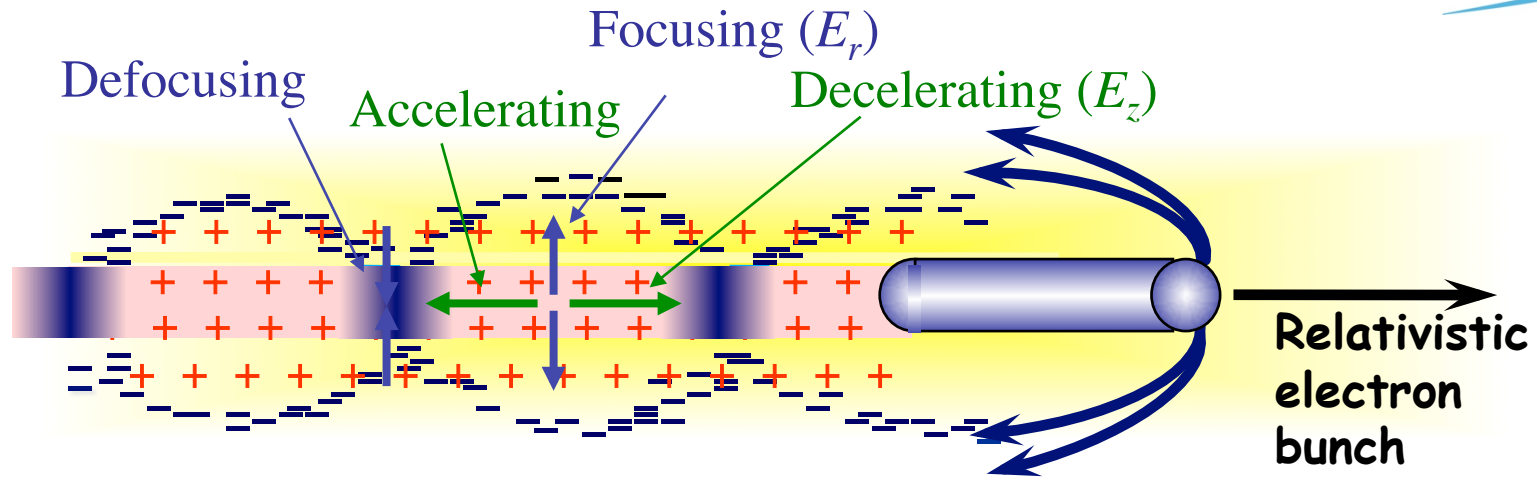
- **Self-Modulated Laser Wakefield Accelerator (SMLWFA)\***

Raman forward scattering instability in a long pulse (LWFA of 20<sup>th</sup> century)





# PLASMA WAKEFIELD ACCELERATOR ( $e^-$ )

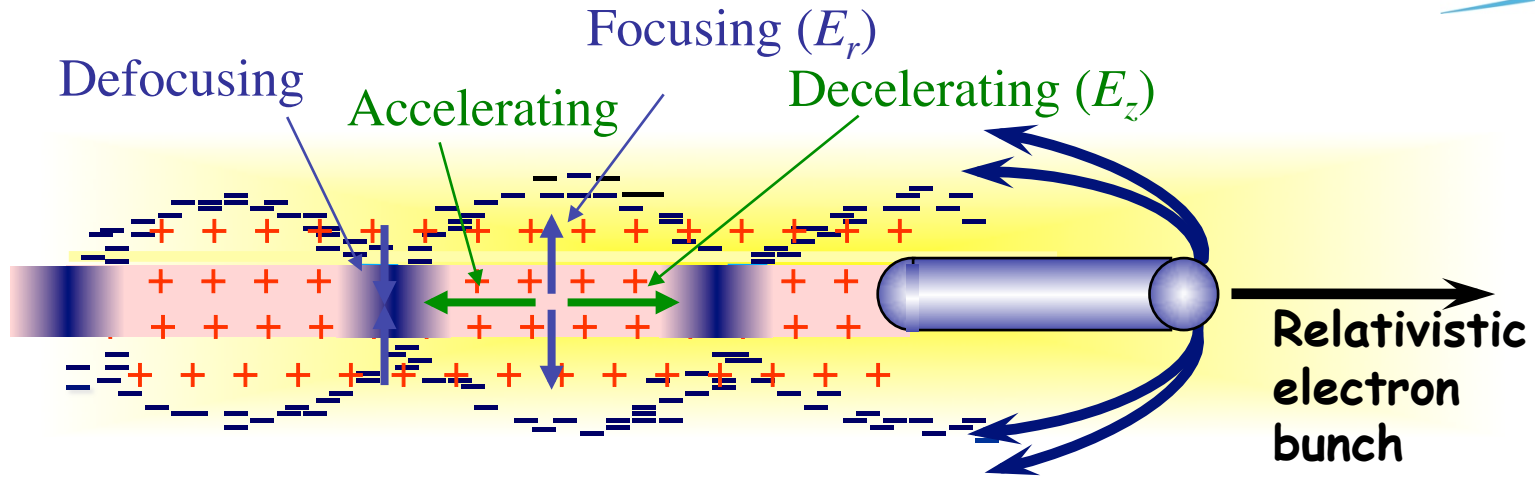


- ➔ Plasma wave/wake excited by a relativistic particle bunch
- ➔ Plasma  $e^-$  expelled by space charge force  $\Rightarrow$  deceleration + focusing (MT/m)
- ➔ Plasma  $e^-$  rush back on axis  $\Rightarrow$  acceleration, GV/m
- ➔ Ultra-relativistic driver  $\Rightarrow$  ultra-relativistic wake  
 $\Rightarrow$  no dephasing
- ➔ Particle bunches have long “Rayleigh length”  
(beta function  $\beta^* = \sigma^{*2} / \epsilon \sim \text{cm, m}$ )
- ➔ Acceleration physics identical PWFA, LWFA





# PLASMA WAKEFIELD ACCELERATOR (e<sup>-</sup>)



Very large energy gain possible with short, high-energy relativistic bunches!

Plasma wave/wake excited by a relativistic particle bunch

by space charge force => deceleration + focusing (MT/m)

on axis => acceleration, GV/m

over => ultra-relativistic wake

=> no dephasing

have long Rayleigh lengths"

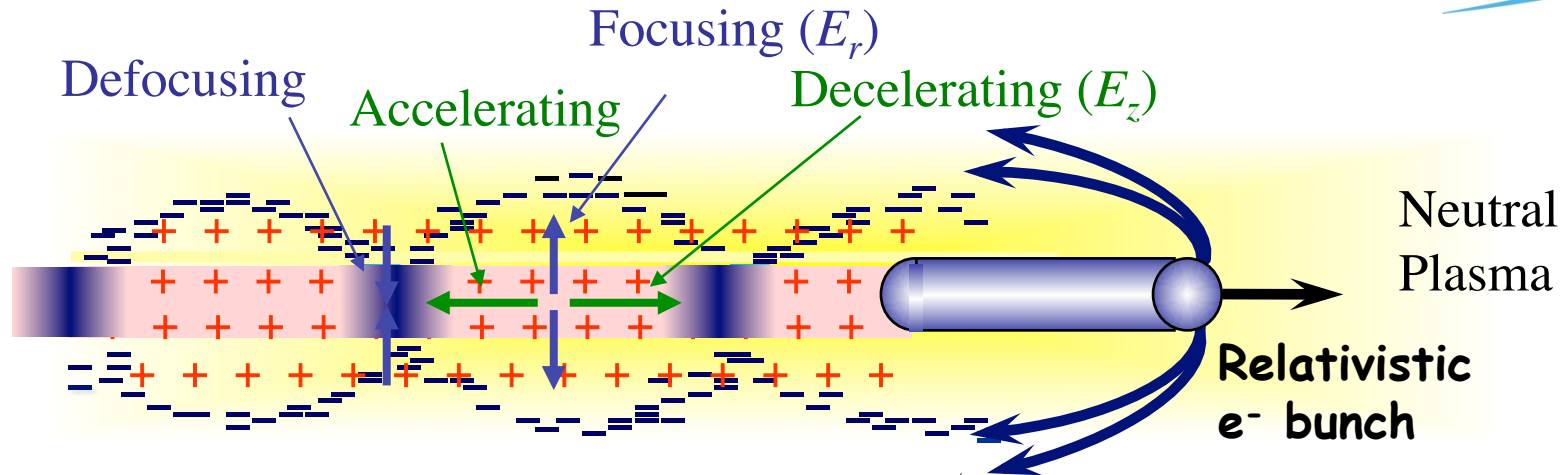
( $\lambda^2/\epsilon \sim \text{cm, m}$ )

Acceleration physics identical PWFA, LWFA





# PWFA NUMBERS (e<sup>-</sup>)



❖ Linear theory ( $n_b \ll n_e$ ) scaling:

$$E_{acc} \cong 110 (MV/m) \frac{N/2 \times 10^{10}}{(\sigma_z/0.6mm)^2} \approx N/\sigma_z^2$$

@  $k_{pe} \sigma_z \approx \sqrt{2}$  (with  $k_{pe} \sigma_r \ll 1$ )

❖ Focusing strength:  $\frac{B_\theta}{r} = \frac{1}{2} \frac{n_e e}{\epsilon_0 c}$  ( $n_b > n_e$ )

❖  $N=2 \times 10^{10}$ :  $\sigma_z=600 \mu m$ ,  $n_e=2 \times 10^{14} \text{ cm}^{-3}$ ,  $E_{acc} \sim 100 \text{ MV/m}$ ,  $B_\theta/r=6 \text{ kT/m}$   
 $\sigma_z=20 \mu m$ ,  $n_e=2 \times 10^{17} \text{ cm}^{-3}$ ,  $E_{acc} \sim 10 \text{ GV/m}$ ,  $B_\theta/r=6 \text{ MT/m}$

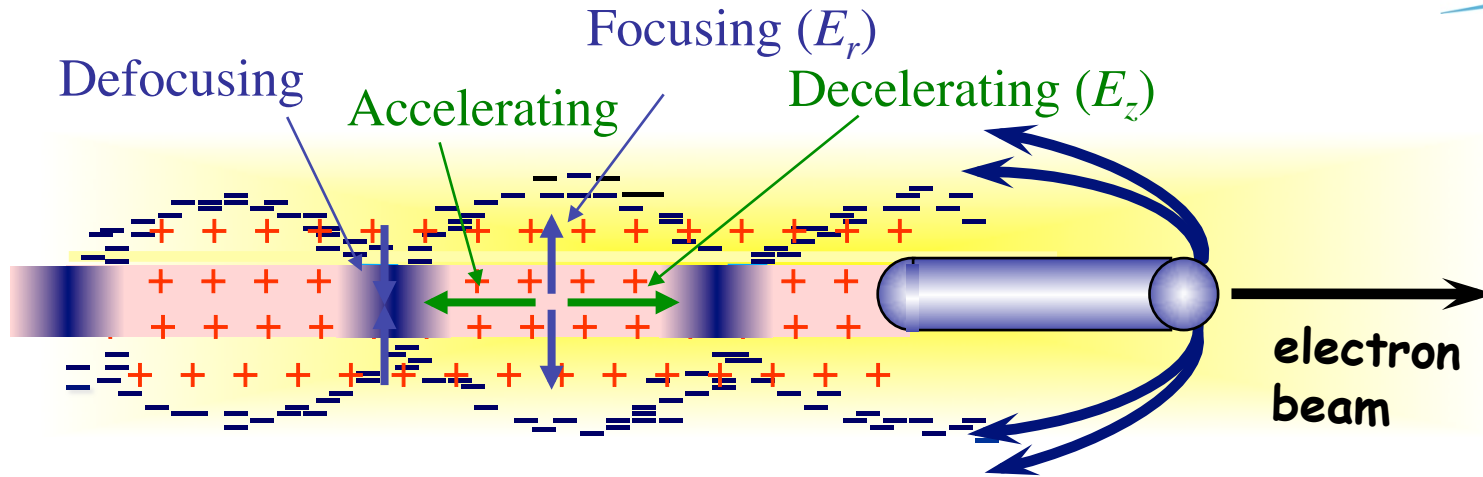
❖ Frequency: 100GHz to >1THz, “structure” size 1mm to 100 $\mu m$

❖ Conventional accelerators: MHz-GHz,  $E_{acc} < 150 \text{ MV/m}$ ,  $B_\theta/r < 2 \text{ kT/m}$

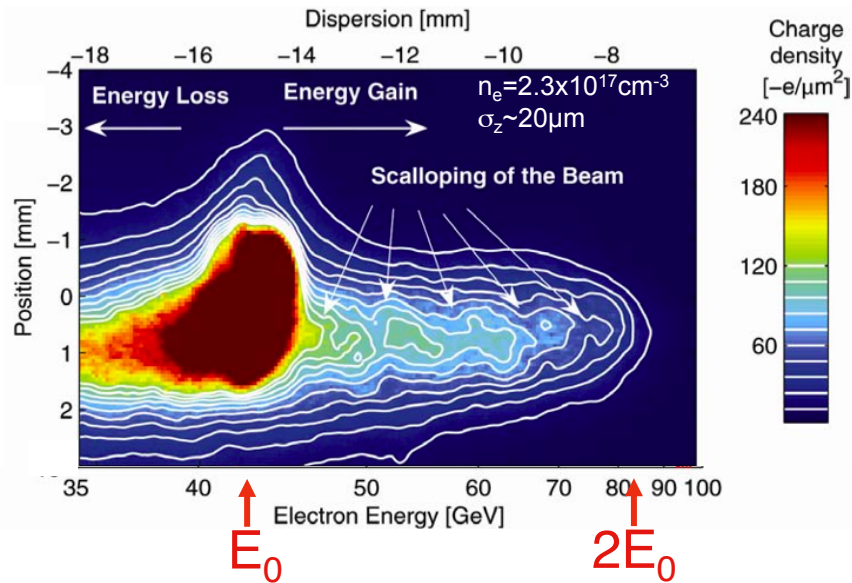




# PLASMA WAKEFIELD ACCELERATOR (e<sup>-</sup>)



Blumenfeld, Nature 445, 741 (2007)



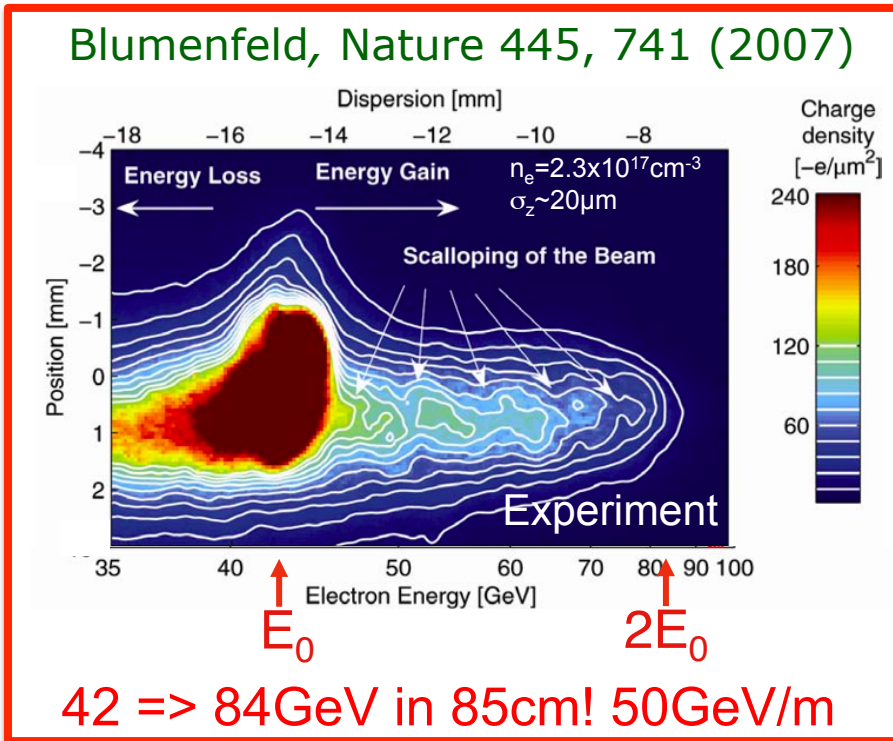
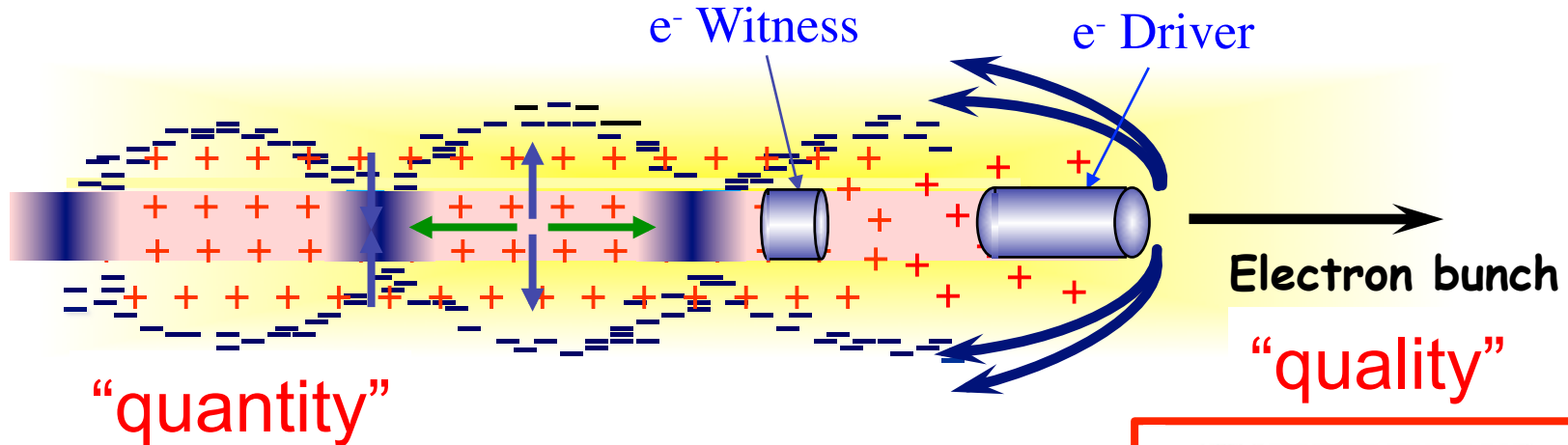
42 => 84 GeV in 85 cm! 50 GeV/m

Muggli, Phys. Rev. Lett. 93, 014802 (2004)  
 Hogan, Phys. Rev. Lett. 95, 054802 (2005)  
 Muggli, Hogan, Comptes Rendus Physique, 10 (2-3), 116 (2009)  
 Muggli, New J. Phys. 12, 045022 (2010)





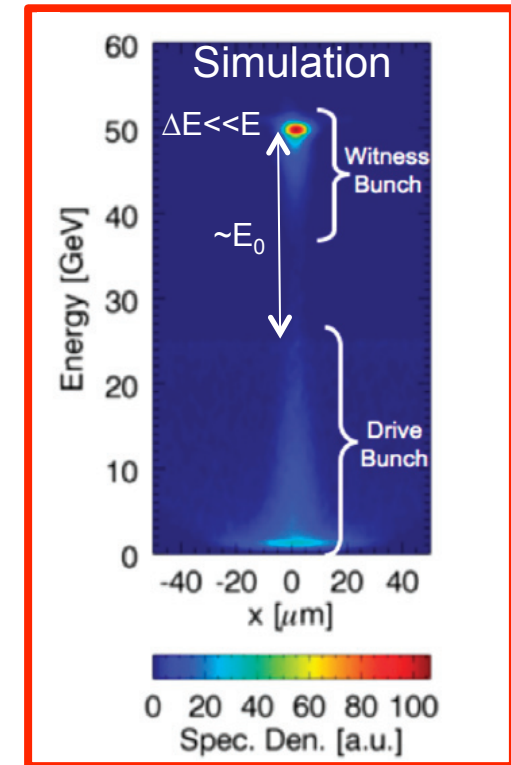
# PLASMA WAKEFIELD ACCELERATOR (e<sup>-</sup>)



SLAC  
FACET

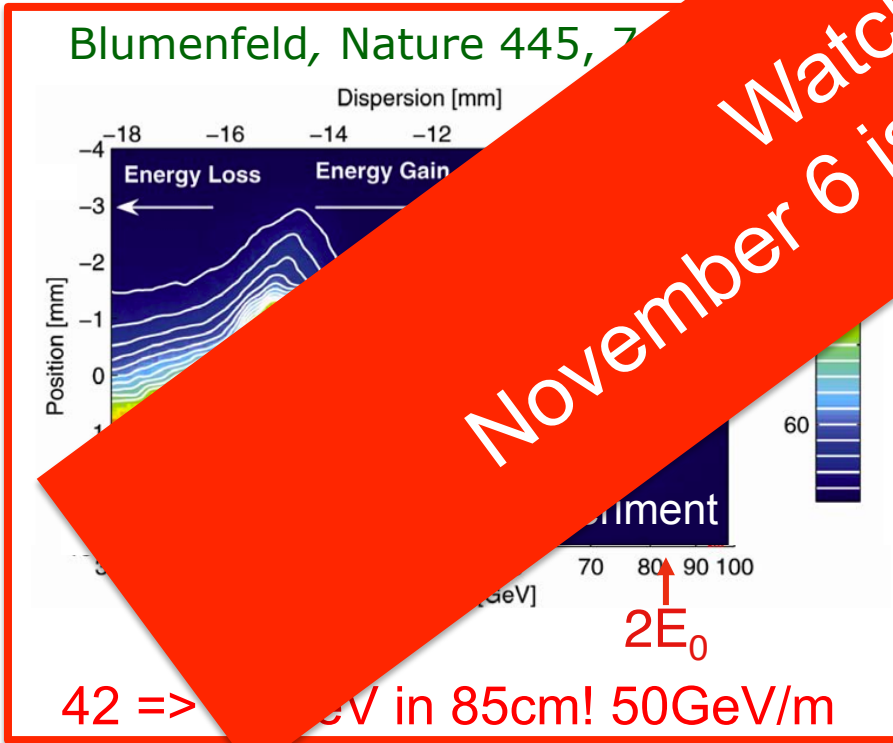
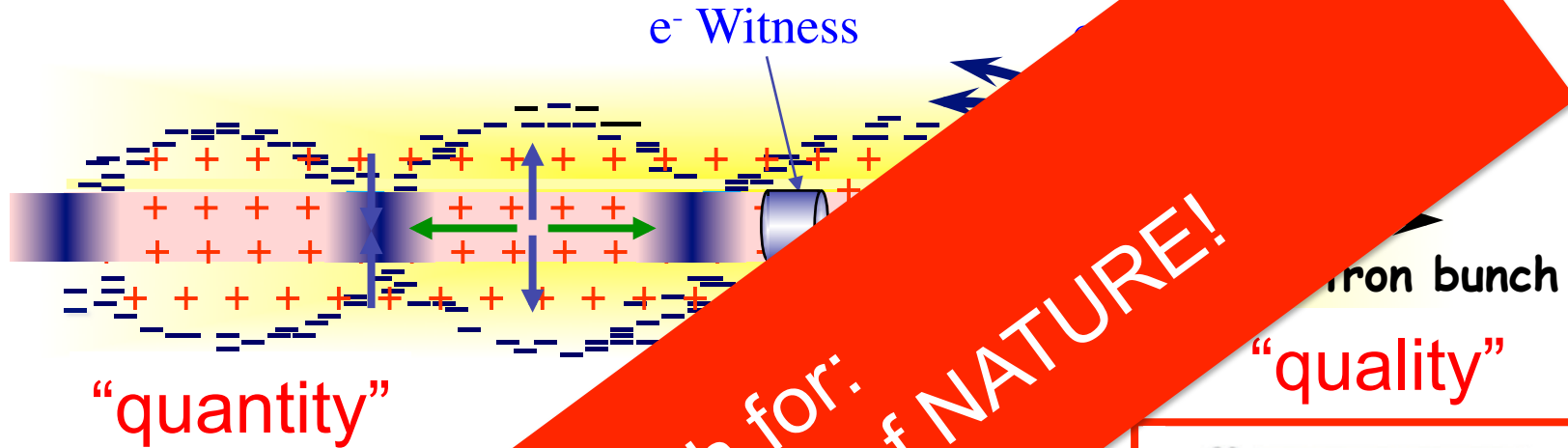


Hogan,  
NJP 12,  
055030 (2010)





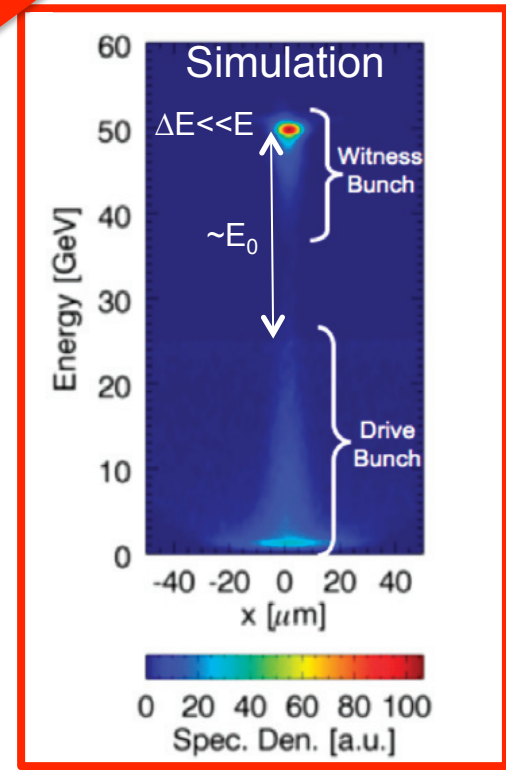
# PLASMA WAKEFIELD ACCELERATOR (PWAKE)



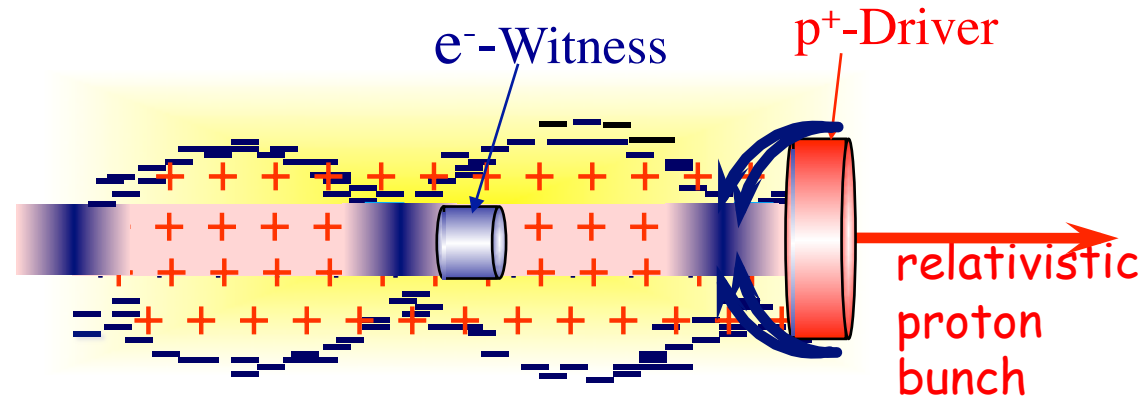
Watch for:  
November 6 issue of NATURE!



Hogan,  
NJP 12,  
055030 (2010)



# p<sup>+</sup>-DRIVEN PWFA? YES BUT WHY?



✧ ILC, 0.5TeV bunch with  $2 \times 10^{10} e^-$  ~1.6kJ

✧ SLAC, 20GeV bunch with  $2 \times 10^{10} e^-$  ~60J

✧ SLAC-like driver for staging (FACET= 1 stage, collider  $10^+$  stages)

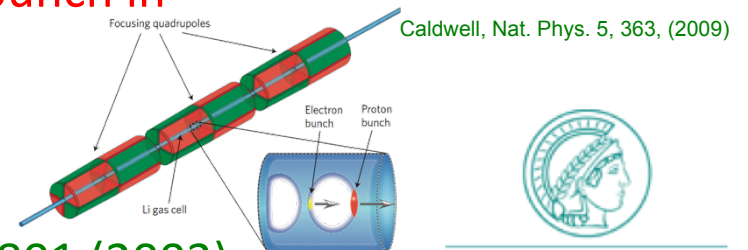
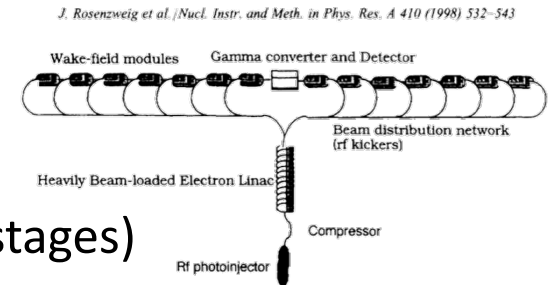
✧ SPS, 400GeV bunch with  $10^{11} p^+$  ~6.4kJ

LHC, 7TeV bunch with  $10^{11} p^+$  ~112kJ

✧ A single SPS or LHC bunch could produce an ILC bunch in a single PWFA stage!

✧ Large average gradient! ( $\geq 1 \text{ GeV/m}$ , 100's m)

✧ Wakefields driven by e<sup>+</sup> bunch: Blue, PRL 90, 214801 (2003)

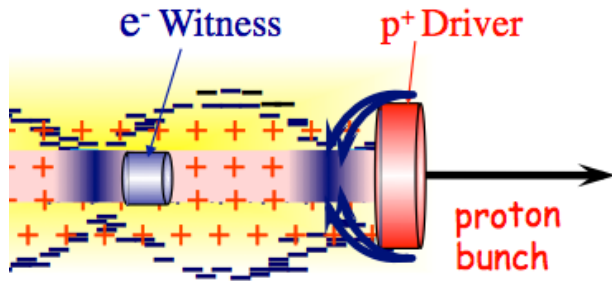






# PROTON-DRIVEN PWFA

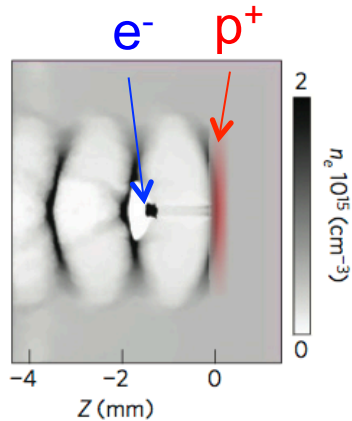
Caldwell, Nat. Phys. 5, 363, (2009)



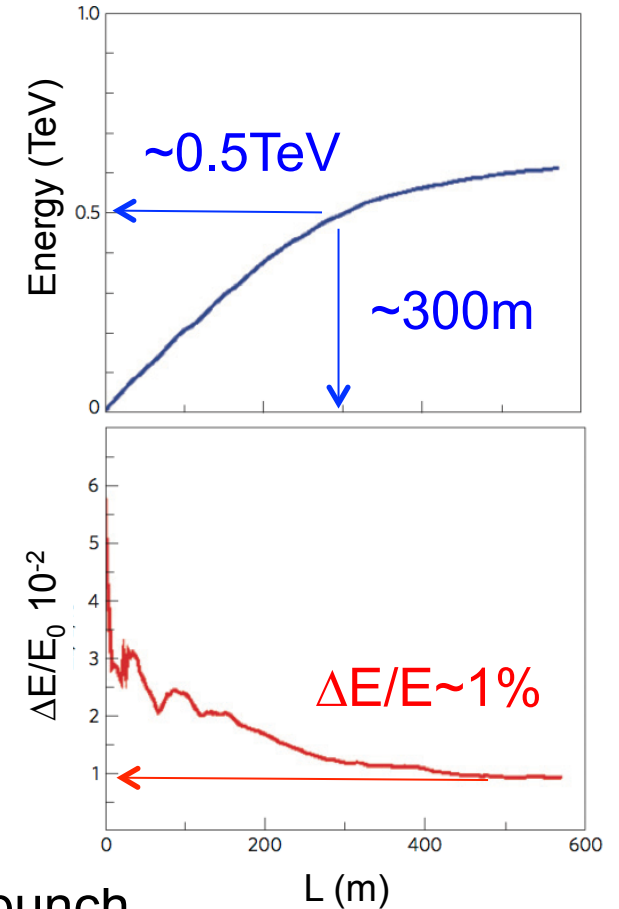
$e^-$ :  $E_0=10\text{GeV}$   
 $N=10^{10}$   
 $W_0=16\text{J}$   
 $W_f=1\text{kJ}$

$p^+$ :  $E_0=1\text{TeV}$   
 $\sigma_z=100\mu\text{m}$   
 $N=10^{11}$   
 $W_0=16\text{kJ}$

Single Stage



Parameter	Symbol	Value	Units
Protons in drive bunch	$N_p$	$10^{11}$	
Proton energy	$E_p$	1	TeV
Initial proton momentum spread	$\sigma_p/p$	0.1	
Initial proton bunch longitudinal size	$\sigma_z$	100	$\mu\text{m}$
Initial proton bunch angular spread	$\sigma_\theta$	0.03	mrad
Initial proton bunch transverse size	$\sigma_{x,y}$	0.43	mm
Electrons injected in witness bunch	$N_e$	$1.5 \times 10^{10}$	
Energy of electrons in witness bunch	$E_e$	10	GeV
Free electron density	$n_p$	$6 \times 10^{14}$	$\text{cm}^{-3}$
Plasma wavelength	$\lambda_p$	1.35	mm
Magnetic field gradient		1,000	$\text{T m}^{-1}$
Magnet length		0.7	m



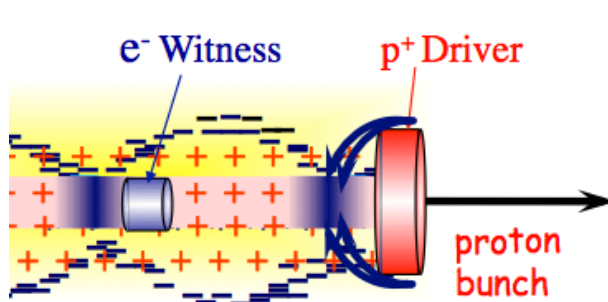
- ✧ Accelerate an  $e^-$  bunch on the wakefields of a  $p^+$  bunch
- ✧ Single stage, no gradient dilution
- ✧ Gradient  $\sim 1$  GV/m over 100's m
- ✧ Operate at lower  $n_e$  ( $6 \times 10^{14} \text{cm}^{-3}$ ), larger  $(\lambda_{pe})^3$ , easier life ...





# PROTON-DRIVEN PWFA

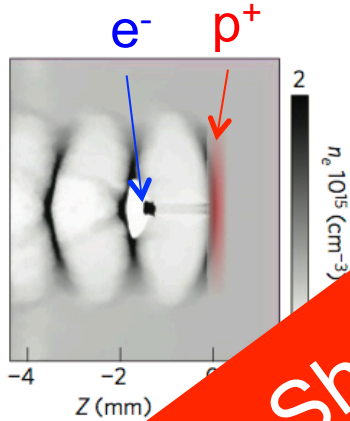
Caldwell, Nat. Phys. 5, 363, (2009)



$e^-$ :  $E_0=10\text{GeV}$   
 $p^+$ :  $E_0=17\text{TeV}$

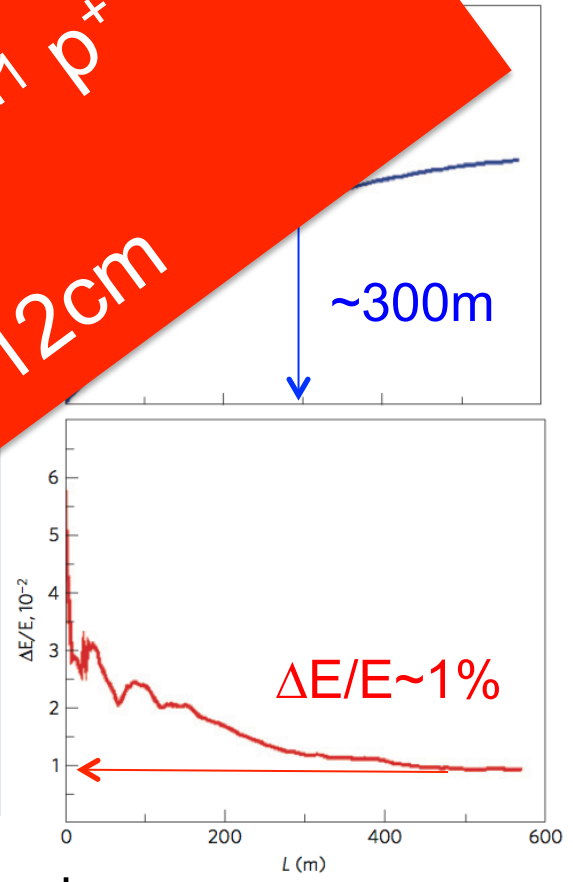
$N=10^{10}$

Single Stage



Short (100μm) bunches with  $10^{11} p^+$  do not exist!!!  
CERN PS-SPS-LHC  $\sigma_z \sim 12\text{cm}$

	TeV
$E_0$	100
$\sigma_z$	0.03 μm
$\sigma_r$	0.43 mrad
$\sigma_\theta$	mm
$N_e$	$1.5 \times 10^{10}$
$E_e$	10 GeV
$n_p$	$6 \times 10^{14} \text{ cm}^{-3}$
$\lambda_p$	1.35 mm
gradient	1,000 $\text{T m}^{-1}$
length	0.7 m



- ✧ Gain in the wakefields of a  $p^+$  bunch
- ✧ ...
- ✧ Gradient dilution
- ✧ Gain over 100's m
- ✧ Operate at lower  $n_e$ , larger  $(\lambda_{pe})^3$ , easier life ...





# OUTLINE



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# SELF-MODULATION INSTABILITY (SMI)



✧ CERN p<sup>+</sup> bunches (PS, SPS, LHC) ~12cm long

✧  $E_{WB} \sim \omega_{pe} \sim n_e^{1/2}$  and  $\sigma_z \sim n_e^{-1/2}$

PRL **104**, 255003 (2010)

PHYSICAL REVIEW LETTERS

week ending  
25 JUNE 2010

## Self-Modulation Instability of a Long Proton Bunch in Plasmas

Naveen Kumar\* and Alexander Pukhov

*Institut für Theoretische Physik I, Heinrich-Heine-Universität, Düsseldorf D-40225 Germany*

Konstantin Lotov

*Budker Institute of Nuclear Physics and Novosibirsk State University, 630090 Novosibirsk, Russia*

(Received 16 April 2010; published 25 June 2010)

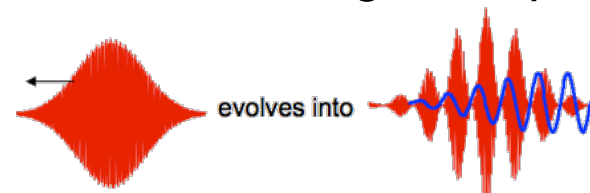
An analytical model for the self-modulation instability of a long relativistic proton bunch propagating in uniform plasmas is developed. The self-modulated proton bunch resonantly excites a large amplitude plasma wave (wakefield), which can be used for acceleration of plasma electrons. Analytical expressions for the linear growth rates and the number of exponentiations are given. We use full three-dimensional particle-in-cell (PIC) simulations to study the beam self-modulation and transition to the nonlinear stage. It is shown that the self-modulation of the proton bunch competes with the hosing instability which tends to destroy the plasma wave. A method is proposed and studied through PIC simulations to circumvent this problem, which relies on the seeding of the self-modulation instability in the bunch.

DOI: [10.1103/PhysRevLett.104.255003](https://doi.org/10.1103/PhysRevLett.104.255003)

PACS numbers: 52.35.-g, 52.40.Mj, 52.65.-y

✧ Idea developed “thanks” to the non-availability of short p<sup>+</sup> bunches

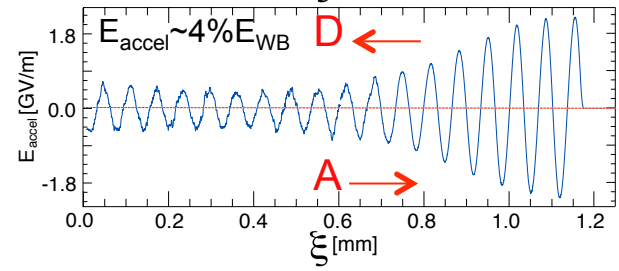
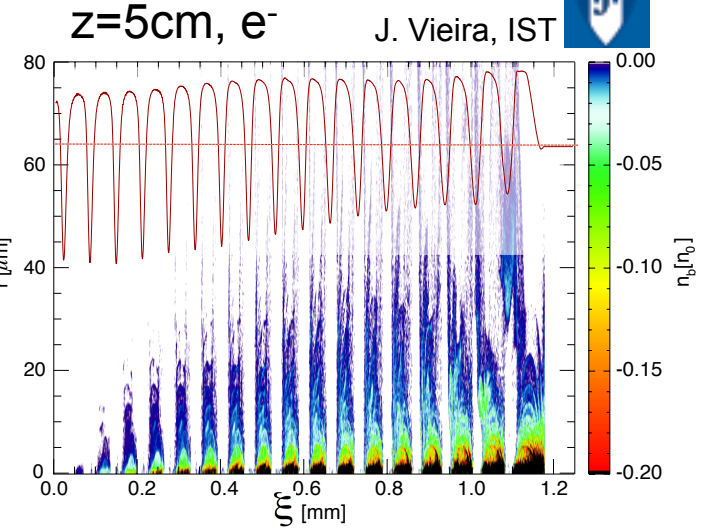
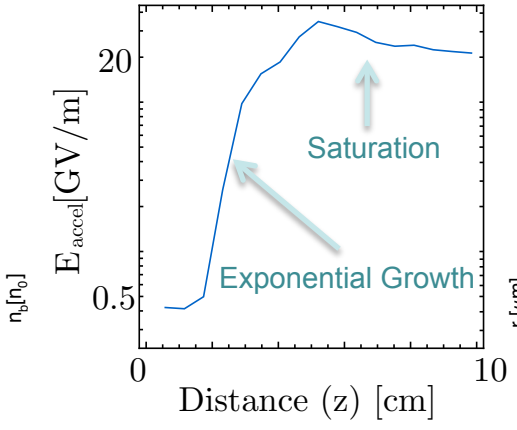
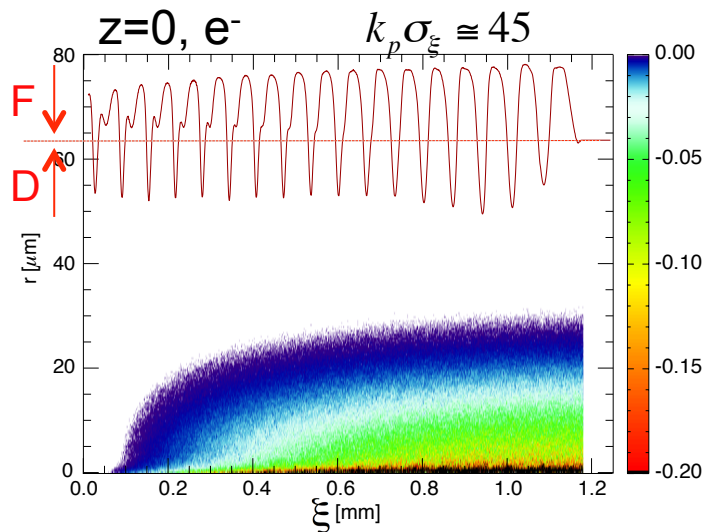
✧ Very similar to Raman self-modulation of long laser pulses (LWFA of the 20<sup>th</sup> century)



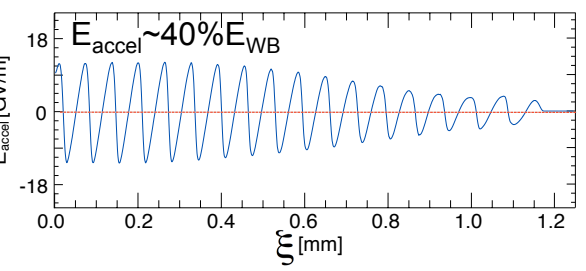
MAX-PLANCK-GESELLSCHAFT  
P. Muggli, LAL. 10/31/2014



# SELF-MODULATION INSTABILITY (SMI)



$$N_{\text{exp}} \cong \frac{3\sqrt{3}}{4} \left( \frac{n_b}{n_e} \frac{m_e}{\gamma M_b} (k_p |\xi|) (k_p z)^2 \right)^{1/3}$$



Grows along the bunch & along the plasma

Pukhov et al., PRL 107, 145003 (2011)  
Schroeder et al., PRL 107, 145002 (2011)

- ✧ Initial small transverse wakefields modulate the bunch density
- ✧ Associated longitudinal wakefields reach large amplitude through resonant excitation

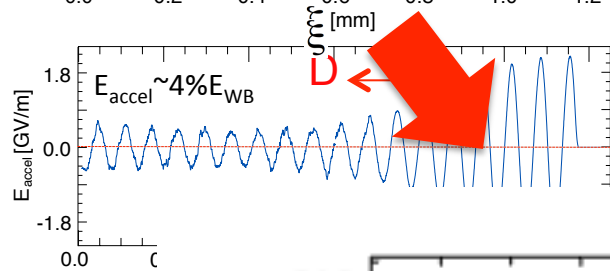
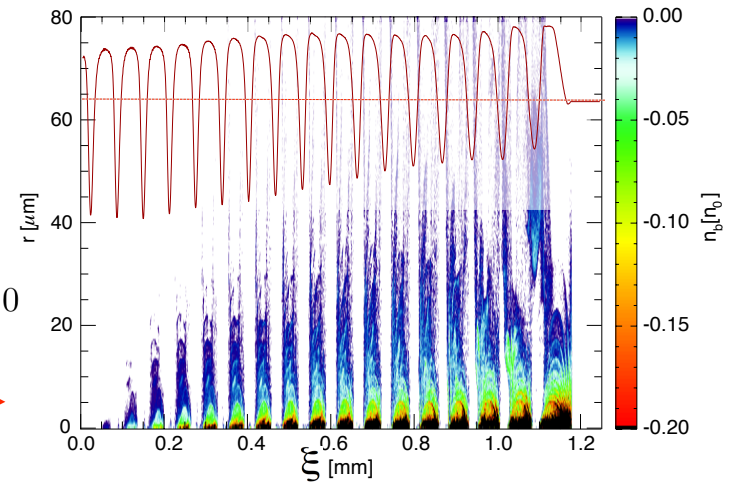
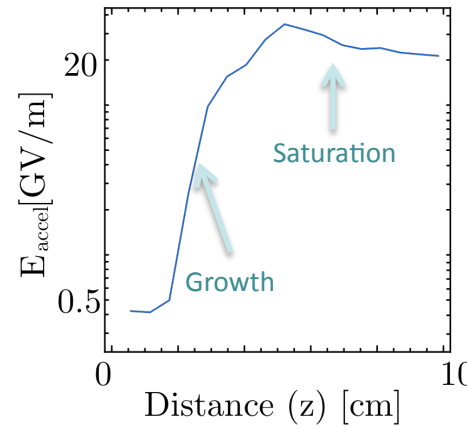
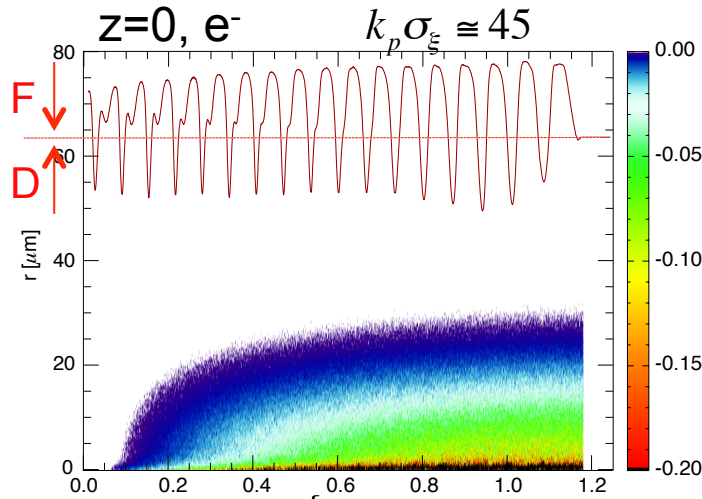




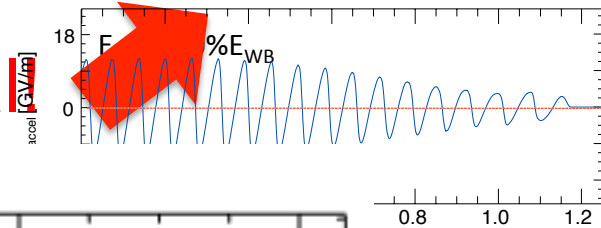
# SELF-MODULATION INSTABILITY (SMI)



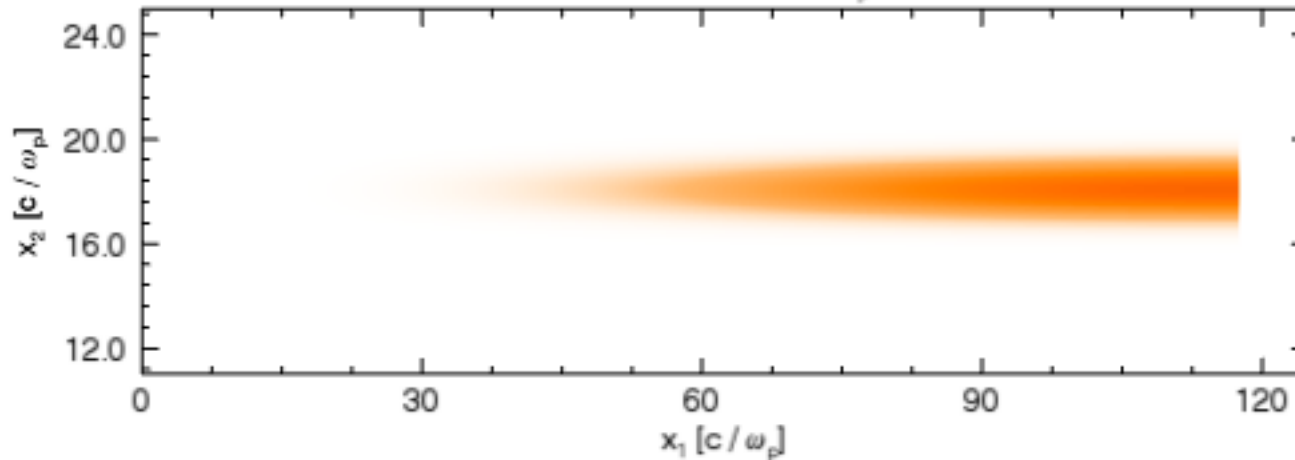
Vieira et al., Phys. Plasmas 19, 063105 (2012).



## Radial! NOT longitudinal!



Time = 0.00 [1 /  $\omega_p$ ]

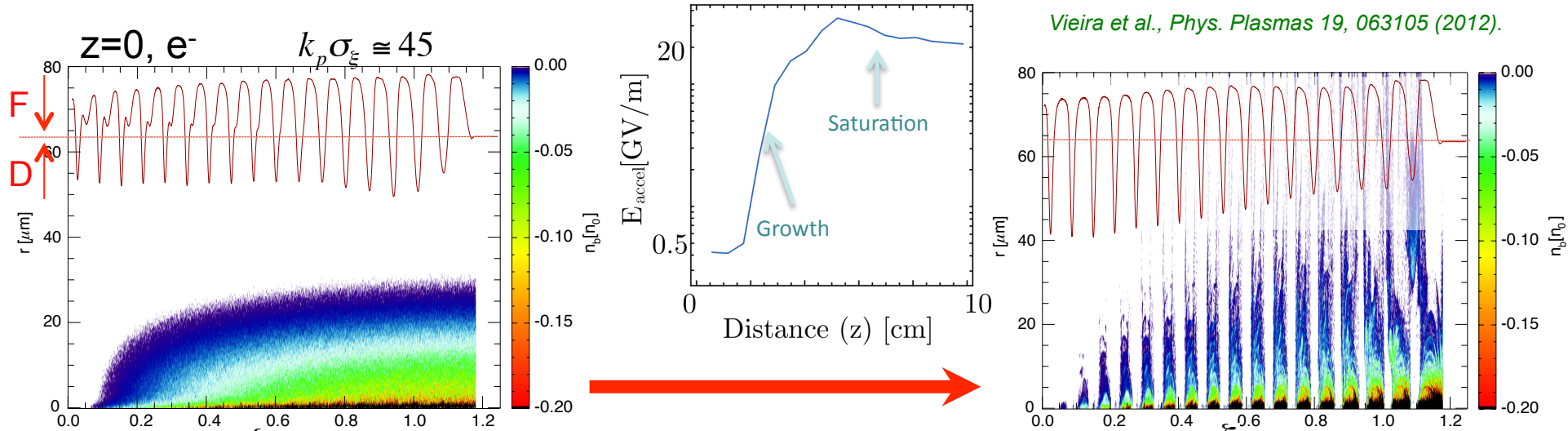




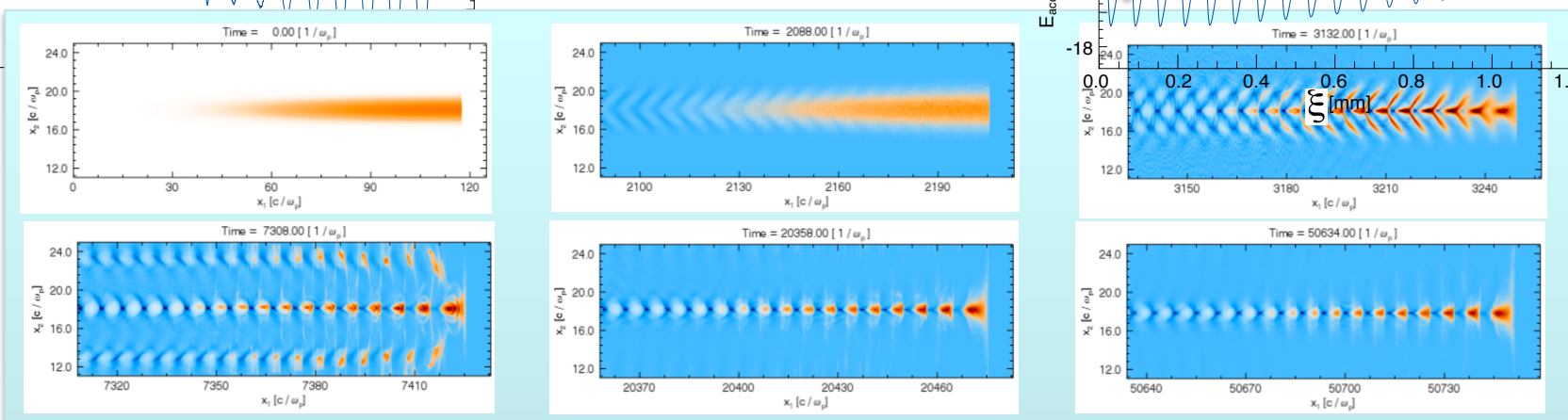
# SELF-MODULATION INSTABILITY (SMI)



Vieira et al., Phys. Plasmas 19, 063105 (2012).



**Radial!  
NOT longitudinal!**



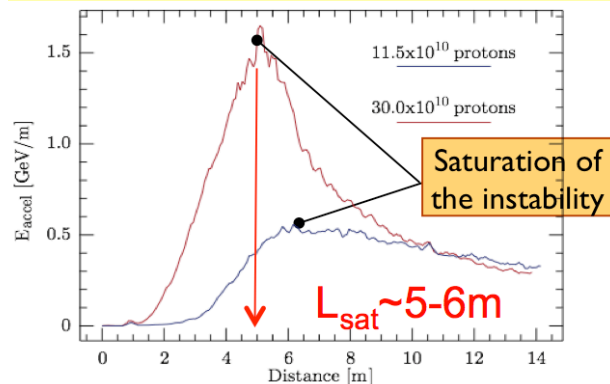
# SM-PWFA PARAMETERS

❖ Experimental parameters determined by beam parameters

## ❖ CERN AWAKE



- p<sup>+</sup>-driven
- SMI saturates in ~5m
- Study SMI or p<sup>+</sup>-bunches
- Remain in ~linear PWFA regime
- ~GV/m over 10<sup>+</sup> m
- Externally inject e<sup>-</sup>
- Accelerator experiments

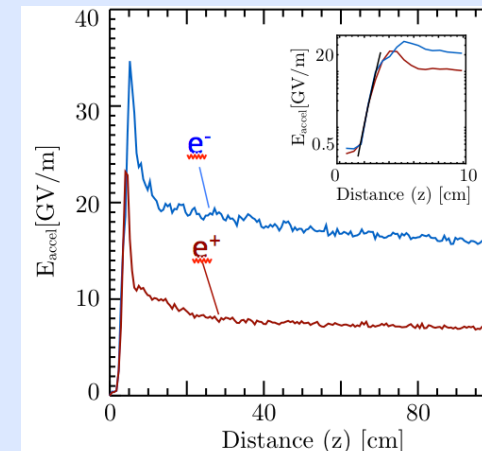


Parameter	PDPWFA	PWFA
$n_e$ [cm <sup>-3</sup> ]	$6 \times 10^{14}$	$2.3 \times 10^{17}$
$f_{pe}$ [GHz]	220	4'300
$\sigma_r$ [μm]	200	10
$\sigma_r$ [c/ω <sub>pe</sub> ]	0.9	0.9
$\sigma_\xi$ [cm]	12	$5 \times 10^{-2}$
$\sigma_\xi$ [c/ω <sub>pe</sub> ]	553	45
$\sigma_\xi/\lambda_{pe}$	88	7
$E_0$ [GeV]	400	20.5
$\gamma_0$	426	40'000
$N_{part}$	$30 \times 10^{10}$	$2 \times 10^{10}$
$n_b/n_0$	$2 \times 10^{-2}$	$10^{-1}$
$L_{plasma}$ [m]	10	1
$L_{plasma}$ [c/ω <sub>pe</sub> ]	46'056	90'173
$L_{plasma}/\lambda_{pe}$	7'330	14'352
$\epsilon_N$ [mm · mrad]	3.83	50

## ❖ SLAC E209



- e<sup>-</sup>/e<sup>+</sup>-driven
- SMI saturates in ~5cm
- Compare SMI of e<sup>-</sup>/e<sup>+</sup> bunches
- Reaches nonlinear PWFA regime
- >10GV/m
- Multi GeV energy gain (drive particles) in ~1m
- SMI physics







# SMI-PWFA SIMULATIONS



## OSIRIS 2.0



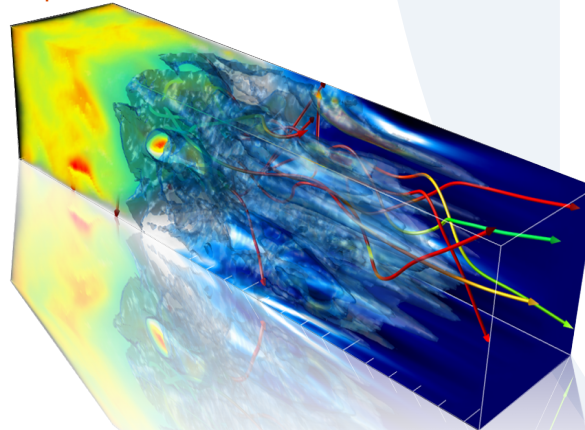
**osiris v2.0**





### osiris framework

- Massively Parallel, Fully Relativistic Particle-in-Cell (PIC) Code
- Visualization and Data Analysis Infrastructure
- Developed by the osiris.consortium  
⇒ UCLA + IST

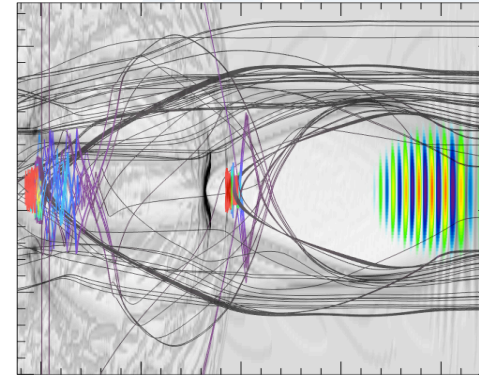


**Ricardo Fonseca:** ricardo.fonseca@ist.utl.pt

**Frank Tsung:** tsung@physics.ucla.edu

<http://cfp.ist.utl.pt/golp/epp/>

<http://exodus.physics.ucla.edu/>



### New Features in v2.0

- Bessel Beams
- Binary Collision Module
- Tunnel (ADK) and Impact Ionization
- Dynamic Load Balancing
- PML absorbing BC
- Optimized higher order splines
- Parallel I/O (HDF5)
- Boosted frame in 1/2/3D



Patric Muggli | May 23rd 2012 | IPAC - New Orleans Louisiana, USA

Benchmarking with (for AWAKE only!):

- ✧ OSIRIS: R. A. Fonseca et al., Lect. Notes Comput. Sci. 2331, 342 (2002)
- ✧ VLPL A: Pukhov, J. Plasma Phys. 61, 425 (1999)
- ✧ LCODE: K. V. Lotov, Phys. Rev. ST Accel. Beams 6, 061301 (2003)





# OUTLINE



- ✧ Introduction to Plasma Wakefield Accelerator (PWFA)
- ✧ Introduction to the self-modulation instability (SMI)
- ✧ SMI PWFA experiments at CERN with  $p^+$ : AWAKE
- ✧ SMI experiments at SLAC E209 with  $e^-/e^+$
- ✧ Linear PWFA – SMI seeding at BNL-ATF
- ✧ Summary

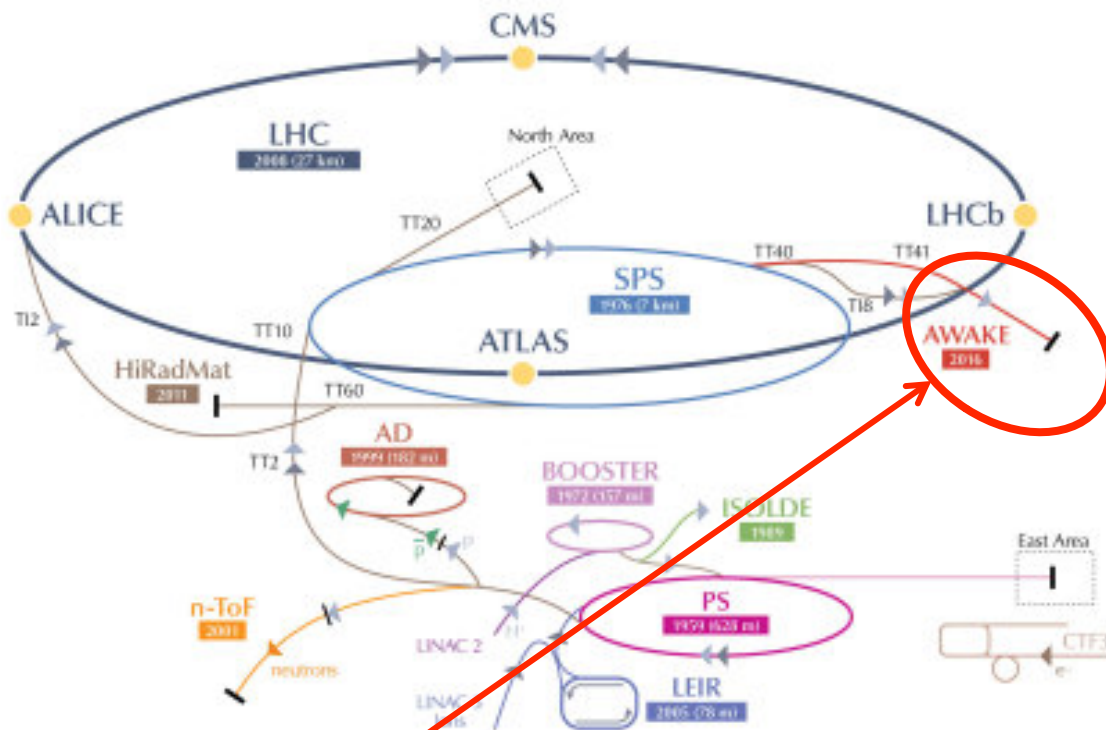




# PROTON BEAMS @ CERN



## CERN's Accelerator Complex



Parameter	PS	SPS	SPS Opt
$E_0$ (GeV)	24	400	400
$N_p$ ( $10^{10}$ )	13	10.5	30
$\Delta E/E_0$ (%)	0.05	0.03	0.03
$\sigma_z$ (cm)	20	12	12
$\epsilon_N$ (mm-mrad)	2.4	3.6	3.6
$\sigma_r^*$ ( $\mu\text{m}$ )	400	200	200
$\beta^*$ (m)	1.6	5	5

**AWAKE experimental area**

$n_e \sim 7 \times 10^{14} \text{cm}^{-3}$  for  $k_p \sigma_r \approx 1$   
 $\lambda_{pe} \sim 1.3 \text{mm} \ll \sigma_z$   
 $f_{pe} \sim 240 \text{GHz}$   
 $L_p \sim 10 \text{m} \sim 2\beta^*$

- ✦ SPS beam: high energy, small  $\sigma_r^*$ , long  $\beta^*$
- ✦ Initial goal:  $\sim \text{GeV}$  gain by externally injected  $e^-$ , in 5-10m of plasma in self-modulated  $p^+$  driven PWFA



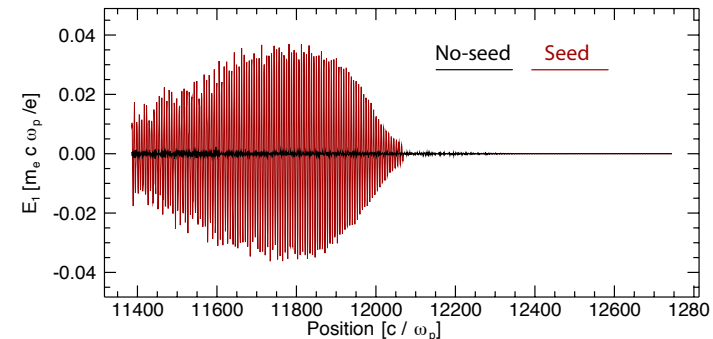
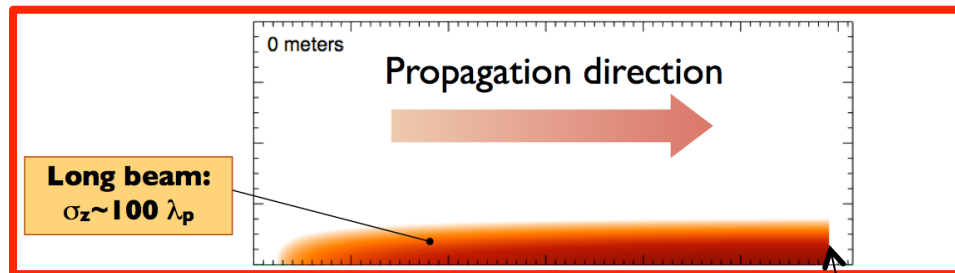
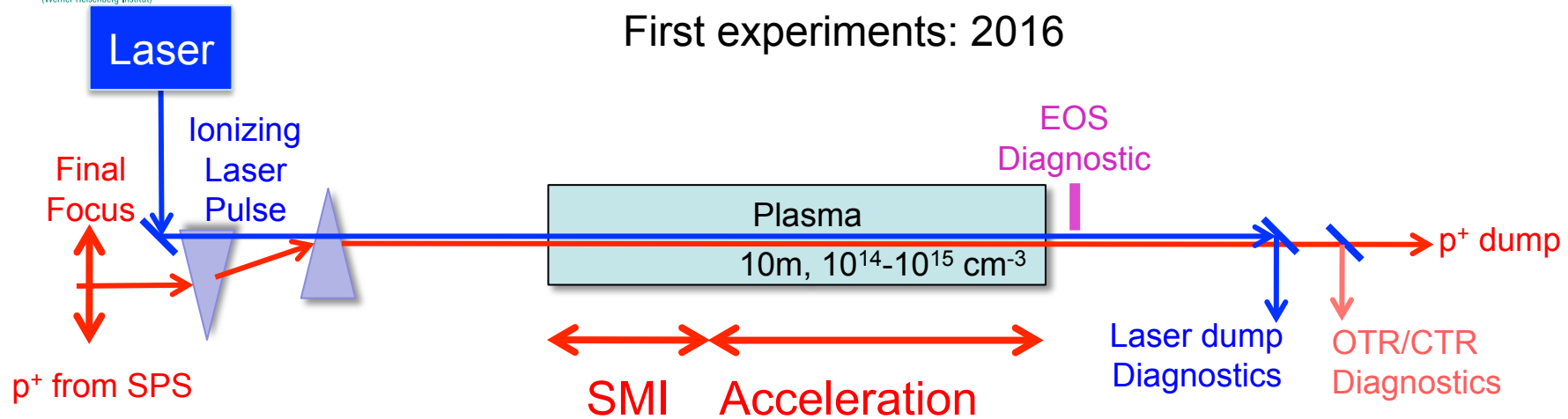


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# AWAKE EXPERIMENT @ CERN



First experiments: 2016



✧ No seed no SMI (over 10m)

“Sharp” ( $\ll \lambda_{pe}$ ) start of the beam/plasma interaction for SMI seeding  
AWAKE: will seed with ionization front!



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P. Muggli, LAL. 10/31/2014

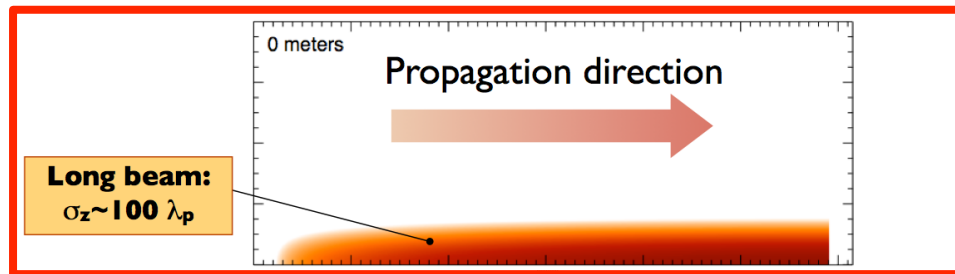
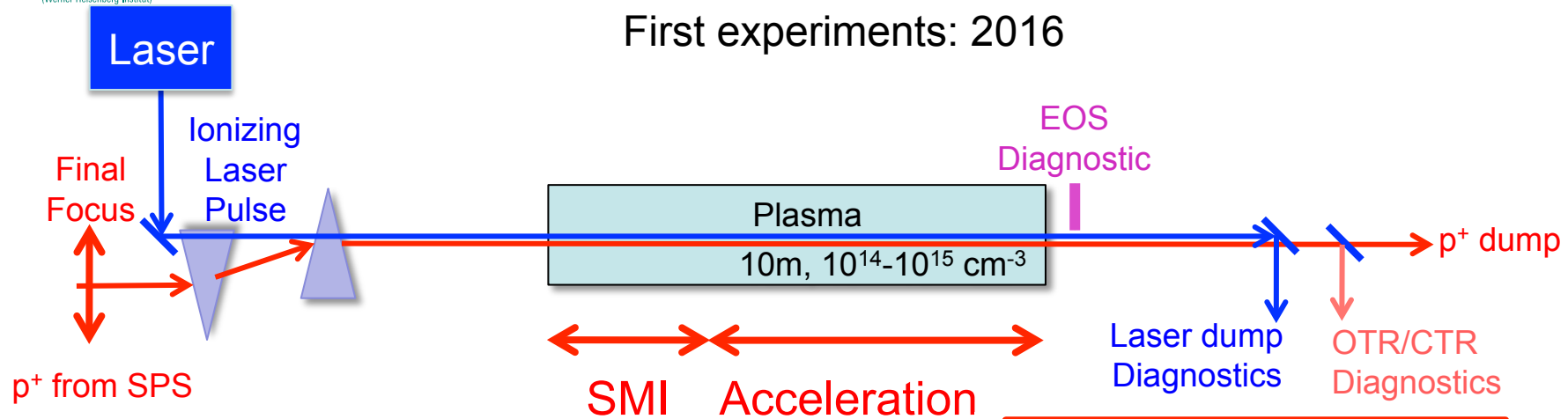


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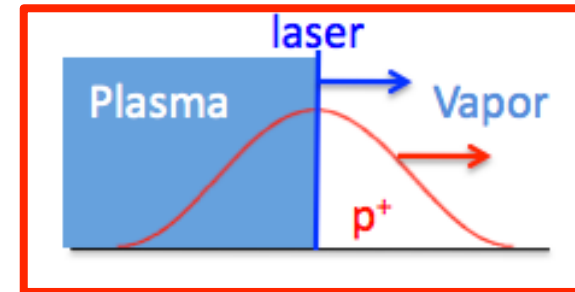
# AWAKE EXPERIMENT @ CERN



First experiments: 2016



+



- ✧ Short laser pulse creates the plasma and seeds the SMI
- ✧  $\sigma_z \sim 12\text{cm} \gg \lambda_{pe} \sim 1.2\text{mm}$  ( $n_e \sim 10^{14}\text{cm}^{-3}$ )  $\Rightarrow$  Self-modulation Instability (SMI)\*



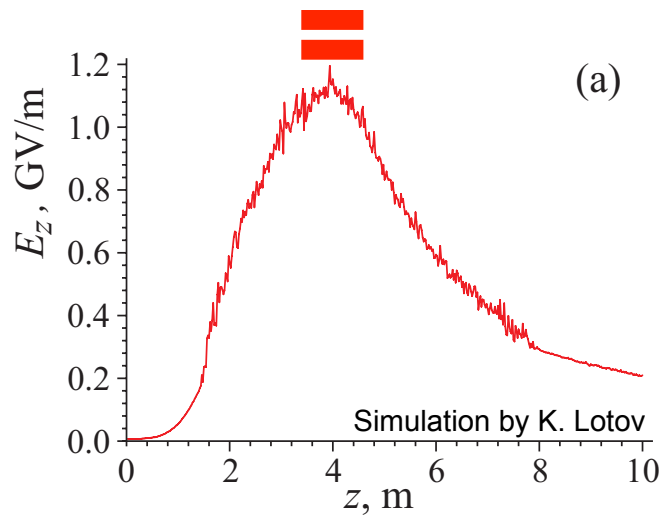
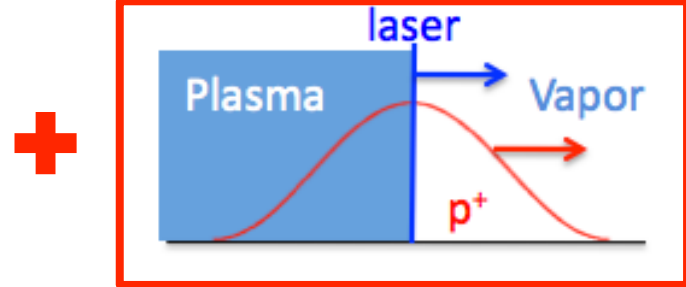
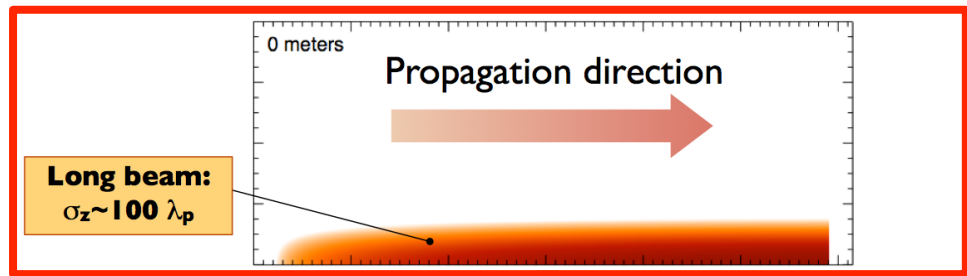
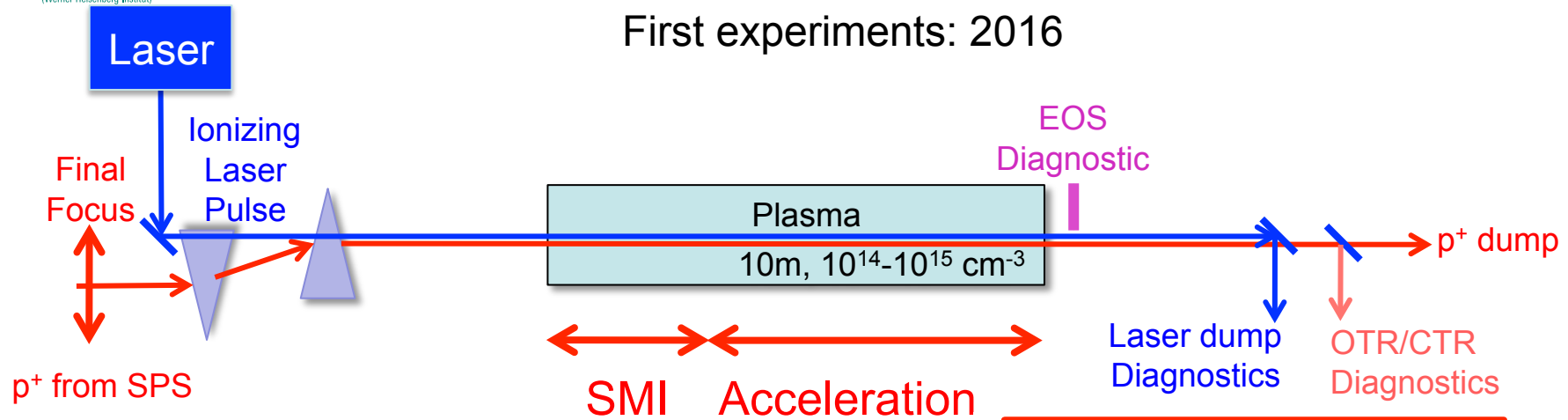


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# AWAKE EXPERIMENT @ CERN



First experiments: 2016



✧ The wakefields grow ...



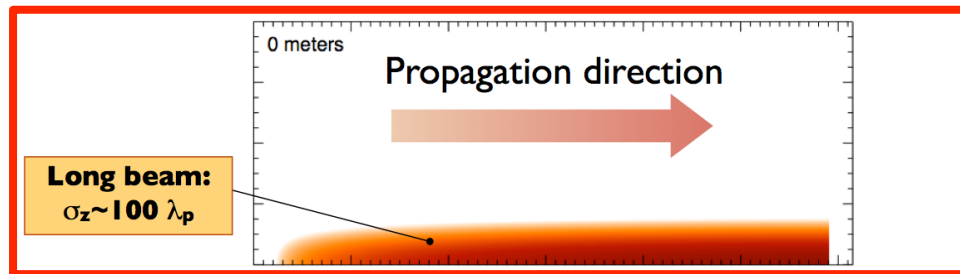
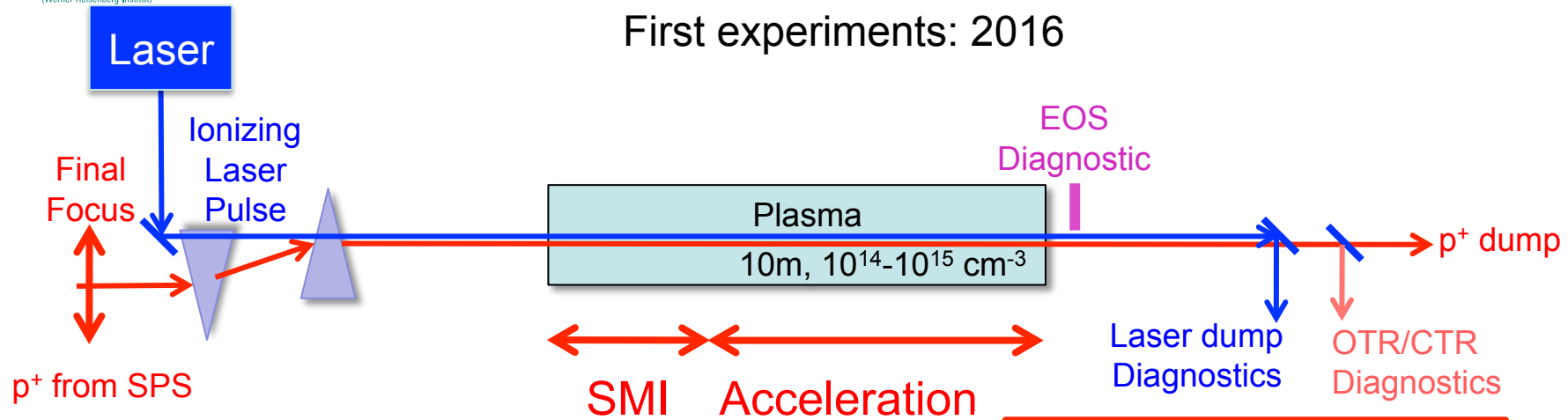


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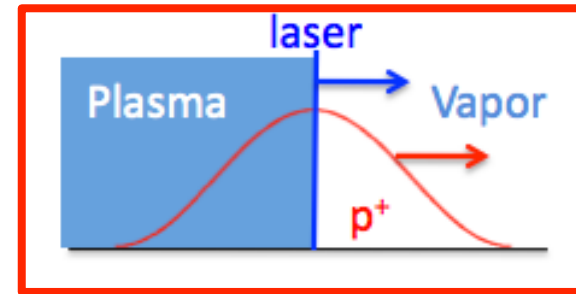
# AWAKE EXPERIMENT @ CERN



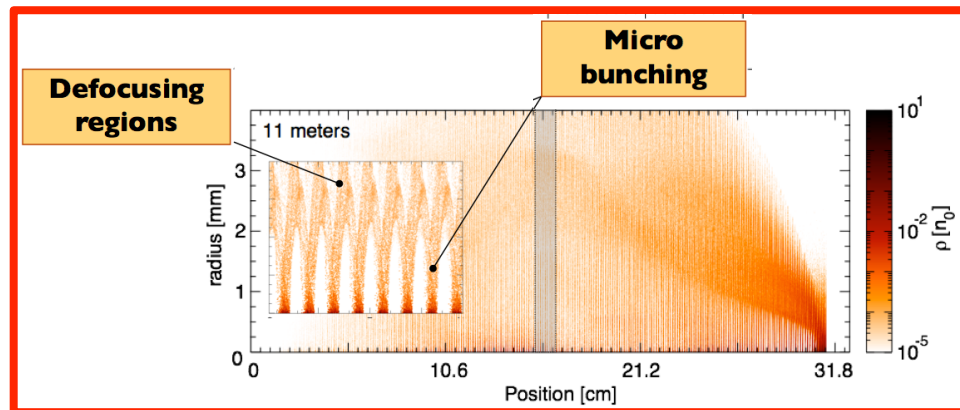
First experiments: 2016



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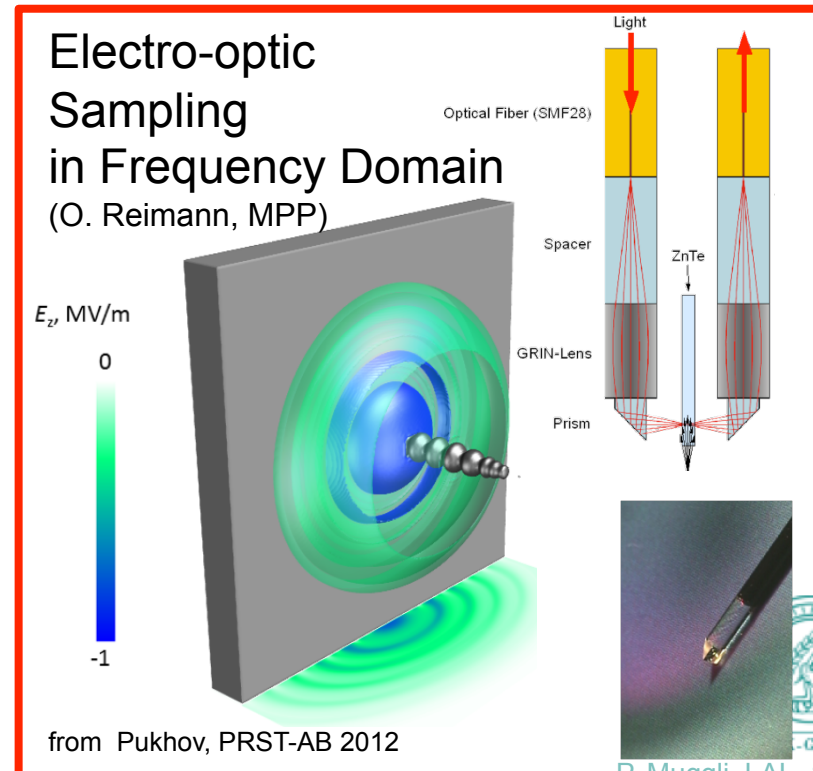
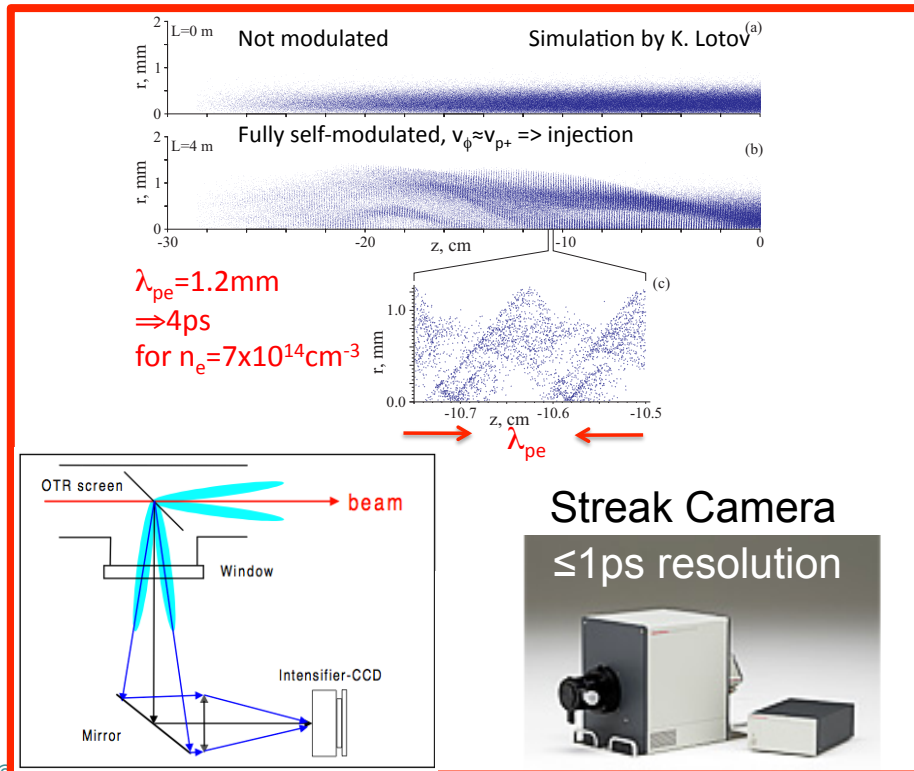
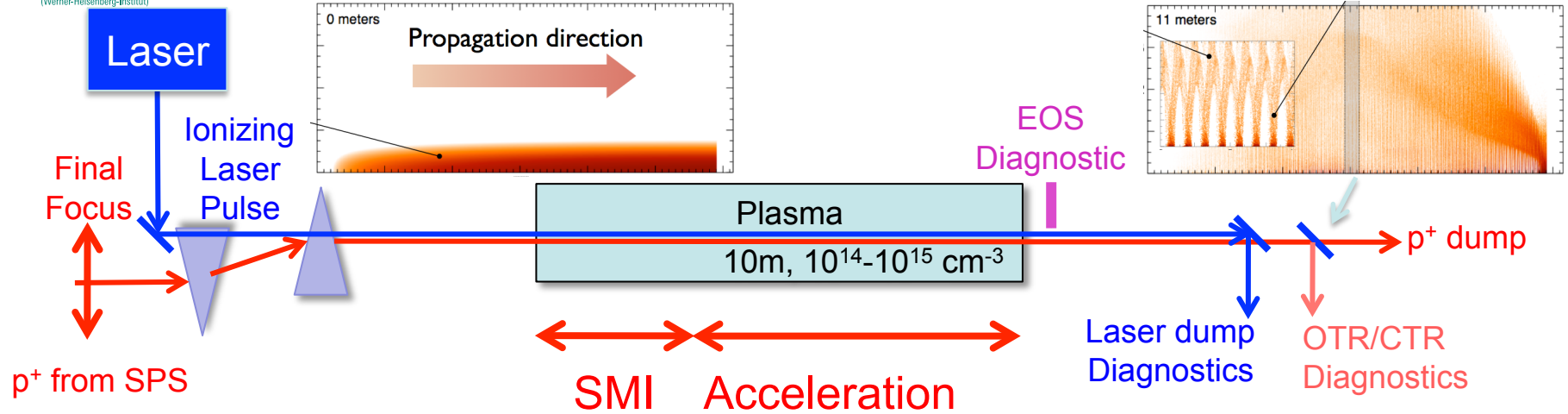
✧ The long ( $\sigma_z \sim 12\text{cm}$ )  $p^+$  bunch self-modulates with period  $\lambda_{pe} \sim 1.2\text{mm}$  ( $n_e \sim 10^{14}\text{cm}^{-3}$ )





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# SMI DIAGNOSTICS

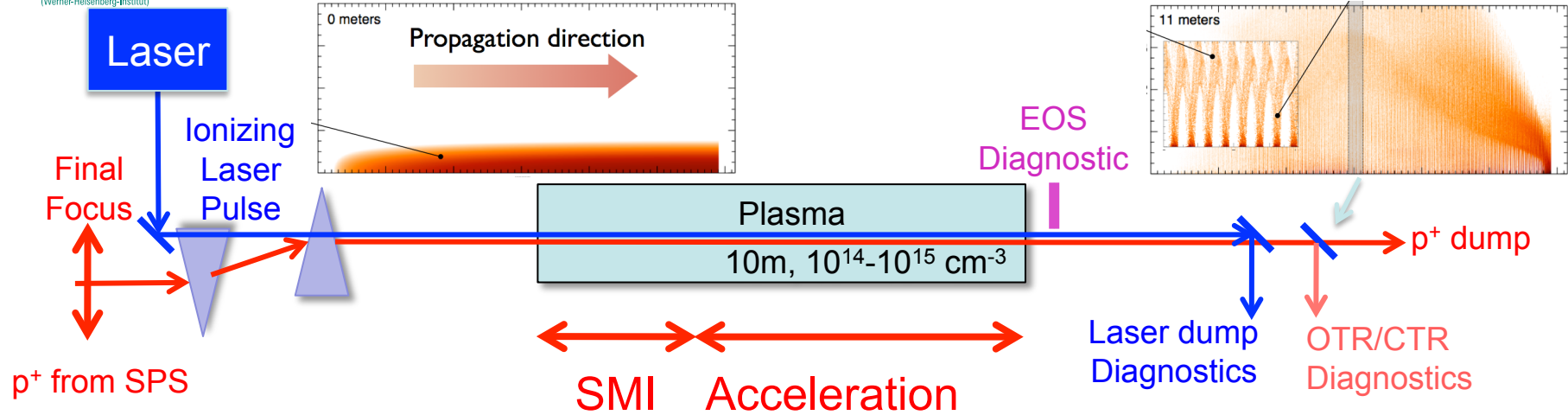




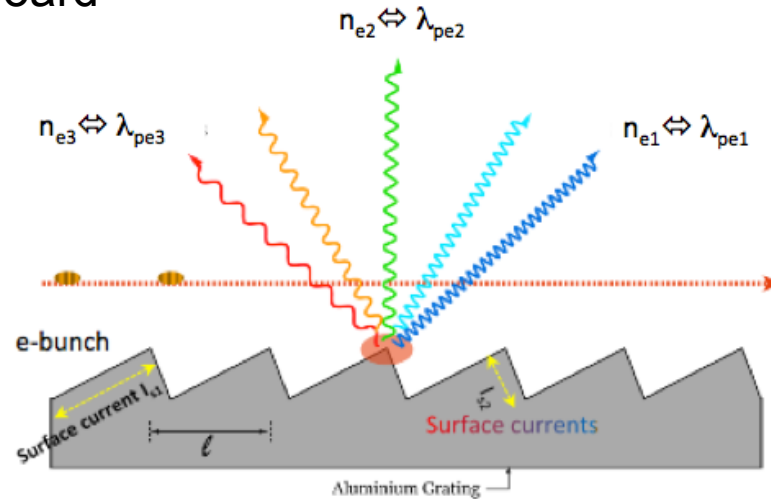


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# SMI DIAGNOSTICS



✧ Possibility: Smith-Purcell radiation, investigated by AWAKE Physics and Experiment Board



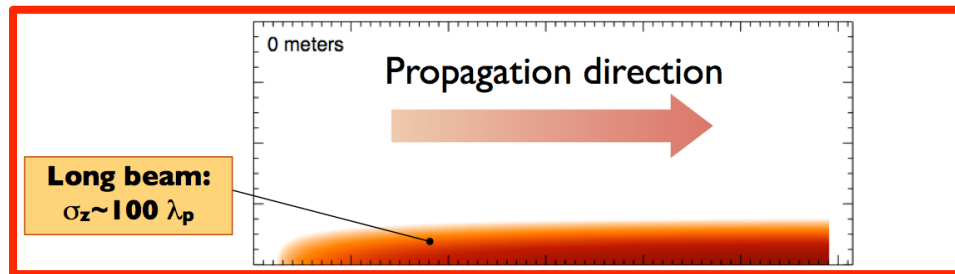
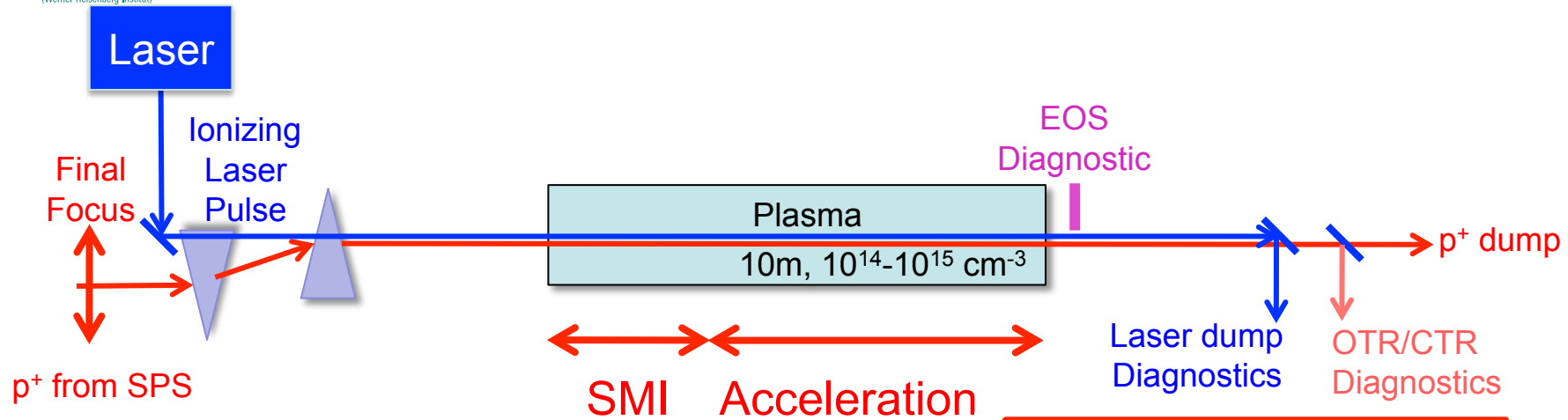
- ✧ Modulation wavelength for each event
- ✧ Sensitive to radial modulation?



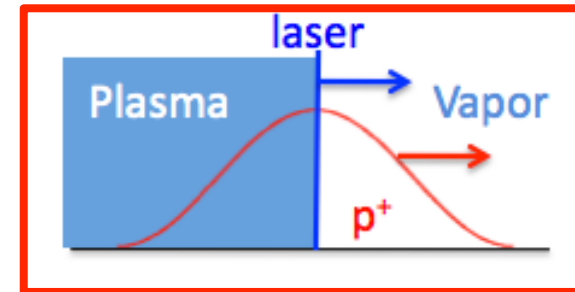


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# AWAKE EXPERIMENT @ CERN

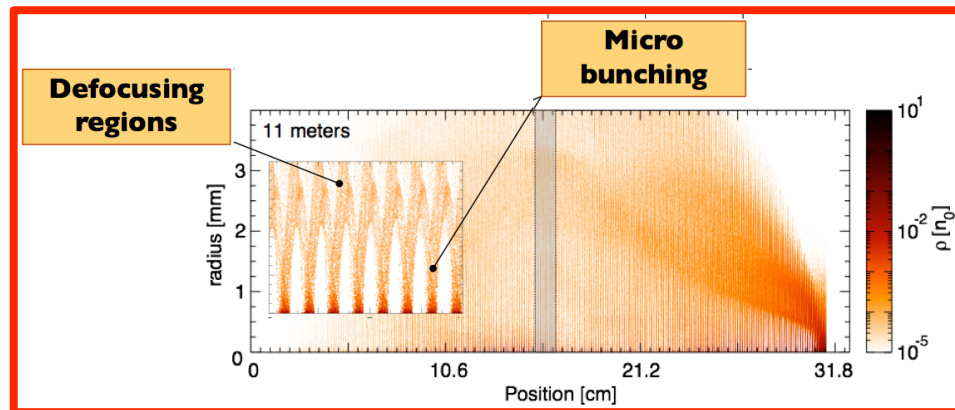


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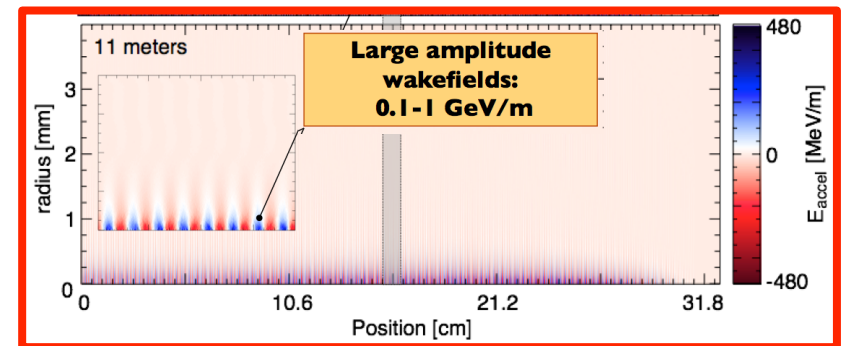


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✧ The SM p<sup>+</sup> bunch resonantly drives wakefields



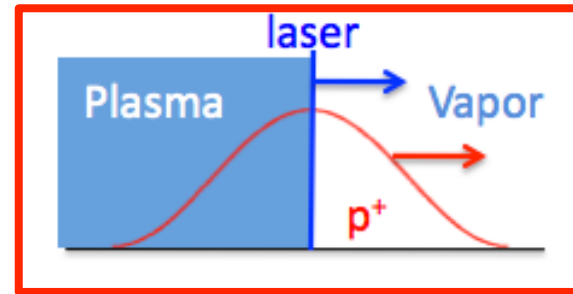
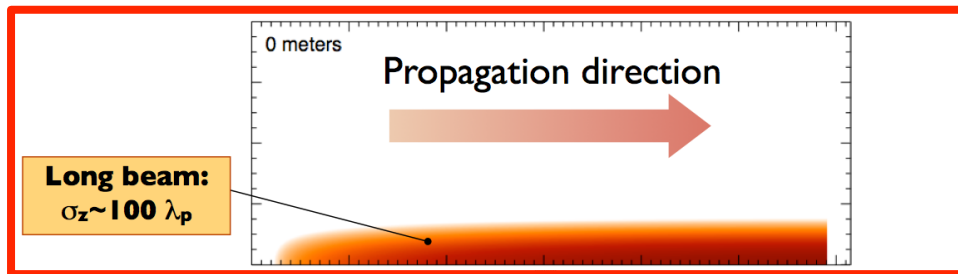
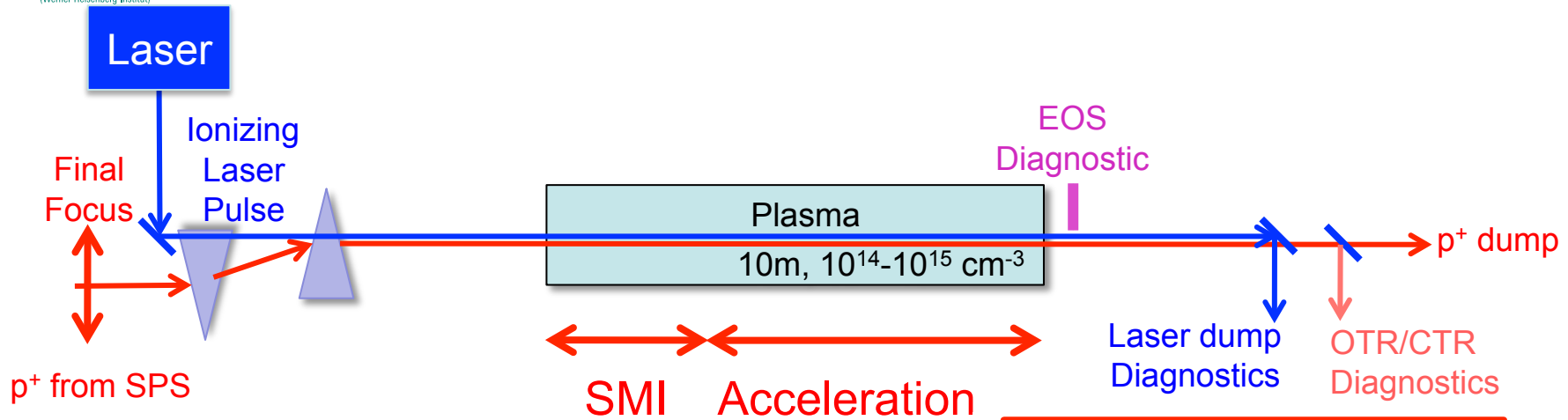
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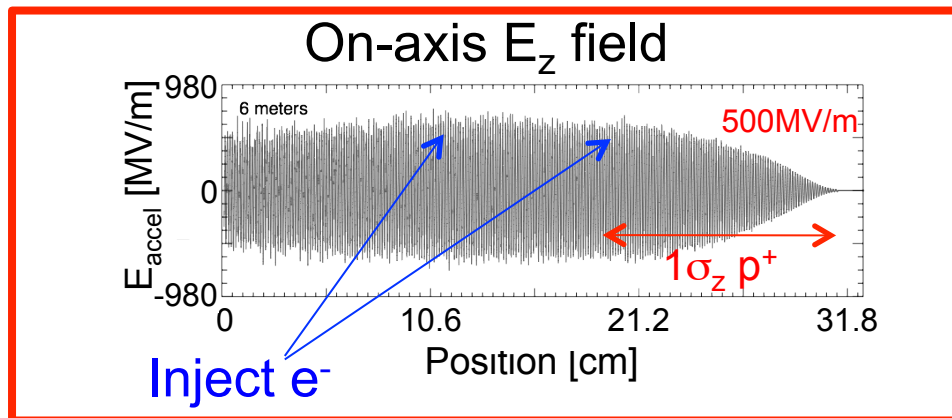
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# AWAKE EXPERIMENT @ CERN

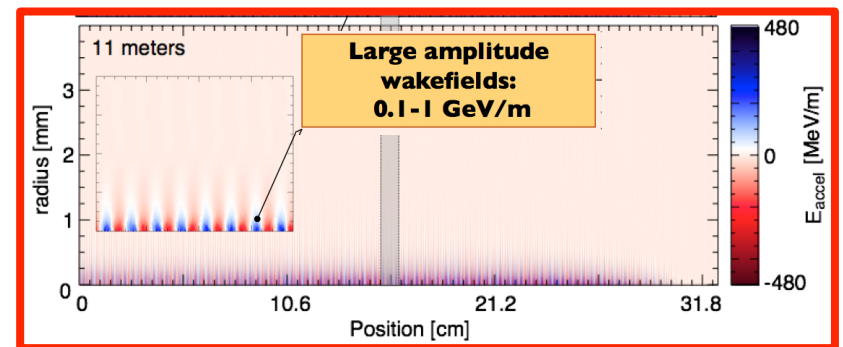


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~GV/m accelerating field



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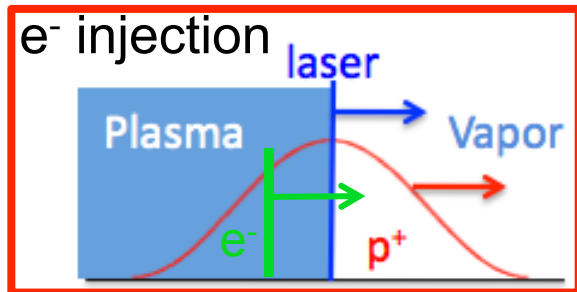
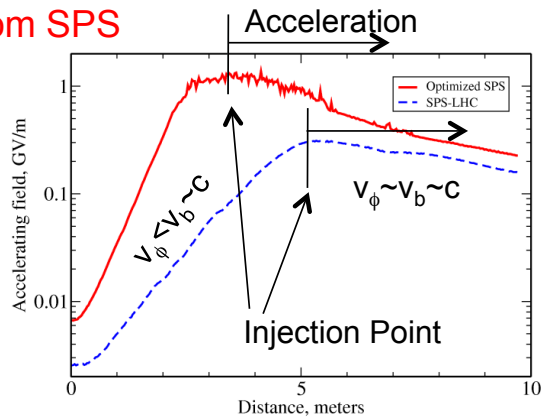
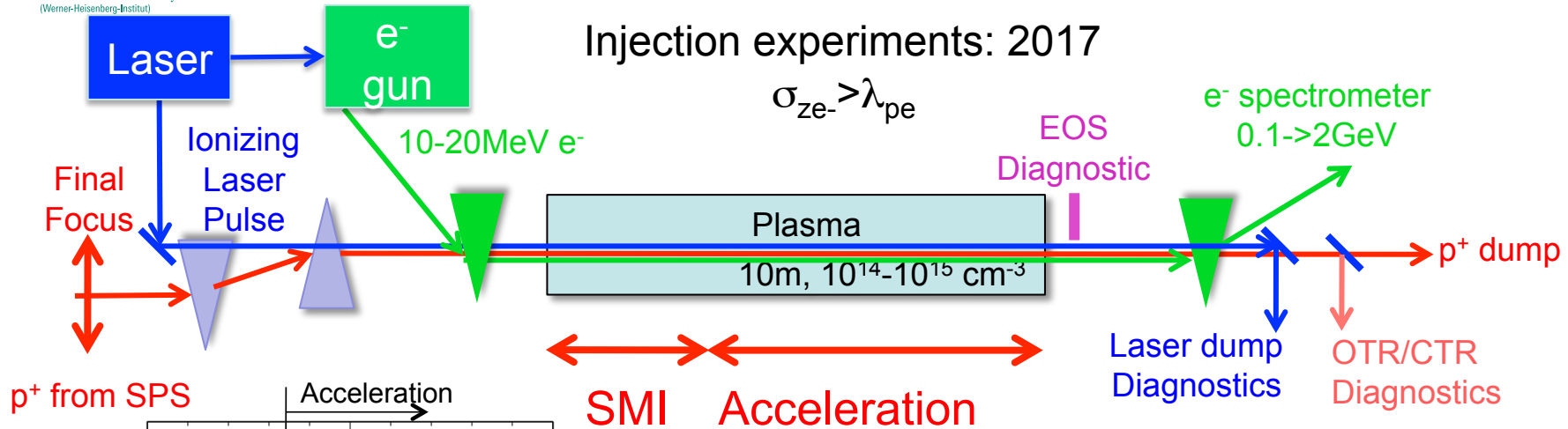
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# WAKEFIELDS SAMPLING / ACCELERATION

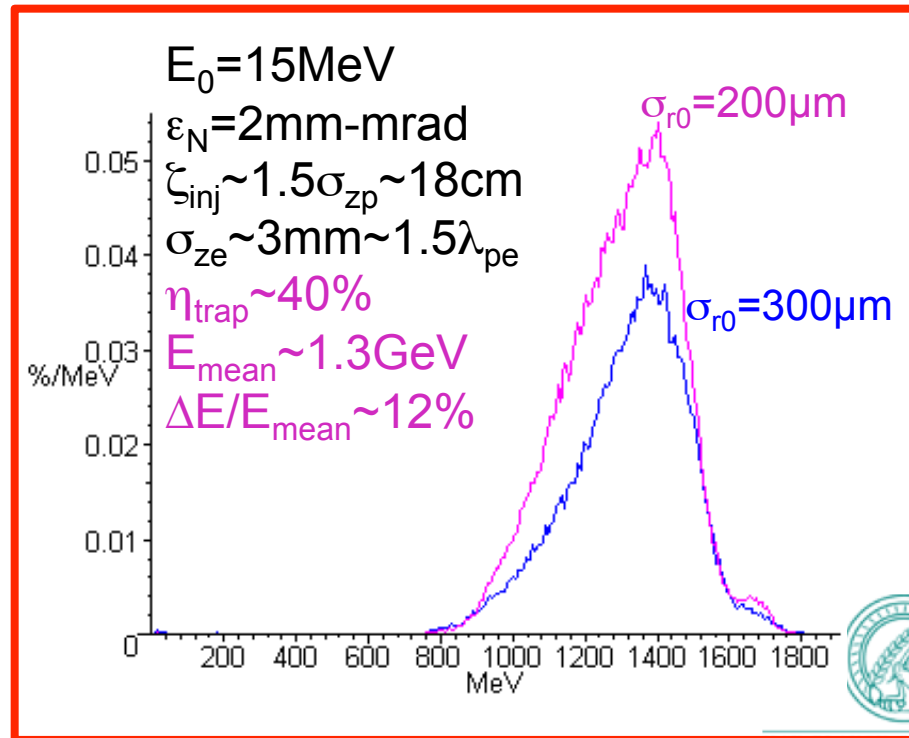


Injection experiments: 2017

$$\sigma_{ze} > \lambda_{pe}$$



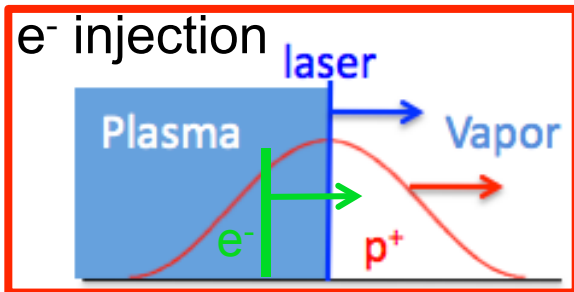
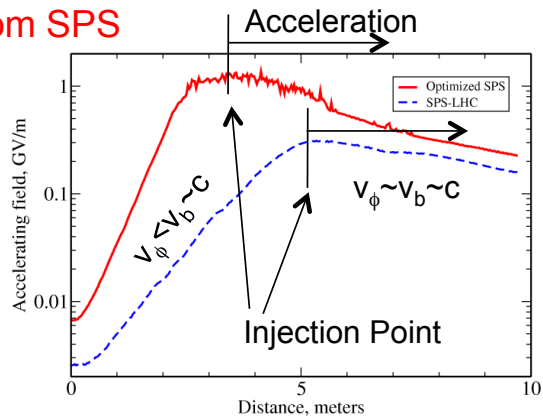
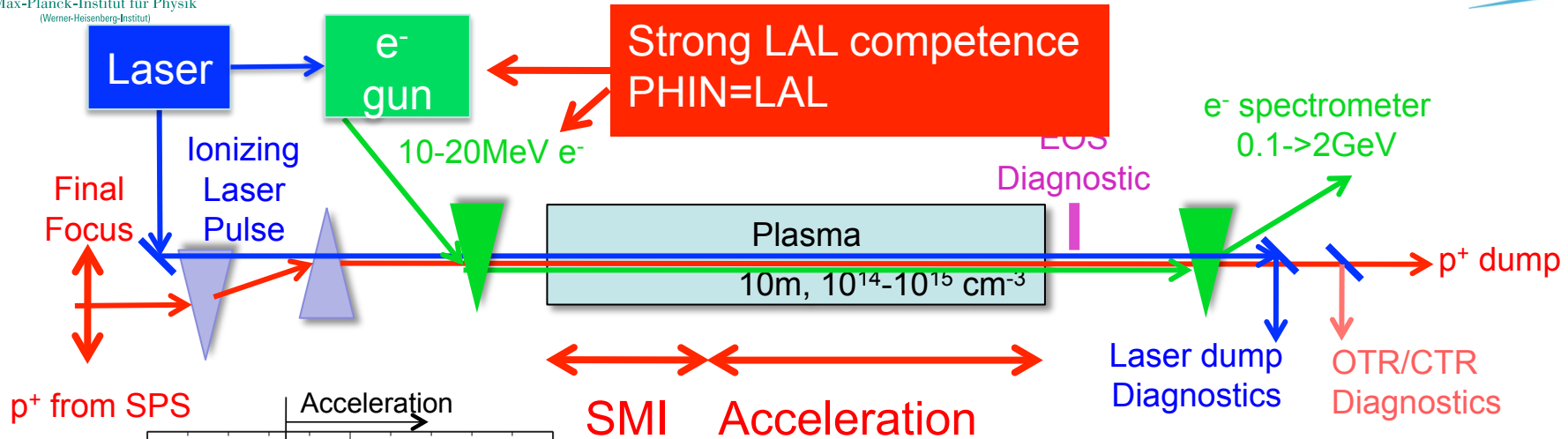
❖ Accelerate e<sup>-</sup> to multi-GeV energies with ~GeV/m gradient



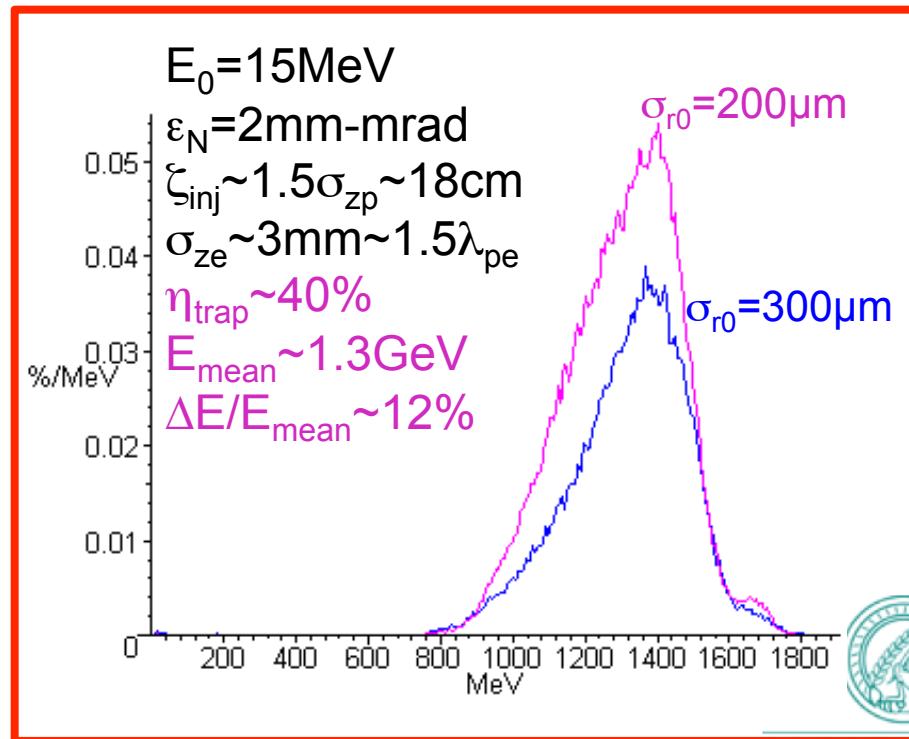


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# WAKEFIELDS SAMPLING / ACCELERATION



❖ Accelerate e<sup>-</sup> to multi-GeV energies with ~GeV/m gradient



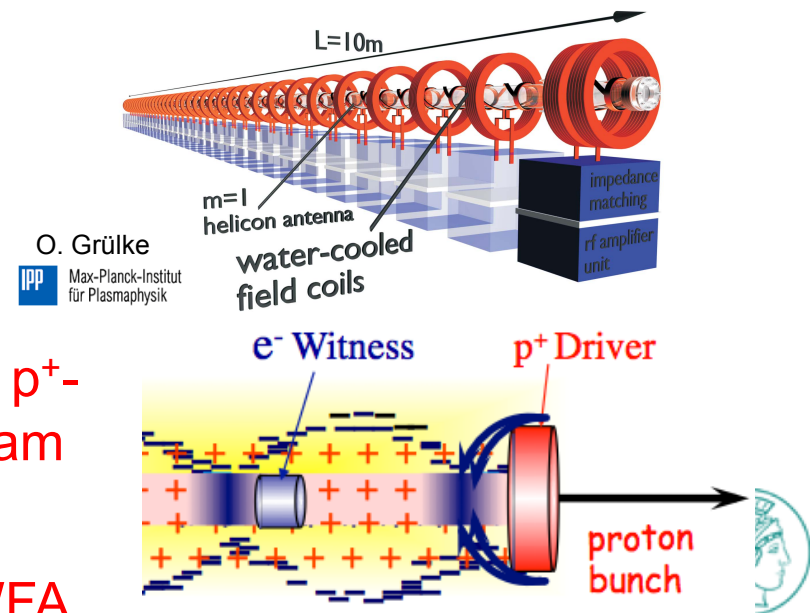
# GOALS OF AWAKE EXPERIMENT

- ✧ study the physics of  $p^+$  bunch SMI (radial modulation, seeding, ...)
- ✧ probe the longitudinal (accelerating) wakefields with externally injected  $e^-$
- ✧ study injection dynamics (side or on-axis injection) of  $e^-$
- ✧ produce multi-GeV  $e^-$  with  $\sim$ GV/m gradient maintained over m-distances
- ✧ develop long, scalable and uniform plasma cells
- ✧ develop schemes for the production of short  $p^+$  bunches

✧ Experiment approved Fall 2013  
 SMI experiments 2016  
 $e^-$  injection 2017

✧ Set-up a comprehensive and long term  $p^+$ -driven plasma-based accelerator program at CERN

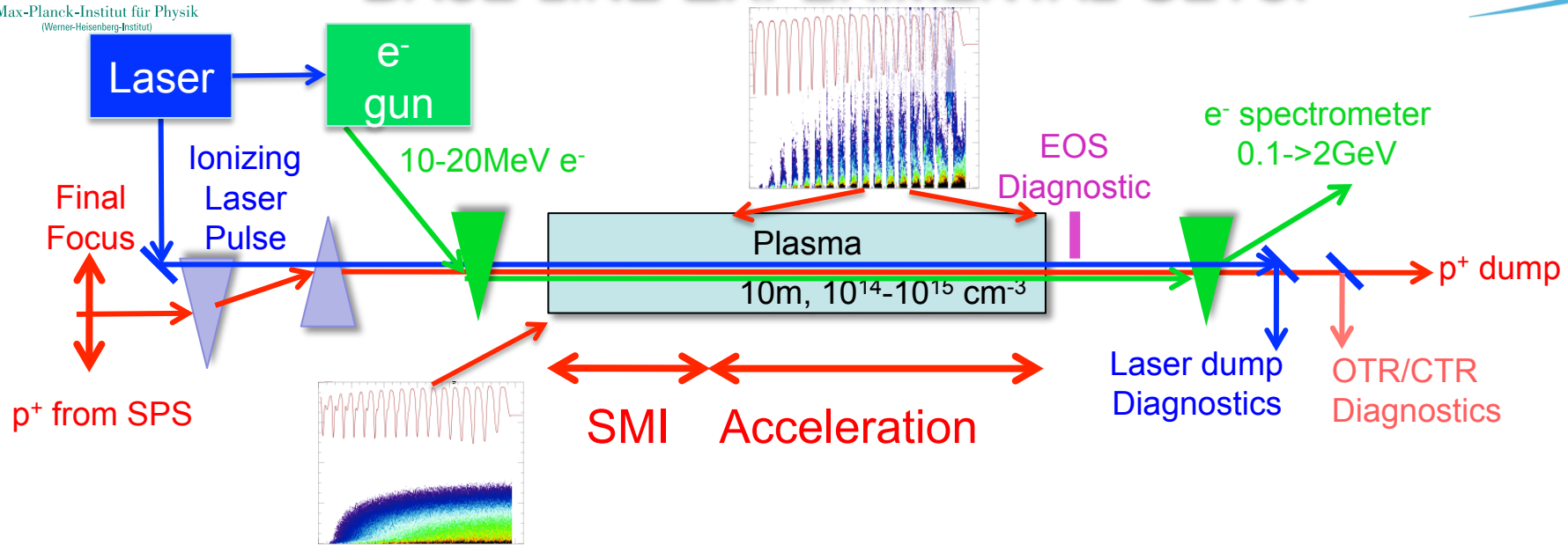
✧ Explore applications for a  $p^+$ -driven PWFA





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# BASE-LINE EXPERIMENTAL SETUP

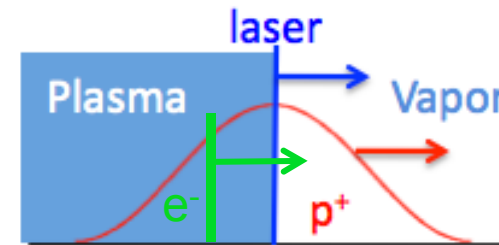


✧ Laser ionization of a Rb metal vapor,  
7-10m plasma,  $n_e = 10^{14}-10^{15} \text{ cm}^{-3}$

✧ Injection of 10-20MeV test  $e^-$  at plasma entrance ( $\sigma_{ze^-} > \lambda_{pe}$ )

✧ 0.1-5GeV electron spectrometer

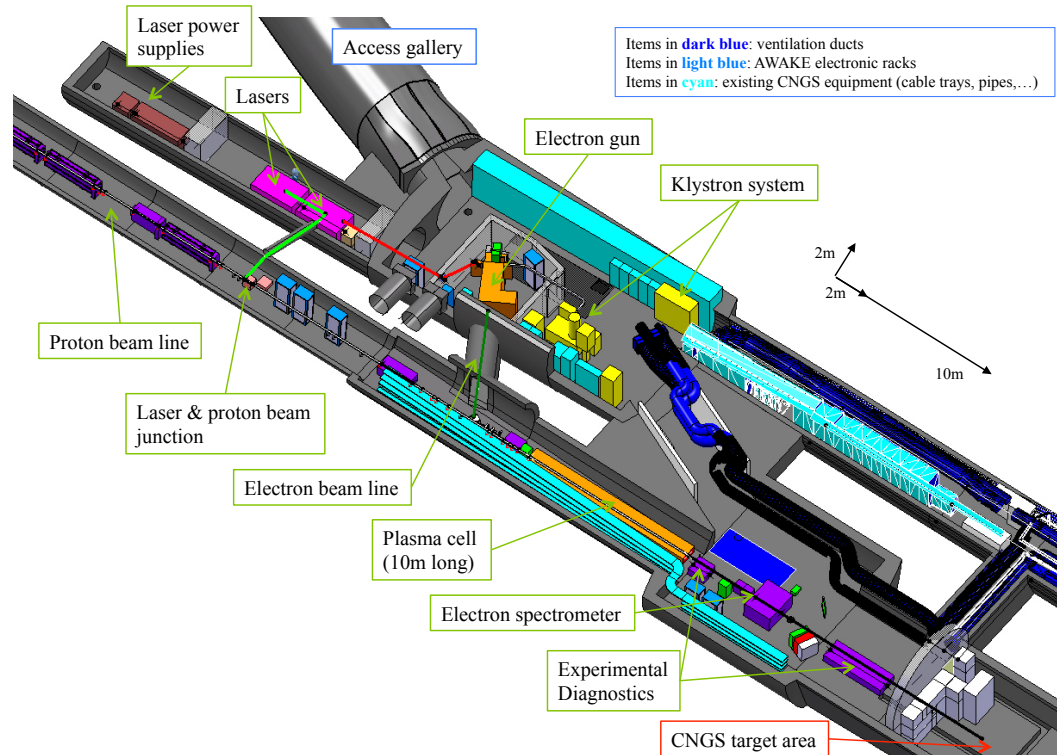
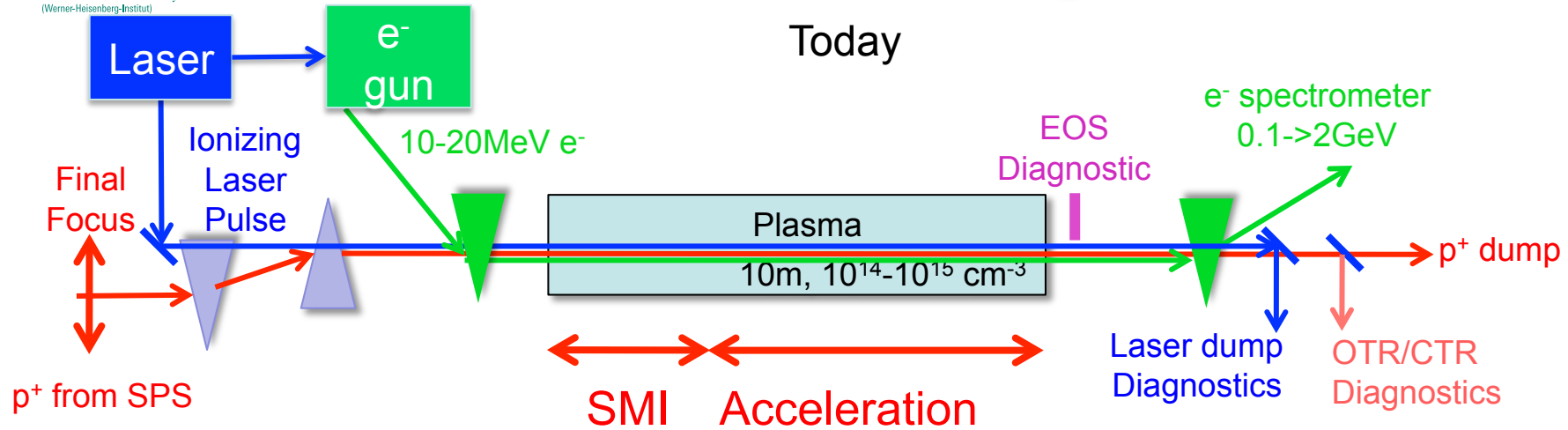
✧ OTR + streak camera, electro-optic sampling for  $p^+$ -bunch modulation diag.





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# AWAKE EXPERIMENT @ CERN



✧ CERN team already translated our dreams into CAD drawings

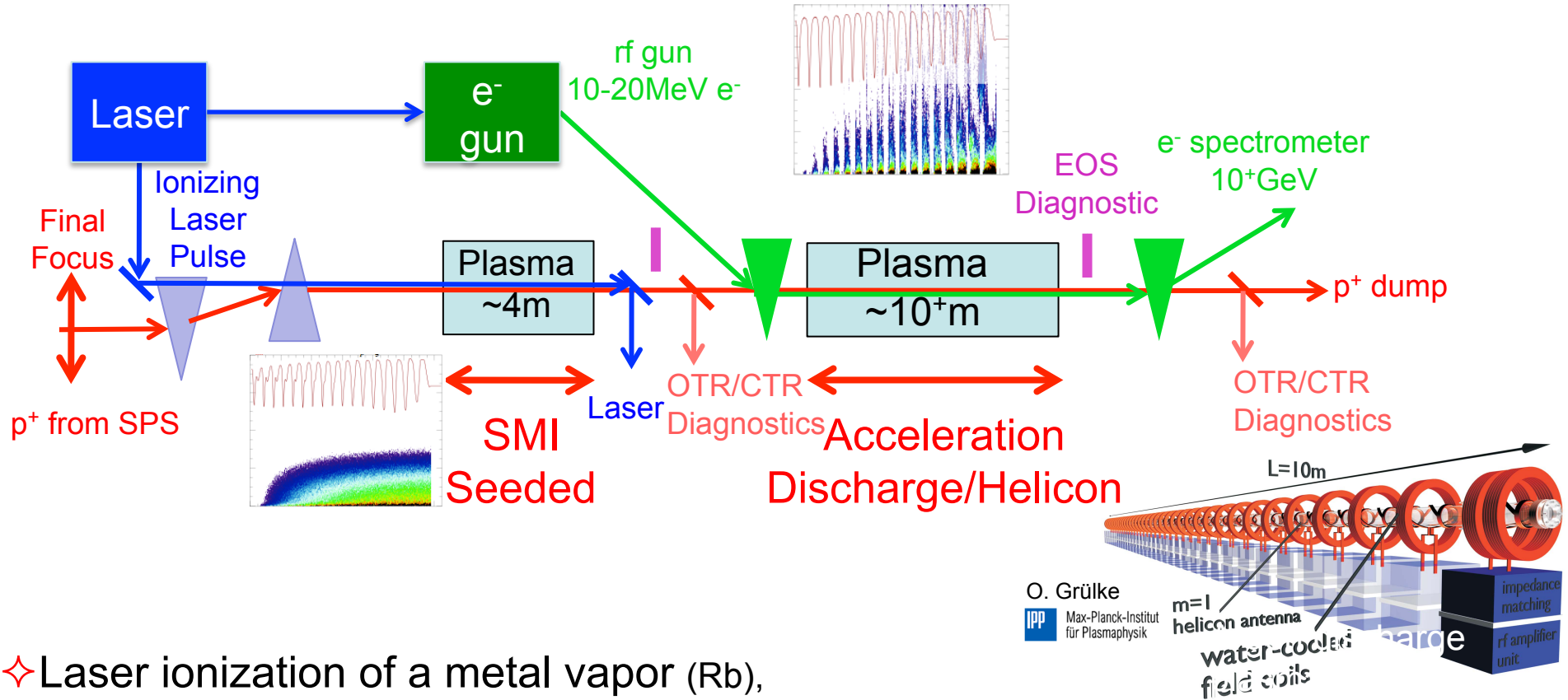
✧ Next step: make it real!



MAX-PLANCK-GESELLSCHAFT  
P. Muggli, LAL. 10/31/2014



# p<sup>+</sup>-PWFA ACCELERATOR PHYSICS

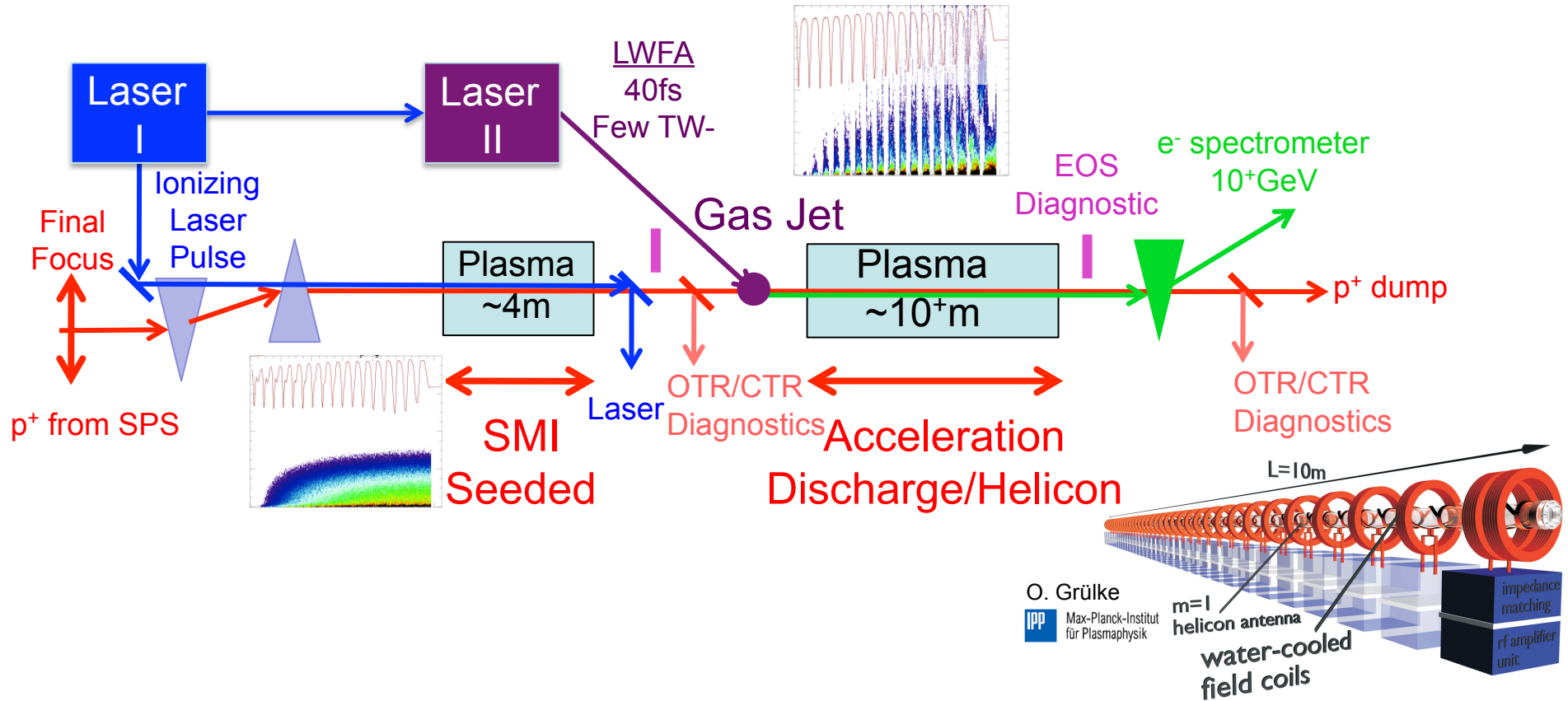


- ✧ Laser ionization of a metal vapor (Rb),  
3-4m plasma for p<sup>+</sup> self-modulation only, SEEDING NECESSARY!
- ✧ ~10m discharge or helicon source for acceleration only (scales to 100's m)
- ✧ Inject short e<sup>-</sup> bunch ( $\sigma_z \ll \lambda_{pe}$ )
- ✧ Maybe able to tune plasma densities to maintain accelerating gradient

O. Grülke  
IPP Max-Planck-Institut  
für Plasmaphysik



# p<sup>+</sup>-PWFA ACCELERATOR PHYSICS



✧ LWFA e<sup>-</sup> injector: synchronization, short  $\sigma_{ze^-} \ll \lambda_p$ , high-current (kA) bunch, beam loading...)

Muggli, IPAC'2014 Proceedings





# OUTLINE



- ✧ Introduction to Plasma Wakefield Accelerator (PWFA)
- ✧ Introduction to the self-modulation instability (SMI)
- ✧ SMI PWFA experiments at CERN with  $p^+$ : AWAKE
- ✧ SMI experiments at SLAC E209 with  $e^-/e^+$
- ✧ Linear PWFA – SMI seeding at BNL-ATF
- ✧ Summary

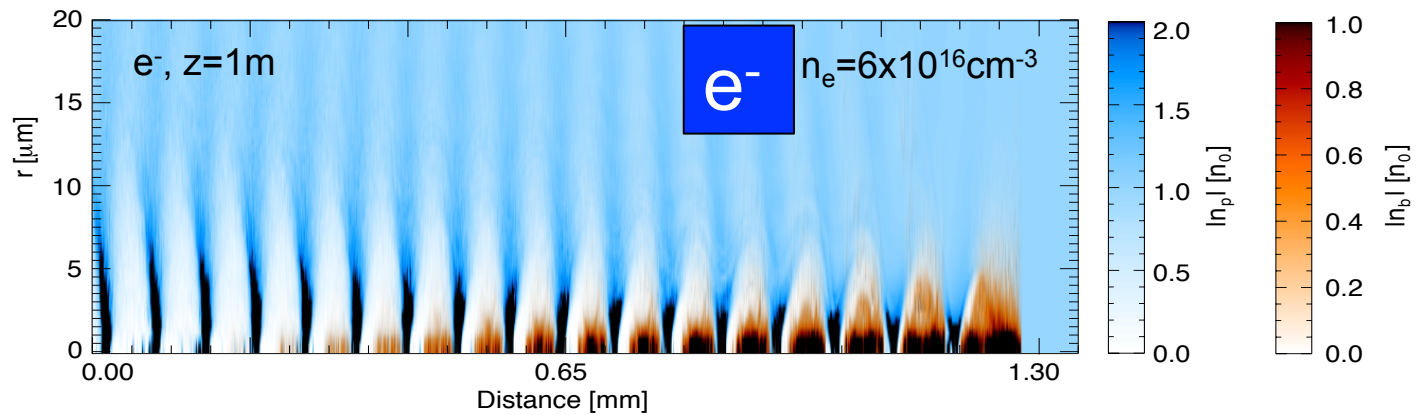
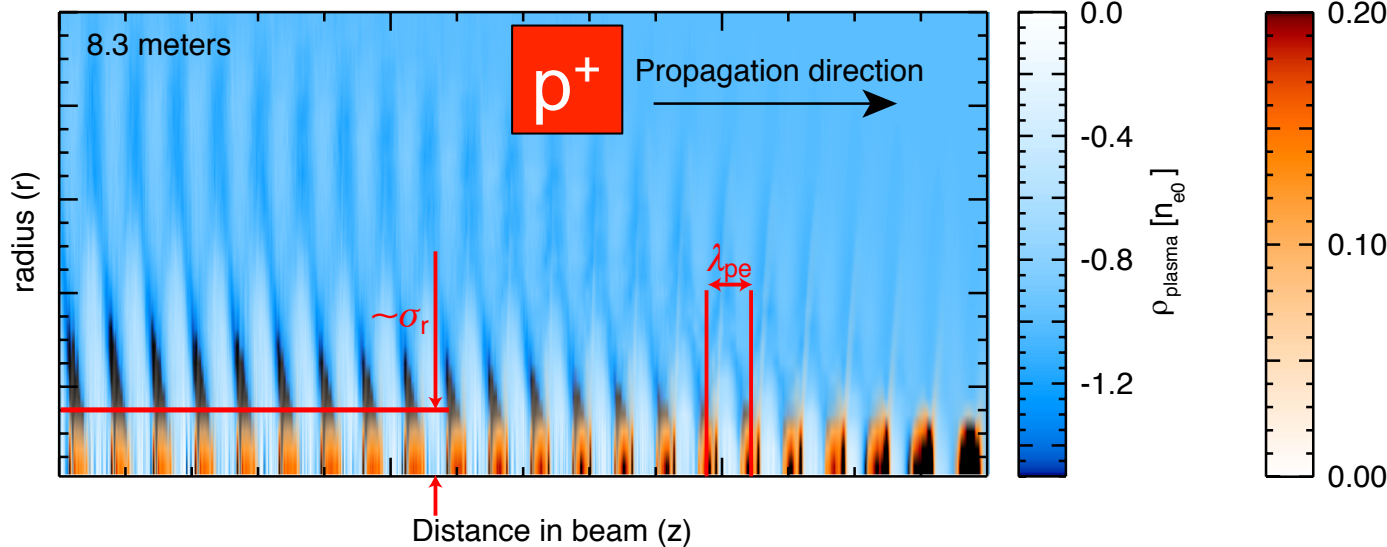




# COMPARISON +/- DRIVEN PWFA



✧ Comparison positively/negatively charged bunches



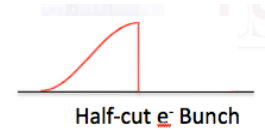
Simulations:  
J. Vieira



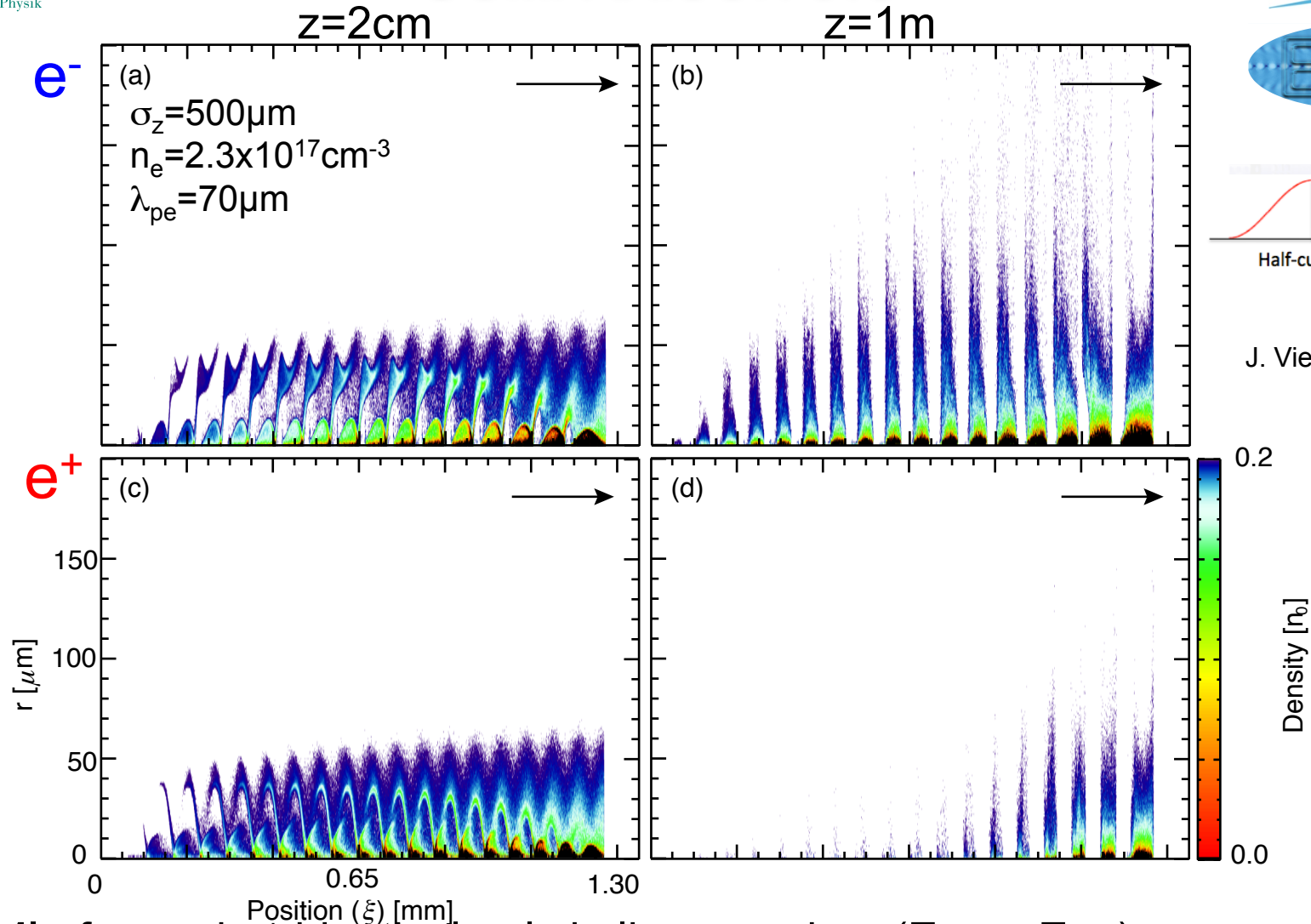
✧ Phase difference, as expected from simple physics



# COMPARISON $e^-/e^+$



J. Vieira, IST

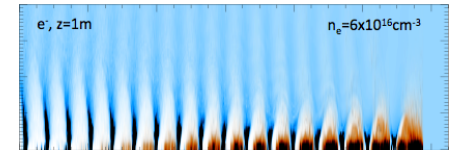
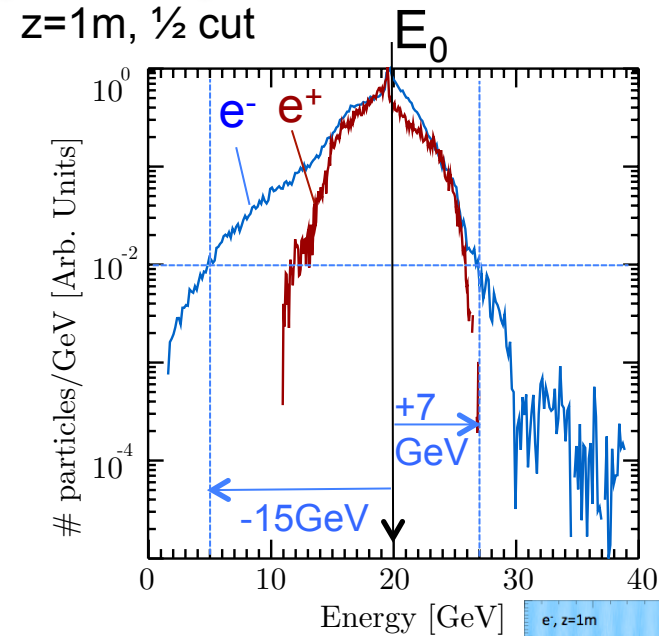
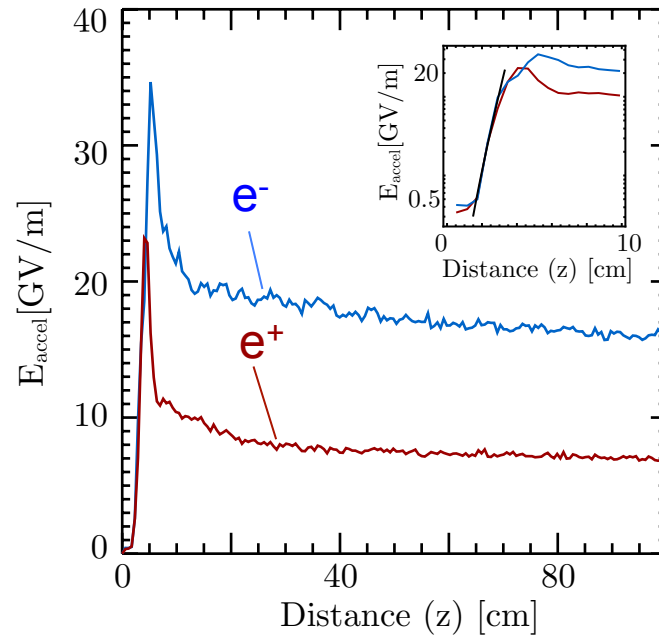
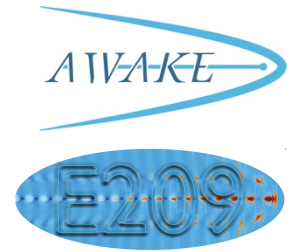


- ✧ SMI of  $e^-$  and  $e^+$  identical only in linear regime ( $E_{\text{acc}} \ll E_{\text{WB}}$ )
- ✧ SMI leads to the resonant excitation of wakefields
- ✧ Less  $e^+$  remain to drive wakefields in the non-linear regime





# WAKEFIELDS & ENERGY CHANGE (by drive particles)



- ✧ Peak SMI wakefield ( $\sim 35\text{GV/m}$ )  $\sim$  single bunch peak field ( $\sim 50\text{GV/m}$ )  
 $E_{\text{WB}} = 46\text{GV/m}$  @  $n_e = 2.3 \times 10^{17}\text{cm}^{-3}$
- ✧ Large energy loss  $> 10\text{GeV}$  ( $e^-$  @  $1\%/GeV$  level)
- ✧ Energy gain  $> 5\text{GeV}$  ( $e^-, e^+$  @  $1\%/GeV$  level)
- ✧ No externally injected particles





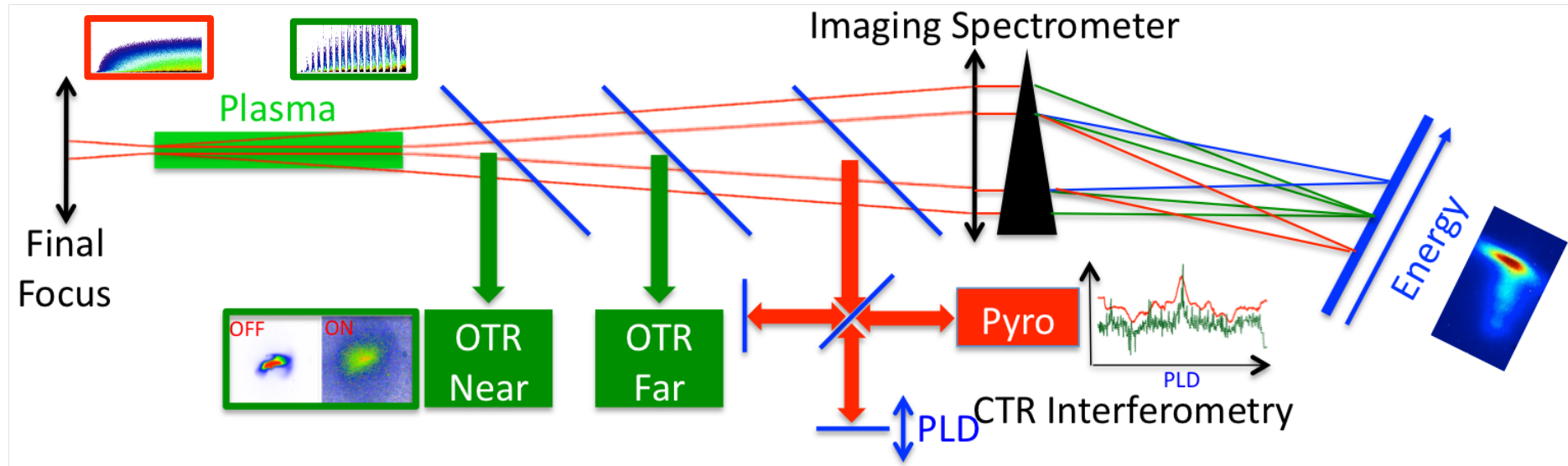
# E209 @ SLAC



- ✧ SMI physics with  $e^-$  and  $e^+$
- ✧ Seeding SMI with shaped bunch in pre-formed plasma
- ✧ SMI – hosing instability competition
- ✧ No externally injected  $e^-$
- ✧ Multi-GV/m wakefields
- ✧ Encouraging initial results after two-day experiment ...
- ✧ Observed the 3 observables:
  - ✧ Energy loss (no gain?)
  - ✧ Radial modulation (CTR)
  - ✧ Halo formation (OTR)



## The same as E200 and E201



Three observables:

- ✧ Energy loss/gain by drive bunch particles
- ✧ Formation of transverse halo (defocused particles)
- ✧ Radial size modulation (CTR interferometry)

Three well established diagnostics at FACET:

- ✧ Magnetic spectrometer with  $\sim 100$  MeV resolution
- ✧ Two OTR systems  $\sim 1$  and  $\sim 2$  m downstream from the plasma
- ✧ CTR interferometry  $\sim 1.5$  m downstream from the plasma

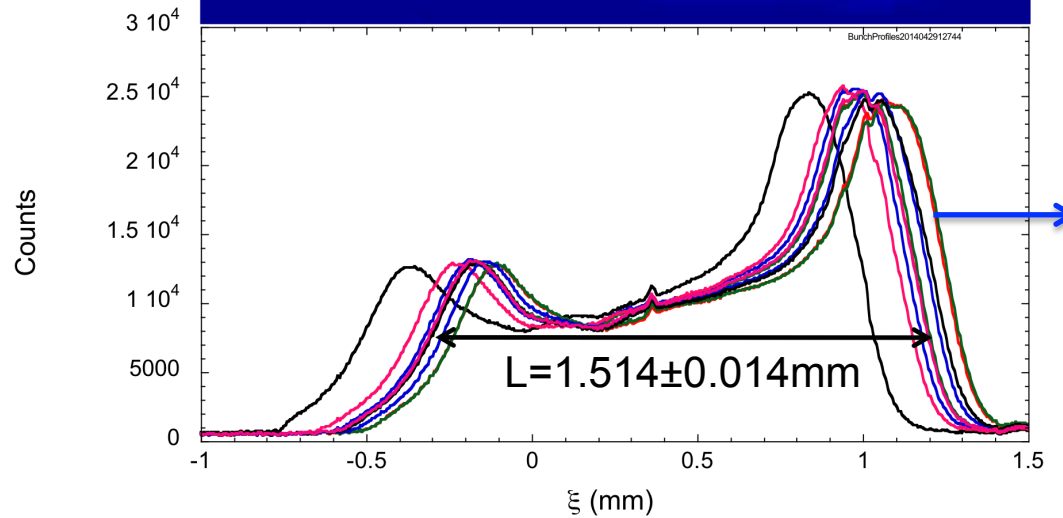
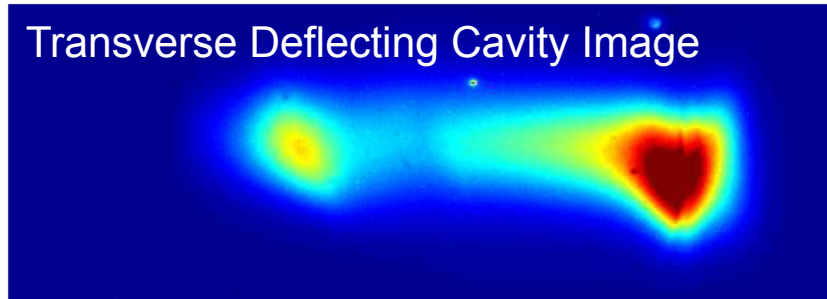




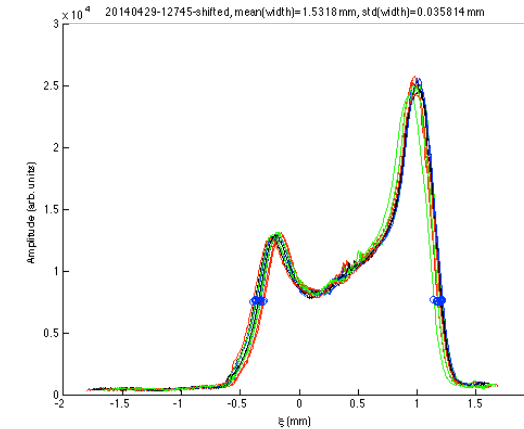
# BEAM & PLASMA PARAMETERS



Transverse Deflecting Cavity Image



Two sets of ten, aligned profiles ....



- ◇ Bunch longitudinal profile very consistent ...
- ◇  $L_{\text{blue points}} = 1.514 \pm 0.014 \text{ mm}$

e<sup>-</sup> beam:  
 E=20.35GeV  
 $N_e = 1.9 \times 10^{10}$   
 $\sigma_r \sim 40 \mu\text{m}$   
 $\xi \sim 1500 \mu\text{m}$  FWHM

$$\begin{aligned} L/\lambda_{pe} &\sim 14 \\ n_b/n_e &\sim 2\% \end{aligned}$$

Plasma (E200):  
 $n_e = 8 \times 10^{16} \text{ cm}^{-3} \Rightarrow \lambda_{pe} = 115 \mu\text{m}$   
 $L_p \sim 1.3 \text{ m}$

◇ Discovery: the beam profile is far from Gaussian  
 (i.e., not as in simulations, so far)





# OUTLINE



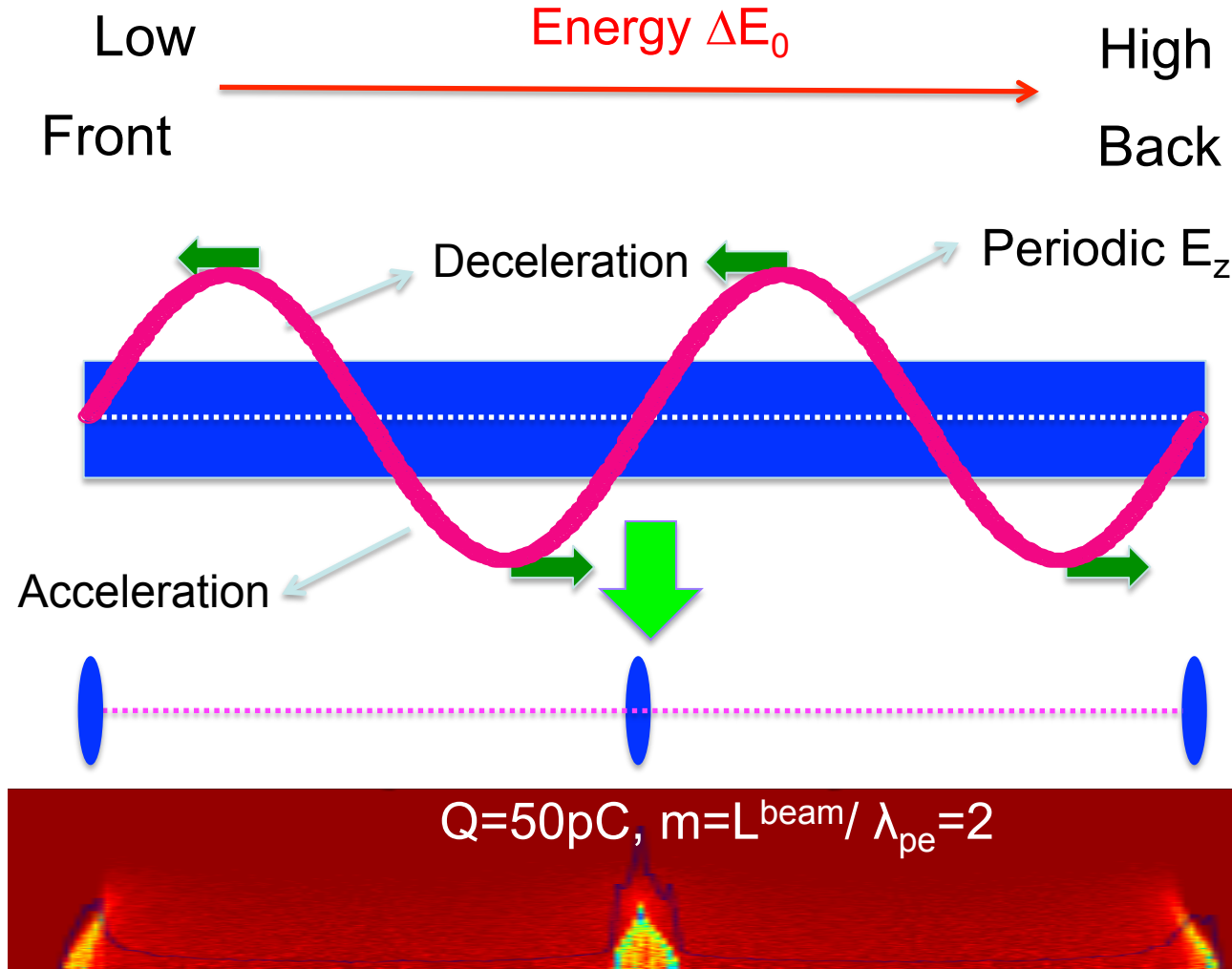
- ✧ Introduction to Plasma Wakefield Accelerator (PWFA)
- ✧ Introduction to the self-modulation instability (SMI)
- ✧ SMI PWFA experiments at CERN with  $p^+$ : AWAKE
- ✧ SMI experiments at SLAC E209 with  $e^-/e^+$
- ✧ Linear PWFA – SMI seeding at BNL-ATF
- ✧ Summary





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# ENERGY SELF-MODULATION



## Energy Gain/Loss:

$$\Delta E = \frac{1}{4} \cdot \frac{\Delta E_0}{m} \quad m = L^{beam} / \lambda_{pe} = 1, 2, \dots$$

$$E_z = \frac{1}{4} \cdot \frac{\Delta E_0}{m \cdot eL^{plasma}}$$

$E_z$  must decrease from ~6 to ~1.2 MV/m to preserve visibility!  
Choose  $Q=50\text{pC}$

$$E_z(r=0) = \frac{-mQ}{\epsilon_0 L_b^2 \sigma_r^2} \int_0^\infty e^{-r^2/2\sigma_r^2} K_0(2\pi m / L_b) r dr$$

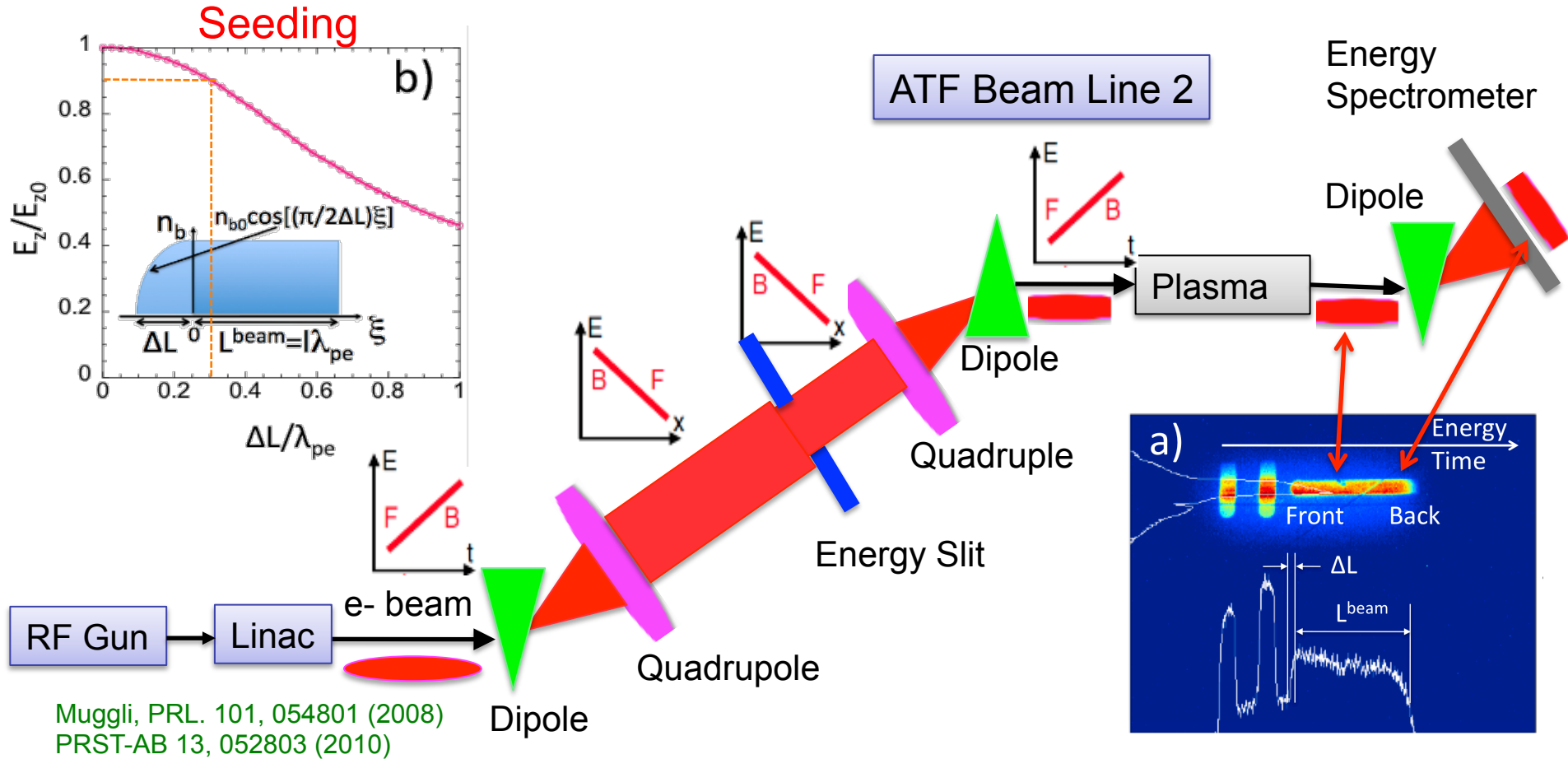
m	$E_{z, \text{opt}}$ (MV/m)	$E_{z, \text{lin, OSIRIS}}$ (MV/m)	$n_e \sim \text{cm}^{-3}$
1	5.2	3.7	$1.2 \times 10^{15}$
2	3.0	3.2	$4.8 \times 10^{15}$
3	2.0	2.6	$1.1 \times 10^{16}$
4	1.5	2.1	$1.9 \times 10^{16}$
5	1.2	1.8	$3.0 \times 10^{16}$

❖ Similar to FEL energy modulation / velocity bunching

❖ Indirect evidence ( $W_{//} = E_z$ ) of driving of wakefields that can seed the SMI:  $W_{\text{perp}} = (E_r - cB_\theta) \alpha W_{//}$



# EXPERIMENTAL SETUP



✧ Use masking method to produce “square” bunch for SMI seeding

✧ Need cut/step  $\ll \lambda_{pe}$ :  $< 0.3\lambda_{pe}$  in exp.  $\Rightarrow E_{z0} > 0.9E_{z0}$  sharp



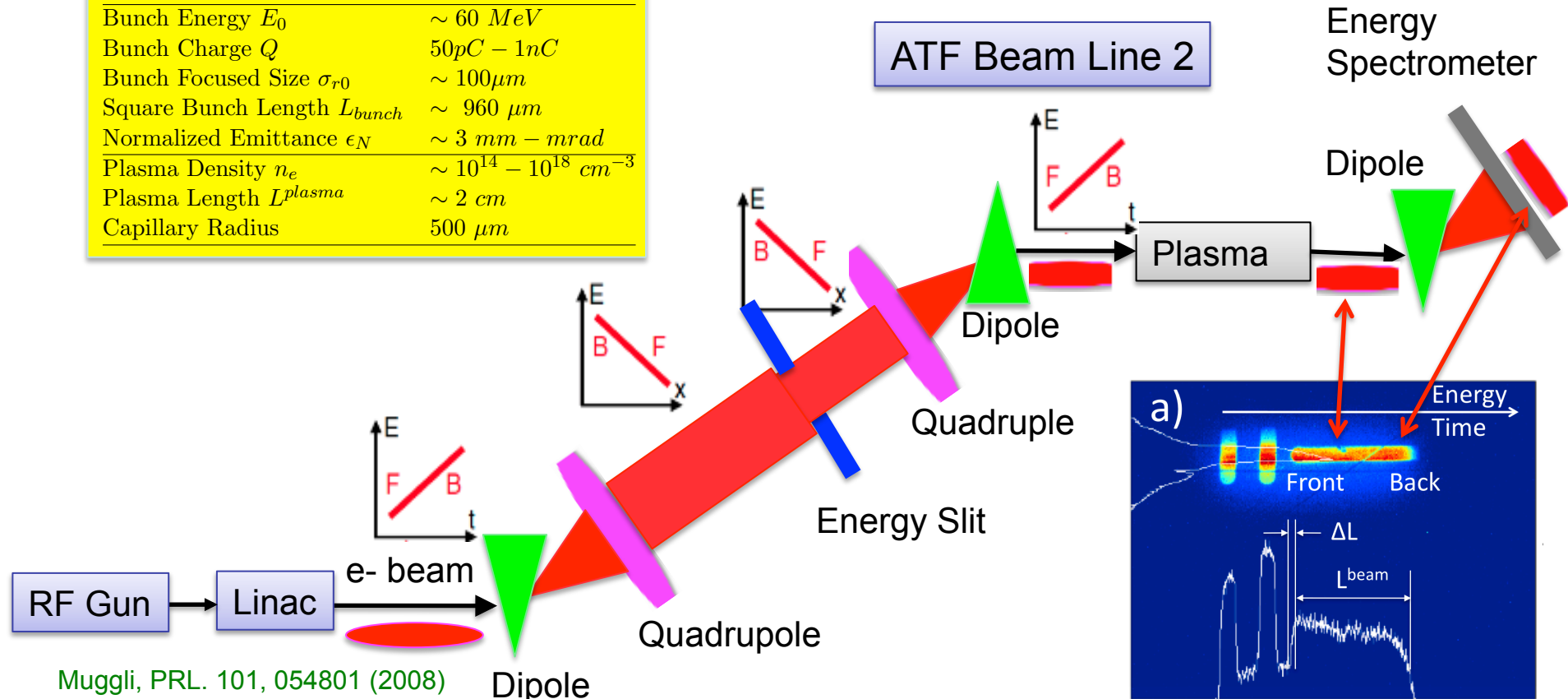


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# EXPERIMENTAL SETUP



Parameter	Value
Bunch Energy $E_0$	$\sim 60 \text{ MeV}$
Bunch Charge $Q$	$50 \text{ pC} - 1 \text{ nC}$
Bunch Focused Size $\sigma_{r0}$	$\sim 100 \mu\text{m}$
Square Bunch Length $L_{bunch}$	$\sim 960 \mu\text{m}$
Normalized Emittance $\epsilon_N$	$\sim 3 \text{ mm} - \text{mrad}$
Plasma Density $n_e$	$\sim 10^{14} - 10^{18} \text{ cm}^{-3}$
Plasma Length $L^{plasma}$	$\sim 2 \text{ cm}$
Capillary Radius	$500 \mu\text{m}$



Muggli, PRL. 101, 054801 (2008)  
PRST-AB 13, 052803 (2010)

- ✧ Use masking method to produce “square” bunch for SMI seeding
- ✧ Need cut/step  $\ll \lambda_{pe}$ :  $< 0.3 \lambda_{pe}$  in exp.  $\Rightarrow E_{z0} > 0.9 E_{z0 \text{ sharp}}$



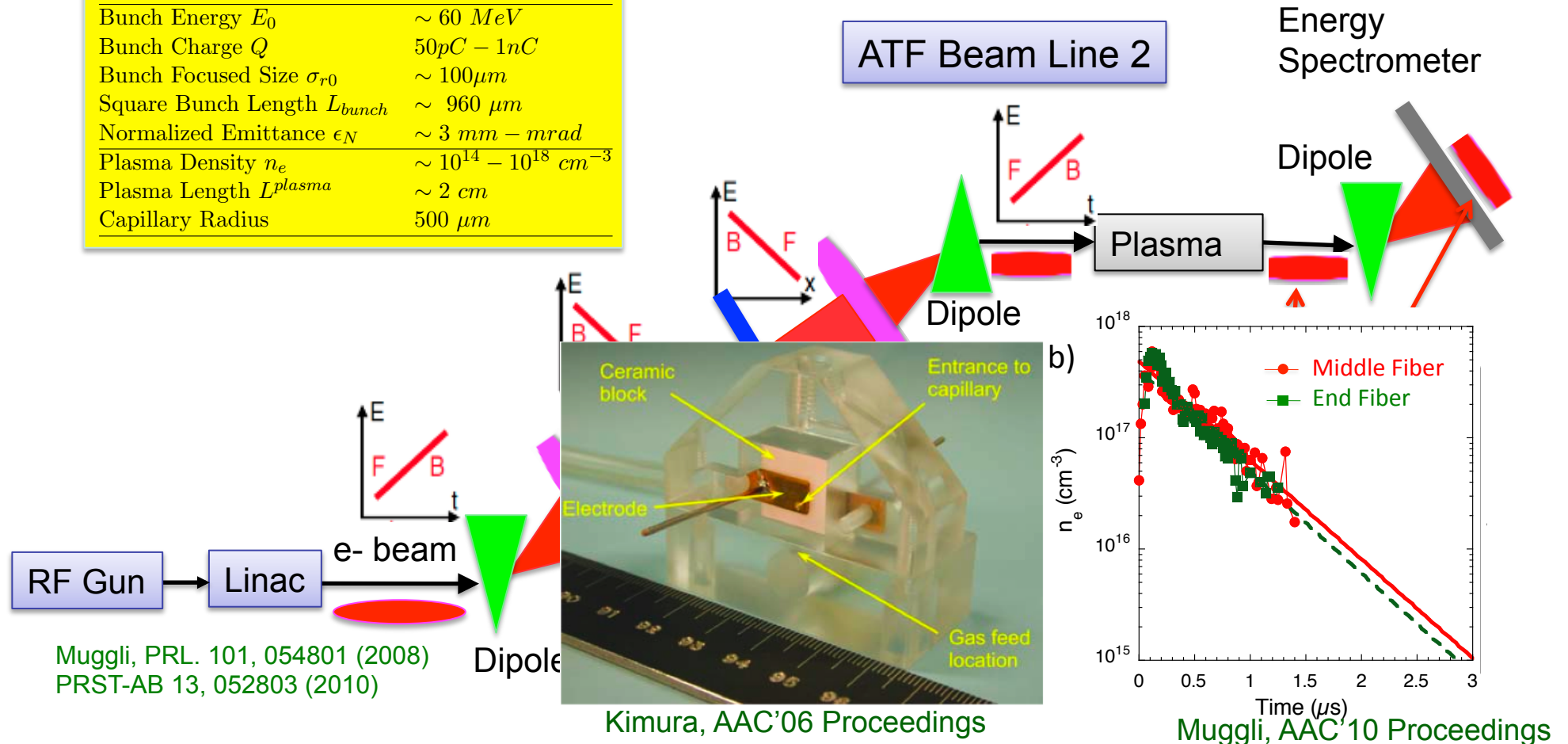
MAX-PLANCK-GESELLSCHAFT  
P. Muggli, LAL. 10/31/2014



# EXPERIMENTAL SETUP



Parameter	Value
Bunch Energy $E_0$	$\sim 60 \text{ MeV}$
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- ✧ Use masking method to produce “square” bunch for SMI seeding
- ✧ Need cut/step  $\ll \lambda_{pe}$ :  $< 0.3 \lambda_{pe}$  in exp.  $\Rightarrow E_{z0} > 0.9 E_{z0 \text{ sharp}}$
- ✧  $n_e \leftrightarrow$  discharge – beam delay

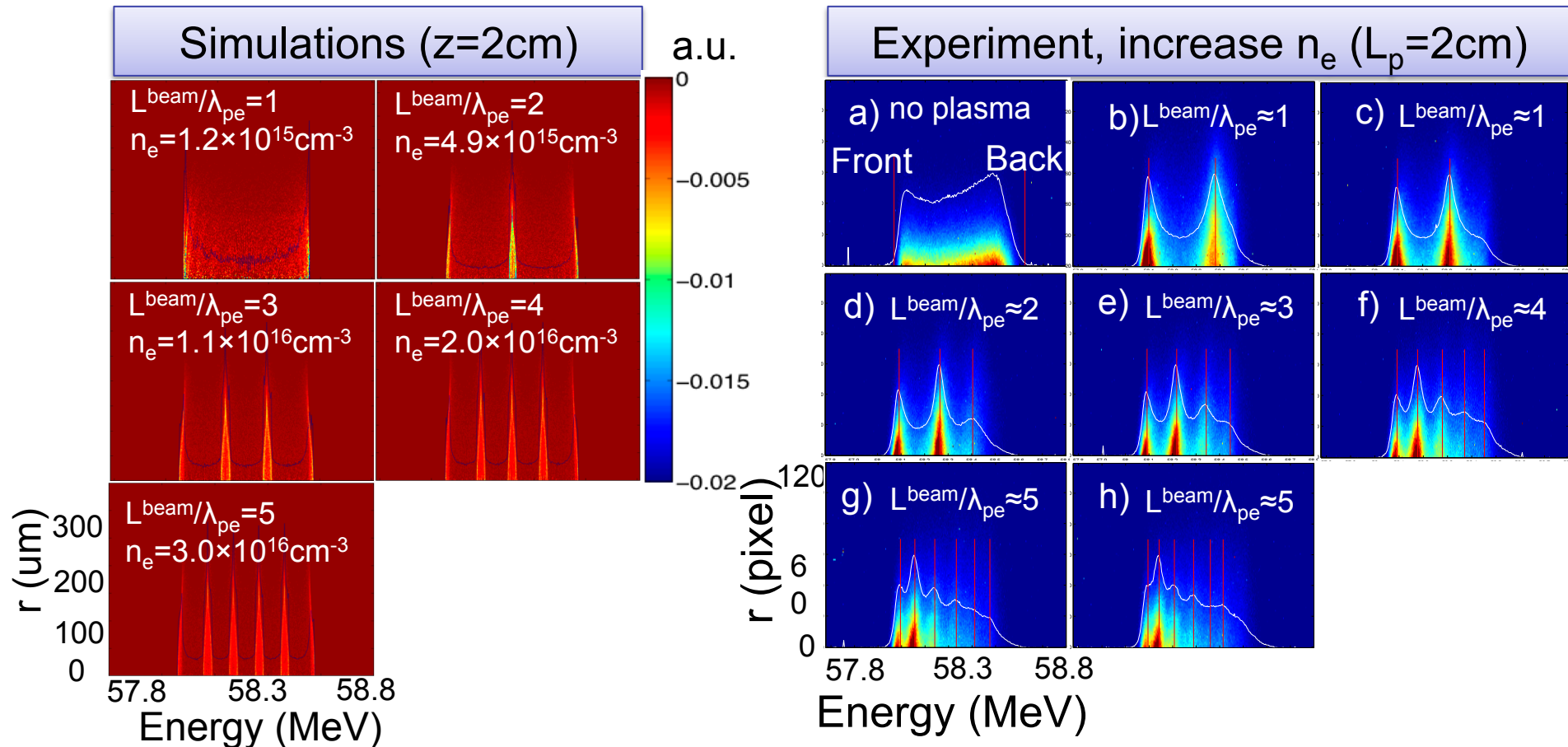




# OBSERVATION OF (ENERGY) SELF-MODULATION



✧ ATF “square” e<sup>-</sup> bunch, Q=50pC, ΔE<sub>0</sub>=0.5MeV in 960μm, in 2m plasma, variable n<sub>e</sub>



Fang et al., PRL 112, 045001 (2014).

- ✧ Simulations/experiments very similar
- ✧ Visibility in experiment confirms E<sub>z</sub> variation with n<sub>e</sub>
- ✧ First observation of SMI seed: E<sub>z</sub> instead of E<sub>r</sub>-cB<sub>θ</sub>





# OUTLINE



- ✧ Introduction to Plasma Wakefield Accelerator (PWFA)
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- ✧ Summary







# 5/4 PLASMA ACCELERATORS\*



- **Plasma Wakefield Accelerator (PWFA)**

A high energy particle bunch ( $e^-$ ,  $e^+$ , ...)

P. Chen et al., Phys. Rev. Lett. 54, 693 (1985)

- **Laser Wakefield Accelerator (LWFA)**

A short laser pulse (photons)

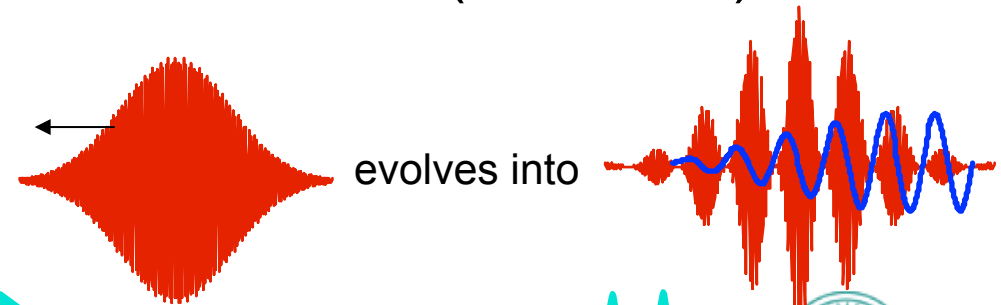
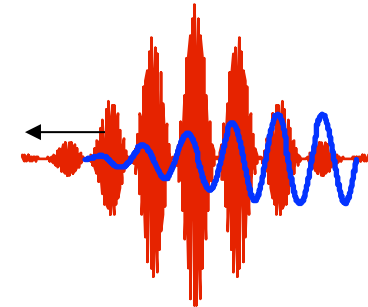
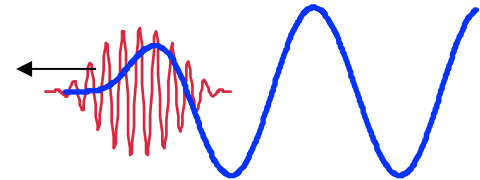
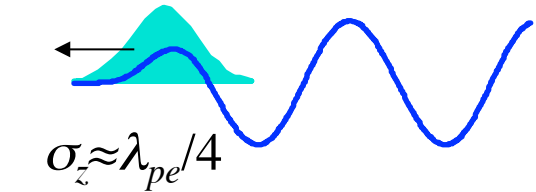
- **Plasma Beat Wave Accelerator (PBWA)**

Two frequencies laser pulse, i.e., a train of pulses

- **Self-Modulated Laser Wakefield Accelerator (SMLWFA)**

Raman forward scattering instability  
in a long laser pulse

- **Self-Modulated PWFA (SMPPWFA)**



\*Pioneered by J.M. Dawson, Phys. Rev. Lett. 43, 267 (1979)



# SUMMARY



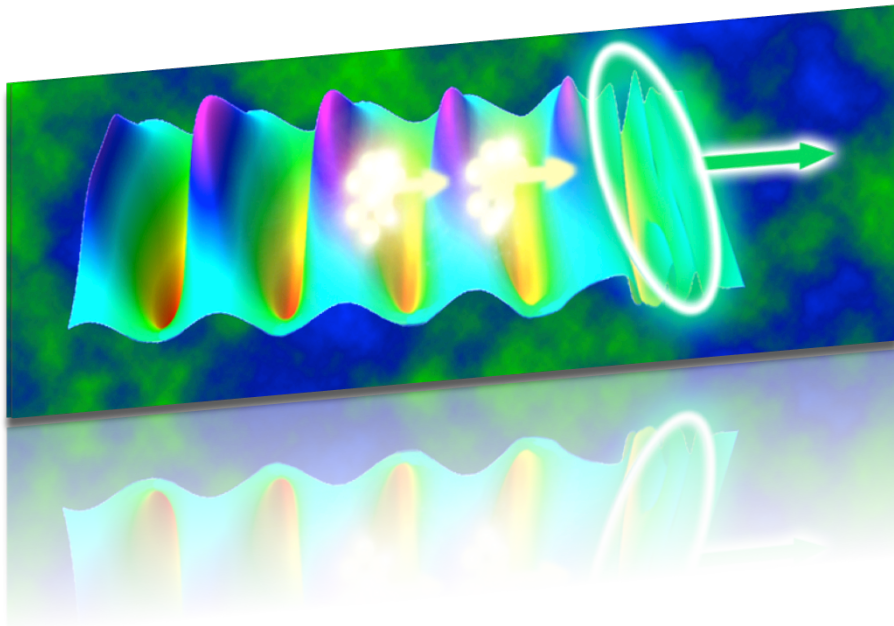
- ✧  $p^+$  bunches: only drivers with enough energy for PWFA to the energy frontier
- ✧ Observe self-modulation instability (SMI) of long particle bunches in plasma
- ✧ SMI PWFA experiments at CERN with  $p^+$  (approved 2013, experiments 2016)
  - PWFA driven by  $p^+$  bunch
  - SMI of  $p^+$  bunch
  - Seeding (laser ionization)
  - $\sim$ GV/m over 10m
  - External injection of electrons
  - ...
  - Beginning of a long term program at CERN for  $p^+$ -driven PWFA
- ✧ SLAC E209 SMI physics experiment with  $e^-/e^+$ 
  - Transverse modulation, large wakefields ( $\sim$ 10GV/m), seeding (cut bunch), SMI/hosing competition,  $e^-/e^+$  differences
  - **Signs of radial modulation, energy loss, halo formation in two-day experiment!!!**
- ✧ **Signs of SMI seeding in ATF experiments**
- ✧ Other SMI experiments (DESY, CLARA-UK, INFN-Frascati, ...)
- ✧ Simulations play a key role ...
- ✧ AWAKE = open collaboration



# Advanced WAKEfield Experiment

A WAKE

## Proton-driven Plasma Wakefield Accelerator at CERN



- Proof-of-principle experiment: accelerate in a short distance charged particles
- Candidate for future high energy accelerators
- Toward single-stage TeV lepton accelerator

# Thank you!



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Max-Planck-Institut für Plasmaphysik  
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Strathclyde  
Glasgow



Science & Technology  
Facilities Council



The Cockcroft Institute  
of Accelerator Science and Technology

MAX-PLANCK-GESELLSCHAFT

P. Muggli, LAL. 10/31/2014

# Thank you to my collaborators!



# Thank you!

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