



University of
Zurich^{UZH}



LHC Physics: Higgs and beyond

(a personal selection of topics in the vast domain of LHC physics)

Gino Isidori

[*University of Zürich*]

- ▶ Lecture I: *What we learned from run-I*
[The SM as an effective theory & the “ghost” of the anthropic principle]
- ▶ Lecture II: *What we can hope to learn from run-II (at high- pT)*
[Future prospects in Higgs physics and direct NP searches]
- ▶ Lecture III: *Indirect searches for NP*
[Flavor physics beyond the SM]

Lecture III: *Indirect searches for NP*
[Flavor physics beyond the SM]

- ▶ Introduction
- ▶ The flavor sector of the SM
 - ▶ *Present status of CKM fits*
 - ▶ *The flavor structure of the SM viewed as EFT*
- ▶ The flavor problem
 - ▶ *The MFV hypothesis*
 - ▶ *Variations on the “MFV theme”*
- ▶ Speculations on the breaking of LFU in B decays
 - ▶ *Recent anomalies in B physics*
 - ▶ *Speculations on the breaking of LFU*
- ▶ Conclusions

► Introduction

The SM is likely to be an *effective theory*, i.e. the limit (in the experimentally accessible range of *energies* and *effective couplings*) of a more fundamental theory, with new degrees of freedom



We need to search for New Physics

[with a broad spectrum perspective given the lack of NP signal so far..]



Twofold role of Flavor Physics

[= study of flavor-changing and CPV phenomena, of both quarks and leptons]



- Identify symmetries and symmetry-breaking patterns beyond those present in the SM

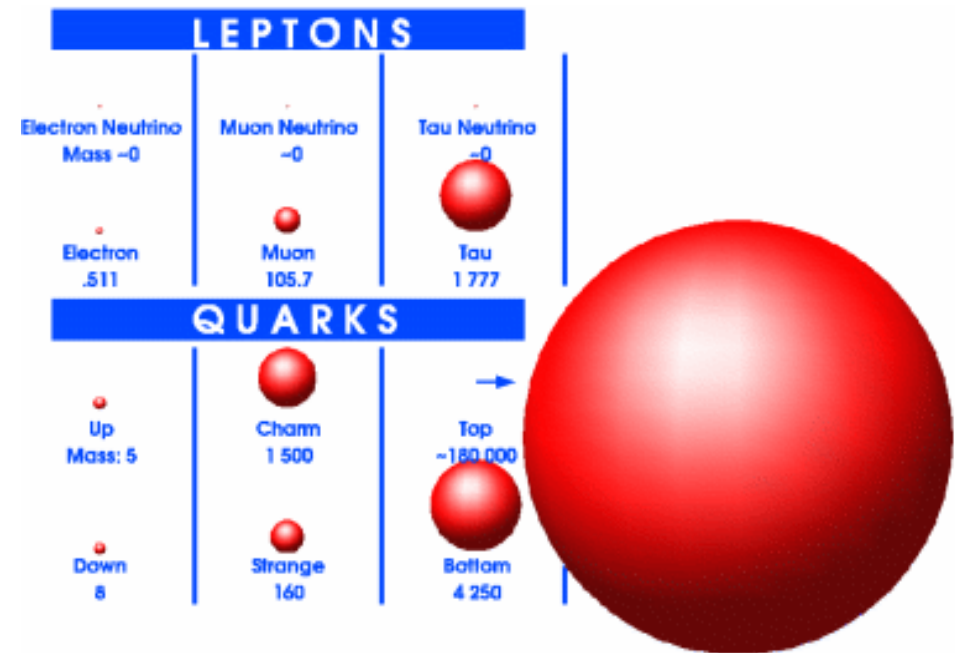
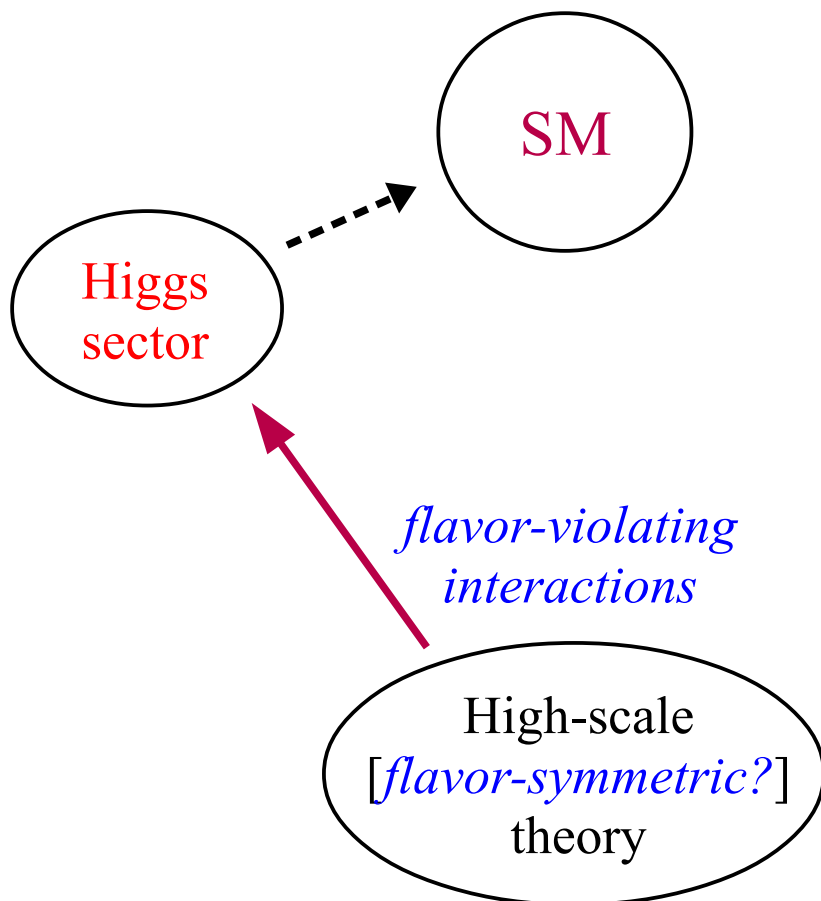


- Indirect probe of physics at energy scales not directly accessible at accelerators

► Introduction

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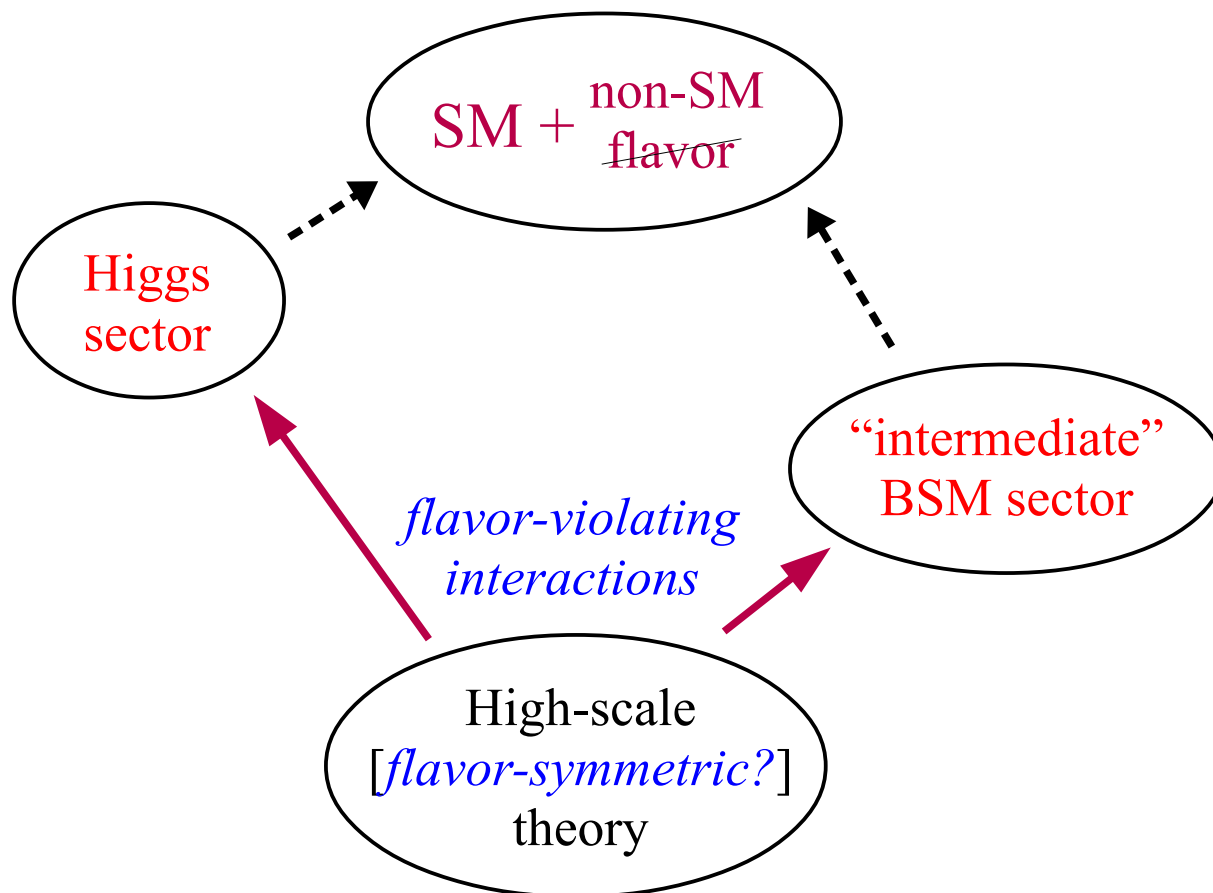


The observed pattern of fermion masses angles does not seem to be accidental !

► Introduction

Twofold role of Flavor Physics

- Identify symmetries and symmetry-breaking patterns beyond those present in the SM
- Probe physics at energy scales not directly accessible at accelerators



► Introduction

With flavor physics we address the second set of key questions of particle physics:

- *What determines the Higgs mass term (or the Fermi scale)?*
- *Is there anything else beyond the SM Higgs at the TeV scale?*

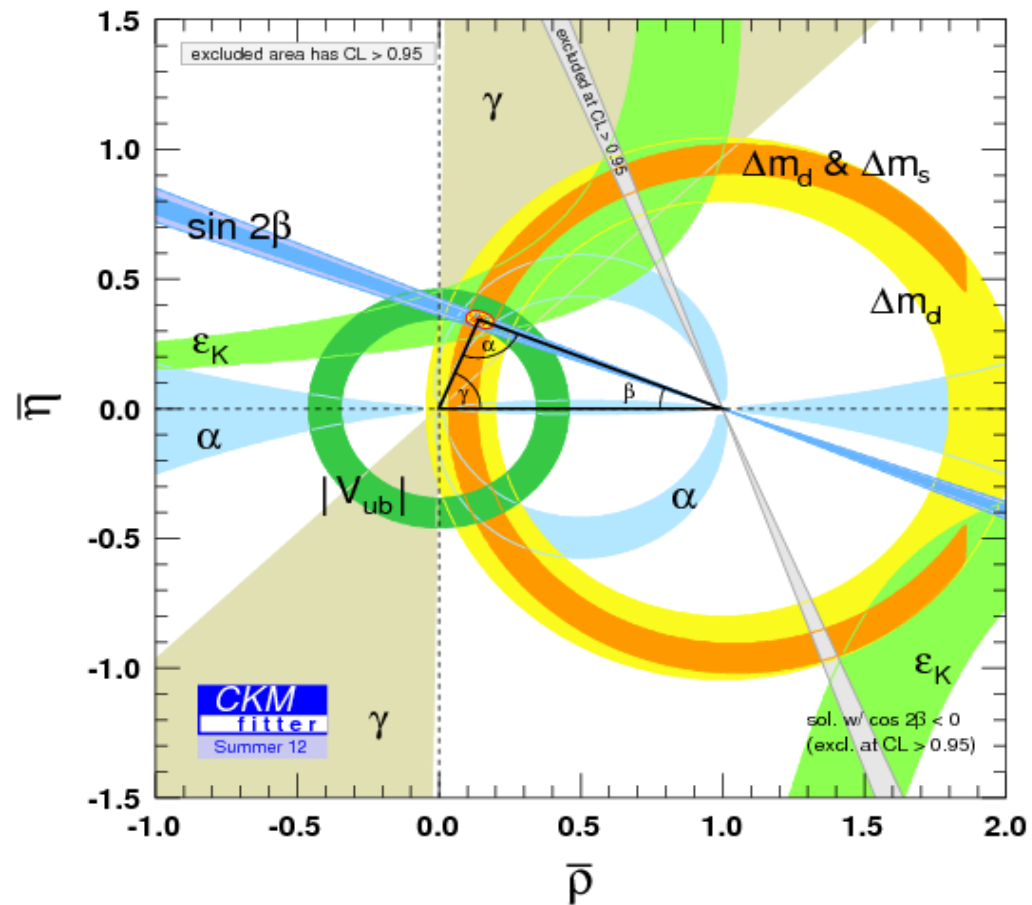


High-energy searches
[*the high-energy frontier*]

- *What determines the observed pattern of masses and mixing angles of quarks and leptons?*
- *Which are the sources of flavor symmetry breaking accessible at low energies?*
[Is there anything else beside SM Yukawa couplings & neutrino mass matrix?]

High-precision measurements
[*the high-intensity frontier*]

The flavor sector of the SM



► The flavor structure of the SM

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}}(A_a, \psi_i) + \mathcal{L}_{\text{Higgs}}(\phi, A_a, \psi_i)$$

3 identical replica of the basic fermion family

► $[\psi = Q_L, u_R, d_R, L_L, e_R] \Rightarrow$ huge flavor-degeneracy

$$\sum_{\psi = Q_L, u_R, d_R, L_L, e_R} \sum_{i=1..3} \bar{\psi}_i i \not{D} \psi_i$$

The gauge Lagrangian is invariant under 5 independent U(3) global rotations for each of the 5 independent fermion fields

$$Q_L = \begin{bmatrix} u_L \\ d_L \end{bmatrix}, \quad u_R, \quad d_R, \quad L_L = \begin{bmatrix} \nu_L \\ e_L \end{bmatrix}, \quad e_R$$

E.g.: $Q_L^i \rightarrow U^{ij} Q_L^j$

U(1) flavor-independent phase

+

SU(3) flavor-dependent
mixing matrix

► The flavor structure of the SM

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$$U(1)_L \times U(1)_B \times U(1)_Y \times SU(3)_Q \times SU(3)_U \times SU(3)_D \times \dots$$

Lepton number Hypercharge

Barion number

Flavor mixing

► The flavor structure of the SM

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► Within the SM the flavor-degeneracy is broken only by the **Yukawa** interaction:

in the quark
sector:

$$\left[\begin{array}{l} \bar{Q}_L^i Y_D^{ik} d_R^k \phi + h.c. \rightarrow \bar{d}_L^i M_D^{ik} d_R^k + \dots \\ \bar{Q}_L^i Y_U^{ik} u_R^k \phi_c + h.c. \rightarrow \bar{u}_L^i M_U^{ik} u_R^k + \dots \end{array} \right.$$

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The Y are not hermitian \rightarrow diagonalized by bi-unitary transformations:

$$V_D^+ Y_D U_D = \text{diag}(y_b, y_s, y_d)$$

$$V_U^+ Y_U U_U = \text{diag}(y_t, y_c, y_u)$$

$$y_i = \frac{2^{1/2} m_{q_i}}{\langle \phi \rangle} \approx \frac{m_{q_i}}{174 \text{ GeV}}$$

► The flavor structure of the SM

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The residual flavor symmetry let us to choose a (gauge-invariant) flavor basis where only one of the two Yukawas is diagonal:

$$Y_D = \text{diag}(y_d, y_s, y_b)$$

$$Y_U = \mathbf{V}^+ \times \text{diag}(y_u, y_c, y_t)$$

or

$$Y_D = \mathbf{V} \times \text{diag}(y_d, y_s, y_b)$$

$$Y_U = \text{diag}(y_u, y_c, y_t)$$

$\mathbf{V} =$ unitary matrix


► The flavor structure of the SM

$$\bar{Q}_L^i Y_D^{ik} d_R^k \phi \rightarrow \bar{d}_L^i M_D^{ik} d_R^k + \dots \quad M_D = \text{diag}(m_d, m_s, m_b)$$

$$\bar{Q}_L^i Y_U^{ik} u_R^k \phi_c \rightarrow \bar{u}_L^i M_U^{ik} u_R^k + \dots \quad M_U = V^+ \times \text{diag}(m_u, m_c, m_t)$$

To diagonalize also the second mass matrix we need to rotate separately u_L & d_L (non gauge-invariant basis) $\Rightarrow V$ appears in charged-current gauge interactions:

$$J_W^\mu = \bar{u}_L \gamma^\mu d_L \rightarrow \bar{u}_L V \gamma^\mu d_L$$


Cabibbo-Kobayashi-Maskawa
(CKM) mixing matrix

...however, it must be clear that this non-trivial mixing originates only from the Higgs sector: $V_{ij} \rightarrow \delta_{ij}$ if we *switch-off* Yukawa interactions !

► The flavor structure of the SM

$$\bar{Q}_L^i Y_D^{ik} d_R^k \phi \rightarrow \bar{d}_L^i M_D^{ik} d_R^k + \dots \quad M_D = \text{diag}(m_d, m_s, m_b)$$

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Cabibbo-Kobayashi-Maskawa
(CKM) mixing matrix

The SM quark flavor sector is described by 10 observable parameters:

- 6 quark masses

- 3+1 CKM parameters

- 3 real parameters (rotational angles)
- +
- 1 complex phase (source of CP violation)

$$V_{CKM} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix}$$

► The flavor structure of the SM

$$\bar{Q}_L^i Y_D^{ik} d_R^k \phi \rightarrow \bar{d}_L^i M_D^{ik} d_R^k + \dots \quad M_D = \text{diag}(m_d, m_s, m_b)$$

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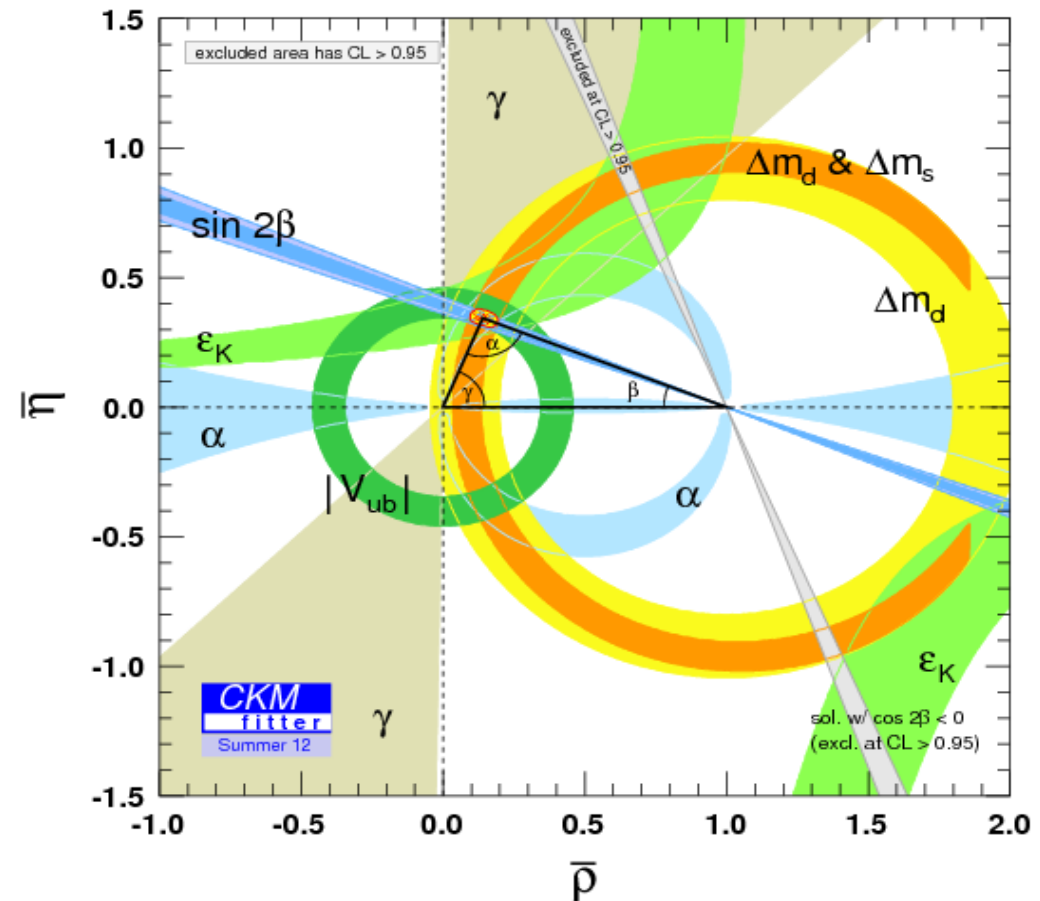
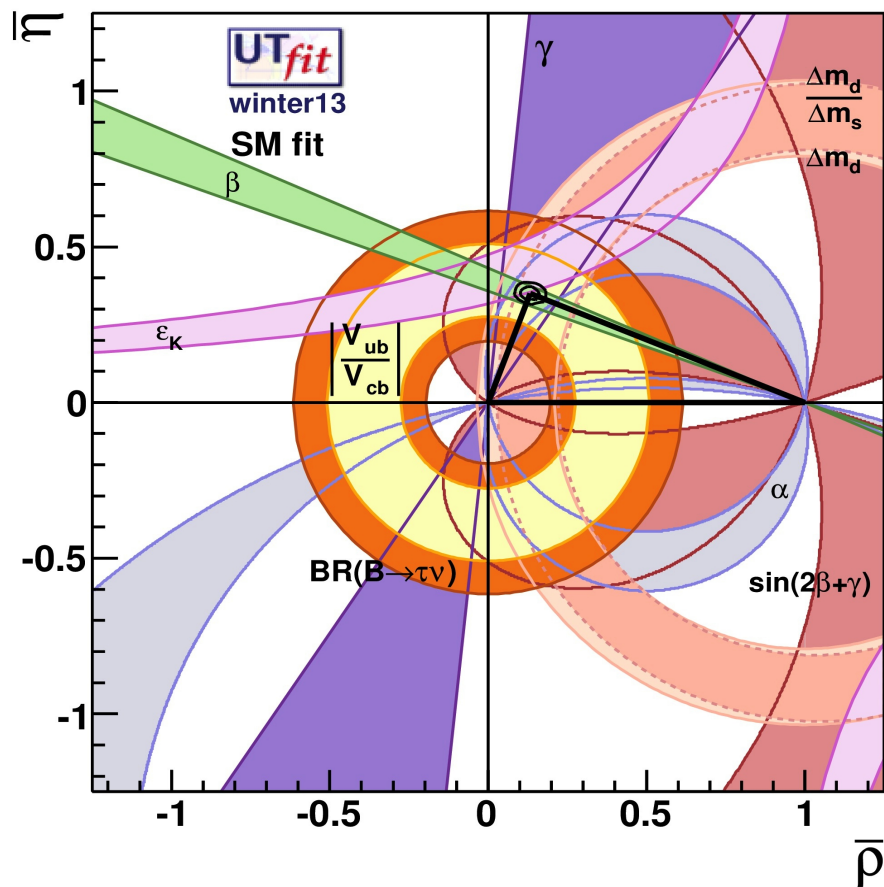
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$$V_{CKM} \approx \begin{bmatrix} 1-\lambda^2/2 & \lambda & A\lambda^3(\rho-i\eta) \\ -\lambda & 1-\lambda^2/2 & A\lambda^2 \\ A\lambda^3(1-\rho-i\eta) & -A\lambda^2 & 1 \end{bmatrix}$$

► Present status of CKM fits

At present all the measurements of quark flavor-violating observables show a remarkable success of the CKM picture: we have a *redundant and consistent determination of various CKM elements*.



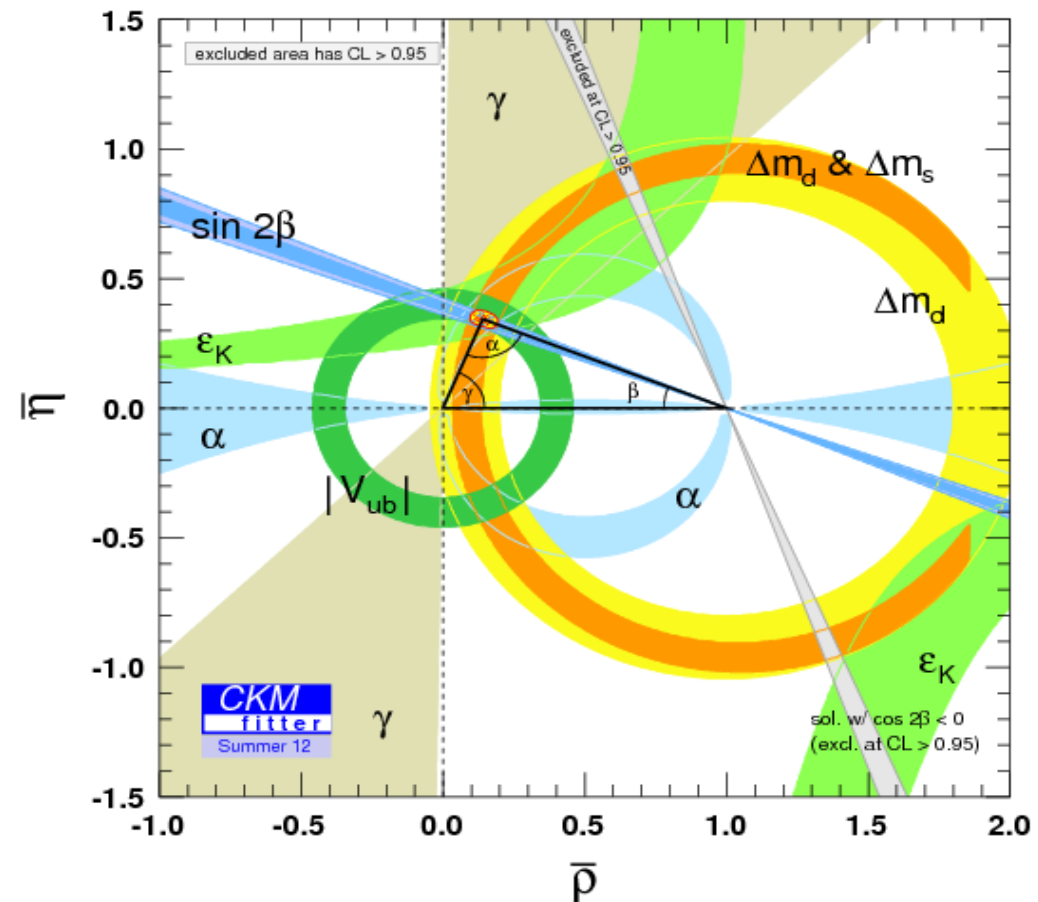
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At present all the measurements of quark flavor-violating observables show a remarkable success of the CKM picture: we have a *redundant and consistent determination of various CKM elements*.

The agreement between data and SM expectations is even more striking if we consider other observables, not appearing in CKM fits, such as $\text{BR}(B \rightarrow X_s \gamma)$ or the B_s mixing phase



Significant constraints on the flavor structure of possible new degrees of freedom



► The flavor structure of the SM viewed as EFT

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{gauge}}(A_a, \Psi_i) + \mathcal{L}_{\text{Higgs}}(\phi, A_a, \Psi_i) + \sum \frac{c_n}{\Lambda^{d-4}} \mathcal{O}_n^{(d)}(\phi, A_a, \Psi_i)$$

3 identical replica of the basic fermion family
 ⇒ huge flavor-degeneracy [$U(3)^5$ symmetry]

Flavor-degeneracy broken only by the
Yukawa interaction

The redundancy of CKM fits allow us to investigate the
flavor structure of the **new degrees of freedom**
 which hopefully will show up above the electroweak scale

$\Lambda =$ *effective scale
 of new physics*

several new sources of
flavor symmetry breaking
 are, in principle, allowed

Probing the flavor structure of physics beyond the SM requires the following three main steps:

Determine the CKM elements from theoretically clean and non-suppressed tree-level processes, where the SM is likely to be largely dominant.



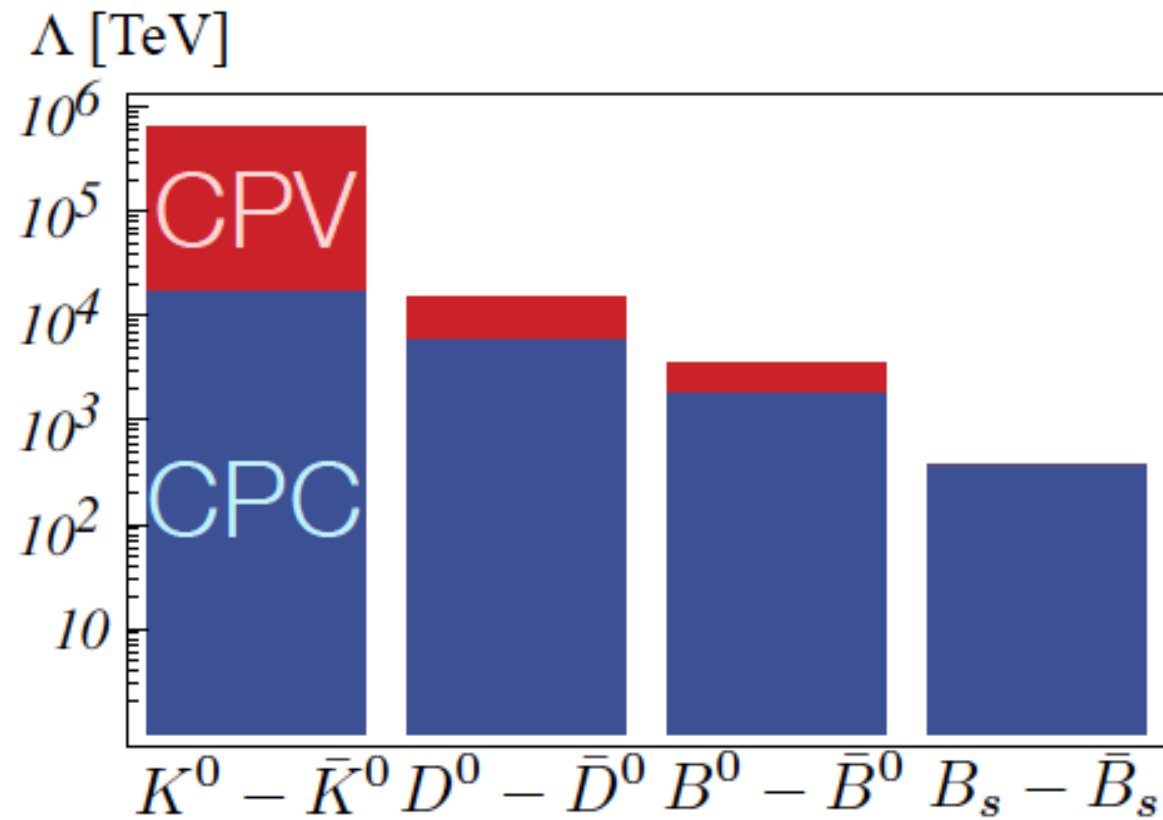
Identify processes where the SM is calculable with good accuracy using the tree-level inputs, or sufficiently suppressed for null tests.



Measure with good accuracy these rare processes and determine the allowed room for new physics.

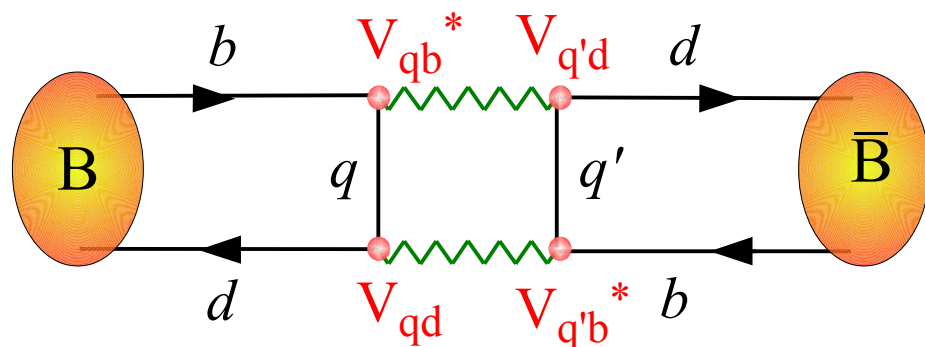
- Exclusive and inclusive semi-leptonic $b \rightarrow u$ decays ($|V_{ub}|$)
- Selected non-leptonic B decays sensitive to γ
- $\Delta F=2$ Neutral meson mixing [$K, B_d, B_s + D$]
- CP-violating observables
- Rare decays:
 - FCNC modes ($B \rightarrow ll, B \rightarrow K^* ll, \dots$)
 - Helicity-suppressed observables
 - Forbidden processes

The flavor problem



► The $\Delta F=2$ bounds

The “chain” mentioned before has already been closed, with quite good accuracy, in the case of down-type $\Delta F=2$ observables (K^0 and $B_{d,s}$ mixing):

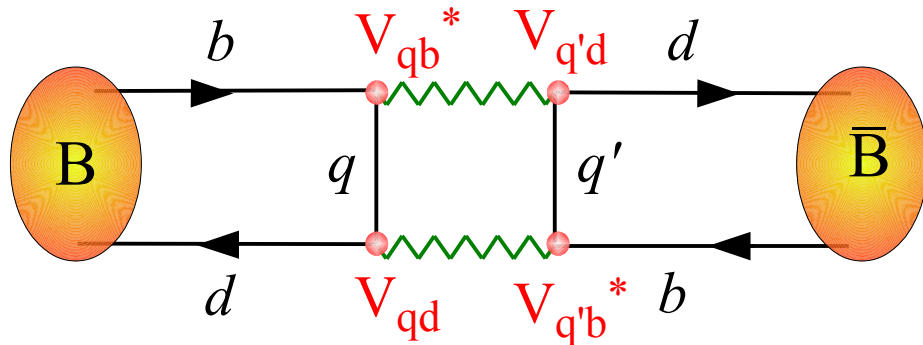


Highly suppressed amplitude
potentially very sensitive
to New Physics

- No SM tree-level contribution
- Strong suppression within the SM because of CKM hierarchy
- Calculable with good accuracy since dominated by short-distance dynamics [**power-like GIM mechanism** \rightarrow top-quark dominance]
- Measurable with good accuracy from the time evolution of the neutral meson system

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power-like GIM mechanism:

$$A_{\Delta F=2} = \sum_{q,q'=u,c,t} (V_{qb}^* V_{qd}) (V_{q'b}^* V_{q'd}) A_{q'q}$$

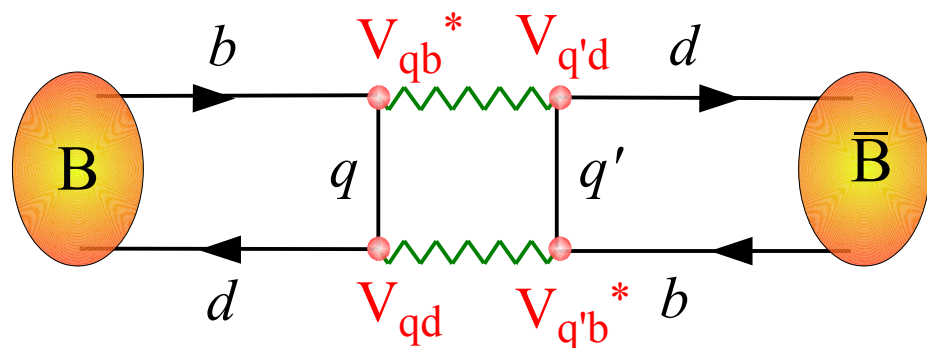
[CKM unitarity]

$$V_{ub}^* V_{ud} = -V_{tb}^* V_{td} - V_{cb}^* V_{cd}$$

$$A_{\Delta F=2} = \sum_{q=u,c,t} (V_{qb}^* V_{qd}) [V_{tb}^* V_{td} (A_{tq} - A_{uq}) + V_{cb}^* V_{cd} (A_{cq} - A_{uq})]$$

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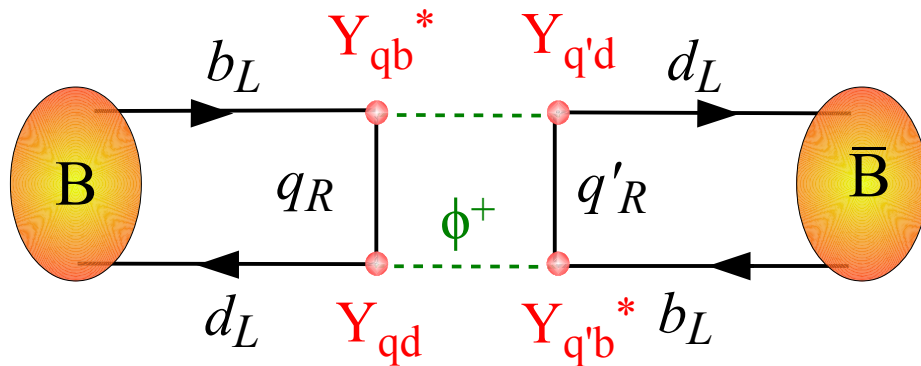
$$A_{qq'} \sim \frac{g^4}{16\pi^2 m_W^2} \left[\text{Const.} + \frac{m_q m_{q'}}{m_W^2} + \dots \right] \langle \bar{B} | (\bar{b}_L \gamma_\mu d_L)^2 | B \rangle$$

[expansion of the loop amplitude for small (internal) quark masses]

$$A_{\Delta F=2} \sim (V_{tb}^* V_{td})^2 \frac{g^4 m_t^2}{16\pi^2 m_W^4} + \dots$$

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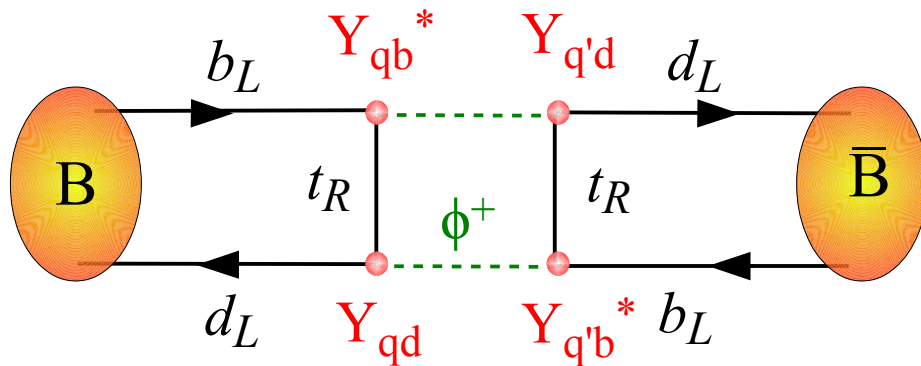
The origin of this behavior can be better understood if we *switch-off* gauge interactions (“gauge-less limit”)

$$\mathcal{L}_{\text{Yukawa}} \rightarrow \bar{d}_L^i Y_U^{ik} u_R^k \phi^- + h.c.$$

$$Y_U = V^+ \times \text{diag}(y_u, y_c, y_t) \\ \approx V^+ \times \text{diag}(0, 0, y_t)$$

► The $\Delta F=2$ bounds

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$$Y_U = V^+ \times \text{diag}(y_u, y_c, y_t) \\ \approx V^+ \times \text{diag}(0, 0, y_t)$$

$$A_{\text{DF}=2}^{\text{gaugeless}} \sim (V_{tb}^* V_{td})^2 \frac{(y_t)^4}{16\pi^2 m_t^2} \sim (V_{tb}^* V_{td})^2 \frac{g^4 m_t^2}{16\pi^2 m_W^4} \quad \begin{array}{l} m_t = y_t v / \sqrt{2} \\ m_W = g v / 2 \end{array}$$

This way we obtain the exact result of the amplitude in the limit $m_t \gg m_W$:

$$A_{\text{DF}=2}^{\text{full}} = A_{\text{DF}=2}^{\text{gauge-less}} \times [1 + \mathcal{O}(g^2)]$$

► The flavor problem

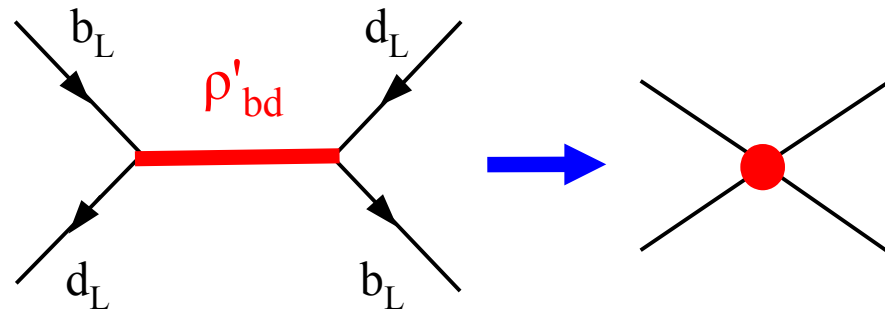
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$$M(B_d - \bar{B}_d) \sim \frac{(y_t^2 V_{tb}^* V_{td})^2}{16\pi^2 m_t^2} + \underbrace{c_{\text{NP}} \frac{1}{\Lambda^2}}_{\text{dashed circle}}$$

The list of dimension 6 ops. includes $(b_L \gamma_\mu d_L)^2$ that contributes to B_d mixing at the tree-level

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum \frac{c_n}{\Lambda^{d-4}} \mathcal{O}_n^{(d)}$$

Possible dynamical origin of this $d=6$ operator:



► The flavor problem

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$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum \frac{c_n}{\Lambda^{d-4}} \mathcal{O}_n^{(d)}$$

N.B.: In Kaon physics the CKM suppression is even stronger:

$$B_s\text{-mix.}: V_{tb}^* V_{ts} \sim \lambda^2 \quad B_d\text{-mix.}: V_{tb}^* V_{td} \sim \lambda^3 \quad K\text{-mix.}: V_{ts}^* V_{td} \sim \lambda^5$$

► The flavor problem

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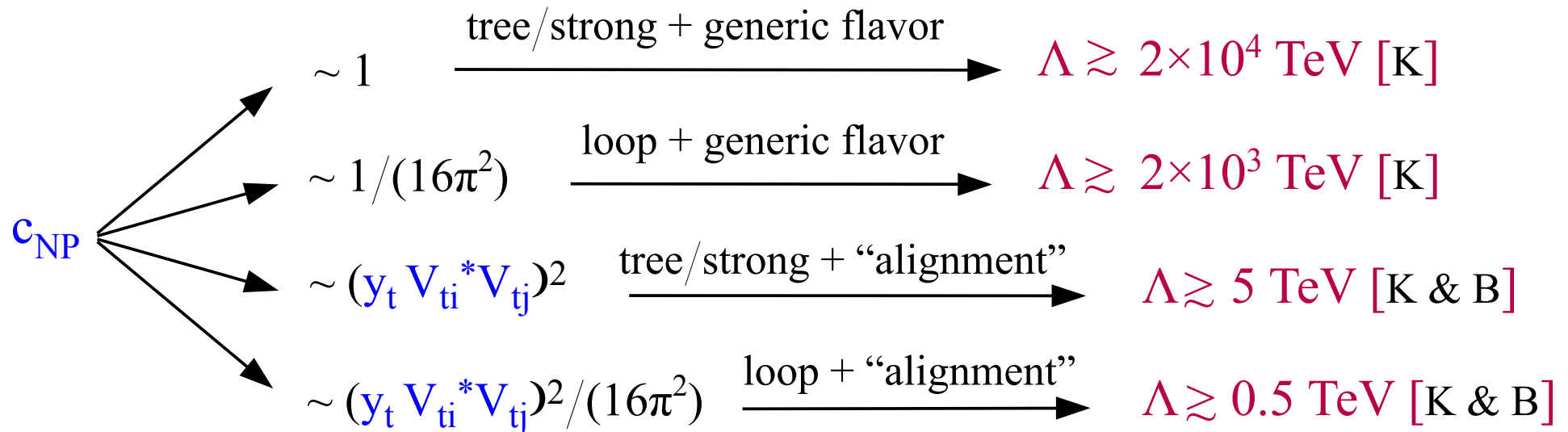
$$c_{\text{NP}} \begin{cases} \sim 1 & \xrightarrow{\text{tree/strong} + \text{generic flavor}} \Lambda \gtrsim 2 \times 10^4 \text{ TeV [K]} \\ \sim 1/(16\pi^2) & \xrightarrow{\text{loop} + \text{generic flavor}} \Lambda \gtrsim 2 \times 10^3 \text{ TeV [K]} \end{cases}$$

Serious conflict with the expectation of new physics around the TeV scale, to stabilize the electroweak sector of the SM [The flavour problem]

► The flavor problem

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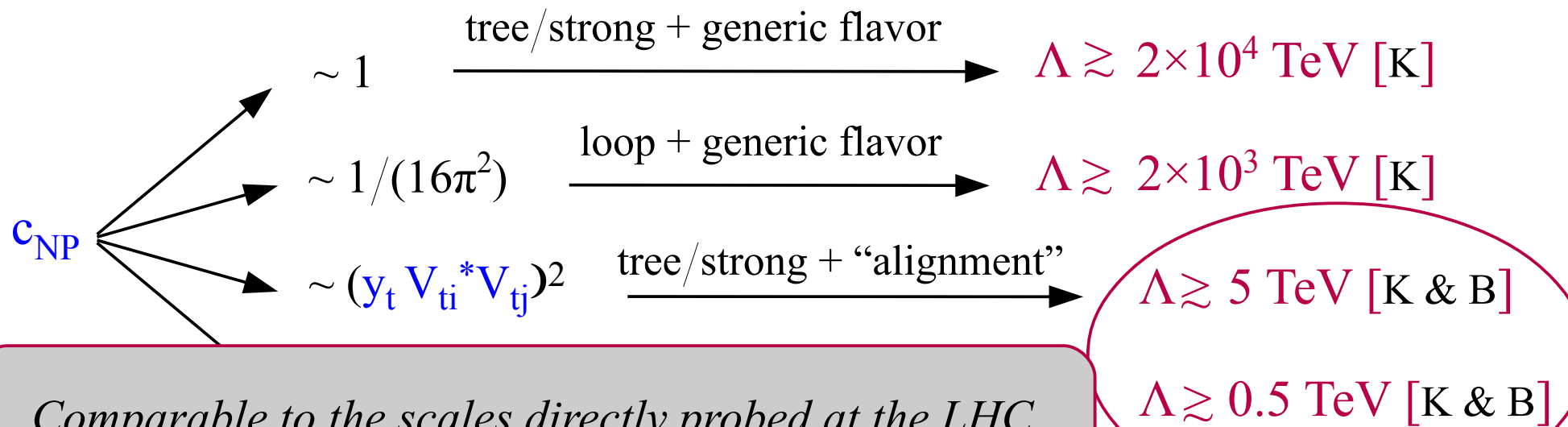
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Comparable to the scales directly probed at the LHC and/or indirectly probed in precision Higgs physics

► The flavor problem

New flavor-breaking sources at the TeV scale (if any) are highly tuned

- Can we build NP models where the alignment with the CKM is “natural”?
- Is there a unique form of alignment that allows $\Lambda \sim 1$ TeV?
- Does this shed light on the origin of fermion masses and CKM hierarchies?
- Can we see deviations from the SM with more precise measurements?
Where?

Some partial answers in the rest of this lecture,
hopefully more complete answers from future flavor-physics data...

► Minimal Flavor Violation

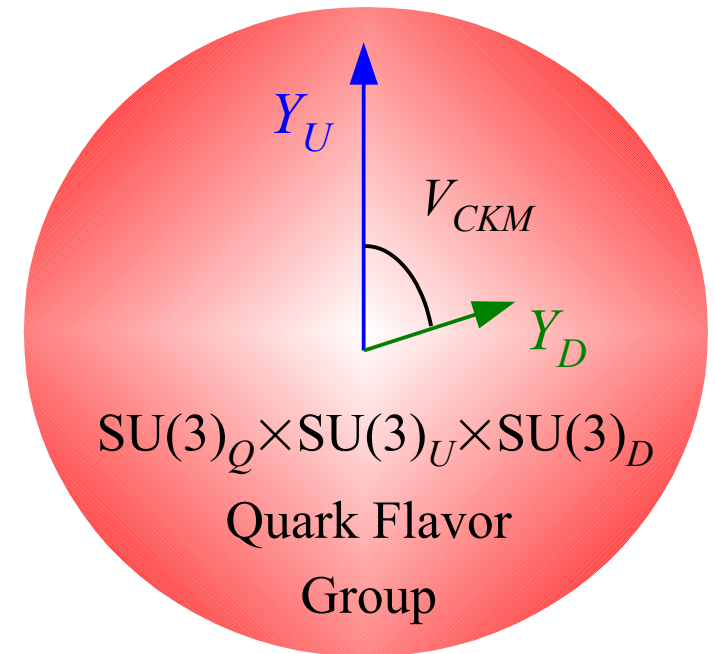
- Flavor symmetry:

$$U(3)^5 = SU(3)_Q \times SU(3)_U \times SU(3)_D \times \dots$$

[global symmetry of the SM gauge sector]

- Symmetry-breaking terms: Y_U & Y_D

[quark Yukawa couplings]



$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}}$$

$$\longrightarrow \bar{Q}_L^i Y_U^{ij} U_R^j \phi + \bar{Q}_L^i Y_D^{ij} D_R^j \phi_c$$

This specific symmetry + symmetry-breaking pattern is responsible for the GIM suppression of Flavor Changing Neutral Currents, the suppression of CPV,...

all the successful SM predictions in the quark flavor sector

► Minimal Flavor Violation

Since the global flavor symmetry is already broken within the SM, is not consistent to impose it as an exact symmetry beyond the SM (fine-tuning, not invariant under quantum corrections)

However, we can (formally) promote this symmetry to be an exact symmetry, assuming the Yukawa matrices are the vacuum expectation values of appropriate auxiliary fields:

E.g.: $Y_D \sim (3, 1, \bar{3})$ & $Y_U \sim (3, \bar{3}, 1)$ under $SU(3)_{Q_L} \times SU(3)_{U_R} \times SU(3)_{D_R}$

$$\mathcal{L}_{\text{Yukawa}} = \bar{Q}_L Y_D D_R \phi + \bar{Q}_L Y_U U_R \phi_c + \bar{L}_L Y_L e_R \phi + \text{h.c.}$$

$$\begin{array}{ccc} & \nearrow & \nwarrow \\ (\bar{3}, 1, 1) & & (1, 1, 3) \\ & \uparrow & \uparrow \\ & (3, 1, \bar{3}) & \end{array}$$



$$(1, 1, 1) = \text{invariant}$$

► Minimal Flavor Violation

- Flavor symmetry:

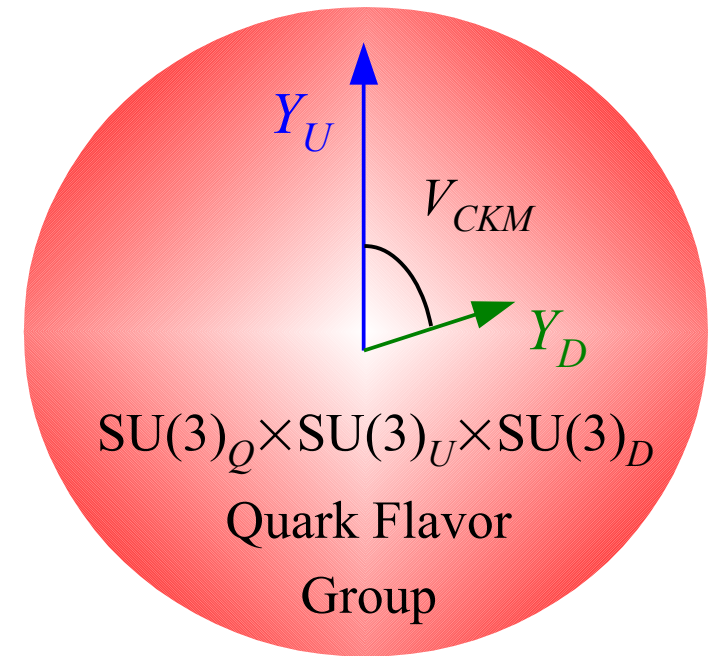
$$U(3)^5 = SU(3)_Q \times SU(3)_U \times SU(3)_D \times \dots$$

[global symmetry of the SM gauge sector]

- Symmetry-breaking terms:

$$Y_D \sim 3_Q \times \bar{3}_D \quad Y_U \sim 3_Q \times \bar{3}_U$$

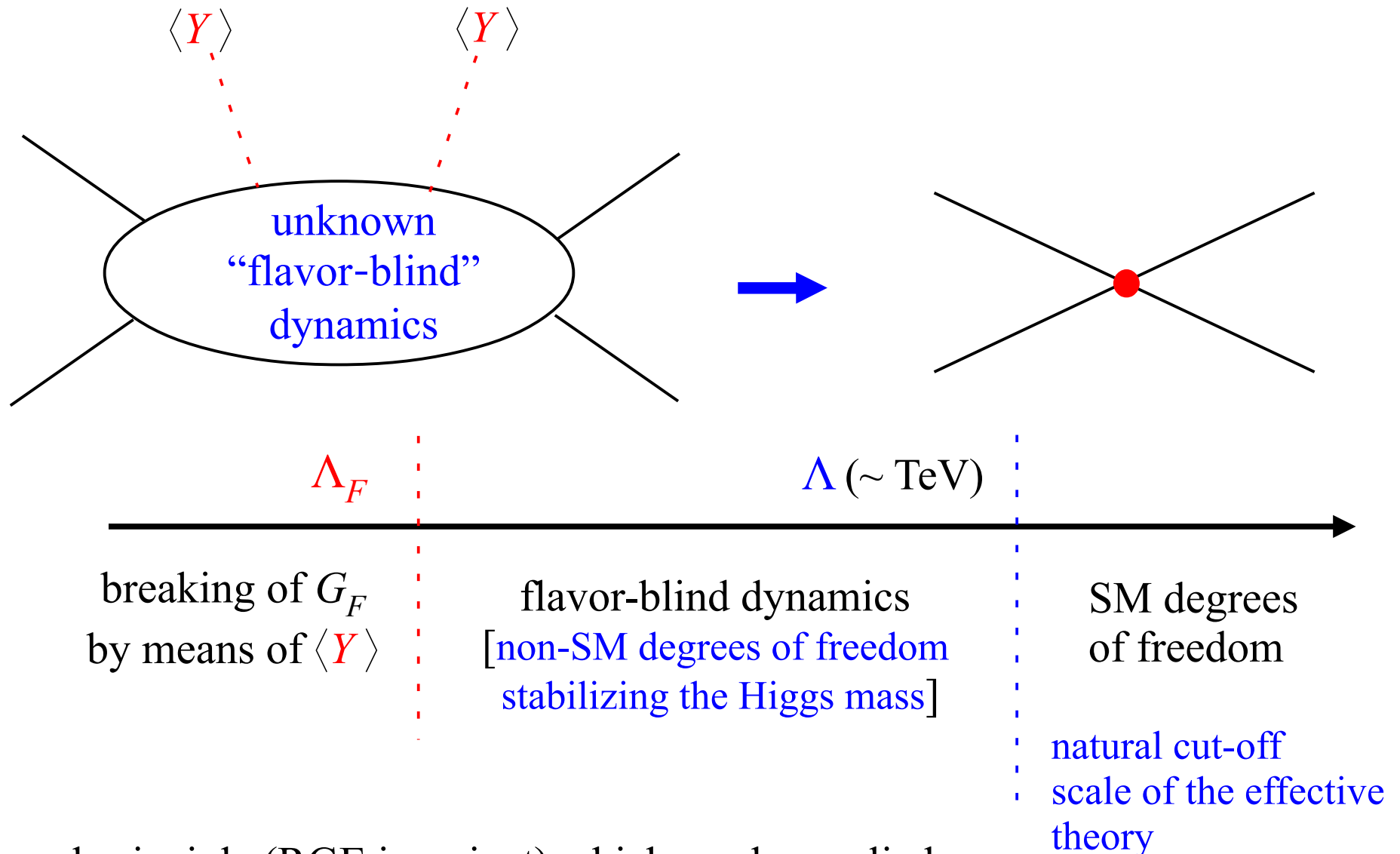
[quark Yukawa couplings]



A natural mechanism to reproduce the SM successes in flavor physics -without fine tuning- is the MFV hypothesis:

Yukawa couplings = unique sources of flavor symmetry breaking also beyond SM

► Minimal Flavor Violation



General principle (RGE invariant) which can be applied to any TeV-scale new-physics model

► Minimal Flavor Violation

A low-energy EFT satisfies the criterion of MFV if all higher-dimensional operators, constructed from SM and Y fields, are (formally) invariant under the flavor group [$SU(3)_Q \times SU(3)_U \times SU(3)_D$]

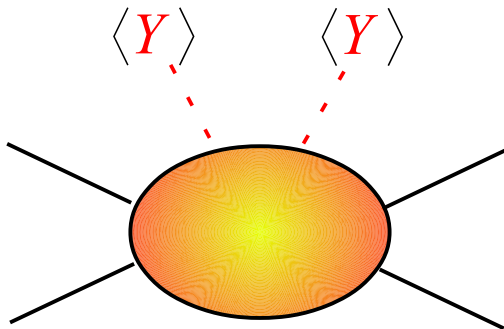
We can always choose a quark basis where:

$$Y_D = \text{diag}(y_d, y_s, y_b) \quad Y_U = V^+ \times \text{diag}(y_u, y_c, y_t)$$

$$y_i = \frac{2^{1/2} m_{q_i}}{\langle \phi \rangle}$$

Typical FCNC dim.-6 operator: $\bar{Q}_L^i (Y_U Y_U^\dagger)_{ij} Q_L^j \times \bar{L}_L L_L$

$$\begin{array}{ccc} & \nearrow & \nwarrow \\ & (3, \bar{3}, 1) & (\bar{3}, 3, 1) \\ & \searrow & \swarrow \\ & (1, 1, 1) & \end{array}$$



► Minimal Flavor Violation

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Typical FCNC dim.-6 operator: $\bar{Q}_L^i (Y_U Y_U^+)_{ij} Q_L^j \times \bar{L}_L L_L$

$$(Y_U Y_U^+)_{ij} \approx y_t^2 V_{3i}^* V_{3j}$$



$$\begin{aligned} & V^+ \times \text{diag}(y_u^2, y_c^2, y_t^2) \times V \\ & \approx V^+ \times \text{diag}(0, 0, y_t^2) \times V \end{aligned}$$

same CKM structure
of the dominant
(top-induced or short-distance)
SM contribution !

Basic assumptions:

- Flavor symmetry:

$$U(3)^5 = U(3)_Q \times U(3)_U \times U(3)_D \times \dots$$

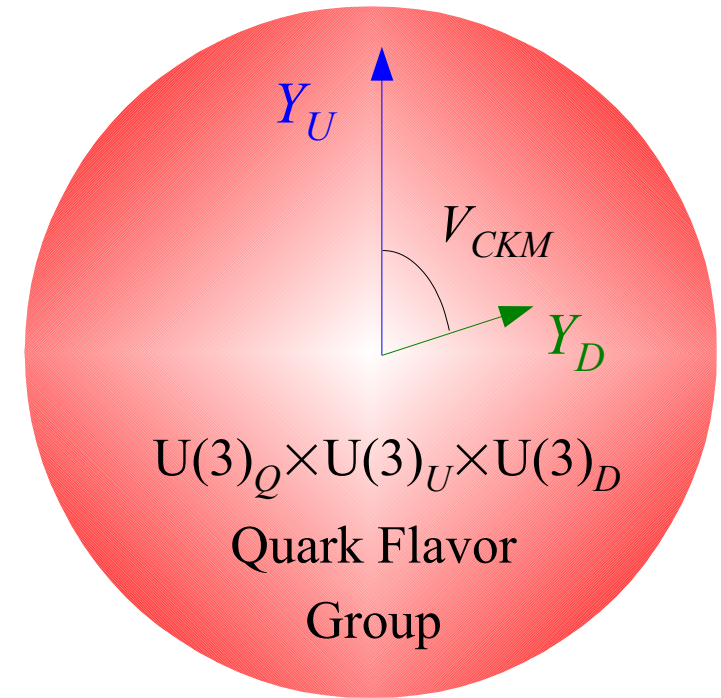
- Symmetry-breaking terms:

$$Y_D \sim \bar{3}_Q \times 3_D \quad Y_U \sim \bar{3}_Q \times 3_U$$

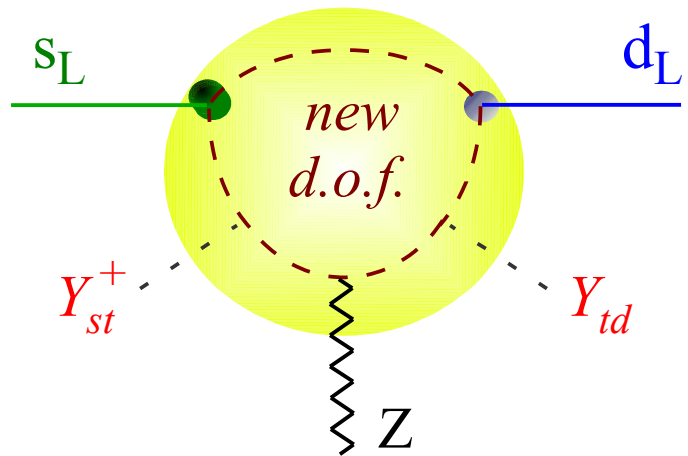
Main virtues:

- General principle that can be implemented independently of the specific high-energy completion of the theory
- Within the generic effective theory approach, the bounds on the scale of New Physics are reduced to **few TeV** (at most)
- It leads to a very predictive framework:

All flavor-changing loop-induced amplitudes have the same CKM/Yukawa structure as in the SM. Only the flavor-independent magnitude of the transition amplitudes can be modified.



All flavor-changing loop-induced amplitudes have the same CKM/Yukawa structure as in the SM [e.g.: $A(s \rightarrow dZ) \sim V_{ts}^* V_{td}$, $A(b \rightarrow sZ) \sim V_{tb}^* V_{ts}$, ...]. Only the flavor-independent magnitude can be modified



$$\rightarrow \left| \frac{A(s \rightarrow dZ)}{A(b \rightarrow sZ)} \right| = \left| \frac{V_{td}}{V_{tb}} \right| \quad \text{as in the SM...}$$

As a result, the most the tight experimental constraints on rare processes are naturally satisfied:

Operator	Bound on Λ	Observables
$H^\dagger (\bar{D}_R Y^{d\dagger} Y^u Y^{u\dagger} \sigma_{\mu\nu} Q_L) (e F_{\mu\nu})$	6.1 TeV	$B \rightarrow X_s \gamma, B \rightarrow X_s \ell^+ \ell^-$
$\frac{1}{2} (\bar{Q}_L Y^u Y^{u\dagger} \gamma_\mu Q_L)^2$	5.9 TeV	$\varepsilon_K, \Delta m_{B_d}, \Delta m_{B_s}$
$H_D^\dagger (\bar{D}_R Y^{d\dagger} Y^u Y^{u\dagger} \sigma_{\mu\nu} T^a Q_L) (g_s G_{\mu\nu}^a)$	3.4 TeV	$B \rightarrow X_s \gamma, B \rightarrow X_s \ell^+ \ell^-$
$(\bar{Q}_L Y^u Y^{u\dagger} \gamma_\mu Q_L) (\bar{E}_R \gamma_\mu E_R)$	2.7 TeV	$B \rightarrow X_s \ell^+ \ell^-, B_s \rightarrow \mu^+ \mu^-$

A few important comments:

I) MFV is not a theory of flavor

It does not allow us to compute the Yukawa couplings in terms of some more fundamental parameters

It is a useful predictive (hence falsifiable) construction that allow us to identify which are the irreducible sources of flavor-symmetry breaking

A few important comments:

- I) MFV is not a theory of flavor
- II) Despite its phenomenological success, MFV is far from being “verified”

To prove MFV from data we would need to

- observe some deviation from the SM in rare processes
- observe the CKM pattern predicted by MFV [within same type of amplitudes]

$$A[b \rightarrow d(s)] \sim V_{td(s)} \left[c_{\text{SM}}^{(0)} \frac{1}{M_W^2} + c_{\text{NP}}^{(0)} \frac{1}{\Lambda^2} \right]$$

In most of the processes measured so far we cannot go beyond the 10%-20% level of precision (even if the exp. precision is much better) because of irreducible theoretical uncertainties on evaluating the overall strength of the SM amplitude (*non-perturbative effects of strong interactions*)

Some more rare decays not observed so far could provide more useful infos.

Very interesting candidates: $B_{d,s} \rightarrow l^+ l^-$ (*currently under investigation @ LHC*)

A few important comments:

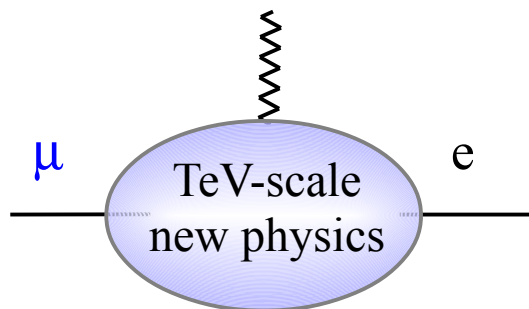
- I) MFV is not a theory of flavor
- II) Despite its phenomenological success, MFV is far from being “verified”
- III) Even within the “pessimistic” MFV hypothesis, we can still expect sizable deviations from the SM in various B physics observables...

Typical examples:

$$B_{d,s} \rightarrow \Gamma^+ \Gamma^-$$

Sizable enhancements still possible in models with an extended Higgs sector

... and, hopefully, spectacular NP effects in the charged lepton sector:



$B(\mu \rightarrow e \gamma)$ could reach values in the 10^{-12} - 10^{-13} range
(*within the reach of MEG*)

► Variations on the “MFV theme”

$$U(3)^3 = U(3)_Q \times U(3)_U \times U(3)_D$$

- Largest flavor symmetry group compatible with the SM gauge symmetry



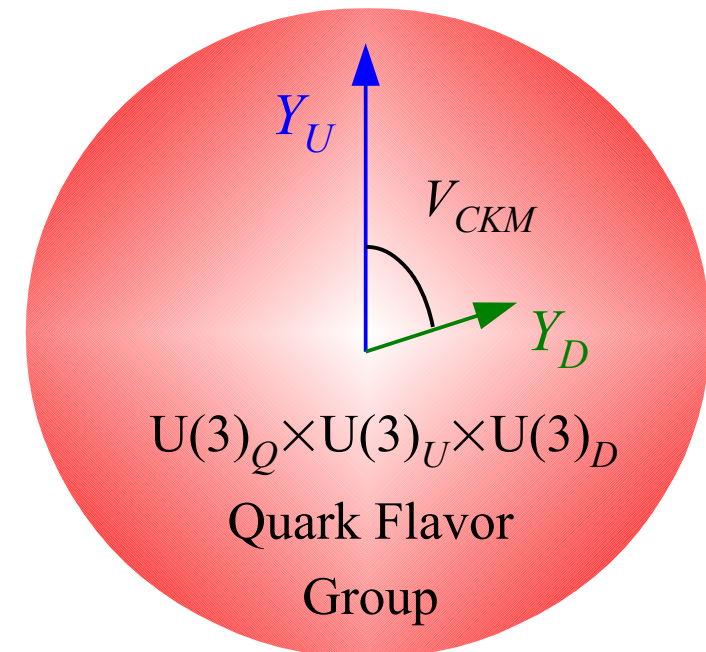
MFV hypothesis: the Yukawa couplings are the only breaking terms of this large flavor symmetry group

virtue

Small deviations from the SM in flavor-violating observables (in agreement with data)

main problem

No explanation for Y hierarchies (non-dynamical spurion analysis)



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- Largest flavor symmetry group compatible with the SM gauge symmetry
- **MFV** = minimal breaking of $U(3)^3$ by $(3, \underline{3})$ terms [*SM Yukawa couplings*]

An interesting variation of MFV is obtained considering the following subgroup:

$$U(2)^3 = U(2)_Q \times U(2)_U \times U(2)_D$$

acting on 1st & 2nd
generations

Barbieri, G.I.,
Jones-Perez,
Lodone, Straub, '11

Same protection of FCNCs

+

Additional virtue:

The exact symmetry limit is good starting point for the SM spectrum ($m_u = m_d = m_s = m_c = 0$, $V_{CKM} = 1$)

→ small breakings terms needed

$$Y_u = y_t \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \rightarrow \begin{bmatrix} \Delta & V \\ 0 & 1 \end{bmatrix}$$

Unbroken
symmetry

$$|V| \sim 0.04$$

$$|\Delta| \sim 0.006$$

► Variations on the “MFV theme”

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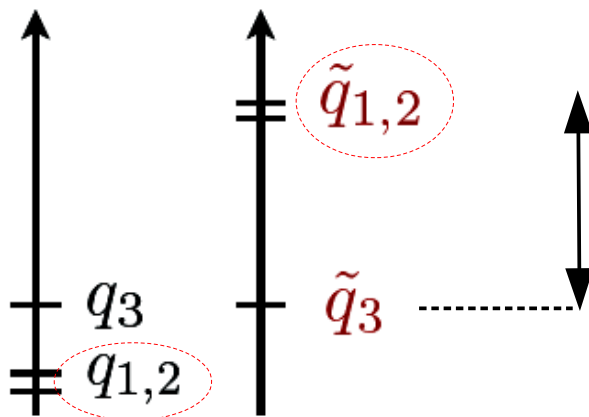
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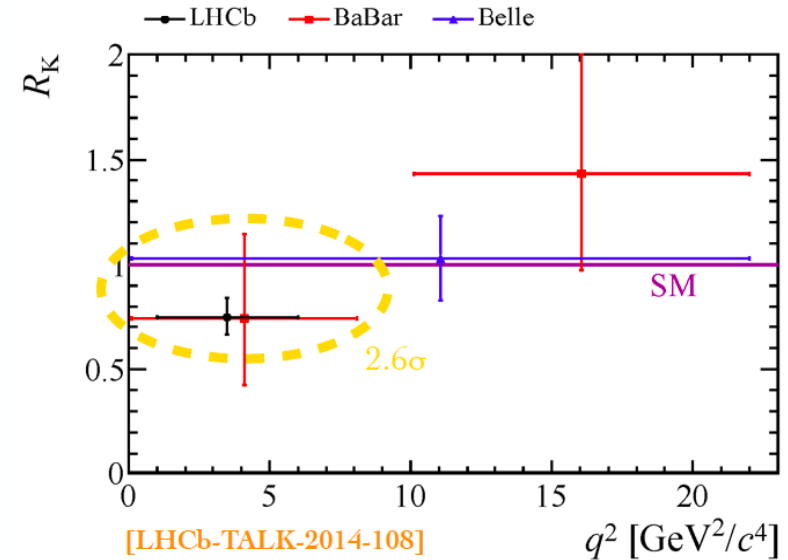
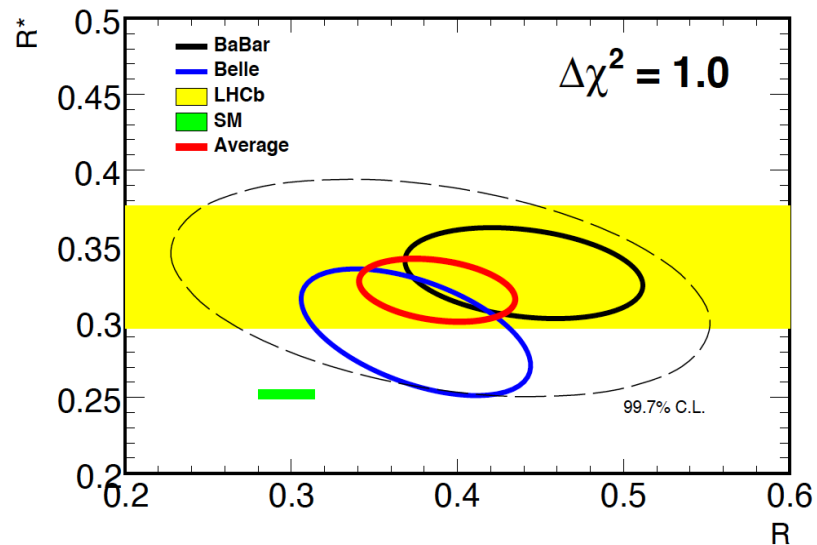
Barbieri, G.I.,
Jones-Perez,
Lodone, Straub, '11



This flavor symmetry is particularly interesting in the SUSY context, since it allow to realize the “split-family” scenario discussed yesterday:

Large mass gap (several TeV) not controlled by flavor symmetries (as opposite to MFV) and fine-tuning considerations

Speculations on the breaking of LFU in B physics



► Recent anomalies in B physics

A series of recent (and less recent) anomalies in B physics have received a lot of attention recently:

I. Anomalies in $B \rightarrow D^{(*)} \tau \nu$ [LHCb, Belle, Babar]

II. Anomalies in $B \rightarrow K^{(*)} \mu \mu / e e$ [LHCb]

Beside the significance of each anomaly, what makes them particularly (*at least in my opinion*) is the fact they all seem to be connected to a possible violation of **L**epton **F**lavor **U**niversality

I. Anomalies in $B \rightarrow D^{(*)} \tau \nu$ [LHCb, Belle, Babar]

Test of **LFU** in charged currents
 [τ vs. light leptons (μ, e)]:

$$R(X) = \frac{\Gamma(B \rightarrow X \tau \bar{\nu})}{\Gamma(B \rightarrow X \ell \bar{\nu})}$$

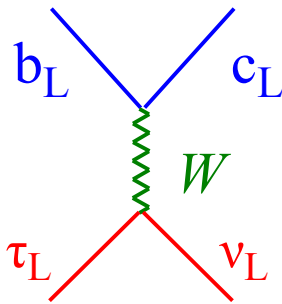
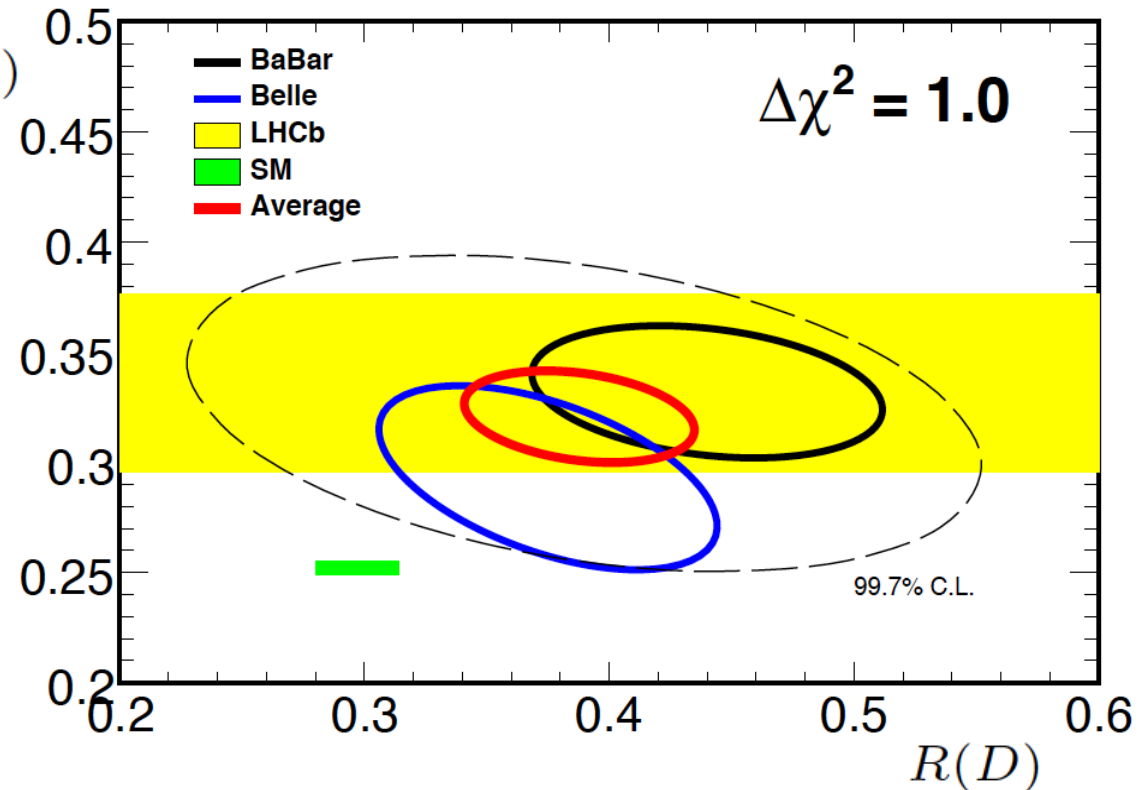
	$R(D)$	$R(D^*)$
BaBar	$0.440 \pm 0.058 \pm 0.042$	$0.332 \pm 0.024 \pm 0.018$
NEW \rightarrow Belle	$0.375^{+0.064}_{-0.063} \pm 0.026$	$0.293^{+0.039}_{-0.037} \pm 0.015$
NEW \rightarrow LHCb		$0.336 \pm 0.027 \pm 0.030$
Average	0.388 ± 0.047	0.321 ± 0.021
SM expectation	0.300 ± 0.010 $\sim 1.8\sigma$	0.252 ± 0.005 $\sim 3.2\sigma$

- **SM** prediction quite **solid**: f.f. uncertainty cancel (*to a good extent...*) in the ratio
- Consistent exp. results by 3 (very) different experiments

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 $R(D^*)$


M. Rotondo

- **SM** prediction quite **solid**: f.f. uncertainty cancel (*to a good extent...*) in the ratio
- Consistent exp. results by 3 (very) different experiments
 - **4 σ** excess over SM (if D and D* combined)
 - The two channels are well consistent with a **universal enhancement** ($\sim 30\%$) of the SM $b_L \rightarrow c_L \tau_L \nu_L$ amplitude (*RH or scalar amplitudes disfavored*)

II. Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

The largest anomaly is the one [*obs. in 2013 and confirmed with higher stat. in 2015*] in the P_5' [$B \rightarrow K^* \mu\mu$] angular distribution.

But less significant anomalies present also in other $B \rightarrow K^* \mu\mu$ observables and also in other $b \rightarrow s \mu\mu$ channels [*overall smallness of all $BR(B \rightarrow \text{Hadron} + \mu\mu)$*]

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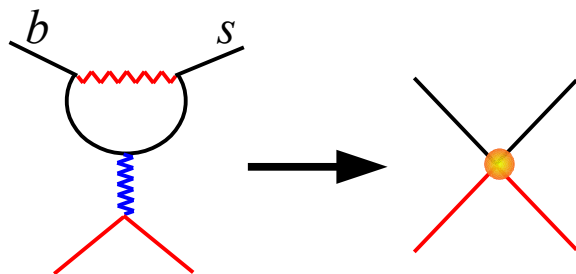
$B \rightarrow K^{(*)} ll$ are FCNC amplitudes (“natural” probes of physics beyond the SM):

- No SM tree-level contribution
- Strong suppression within the SM because of CKM hierarchy

Key point to be addressed: th. control of QCD effects

Three-step procedure to deal with the various scales of the problem:

I. Construction of a local eff. Hamiltonian at the electroweak scale



$$H_{\text{eff}} = \sum_i C_i(M_W) Q_i$$

- Heavy NP encoded in the $C_i(M_W)$
- No difference among all $b \rightarrow s ll$ decays

II. Evolution of H_{eff} down to low scales using RGE

FCNC operators (E.W. penguins)

$$H_{\text{eff}} = \sum_i C_i(M_W) Q_i$$

Four-quark (tree-level) ops.:

$$Q_9 = Q_f (bs)_{V-A} (ll)_V$$

$$Q_{10} = Q_f (bs)_{V-A} (ll)_A$$

⋮

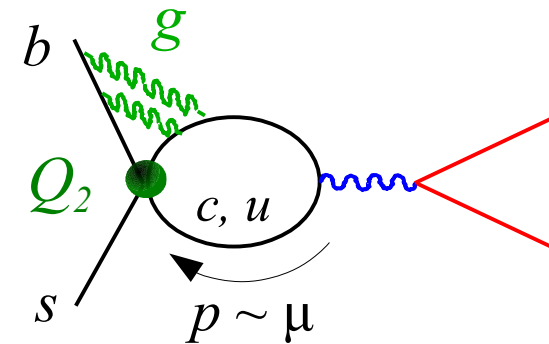
$$Q_1 = (bs)_{V-A} (cc)_{V-A}$$

$$Q_2 = (bc)_{V-A} (cs)_{V-A}$$

⋮

$$H_{\text{eff}} = \sum_i C_i(\mu \sim m_b) Q_i$$

Mixing of the **four-quark** Q_i into the **FCNC** Q_i
 [“**dilution**” of the **potentially interesting NP**]:



Negligible for Q_{10} [$B_{s,d} \rightarrow ll$ & $B \rightarrow K^{(*)}ll$]

Large for “**photon penguins**” Q_9 [$B \rightarrow K^{(*)}ll$ only]

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$$H_{\text{eff}} = \sum_i C_i(\mu \sim m_b) Q_i$$

Four-quark (tree-level) ops.:

$$Q_1 = (b s)_{V-A} (c c)_{V-A}$$

$$Q_2 = (b c)_{V-A} (c s)_{V-A}$$

⋮

III. Evaluation of the hadronic matrix elements

$$A(B \rightarrow f) = \sum_i C_i(\mu) \langle f | Q_i | B \rangle (\mu)$$

- sensitivity to long-distances (cc threshold...)
- distinction between different modes



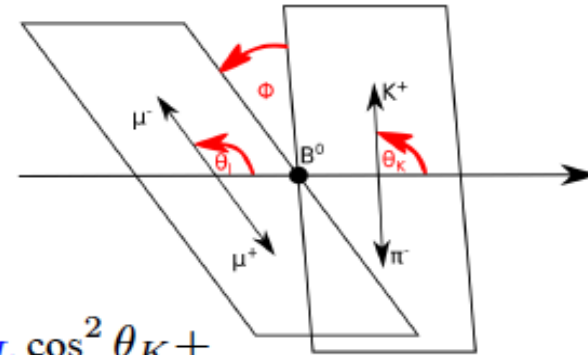
non-perturbative effects...

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Angular analysis of $B^0 \rightarrow K^{*0} \mu^+ \mu^-$



$$\frac{d^4(\Gamma + \bar{\Gamma})}{d \cos \theta_\ell d \cos \theta_K d\phi dq^2} = \frac{9}{32\pi} \left[\frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \right.$$

$$\begin{aligned} & \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell - F_L \cos^2 \theta_K \cos 2\theta_\ell + \\ & S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + \\ & S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi + S_6 \sin^2 \theta_K \cos \theta_\ell + \\ & S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi + \\ & \left. S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right] \end{aligned}$$

$$P'_{4,5} = \frac{S_{4,5}}{\sqrt{F_L(1-F_L)}}$$

observables designed to cancel f.f. dependence in the HQ limit

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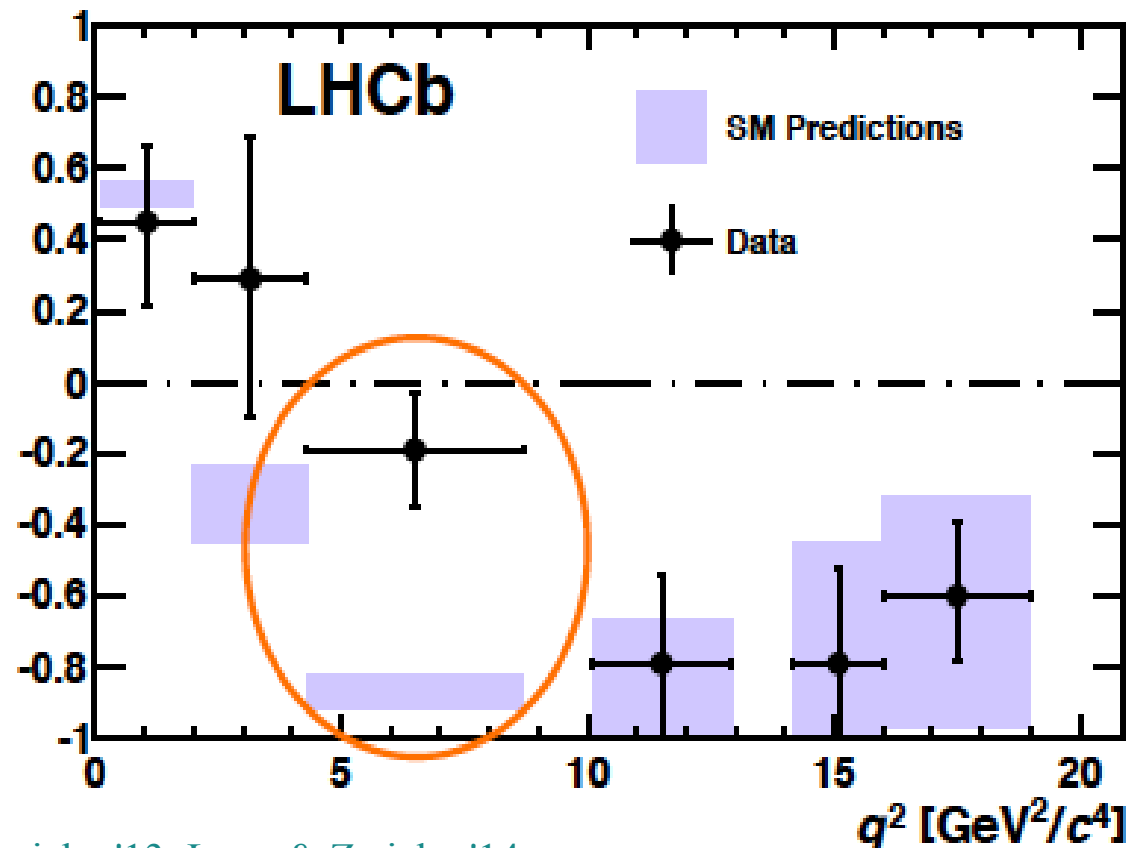
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Pro NP:

- Reduced tension in all the observables with a unique fit of non-standard $C_i(M_W)$

Against NP:

- Main effect in P_5' not far from cc threshold
- “NP” mainly in C_9 (\leftrightarrow charm)
- Significance reduced with conservative estimates of non-factorizable corrections



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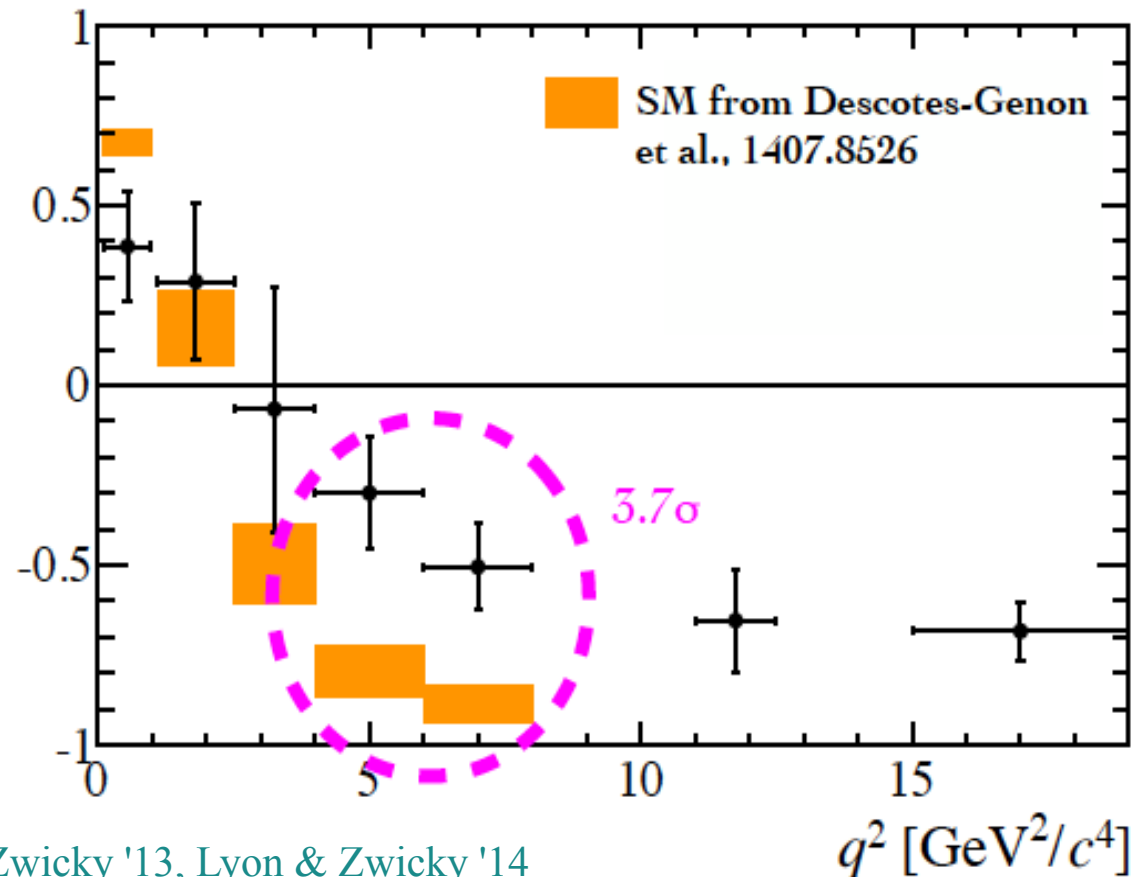
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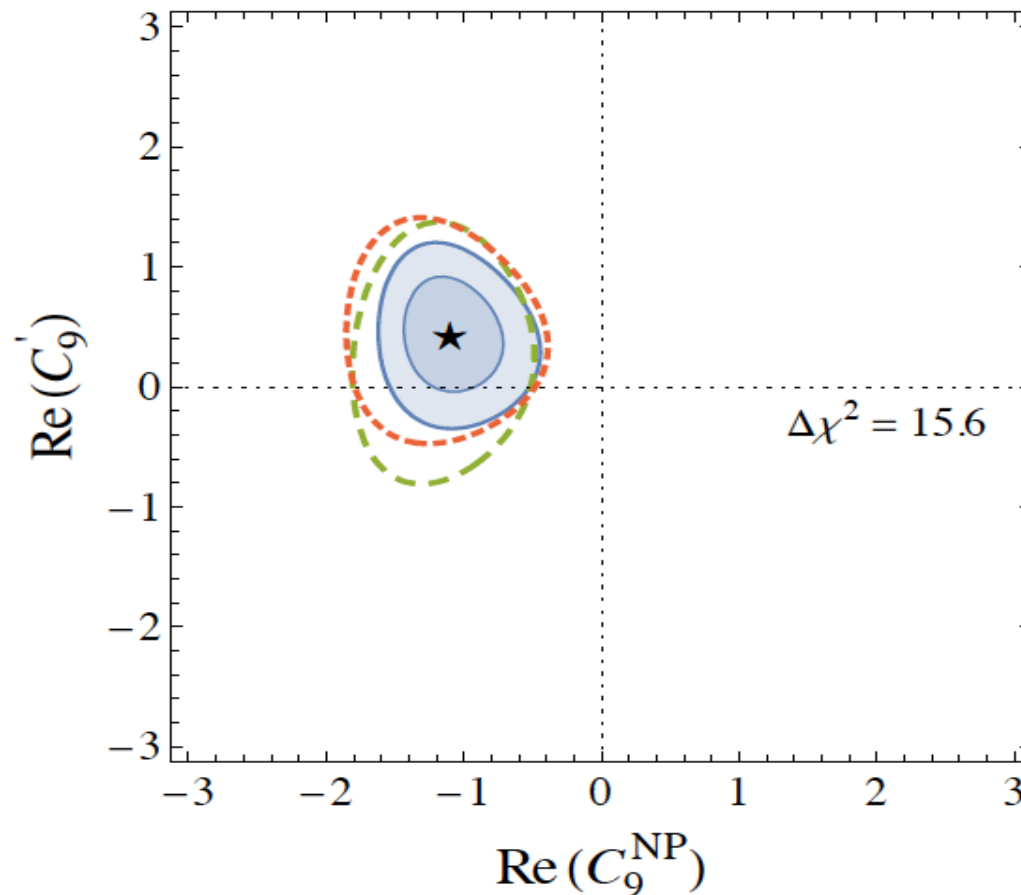
[LHCb-CONF-2015-002]



II. Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

Pro NP:

- Reduced tension in all the observables with a unique fit of non-standard short-distance Wilson coefficients



Descotes-Genon, Matias, Virto '13, '15
 Altmannshofer & Straub '13, '15
 Beaujean, Bobeth, van Dyk '13
 Horgan *et al.* '13

$$O_9^{(\prime)} \propto (\bar{s} \gamma_\mu P_{L(R)} b) (\bar{\mu} \gamma^\mu \mu)$$

muonic vector current

- ▶ NP contributions to C_9 give best description of the data
- ▶ (NP with $C_9 = -C_{10}$ works almost equally well)

II. Anomalies in $B \rightarrow K^{(*)} \mu\mu / ee$ [LHCb]

Last but not least, the most interesting effect in $b \rightarrow sll$ transitions the 2.6σ deviation from the SM observed in the LFU ratio

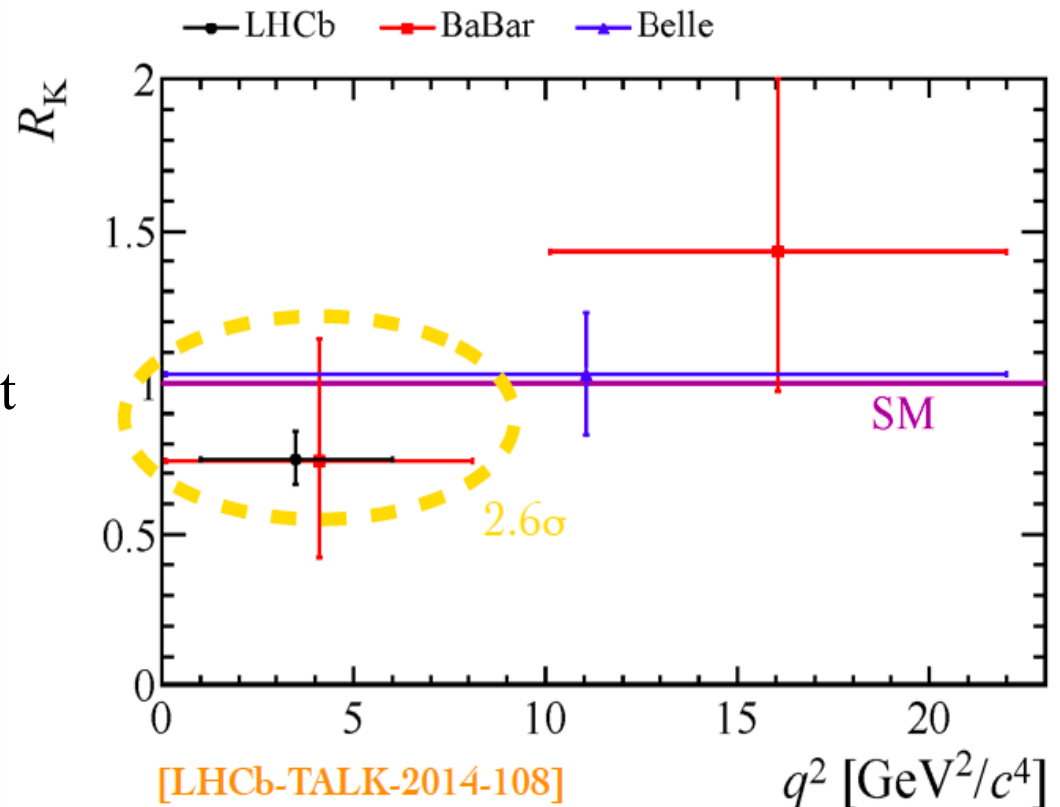
$$R_K = \frac{\int d\Gamma(B^+ \rightarrow K^+ \mu\mu)}{\int d\Gamma(B^+ \rightarrow K^+ ee)}$$

[1-6] GeV²

- Negligible th. error \rightarrow clean test of LFU (in neutral currents)

$$R_K = 1 \pm O(1\%)$$

Bordone *et al.*
work in prog.



- This anomaly is perfectly described assuming NP only in $b \rightarrow s\mu\mu$ [and not in $b \rightarrow see$] consistently with the various $b \rightarrow s\mu\mu$ anomalies

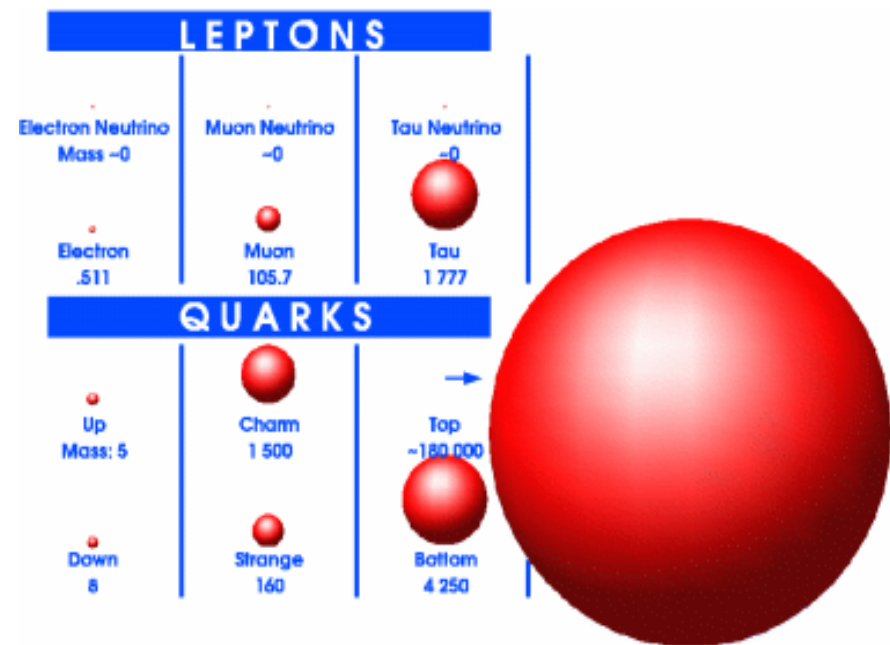
► Speculations on the breaking of LFU

(Some of) these recent results have stimulated a lot of theoretical activity.

Most interesting aspect: possible breaking of LFU, both in charged currents ($b \rightarrow c\tau\nu$ vs. $b \rightarrow c\mu\nu$) and in neutral currents ($b \rightarrow s\mu\mu$ vs. $b \rightarrow see$)

A few general messages:

- ★ LFU is not a fundamental symmetry of the SM Lagrangian (*accidental symmetry in the gauge sector, broken by Yukawas*)
- ★ LFU tests at the Z peak are not too stringent (\rightarrow gauge sector)
- ★ Most stringent tests of LFU involve only 1st-2nd gen. quarks & leptons
 - \rightarrow Natural to conceive NP models where LFU is violated more in processes with 3rd gen. quarks (\leftrightarrow hierarchy in Yukawa coupl.)



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S. Fajfer, J. F. Kamenik, I. Nisandzic and J. Zupan, Phys. Rev. Lett. **109** (2012) 161801 [[arXiv:1206.1872](#)].

S. Descotes-Genon, J. Matias and J. Virto, Phys. Rev. D **88** (2013) 074002 [[arXiv:1307.5683](#)].

W. Altmannshofer and D. M. Straub, Eur. Phys. J. C **73** (2013) 2646 [[arXiv:1308.1501](#)].

A. Datta, M. Duraissamy and D. Ghosh, Phys. Rev. D **89** (2014) 7, 071501 [[arXiv:1310.1937](#)].

G. Hiller and M. Schmaltz, Phys. Rev. D **90** (2014) 054014 [[arXiv:1408.1627](#)]; JHEP **1502** (2015) 055

A. Crivellin and S. Pokorski, Phys. Rev. Lett. **114** (2015) 1, 011802 [[arXiv:1407.1320](#)].

S. L. Glashow, D. Guadagnoli and K. Lane, Phys. Rev. Lett. **114** (2015) 091801 [[arXiv:1411.0565](#)].

+ many others...

...but till last summer most attempts focused only on one set of anomalies (either charged or neutral currents)

What I will discuss next are some general considerations in trying to describe both these effect within simplified (rather general) semi-dynamical models.

★ EFT-type considerations:

- Anomalies are seen only in semi-leptonic (quark×lepton) operators
- RR and scalar currents disfavored → LL current-current operators
- Necessity of at least one $SU(2)_L$ -triplet effective operator (+ maybe a singlet one):

$$\frac{g_q g_\ell}{\Lambda^2} \lambda_{ij}^q \lambda_{kl}^\ell (\bar{Q}_L^i T^a \gamma_\mu Q_L^j) (\bar{L}_L^k T^a \gamma^\mu L_L^l)$$

Bhattacharya *et al.* '14

Alonso, Grinstein, Camalich '15

Greljo, GI, Marzocca '15

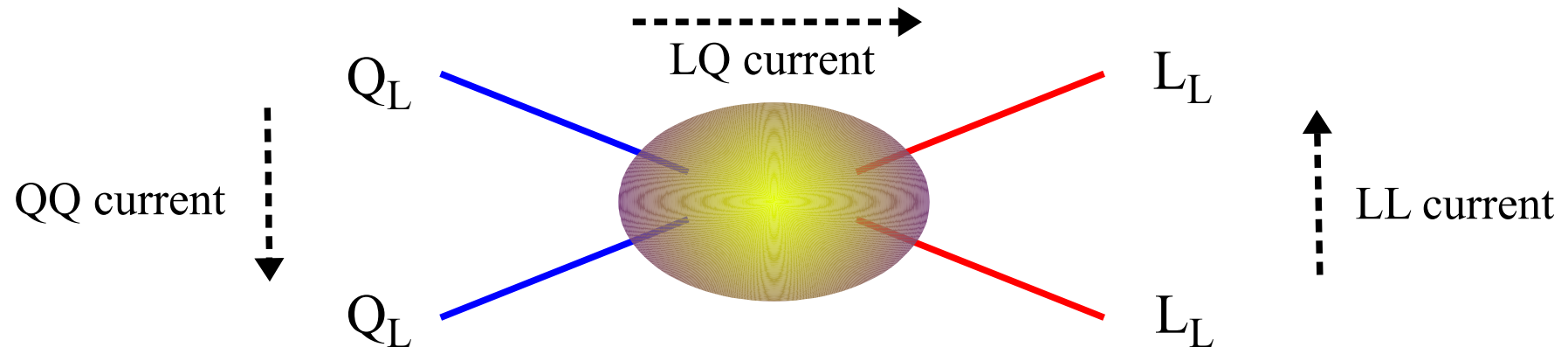
- Large coupling (competing with SM tree-level) in bc ($=33_{\text{CKM}}$) → $l_3 v_3$
- Small non-vanishing coupling (competing with SM FCNC) in bs → $l_2 l_2$

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$$\lambda_{ij}^{q,\ell} = \delta_{i3} \delta_{3j} + \text{small corrections for 2}^{\text{nd}} \text{ (& 1}^{\text{st}}) \text{ generations}$$

→ fits well with the idea of approximate $U(2)^n$ flavor symmetry

★ General consequences in charged currents:

$$\frac{\mathcal{A}(b \rightarrow c \ell^i \bar{\nu}^i)_{\text{SM+NP}}}{\mathcal{A}(b \rightarrow c \ell^i \bar{\nu}^i)_{\text{SM}}} = 1 + R_0 \lambda_{ii}^\ell \quad R_0 \equiv \frac{g_\ell g_q}{g^2} \frac{m_W^2}{\Lambda^2}$$

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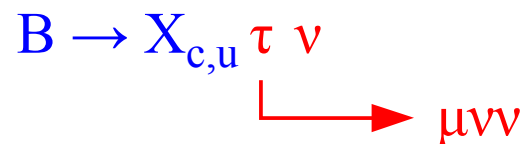
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III. Even if it is hard to quantify, this breaking of LFU in c.c could decrease the (old) tension between exclusive & inclusive determinations of $|V_{ub}|$ & $|V_{cb}|$:



Irreducible bkg. for the inclusive meas. subtracted
(at present) assuming SM-like $\Gamma(B \rightarrow X_{c,u} \tau \nu)$



if $\Gamma(B \rightarrow X_{c,u} \tau \nu)$ is enhanced over the SM $\rightarrow |V_{c(u)b}|_{\text{incl.}}$ are over estimated

★ A simplified dynamical model:

Greljo, GI, Marzocca '15

Main assumptions:

- We assume the effective triplet operator is the result of integrating-out a **heavy triplet of vector bosons (W', Z')** coupled to a single current:

$$J_\mu^a = g_q \lambda_{ij}^q \left(\bar{Q}_L^i \gamma_\mu T^a Q_L^j \right) + g_\ell \lambda_{ij}^\ell \left(\bar{L}_L^i \gamma_\mu T^a L_L^j \right) \longrightarrow \frac{1}{2m_V^2} J_\mu^a J_\mu^a$$

- Non-Universal flavor structure** of the currents \rightarrow **mainly 3rd generations**
 - \rightarrow Coupling to 3rd generations not suppressed [*dynamical assumption*]
 - \rightarrow Coupling to light generations controlled by small $U(2)_q \times U(2)_l$ breaking spurions related to sub-leading terms in the Yukawa couplings

$$\lambda^q \simeq \begin{pmatrix} |\epsilon|^2 V_{3\alpha}^* V_{3\beta} & \epsilon^* V_{3\alpha}^* \\ \epsilon V_{3\beta} & 1 \end{pmatrix} \text{ down-type mass basis} \quad \lambda_{bd} \ll \lambda_{bs} \ll \lambda_{bb} = 1$$

$$\lambda_{ss} \sim \lambda_{bs}^2$$

★ A simplified dynamical model → low-energy global fit:

5 free parameters:
$$\epsilon_{\ell,q} \equiv \frac{g_{\ell,q} m_W}{g m_V} \approx g_{\ell,q} \frac{122 \text{ GeV}}{m_V} + \lambda_{bs}^q, \lambda_{\mu\mu}^\ell, \lambda_{\tau\mu}^\ell$$

several constraints:

- R(D*)
- R(D)
- R_K
- P_{5'}(B → K* μμ)
- B(B → Kνν)
- ΔM_{B_s}, ΔM_{B_d}
- CPV(D-D)
- Γ(B → Xμν)/Γ(B → Xev)
- τ → 3μ
- Γ(τ → μνν)/Γ(τ → eνν)

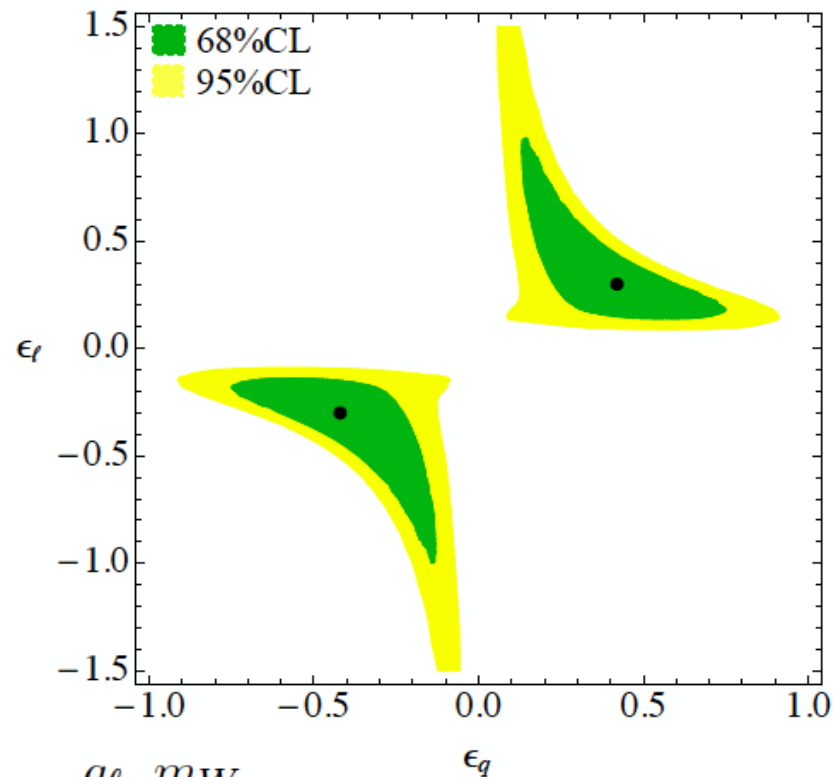


Overall good fit of low-energy data
(non-trivial given tight constraints from ΔF=2 & LFV)

Best fit point: $\epsilon_\ell \approx 0.37$, $\epsilon_q \approx 0.38$ $p(\text{SM}) = 0.002$

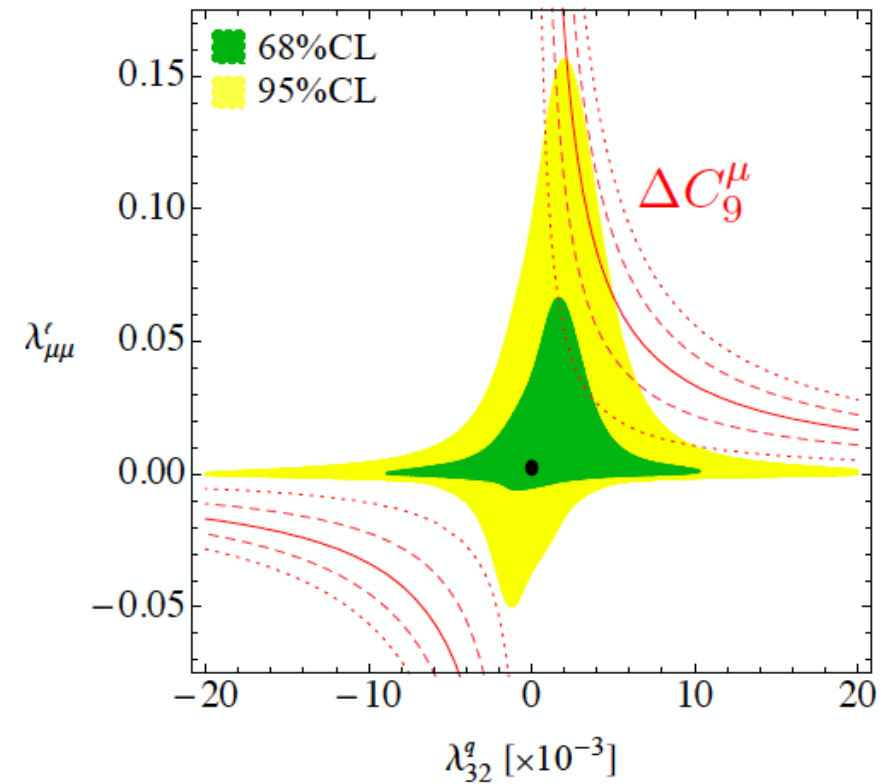
(flavor structure of the sub-leading terms not really probed)

★ A simplified dynamical model \rightarrow low-energy global fit:



$$\epsilon_{l,q} = \frac{g_{l,q} m_W}{g m_V}$$

$$\epsilon_l, \epsilon_q \lesssim 1$$



$$\lambda_{\mu\mu} \lesssim 0.1$$

$$\lambda_{bs} \lesssim 0.015$$

* A simplified dynamical model \rightarrow further low-energy tests:

$$\mathcal{L}_{\text{eff}} = -\frac{1}{2m_V^2} J_\mu^a J_\mu^a \quad \text{works well...}$$

... and gives several clear predictions for future low-energy data:

• $b \rightarrow c(u) l\nu$ $\text{BR}(B \rightarrow D^* \tau\nu)/\text{BR}_{\text{SM}} = \text{BR}(B \rightarrow D \tau\nu)/\text{BR}_{\text{SM}} = \text{BR}(\Lambda_b \rightarrow \Lambda_c \tau\nu)/\text{BR}_{\text{SM}}$
 $= \dots = \text{BR}(B_u \rightarrow \tau\nu)/\text{BR}_{\text{SM}} \quad R^{\mu/e}(X) \sim 10\% R^{\tau/\mu}(X)$

• $b \rightarrow s \mu\mu$ $\Delta C_9^\mu = -\Delta C_{10}^\mu$, but overall size of the anom. should decrease

• $b \rightarrow s \tau\tau$ $|\text{NP}| \sim |\text{SM}| \rightarrow$ large enhancement ($\sim \text{BR} \times 4$) or strong suppr.

• $b \rightarrow s \nu\nu$ $\sim \pm 50\%$ deviation from SM in the rate

• **Meson mixing** $\sim 10\%$ deviations from SM both in ΔM_{B_s} & ΔM_{B_d}

• τ decays $\tau \rightarrow 3\mu$ not far from present exp. bound

★ A simplified dynamical model → high-energy constraints:

The dynamical model

$$\mathcal{L}_V = -\frac{1}{4} D_{[\mu} V_{\nu]}^a D^{[\mu} V^{\nu]a} + \frac{m_V^2}{2} V_\mu^a V^{\mu a} + g_H V_\mu^a (H^\dagger T^a i \overleftrightarrow{D}_\mu H) + V_\mu^a J_\mu^a$$

The “heavy vector triplet” eff. Lagrangian [Pappadopulo, Tham, Torre, Wulzer, '14] in a rather peculiar parameter range:

- **W** and **Z** resonances in the mass range:

$$g_{l,q} \sim 1 \rightarrow m_V \sim 250 \text{ GeV}$$

$$g_{l,q} \sim \sqrt{4\pi} \rightarrow m_V \lesssim 1 \text{ TeV}$$

- Strong constraint on g_H from e.w. precision tests:

$$\epsilon_{l,q} = \frac{g_{l,q} m_W}{g m_V} \approx 0.3 \qquad \epsilon_H = \frac{g_H m_W}{g m_V} \lesssim 0.01$$

★ A simplified dynamical model \rightarrow high-energy constraints:

- The heavy vectors are produced mainly from 3rd gen. quarks ($bb \rightarrow Z'$, $bc \rightarrow W'$) and decay mainly in 3rd generations quarks or leptons ($Z' \rightarrow \tau\tau, bb, tt$, $W' \rightarrow tb, \tau\nu$)



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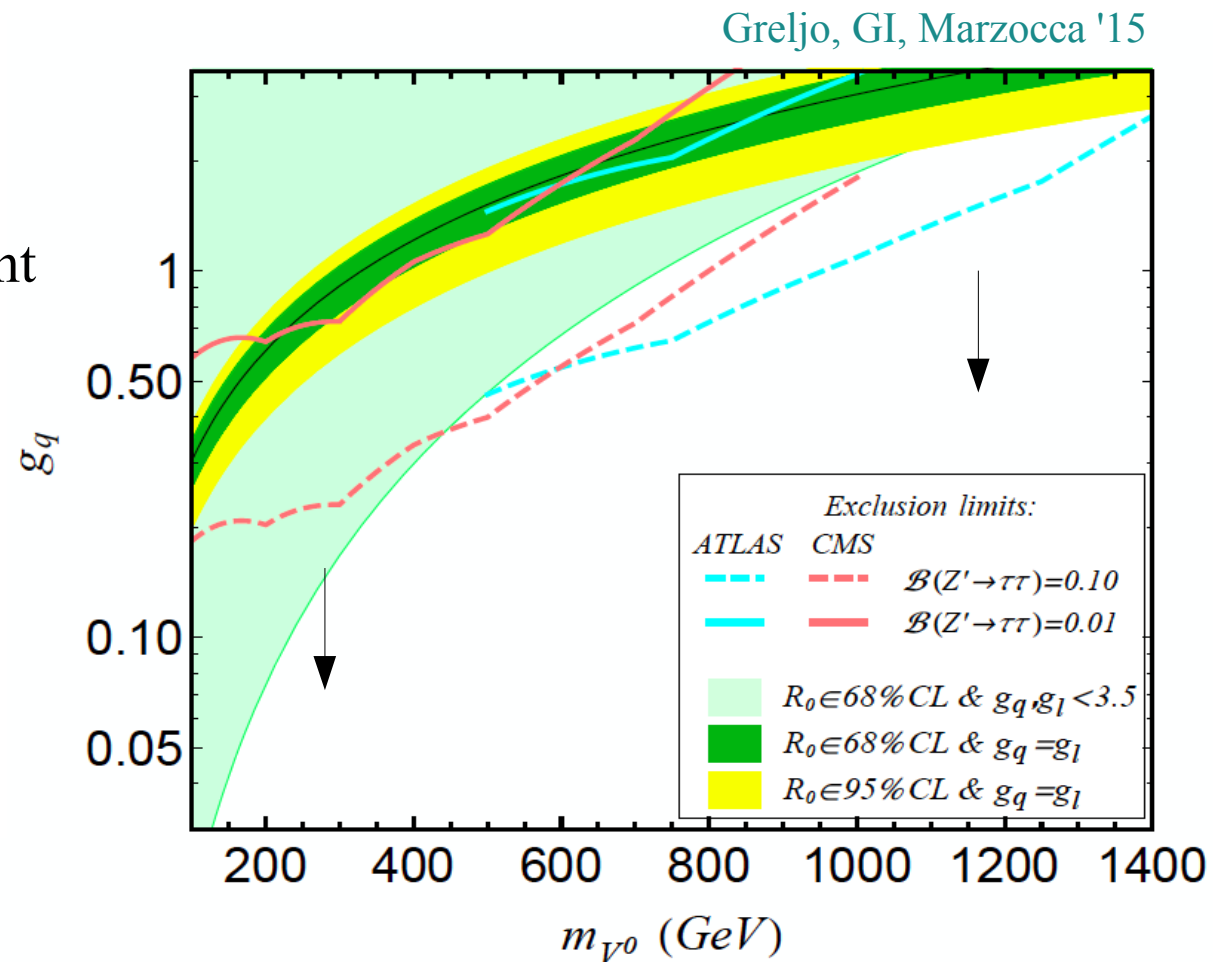
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Minimal version of the model
(no exotic decay channels)
ruled out by direct searches

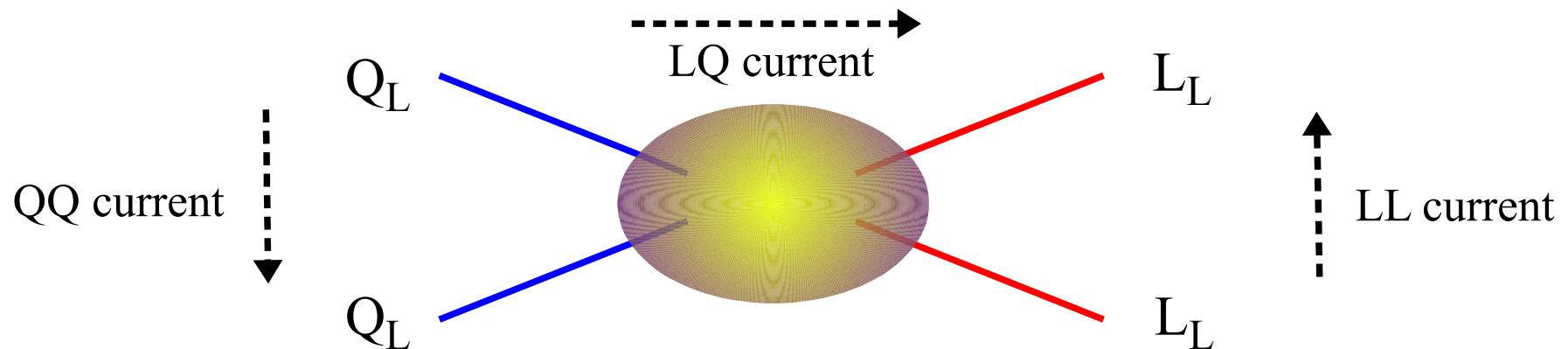
$$BR(Z' \rightarrow \bar{\tau}\tau) = \frac{g_\ell^2}{2g_\ell^2 + 6g_q^2 + \text{extra}}$$



★ A simplified dynamical model \rightarrow high-energy constraints:

N.B.: while the low-energy consequences are almost independent from the structure of the underlying dynamical model, the high-energy consequences can be very different.

For instance, choosing the **LQ mediator**, we have a perfect candidate also for the mediator of the “S(750)” $\rightarrow \gamma\gamma, gg$ effective couplings...



Conclusions



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what determines the precise values of SM parameters?
[“*anarchy + anthropic selection*” vs. “*new symmetries*”]



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what determines the precise values of SM parameters?
[“*anarchy + anthropic selection*” vs. “*new symmetries*”]
- We cannot exclude the anthropic principle is the right explanation for some of these couplings (e.g. for the cosmological constant), but it is definitely premature to give-up the attempt of finding dynamical explanations for most of them.
- In this perspective, (some of) the anomalies that are emerging both at high-pT and in flavor physics could be the first hints of new symmetries...

