

Effective Field Theory & New Physics @ LHC

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1. Introduction to Effective Field Theory

Georgi, EFT, ARNPP 43(93) 209
(one of my all-time favourite papers)

- *what is it?* (perturbation theory in scale ratios)
- *how to implement in QFT* (?loops with $p_{loop} \rightarrow \infty$)
to organise the SM/NP calculation, need: $\left\{ \begin{array}{l} \text{basis of } d > 4 \text{ operators,} \\ \text{recipe for changing scale} \end{array} \right.$
- *why: two perspectives:* $\left\{ \begin{array}{l} \text{top – down} \\ \text{bottom – up} \end{array} \right.$

2. How well does bottom-up EFT work? (\Leftrightarrow (when) are dim 6 operators a good approx to NP?)

- Lepton Flavour Violation
- contact interaction searches

3. The interest of looking for everything...

NP \equiv New Physics , \hat{s} = partonic centre-of-mass energy , **dim** = dimension

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 2. 0th order interactions, by sending all parameters $\left\{ \begin{array}{l} L \gg \ell \rightarrow \infty \\ \delta \ll \ell \rightarrow 0 \end{array} \right.$
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Example : leptogenesis in the early Universe of age τ_U ($\tau_U \sim 10^{-24}$ sec)

- ★ processes with $\tau_{int} \gg \tau_U$...neglect!
- ★ processes with $\tau_{int} \ll \tau_U$...assume in thermal equilibrium!
- ★ processes with $\tau_{int} \sim \tau_U$...calculate this dynamics
- ★ can then do pert. theory in slow interactions and departures from thermal equil.

Pre-implementation of EFT in the SM , and for NP

- take scale to be energy $E : \text{GeV} \rightarrow \Lambda_{NP} (\gtrsim \text{few TeV})$ (then do pert. theory in $E/M, m/E$
for $m \ll E \ll M$)
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- theorists disturbed by loops: $\left\{ \begin{array}{l} \text{usually diverge on paper} \\ \text{usually finite tiny effects in real world} \end{array} \right.$
 \Rightarrow machinery to regularise (loop integrals) and renormalise (coupling constants)
- can extend regularisation/renormalisation to $\text{dim} > 4$ operators of EFT...
... *but resulting EFT depends on details of how (eg put, or not, $M \gg E$ particles in loops?)*
- ★ I use dimensional regularisation ; restricts/defines the EFT I construct.

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\Rightarrow like in SM, EFT coupling constants (= operator coefficients) live in \mathcal{L} rather than real world, are *not* observables...

Can parametrise NP@LHC in S-matrix-based approach = “pseudo-observables”/(form factors), more general, less QFT-detail-dependent, more difficult?

EFT for the SM and heavy NP ($\Lambda_{NP} \gg m_W$)

1. choose energy scale E of interest

$\Lambda_{NP} \gtrsim \text{few TeV}$

$m_W \sim m_h \sim m_t$

$\text{GeV} \sim m_c, m_b, m_\tau$

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4. perturb in E/M (and m/E): allow $d > 4$ local operators \Leftrightarrow exchange of $M \gg E$ particles
 d counts field dims in interaction: $(\bar{\psi}\psi)(\bar{\psi}\psi) \leftrightarrow \text{dim } 6$

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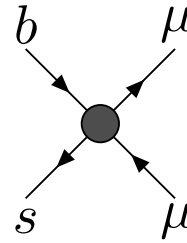
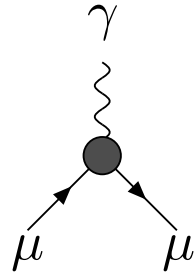
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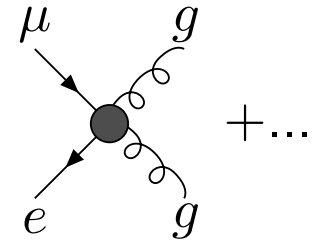
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at scale E , need a basis of operators, of dimension $d > 4$

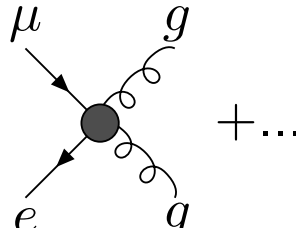
1. $E < m_W$: 3- and 4-point interactions of $f', \gamma, g \Leftrightarrow$ dimension 5,6,7 QCD*QED-invariant operators:



Kuno-Okada
CiriglianoKitanoOkadaTuscon



Parenthese: why 3,4-pt interactions?
(not a “rule” to take dim 6?)



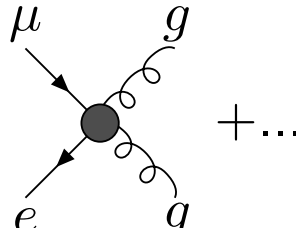
A Feynman diagram showing a central black circular vertex. Two straight lines with arrows pointing towards the vertex enter from the left, labeled μ (top) and e (bottom). Two wavy lines with arrows pointing away from the vertex exit to the right, labeled g (top) and g (bottom). To the right of the vertex is a plus sign followed by an ellipsis: $+ \dots$.

$$(\bar{e}\mu)G^A \alpha\beta G_{\alpha\beta}^A$$

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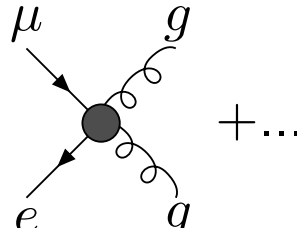


A Feynman diagram showing a central black vertex. Two incoming fermion lines (solid arrows) are labeled μ (top-left) and e (bottom-left). Two outgoing gluon lines (curly lines) are labeled g (top-right) and g (bottom-right). To the right of the diagram is a plus sign followed by an ellipsis, indicating a sum of terms.

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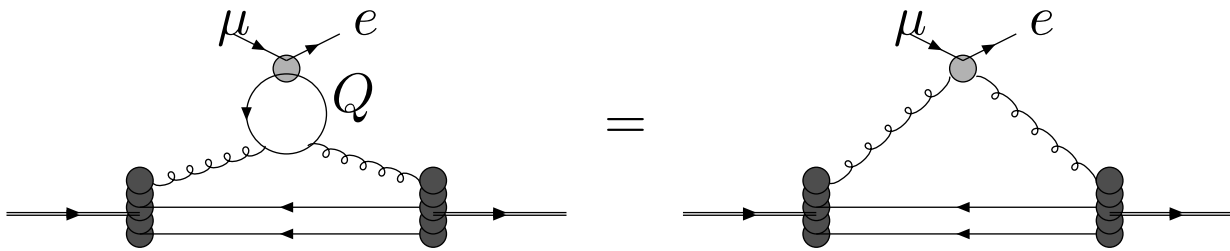
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$$(\bar{e}\mu)G^A{}^{\alpha\beta}G_{\alpha\beta}^A + \dots$$

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top-down: imagine an interaction $(\bar{e}\mu)(\bar{Q}Q)$ for heavy quarks $Q \in \{c, b, t\}$
 contributes to $\mu \rightarrow e$ conversion on a proton via:



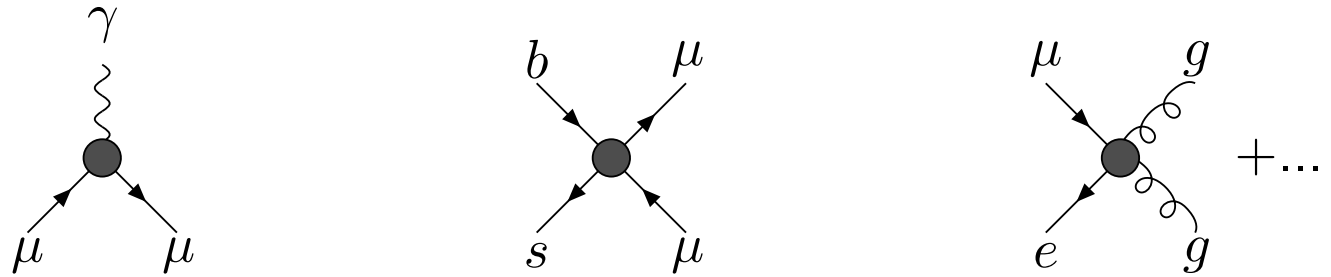
ShifmanVainshteinZakarov

so below m_Q , replace $\frac{C}{\Lambda_{NP}^2}(\bar{e}\mu)(\bar{Q}Q) \rightarrow \frac{C}{\Lambda_{NP}^2 m_Q}(\bar{e}\mu)G^A{}^{\alpha\beta}G_{\alpha\beta}^A$

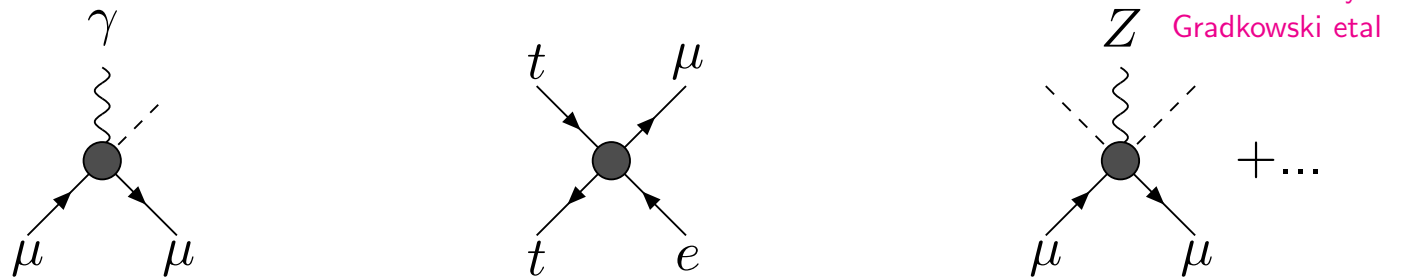
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2. $E > m_W$: dim 6 $SU(3) \times SU(2) \times U(1)$ -invar operators (neglect Majorana ν mass operators)

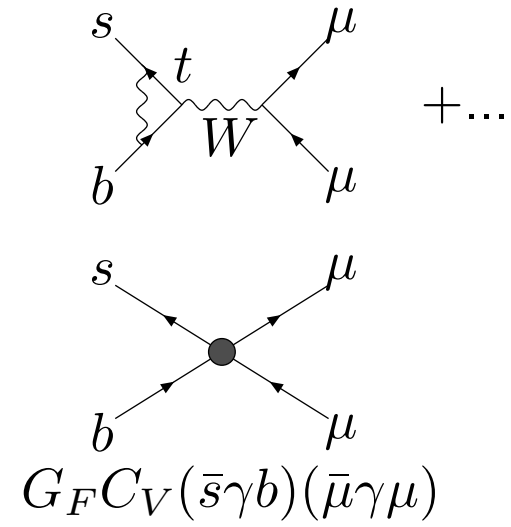


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need a recipe to relate EFTs at different scales

1. when change EFTs (eg at m_W):
match (= set equal) Greens functions
in both EFTs at the matching scale

$$\Rightarrow C_V(m_W) \sim \frac{V_{ts}}{16\pi^2}$$

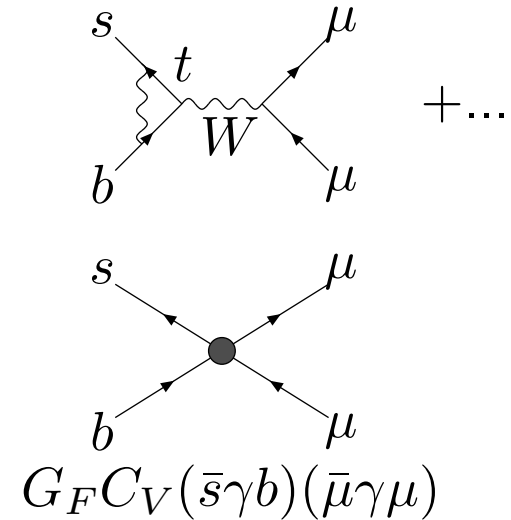


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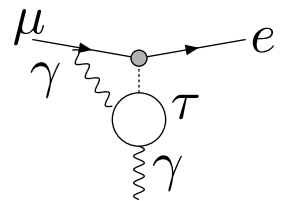
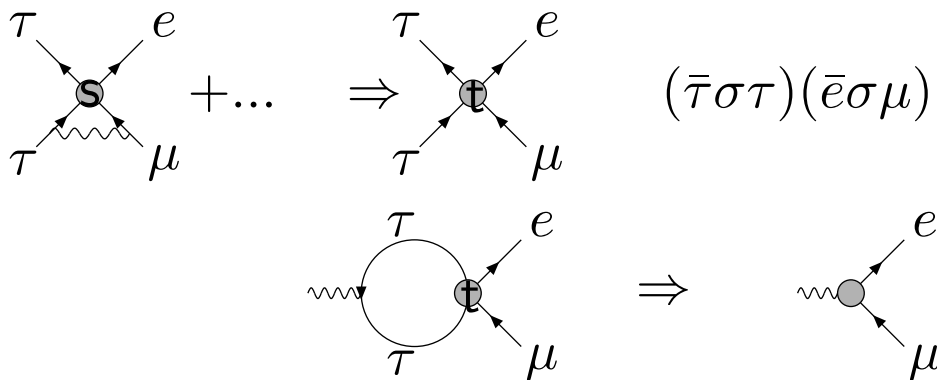
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- Within an EFT: couplings (= operator coefficients) *run* and *mix* with scale. Can mix to other operators, (better?) constrained at other scales



- 1) dominant part of 2-loop caln from (trivial 1-loop caln)² !
- 2) sensitivity of $\mu \rightarrow e\gamma$ to scalar $\bar{\tau}\tau\bar{e}\mu$ operator !

(replace $\tau \rightarrow t$ if you like)

Why do EFT: top-down vs bottom-up

Two perspectives in EFT:

top-down: EFT as the simple way to get the right answer

know the high-scale theory = can calculate the coefficients of dim > 4 operators (because know cplings \Leftrightarrow other perturbative expansions)

recall: EFT is perturbative expansion in scale ratios (eg m_B/m_W)

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bottom-up: EFT as a parametrisation of ignorance

not know NP masses, or couplings = other perturbative expansions

\Rightarrow use lowest order EFT expansion (in scale ratio m_{SM}/Λ_{NP}) to parametrise ... (?we hope??) many models

\Rightarrow how well does bottom-up EFT work?

How well does bottom-up EFT work?

(top-down: just do perturbative expansion to sufficient order...)

1. How precisely are the SM dynamics included?

(non-trivial problem: perturb in loops+ Yukawa+ gauge cplings $y_t^2/16\pi^2 \sim y_c^2$.)

In addition, matching at m_W delicate due to appearance of Higgs vev which changes operator dimensions)

2. How good is lowest order EFT (dim 6 operators), as a parametrisation of New Physics?

First: what parameter space of dimension six operators can be probed?

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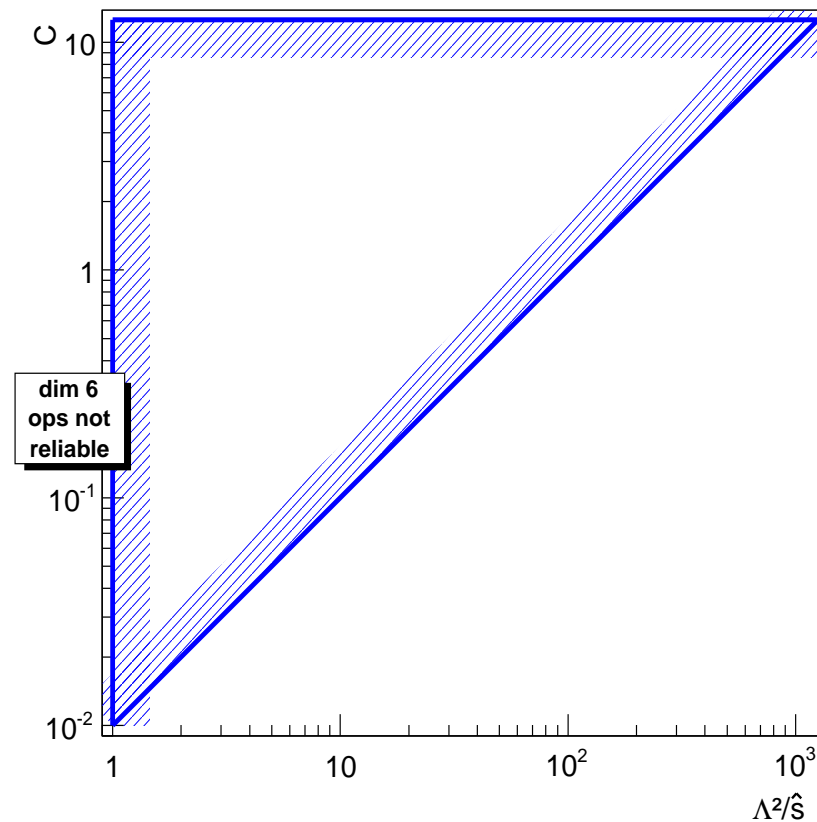
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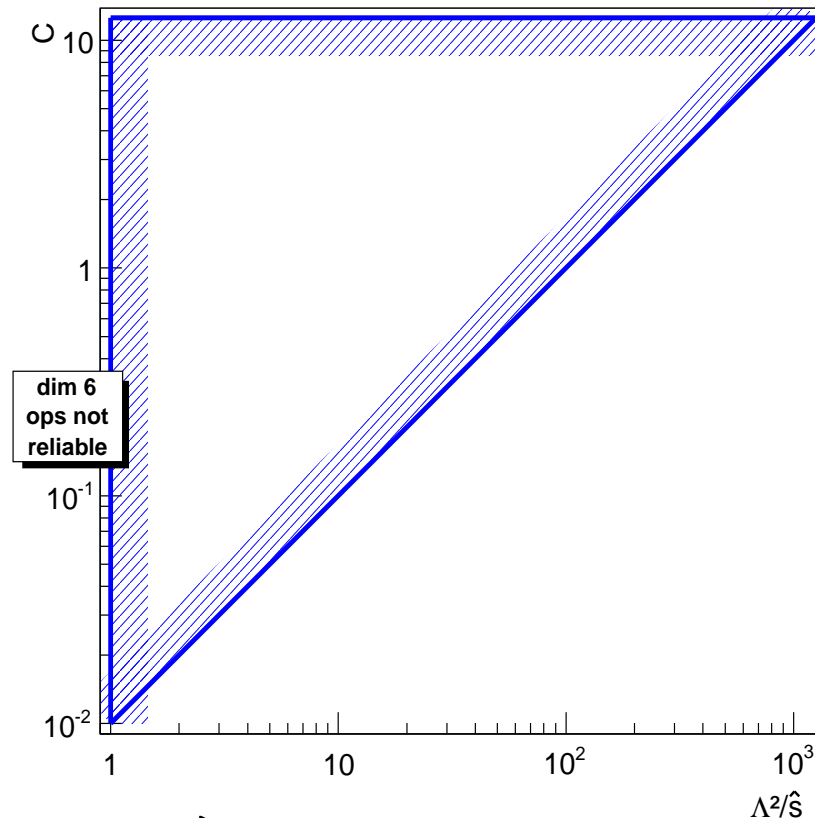
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Ex: $BR(h \rightarrow \tau^+ \mu^-) \sim .01$, induced by $\frac{C}{\Lambda_{NP}^2} H^\dagger H \bar{\ell}_\mu H \tau_R$:

$$\sqrt{BRy_b} < C \frac{m_h^2}{\Lambda_{NP}^2} < 4\pi$$

...can probe $\left\{ \begin{array}{l} C \gtrsim 1 \\ C \gtrsim 0.1 \end{array} \right\}$ for $\left\{ \begin{array}{l} \Lambda_{NP} \gtrsim 10m_h \\ \Lambda_{NP} \gtrsim 3m_h \end{array} \right\}$.





If a model induces dim-6 ops in that triangle,
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EFT is a perturbative expansion in scale ratios (eg \hat{s}/Λ_{NP}^2)

...so if know \hat{s}/Λ_{NP}^2 , could estimate size of next order term

...but measure $C_6 \frac{\hat{s}}{\Lambda_{NP}^2}$, C_6 unknown (model-dep)

\Rightarrow size of $C_8 \frac{\hat{s}^2}{\Lambda_{NP}^4}$ model-dependent too ??



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to get an idea if dim 6 ops are a good approximation:

1. Consider the formula for your favourite observable in your favourite model
2. expand in $\frac{1}{\Lambda_{NP}^2}$
3. check if the $\mathcal{O}\left(\frac{1}{\Lambda_{NP}^2}\right)$ terms are a good approximation?

Repeat many times.

Are lowest order operators a good approximation? (examples)

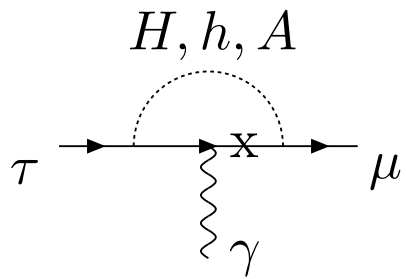
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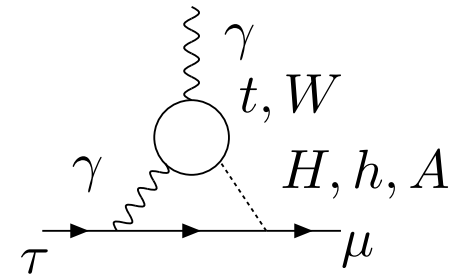
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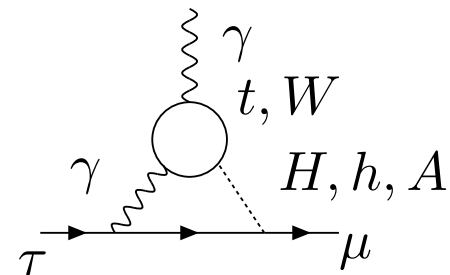
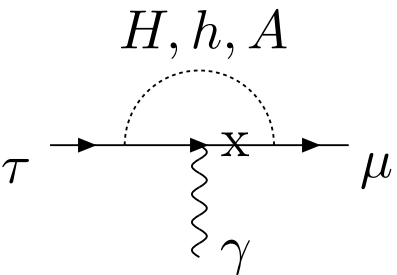


$h \rightarrow \tau\mu, \tau \rightarrow \mu\gamma$ in the 2HDM, with LFV



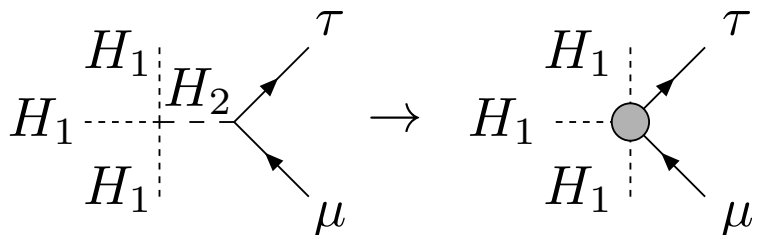
- decoupling limit: $m_{H,A,H^\pm} \approx \Lambda_{NP} \sim 10 m_{W,h}$
 $h \approx$ doublet-with-vev, + other (heavy) doublet $\propto \lambda v^2 / \Lambda^2$
- LeptonFlavourViolation: only for doublet sans-vev (\approx heavy one)

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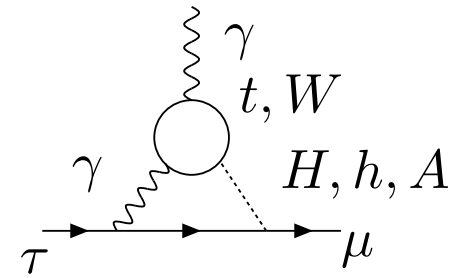
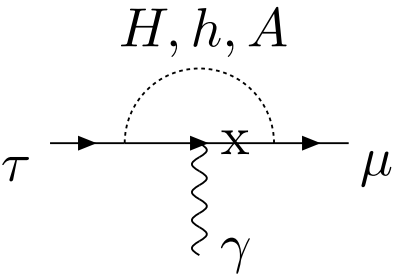


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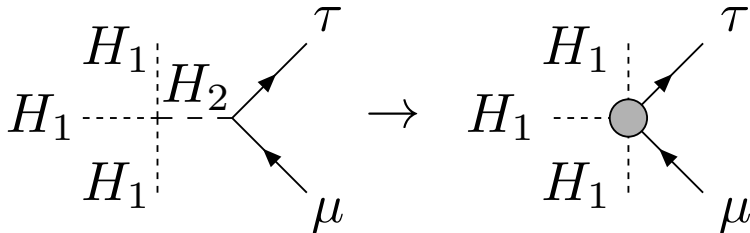


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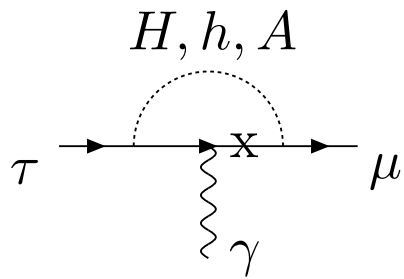


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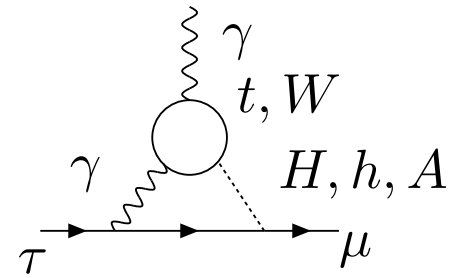
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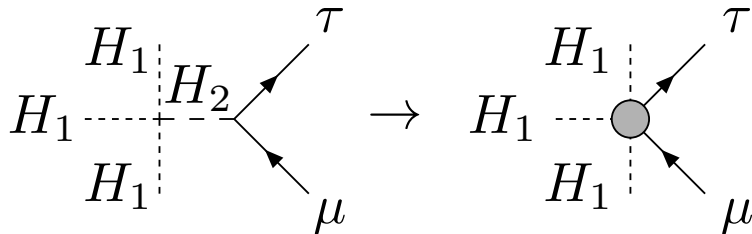


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 dim 6 operators give 1 sig fig, for $v^2/\Lambda^2 \sim .01$

Bjorken-Weinberg

$$\frac{\text{dim } 8}{\text{dim } 6} \sim \tan \beta \frac{v^2}{\Lambda_{NP}^2}, \quad \frac{v^2}{\Lambda_{NP}^2} \ln^2 \left(\frac{v^2}{\Lambda_{NP}^2} \right)$$

(ack: for $z = \frac{v^2}{\Lambda_{NP}^2} = .01, z \ln^2 z \simeq .2$. Also need 2-loop matching @ m_W)

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(May need dim 8 operators for second sigfig, and LO includes 2-loop matching)

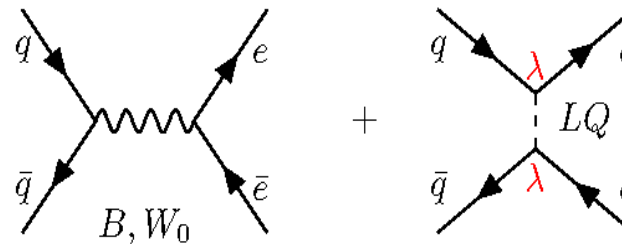
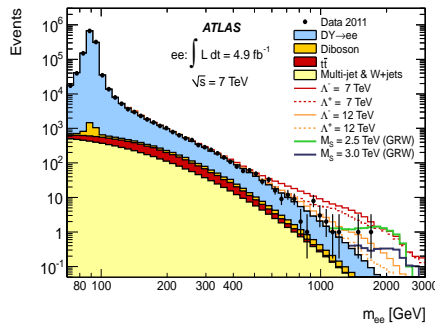
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For $v/\Lambda_{NP} \simeq .1$, Leading Order EFT with dim 6 operators gets 1 sig fig.

(May need dim 8 operators for second sigfig, and LO includes 2-loop matching)

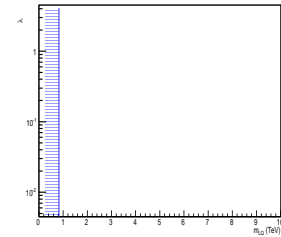
3. high- \hat{s} tail of $pp \rightarrow \ell^+ \ell^-$, mediated by a t -channel leptoquark with $m^2 \gtrsim \hat{s}_{max}$



Leptoquarks in the tail of $pp \rightarrow l^+ l^-$?

At 8 TeV LHC:

1. no pair production of 1st gen. LQ: $m_{LQ} \gtrsim 800$ GeV for $\lambda \gtrsim 10^{-7}$
2. Contact int. search in $pp \rightarrow e^+ e^-$, with $\sqrt{\hat{s}_{max}} \lesssim 2$ TeV: $\Lambda_{CI} \gtrsim 10 - 20$ TeV.
(depends on choice of operator, sign)
 \Rightarrow does Λ_{CI} bd apply to LQ?



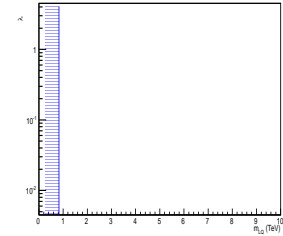
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Two problems:

- ★ large uncertainties: could see $\mathcal{A}_{SM} \sim \mathcal{A}_{CI}$
 \Rightarrow sensitive to $\mathcal{A}_{SM} * \mathcal{A}_{\sum CI} + \sum |\mathcal{A}_{CI}|^2$
But to constrain arbitrary effective op
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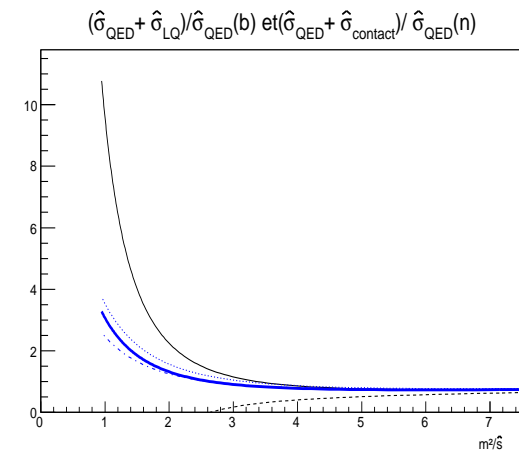
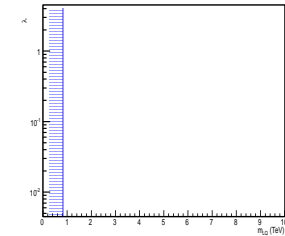
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- ★ \hat{s}/Λ^2 not small ($\sim \alpha$)
 and poor convergence of $\sigma_{t-channel}$
 (expand in $\hat{s}/(\hat{s} + \Lambda^2)$ better)



\Rightarrow fitting distribution tails to a form-factor-motivated function would allow to constrain many models...

*Of the interest of many searches for
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- observable a function of a few (linear combos of) operators coefficients $C(\hat{s})$
- coefficients run and mix with scale

⇒ observables *sensitive* to many coefficients $C(\Lambda_{NP})$
constrain a few linear combination(s) of coefficients

⇒ need diverse observations to independently $\left\{ \begin{array}{l} \text{constrain all} \\ \text{determine non - zero} \end{array} \right\}$ coefficients

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ex $\mu \rightarrow e\gamma$: mediated at m_μ by dipole operators:

$$C_{\mu \rightarrow e\gamma, L} m_\mu 2\sqrt{2} G_F \bar{e} \sigma^{\alpha\beta} P_L \mu F_{\alpha\beta} \quad , \quad C_{\mu \rightarrow e\gamma, R} m_\mu 2\sqrt{2} G_F \bar{e} \sigma^{\alpha\beta} P_R \mu F_{\alpha\beta}$$

$$BR(\mu \rightarrow e\gamma) = 384\pi^2 (|C_{\mu \rightarrow e\gamma, L}|^2 + |C_{\mu \rightarrow e\gamma, R}|^2) \leq 4.2 \times 10^{-13}$$

$$\Rightarrow |C_{\mu \rightarrow e\gamma, L}|, |C_{\mu \rightarrow e\gamma, R}| < 10^{-8}$$

MEG,1605.05081

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MEG,1605.05081

But (at some order in loop/coupling expansions), *all* dim 6 $\mu \rightarrow e$ operators contribute!

Eg, at Λ_{NP} (including 1-loop RGEs + some higher-loop matching corrections, $2\sqrt{2}G_F = 1/v^2 = 1/m_t^2$):

$$\begin{aligned} 10^{-8} \frac{\Lambda^2}{m_t^2} &\gtrsim C_{e\gamma}^{\mu e*}(\Lambda) - 0.016 C_{EH}^{\mu e*}(\Lambda) + 0.001 C_{HE}^{e\mu}(\Lambda) - 0.0043 C_{eZ}^{\mu e*}(\Lambda) \ln \frac{\Lambda}{m_W} - 59 C_{LEQU(3)}^{\mu ett*}(\Lambda) \ln \frac{\Lambda}{m_W} \\ &\quad - C_{LEQU(3)}^{\mu e cc*}(\Lambda) \left(0.43 \ln \frac{\Lambda}{m_W} + 1.5 \right) + 0.039 C_{LEQU(1)}^{\mu ett*}(\Lambda) \ln^2 \frac{\Lambda}{m_W} \\ &\quad + 0.002 \left(1 + \ln \frac{\Lambda}{m_W} \right) C_{LEQU(1)}^{\mu e cc*}(\Lambda) - 4.8 \times 10^{-5} \ln^2 \frac{\Lambda}{m_W} \left(C_{EQ}^{\mu ett*}(\Lambda) + C_{EU}^{\mu ett*}(\Lambda) \right) \end{aligned}$$

Does $BR(\mu \rightarrow e\gamma)$ imply that the LHC cannot see $h \rightarrow \mu^\pm e^\mp$?

Suppose:

$$\text{at } \Lambda_{NP}: \quad \mathcal{L}_{SM} \quad + \quad \frac{C_h}{v^2} H^\dagger H \bar{\ell}_\mu H e \quad + \quad \dots \quad \dots \quad + \quad \frac{C_{\mu \rightarrow e\gamma} Y_\mu}{v^2} \bar{\ell}_\mu H \sigma \cdot F e$$

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Suppose:

at Λ_{NP} : $\mathcal{L}_{SM} + \frac{C_h}{v^2} H^\dagger H \bar{\ell}_\mu H e + \dots \dots + \frac{C_{\mu \rightarrow e\gamma} Y_\mu}{v^2} \bar{\ell}_\mu H \sigma \cdot F e$

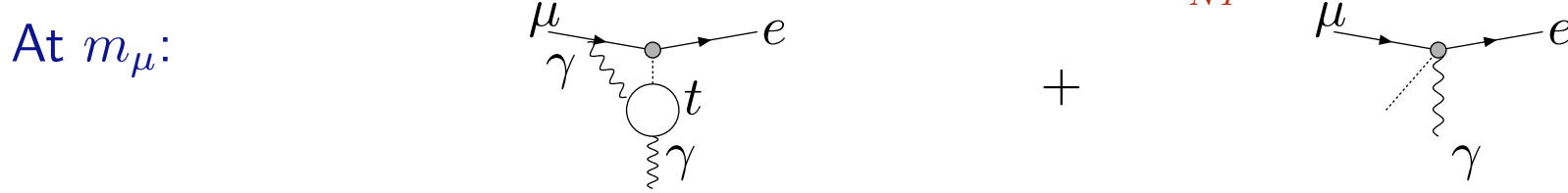
At m_h : h decays to $\mu^\pm e^\mp$; $BR < 0.04 \Rightarrow \frac{C_h v^2}{\Lambda_{NP}^2} \lesssim 5 \times 10^{-3}$. CMS,1607.03561

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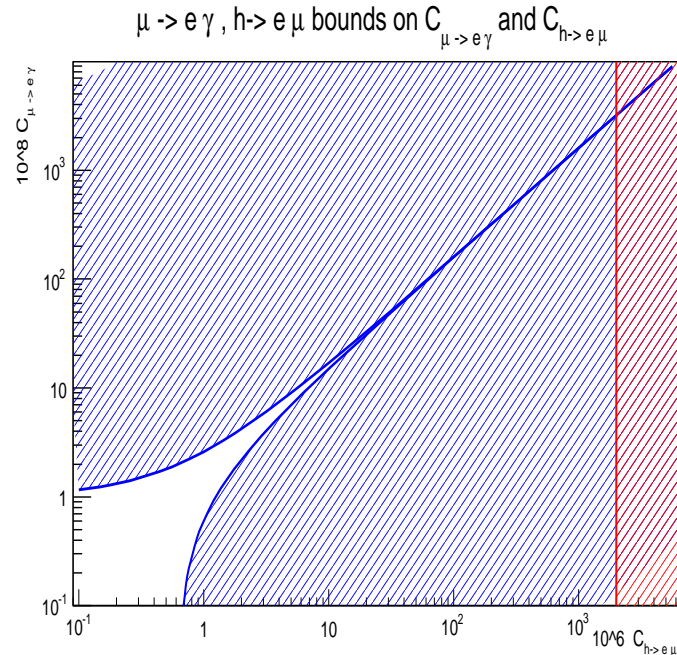
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$$BR(\mu \rightarrow e\gamma) \Rightarrow \left| \frac{e\alpha}{8\pi^3 Y_\mu} C_h - C_{\mu \rightarrow e\gamma} \right| \lesssim 10^{-8} \frac{\Lambda^2}{v^2}, \quad \frac{e\alpha}{8\pi^3 Y_\mu} \sim 10^{-2}$$

$\Rightarrow \mu \rightarrow e\gamma$ sensitive to $C_h v^2 / \Lambda^2 \gtrsim 10^{-6}$...

but if you admit cancellation up to one part per mil between C_h and C_{meg} , LHC can see $h \rightarrow \mu^\pm e^\mp$ now.



Summary

EFT is the way we do physics:

1. chose a scale E and relevant variables
2. perturb in scale ratios, *eg* E/M for $M \gg E$

works for β -decay, quark flavour physics, etc

If you know the high-scale theory (top-down perspective), the EFT expansion in scale ratios is a simple way to get the answer to the desired accuracy = precision can be estimated

(just work to required order in all expansions)

precision harder to quantify “bottom-up”: does EFT reproduce your favourite model?

(if not, explore your favourite model differently—simplified models, form factors, pseudo-observables etc)

Instead of a summary: why I do bottom-up EFT for leptons

There has to be New Physics in the lepton sector; we just don't know the mass scale of the couplings. Lets *assume* its heavy NP.

Lots of models of heavy NP to give neutrino masses... but I don't know how to model-build, and anyway, why should new physics align with our cannons of beauty?

⇒ can I restrict/reconstruct the NP Lagrangian from the data?

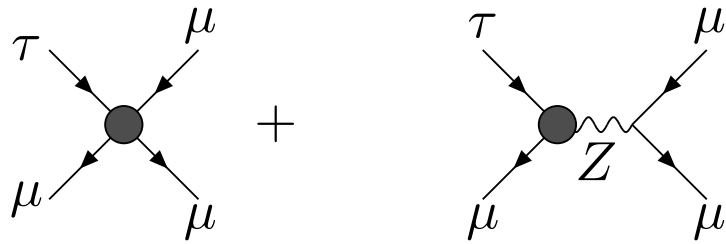
1. using EFT, parametrise NP with dim 6 (maybe 8?) operators \Leftrightarrow observables as a function of operator coefficients at exptal scale.
2. translate exptal bounds/observations to Λ_{NP} (in progress: dynamics is SM, nonetheless tricky).
3. *If I know $\mathcal{L}_{eff}(\Lambda_{NP})$, what can I learn about the fundamental Lagrangian?*

What does data tell me about New Physics?

Backup

Why searching for all observables is interesting...(another example)

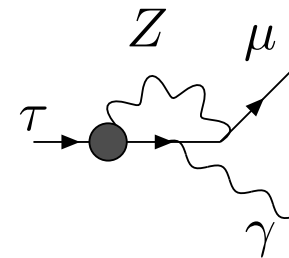
1. A Z penguin gives $\bar{\tau} \not{D} \mu$, which contributes at tree to $\tau \rightarrow \mu \bar{l} l$, in combination with $(\bar{\mu} \Gamma \tau)(\bar{l} \Gamma l)$:



2. Can ask “is is interesting for the LHC to search for $Z \rightarrow \tau^\pm \mu^\mp$?”

For LHC8 to see, need penguin coefficient \gtrsim “naive” bound from $\tau \rightarrow \mu \bar{l} l$ (“naive” = neglect possible cancellation with 4-f operator).

\Rightarrow cancellations possible; but what about the bound on the penguin from $\tau \rightarrow \mu \gamma$?



$\tau \rightarrow \mu \gamma$ bound negligible, so interesting for LHC to look for $\tau \rightarrow \mu \gamma$. Same argument suggests they should not see $Z \rightarrow \mu^\pm e^\mp$.

The BWP basis: 2q2l and 4l

$$\mathcal{O}_{LQ}^{(1)e\mu\nu m} = \frac{1}{2}(\bar{L}_e \gamma^\alpha L_\mu)(\bar{Q}_n \gamma^\alpha Q_m)$$

$$\mathcal{O}_{LQ}^{(3)e\mu\nu m} = \frac{1}{2}(\bar{L}_e \gamma^\alpha \tau^a L_\mu)(\bar{Q}_n \gamma^\alpha \tau^a Q_m)$$

$$\mathcal{O}_{EQ}^{e\mu\nu m} = \frac{1}{2}(\bar{E}_e \gamma^\alpha E_\mu)(\bar{Q}_n \gamma^\alpha Q_m)$$

$$\mathcal{O}_{LU}^{e\mu\nu m} = \frac{1}{2}(\bar{L}_e \gamma^\alpha L_\mu)(\bar{U}_n \gamma^\alpha U_m)$$

$$\mathcal{O}_{LD}^{e\mu\nu m} = \frac{1}{2}(\bar{L}_e \gamma^\alpha L_\mu)(\bar{D}_n \gamma^\alpha D_m)$$

$$\mathcal{O}_{EU}^{e\mu\nu m} = \frac{1}{2}(\bar{E}_e \gamma^\alpha E_\mu)(\bar{U}_n \gamma^\alpha U_m)$$

$$\mathcal{O}_{ED}^{e\mu\nu m} = \frac{1}{2}(\bar{E}_e \gamma^\alpha E_\mu)(\bar{D}_n \gamma^\alpha D_m)$$

$$\mathcal{O}_{LEQU}^{e\mu\nu m} = (\bar{L}_e^A E_\mu) \epsilon_{AB} (\bar{Q}_n^B U_m)$$

$$\mathcal{O}_{LEQU}^{\mu enm} = (\bar{L}_\mu^A E_e) \epsilon_{AB} (\bar{Q}_n^B U_m)$$

$$\mathcal{O}_{LEDQ}^{e\mu\nu m} = (\bar{L}_e E_\mu)(\bar{D}_n Q_m)$$

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$$\mathcal{O}_{LE}^{e\mu ii} = \frac{1}{2}(\bar{L}_e \gamma^\alpha L_\mu)(\bar{E}_i \gamma^\alpha E_i)$$

$$\mathcal{O}_{LE}^{ii e\mu} = \frac{1}{2}(\bar{L}_i \gamma^\alpha L_i)(\bar{E}_e \gamma^\alpha E_\mu)$$

$$\mathcal{O}_{EE}^{e\mu ii} = \frac{1}{2}(\bar{E}_e \gamma^\alpha E_\mu)(\bar{E}_i \gamma^\alpha E_i)$$

$$-\frac{1}{2}\mathcal{O}_{LE}^{e\tau\tau\mu} = (\bar{L}_e E_\mu)(\bar{E}_\tau L_\tau)$$

$$-\frac{1}{2}\mathcal{O}_{LE}^{\mu\tau\tau e} = (\bar{L}_\mu E_e)(\bar{E}_\tau L_\tau)$$

The BWP basis: 2I

$$\begin{aligned}
 \mathcal{O}_{EH}^{e\mu} &= H^\dagger H \bar{L}_e H E_\mu & \mathcal{O}_{EH}^{\mu e} &= H^\dagger H \bar{L}_\mu H E_e \\
 \mathcal{O}_{eW}^{e\mu} &= y_\mu (\bar{L}_e \vec{\tau}^a H \sigma^{\alpha\beta} E_\mu) W_{\alpha\beta}^a & \mathcal{O}_{eW}^{\mu e} &= y_\mu (\bar{L}_\mu \vec{\tau}^a H \sigma^{\alpha\beta} E_e) W_{\alpha\beta}^a \\
 \mathcal{O}_{eB}^{e\mu} &= y_\mu (\bar{L}_e H \sigma^{\alpha\beta} E_\mu) B_{\alpha\beta} & \mathcal{O}_{eB}^{\mu e} &= y_\mu (\bar{L}_\mu H \sigma^{\alpha\beta} E_e) B_{\alpha\beta} \\
 \mathcal{O}_{HL}^{(1)e\mu} &= i(\bar{L}_e \gamma^\alpha L_\mu) (H^\dagger \overleftrightarrow{D}_\alpha H) \\
 \mathcal{O}_{HL}^{(3)e\mu} &= i(\bar{L}_e \gamma^\alpha \vec{\tau} L_\mu) (H^\dagger \overleftrightarrow{D}_\alpha \vec{\tau} H) \\
 \mathcal{O}_{HE}^{e\mu} &= i(\bar{E}_e \gamma^\alpha E_\mu) (H^\dagger \overleftrightarrow{D}_\alpha H)
 \end{aligned}$$

where $i(H^\dagger \overleftrightarrow{D}_\alpha H) \equiv i(H^\dagger D_\alpha H) - i(D_\alpha H)^\dagger H$, and $D_\alpha = \partial_\alpha + i\frac{g}{2}W_\alpha^a \tau^a + i\frac{g'}{2}B_\alpha$. (The sign in the covariant derivative fixes the sign of the penguin operator and the SM Z vertex.)