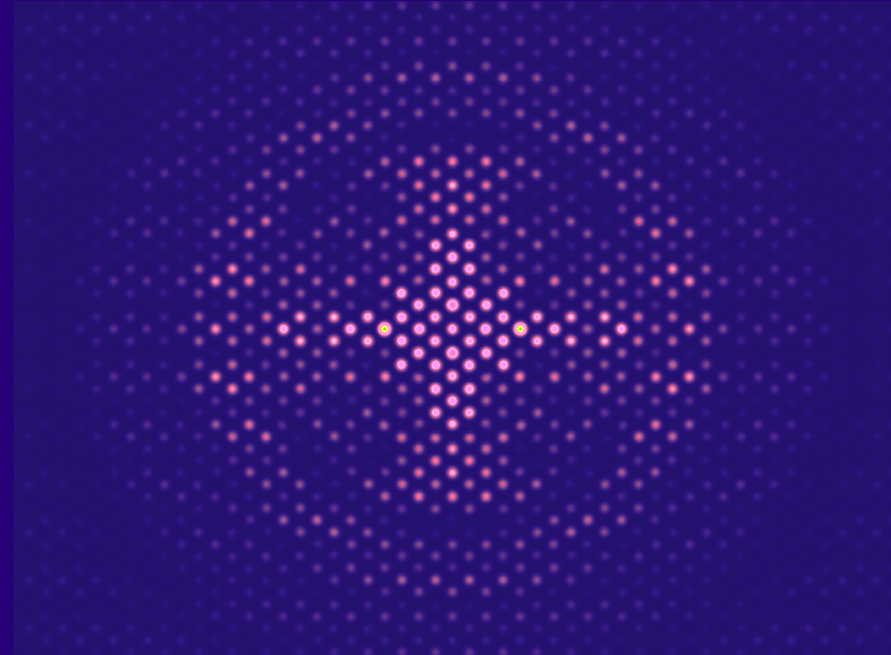
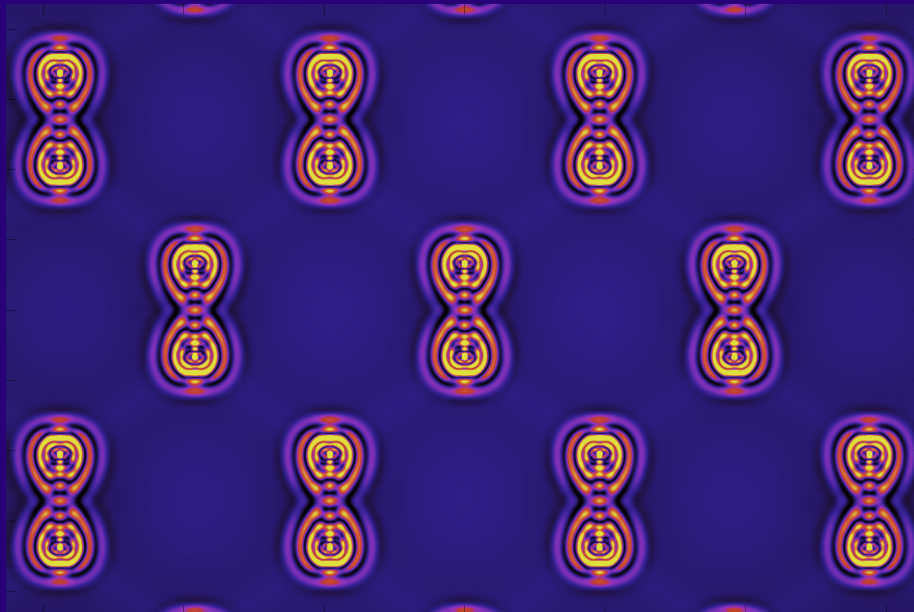


On classical and quantum effects at scattering of ultrarelativistic electrons in ultrathin crystals

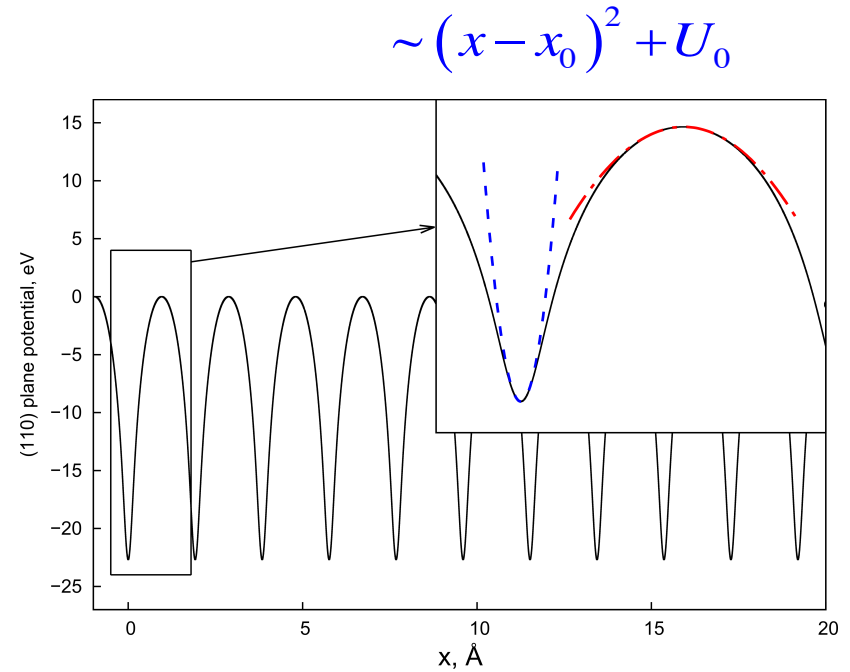
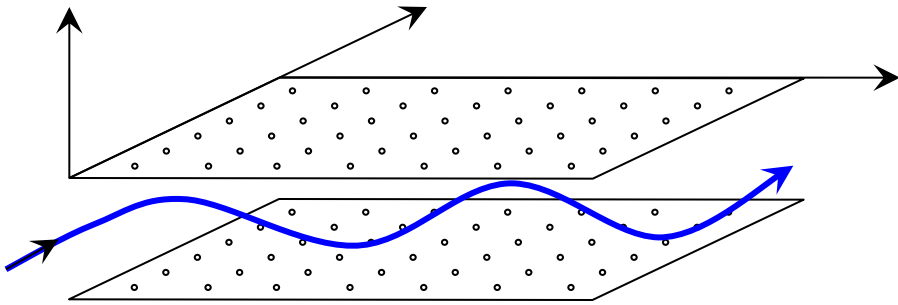
S.N. Shulga

*Akhiezer Institute for Theoretical Physics of NSC KIPT, Kharkiv, Ukraine
Karazin Kharkiv National University, Kharkiv, Ukraine*



Continuous Planes Potential

$$U_{pl}(x) = \frac{1}{a_{pl}} \int_0^{a_{pl}} dy U_{ax}(x, y)$$



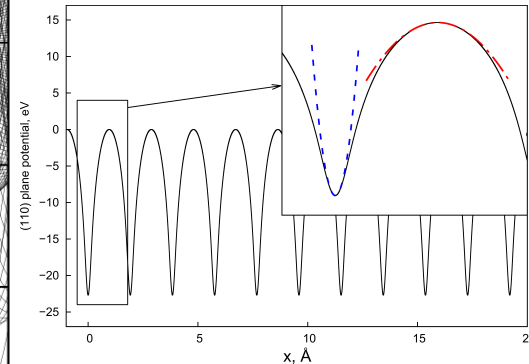
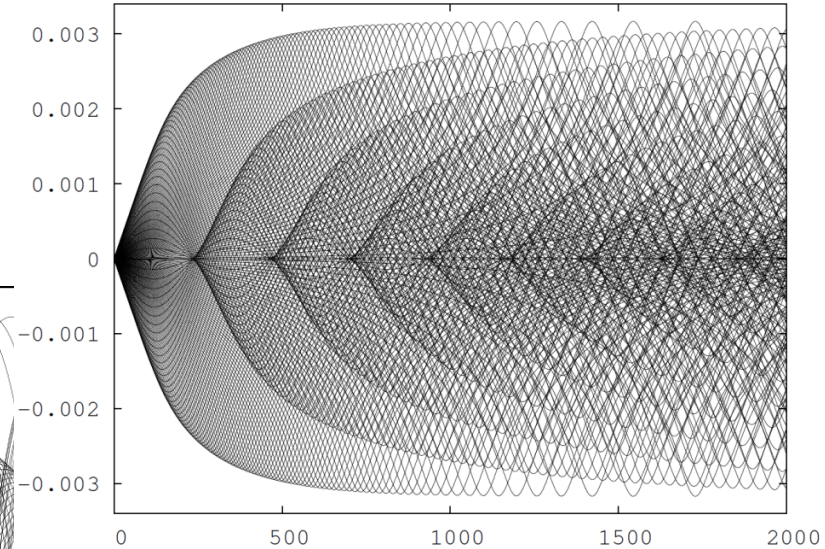
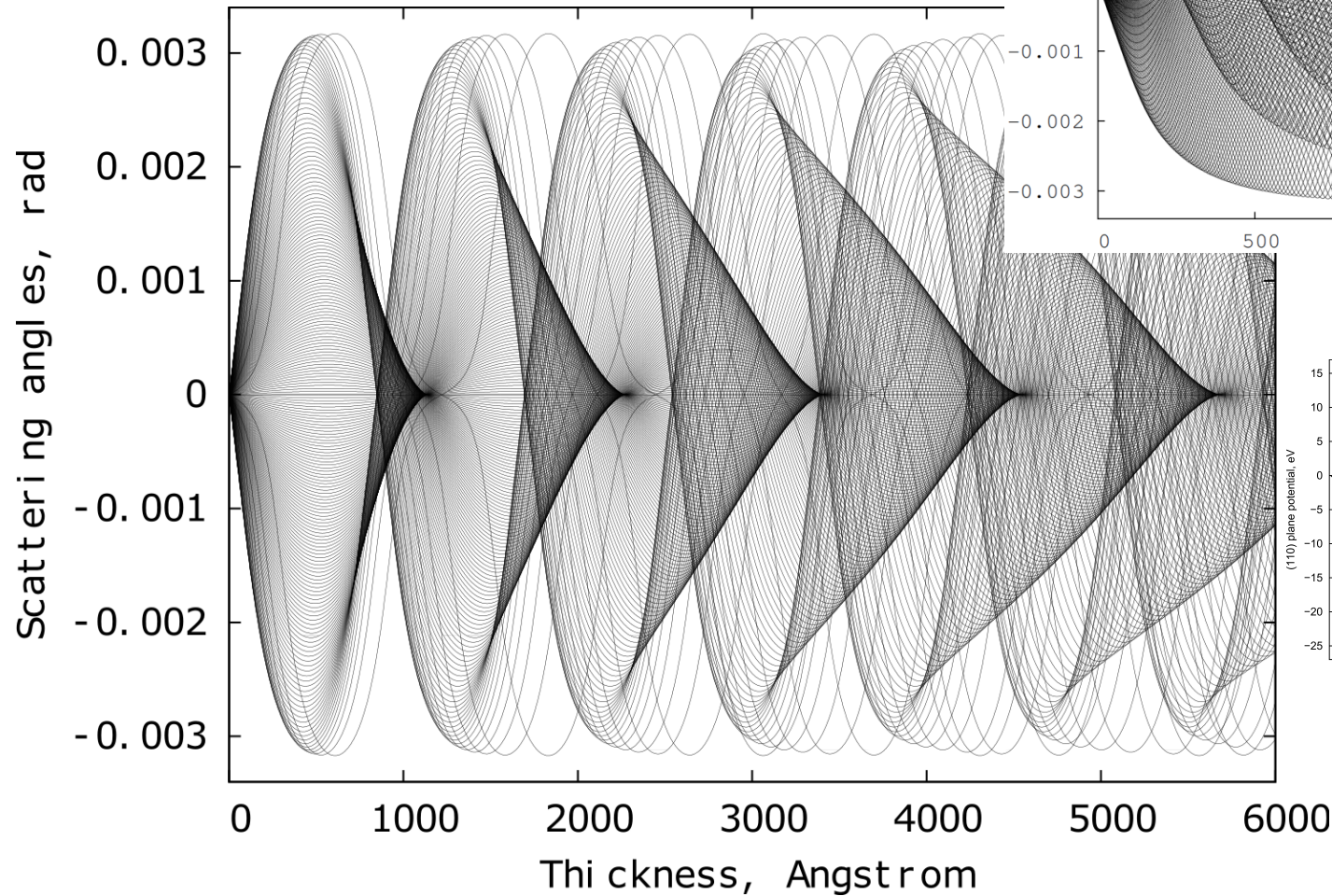
$$U_{ax}(\vec{\rho}) = \frac{1}{a_1 \bar{v}^2} \int d^2 v e^{-v^2/2\bar{v}^2} \int_{-\infty}^{\infty} dz u(\vec{\rho} + \vec{v}, z) \quad - \quad \text{string potential}$$

v - heat oscillations of atom coordinates

$$u_m(r) = \sum_i \alpha_i \exp(-\beta_i r/R) \quad - \quad \text{Moliere approximation for single atoms}$$

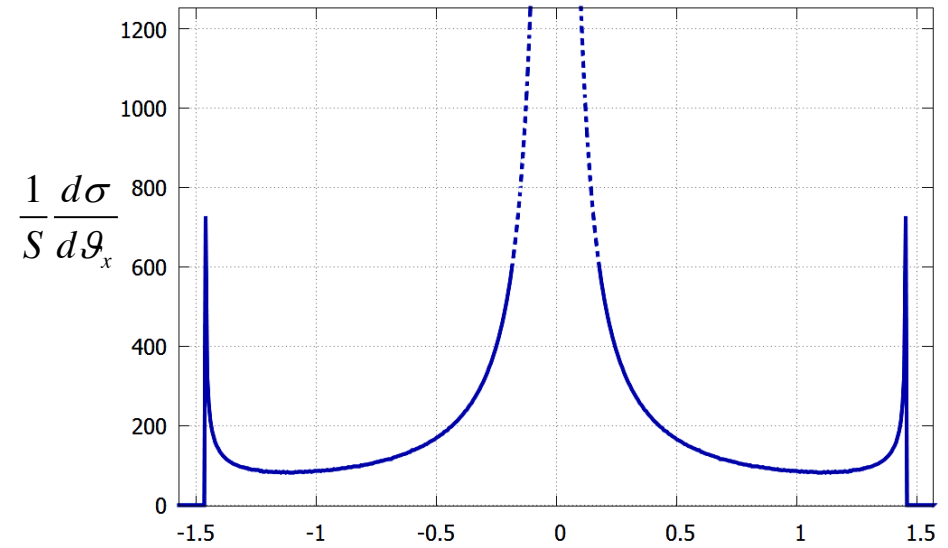
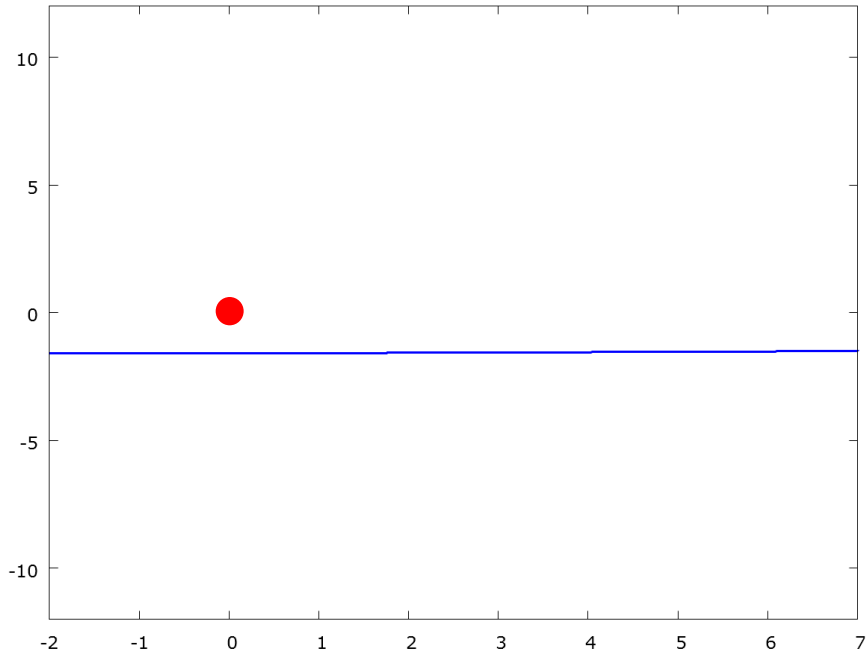
Classical scattering angles of electrons and protons in (110) planes of Si crystal as function of impact parameter and crystal thickness

$$\theta = -\frac{1}{E} \frac{\partial}{\partial x} U(x)$$

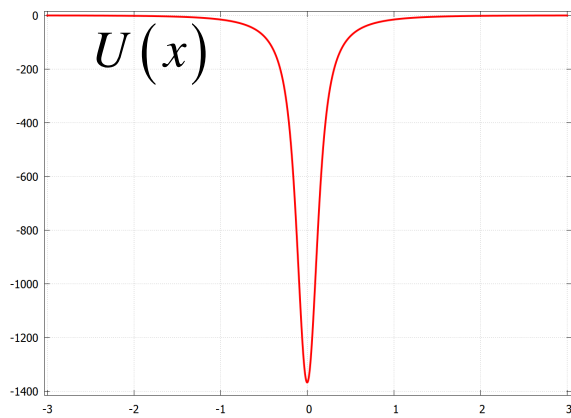


Classical rainbow on one atom

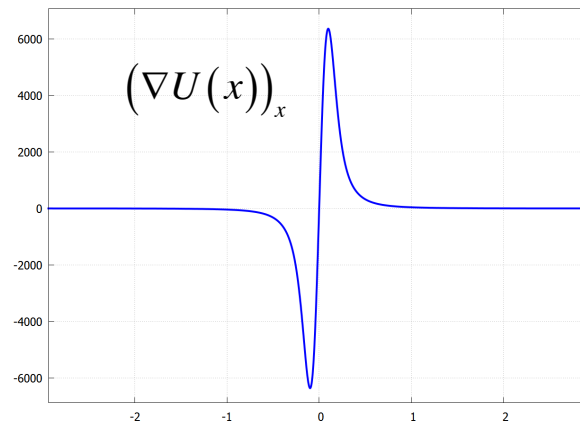
Rainbow scattering of e^- 's on one atom



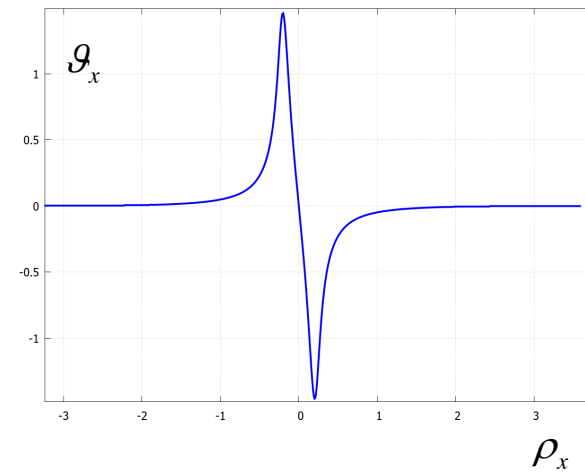
Moliere potential with heat oscillations



Potential gradient

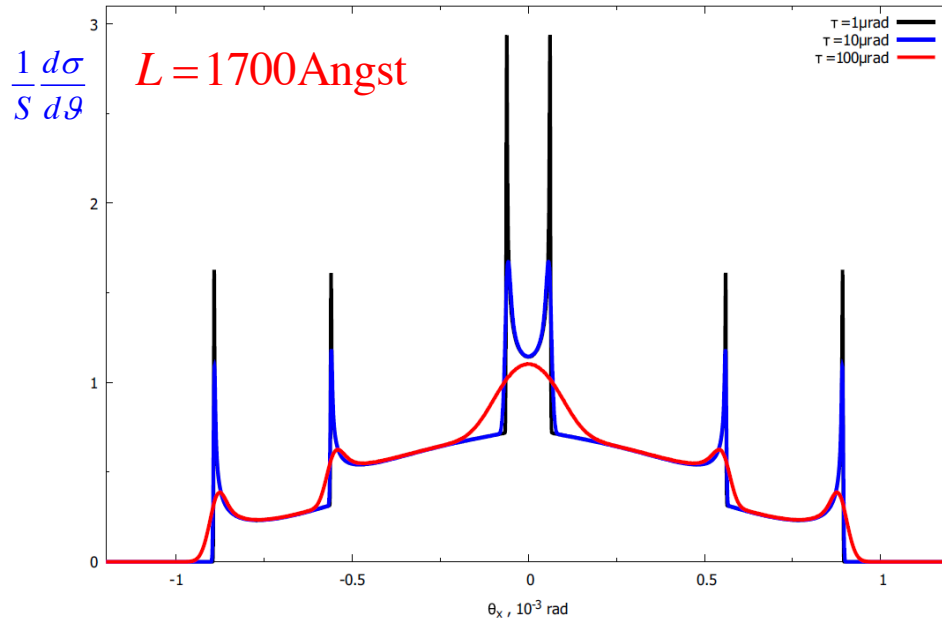
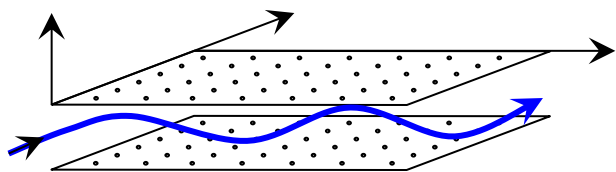
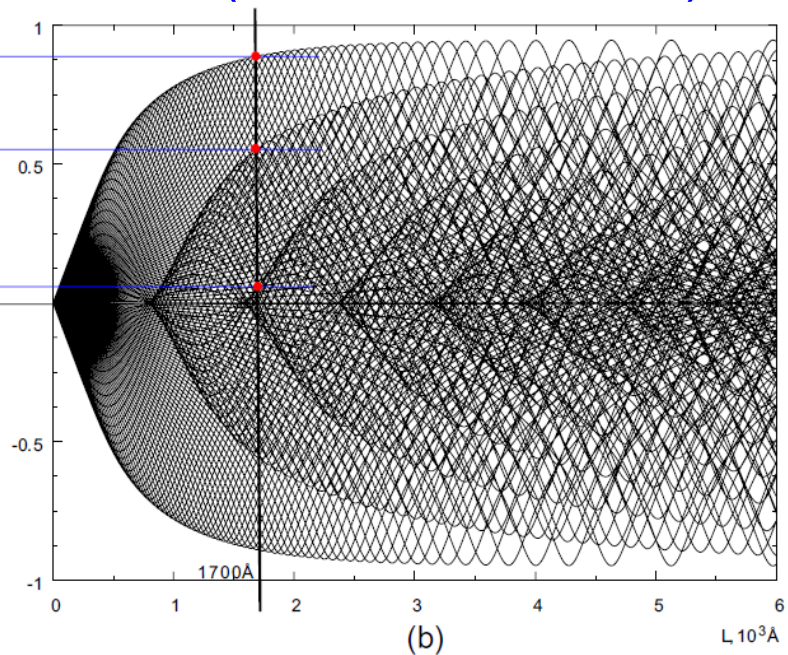
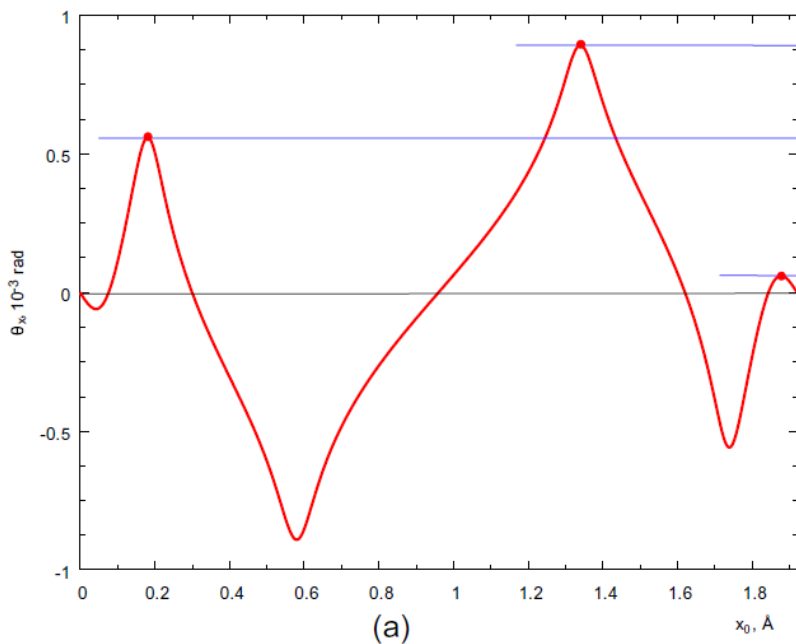


Deflection function

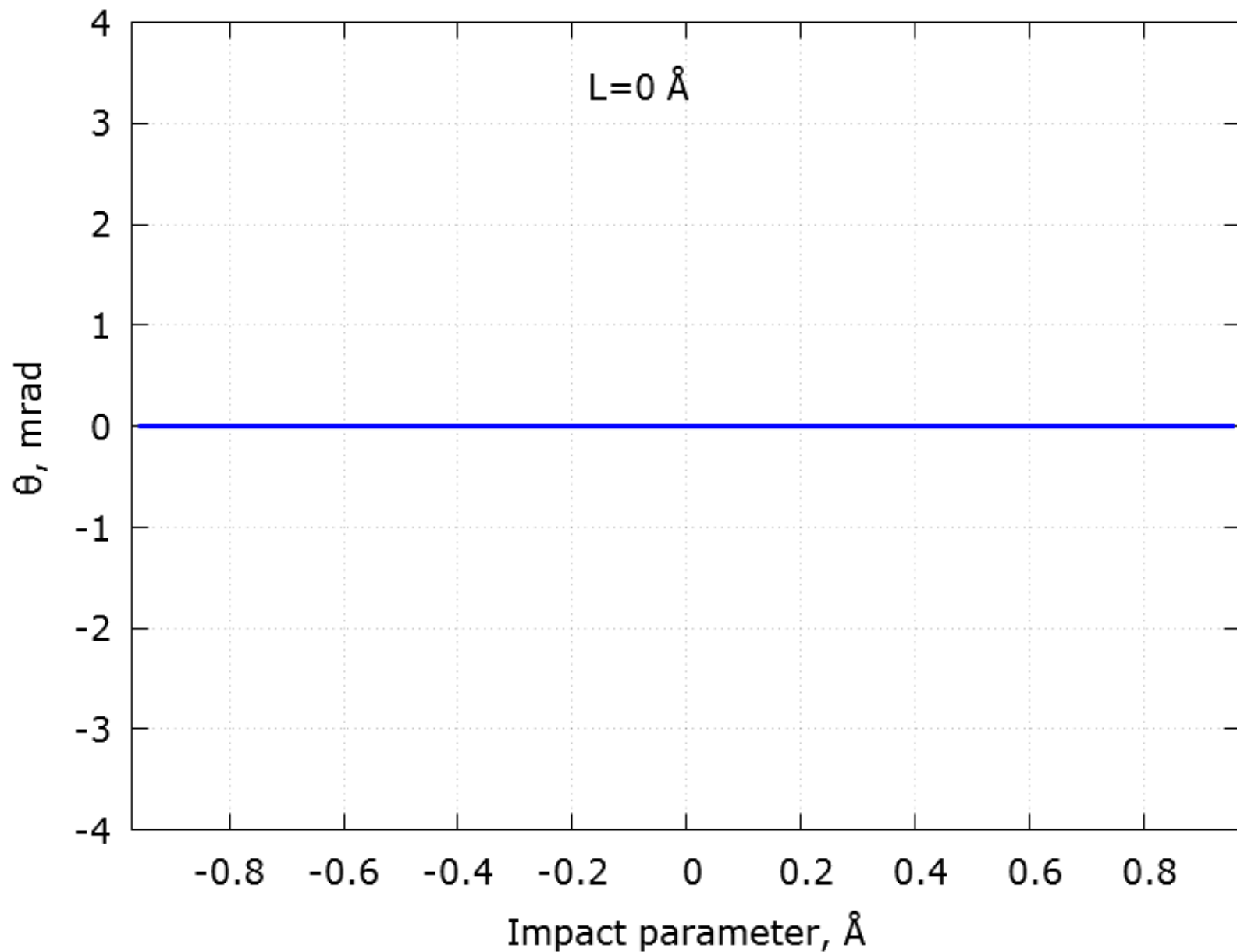


ρ_x

Deflection function and classical rainbow (e- 50MeV Si (110))



Deflection function of 4 MeV electrons in ultrathin Si (110) crystal planes



Quantum consideration: Spectral (Operator) Method

$$\psi = e^{i(pz - \varepsilon t)} \varphi(x, t)$$

$$\psi_{in} = e^{i\vec{p}\vec{r}} \rightarrow \psi_f = e^{i\vec{p}'\vec{r}} \varphi(\vec{r})$$

$$i\hbar \partial_t \varphi = \left(-\frac{\hbar^2}{2\varepsilon} \frac{d^2}{dx^2} + U(x) \right) \varphi$$

- wave function iteration $\varphi(x, t + \Delta t) = e^{-\frac{i}{\hbar}(\hat{H}_0 + U(x))\Delta t} \varphi(x, t)$
- recurrent relation $\varphi(x, t + N\Delta t)$
- **Correlation function. Scattering cross - section**

Optics (resonant frequencies in waveguides and optical fibers)

M. Feit, J. Fleck et al. J. Comp. Phys. 47 (1982) 412.

Nuclear Physics

Yu. Bolotin et al. Phys. Lett. A 323 (2004) 218.

Channeling

S. Dabagov et al. NIM B30 (1988) 185 ($\varepsilon \sim \text{MeV}$)

A. Kozlov, N. Shul'ga, et al. Phys. Lett. A374 (2010) 4690 (levels and zone structure)

N. Shul'ga, V. Syshchenko et al. NIM B309 (2010) 153 (levels for dynamical chaos in thick crystals)

S. Shul'ga, N. Shul'ga Phys. Lett. B (2017) 769 (scattering)

Wave function calculation in Operator Method

$$\varphi(x, t + \Delta t) = e^{-\frac{i}{\hbar}(\hat{H}_0 + U(x))\Delta t} \varphi(x, t): \text{non-commuting operators in exp}$$

$$e^{-\frac{i}{\hbar}(\hat{H}_0 + U(x))\Delta t} \neq e^{-\frac{i}{\hbar}\hat{H}_0\Delta t} e^{-\frac{i}{\hbar}U(x)\Delta t}$$

Zassenhaus formula

$$e^{\delta(C+D)} = e^{\delta C} e^{\delta D} e^{\delta^2 [C,D]} e^{\frac{1}{6}\delta^3 (2[D,[C,D]] + [C,[C,D]])} \cdot (1 + O(\delta^4))$$

$$e^{-\frac{i}{\hbar}\hat{H}\Delta t} = e^{\frac{1}{2}B\Delta t} e^{A\Delta t} e^{\frac{1}{2}B\Delta t},$$

$$A = -\frac{i\hbar}{2E_p/c^2} \frac{d^2}{dx^2}, \quad B = -\frac{i}{\hbar} eU(x)$$

precision up to $\sim \Delta t^3$

$$\varphi_n = \frac{1}{N} \sum_{k=0}^{N-1} e^{i\frac{2\pi}{N}nk} \sum_{m=0}^{N-1} e^{-i\frac{2\pi}{N}km} \varphi_m \quad - \text{Fourier expansion}$$

$$G(x) \equiv \frac{eU(x)}{2\hbar}, \quad \zeta \equiv \frac{\hbar\Delta t}{2E_p/c^2}$$

$$\psi(t + \Delta t);$$

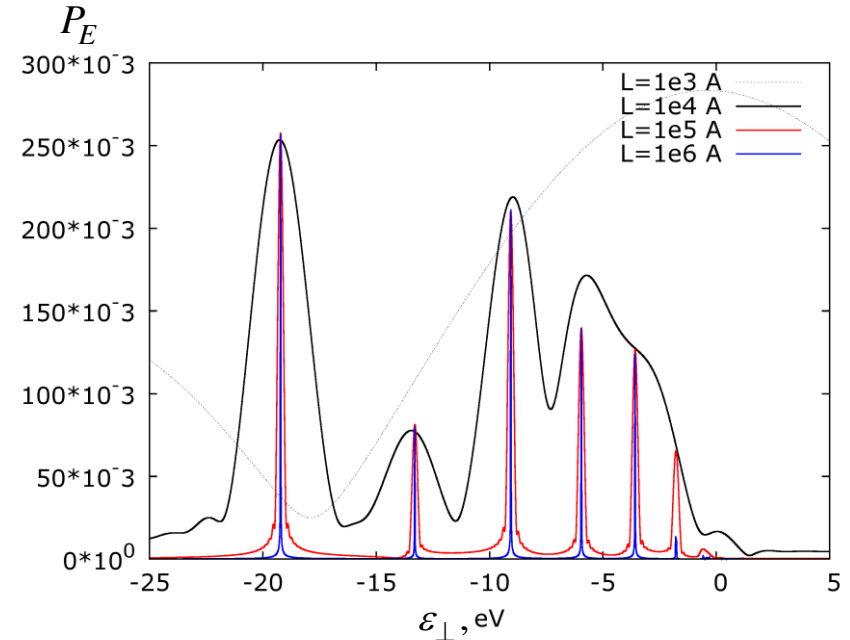
$$= \exp(-iG(x)\Delta t) \cdot \frac{1}{N} \sum_{k=0}^{N-1} e^{i\frac{2\pi}{N}nk} \left\{ \exp\left[-i\zeta \left(\frac{2\pi}{N\Delta x}k\right)^2\right] \cdot \sum_{m=0}^{N-1} e^{-i\frac{2\pi}{N}km} \exp\left(\frac{-iG_m\Delta t}{4}\right) \psi_m \right\}$$

Calculation of Energy Spectrum of Channeling Radiation by the Spectral Method

$$P(t) = \int_{-\infty}^{\infty} dx \psi^*(x, t=0) \psi(x, t)$$

$$P_E = \frac{1}{T} \int_0^T dt \exp(iEt/\hbar) P(t) w(t)$$

$$h\omega \xrightarrow[\text{Lorentz Transf.}]{\text{Doppler eff.}} 2\gamma^2 \cdot h\omega$$



PHIL	$\varepsilon \sim 5\text{MeV}$	$h\omega_{obs} \sim 1\text{keV}$
ThomX	$\varepsilon \sim 50\text{MeV}$	$h\omega_{obs} \sim 100\text{keV}$
PRAE	$\varepsilon \sim 140\text{MeV}$	$h\omega_{obs} \sim 500\text{keV}$

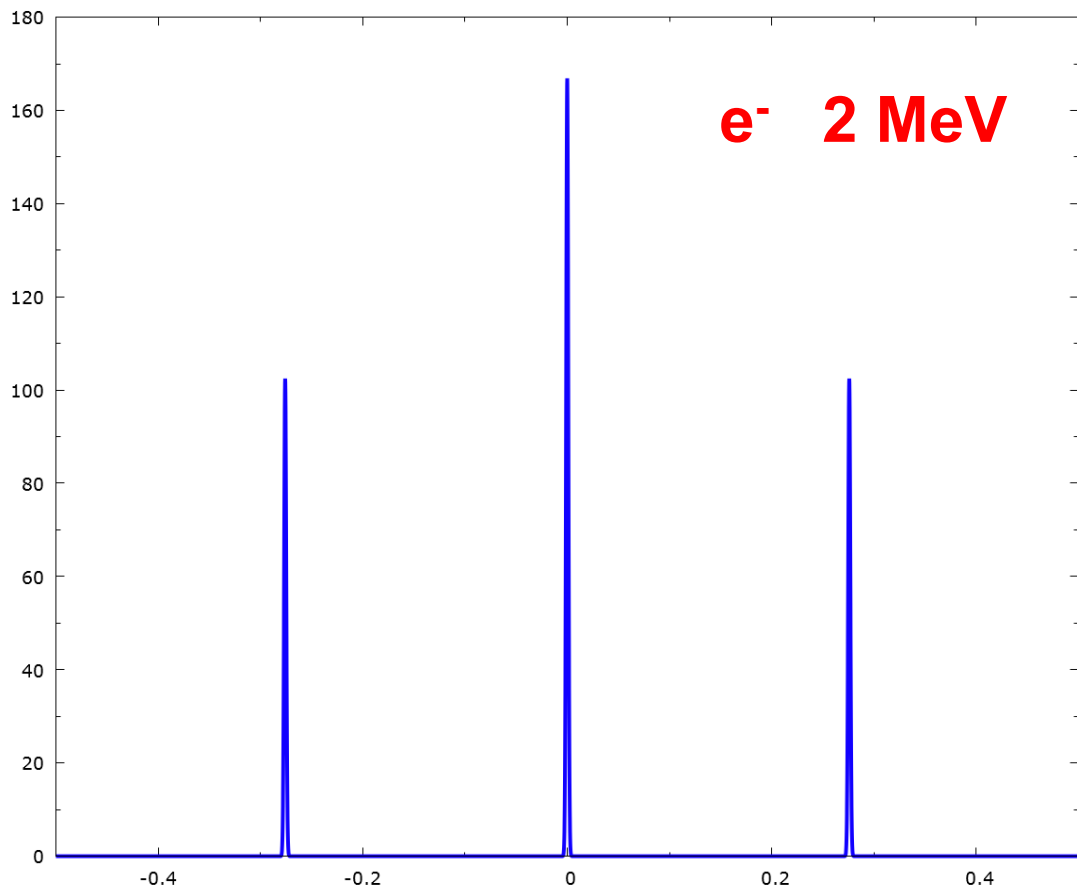
Levels of transversal energy of 50MeV electrons in Si crystal (planar scattering)

$$\text{planar scattering } N_{levels} \sim \sqrt{\varepsilon [\text{MeV}]}$$

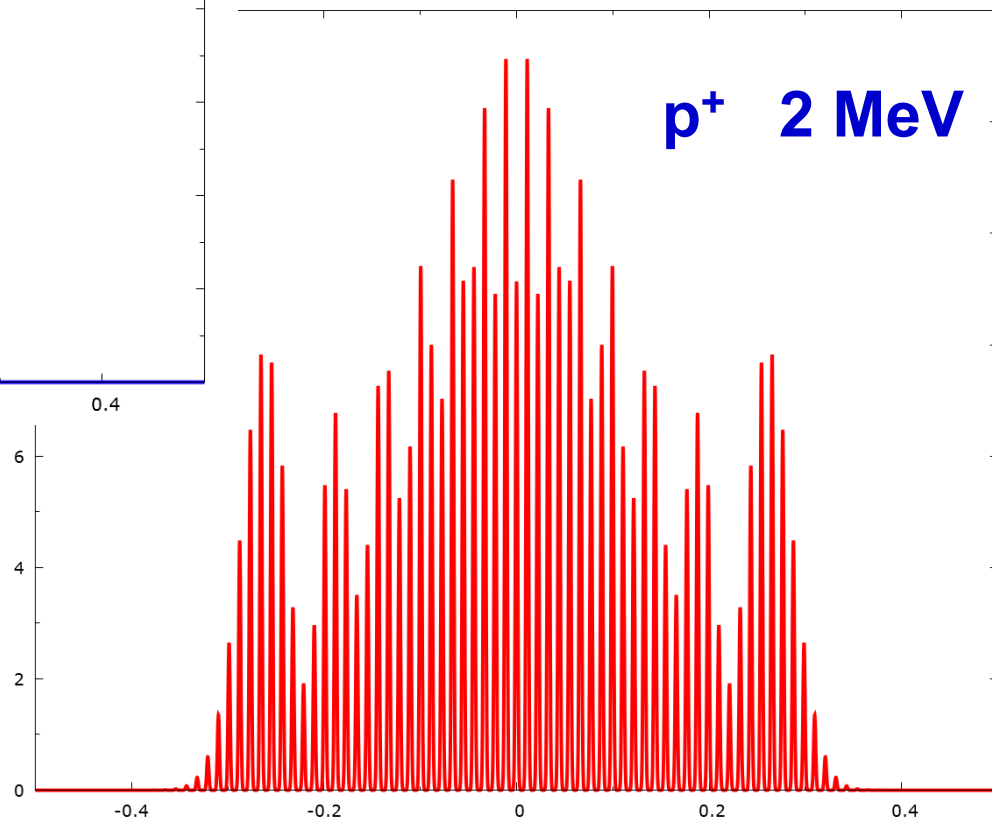
$$\text{axial scattering } N_{levels} \sim \varepsilon [\text{MeV}]$$

What radiation should we get at scattering by ultrathin crystal?
Possible application for study of quantum chaos

Plane wave scattering on a thin crystal (Si (110) 170Å)



$$\Delta\psi = 2\pi h/d_x p_P$$



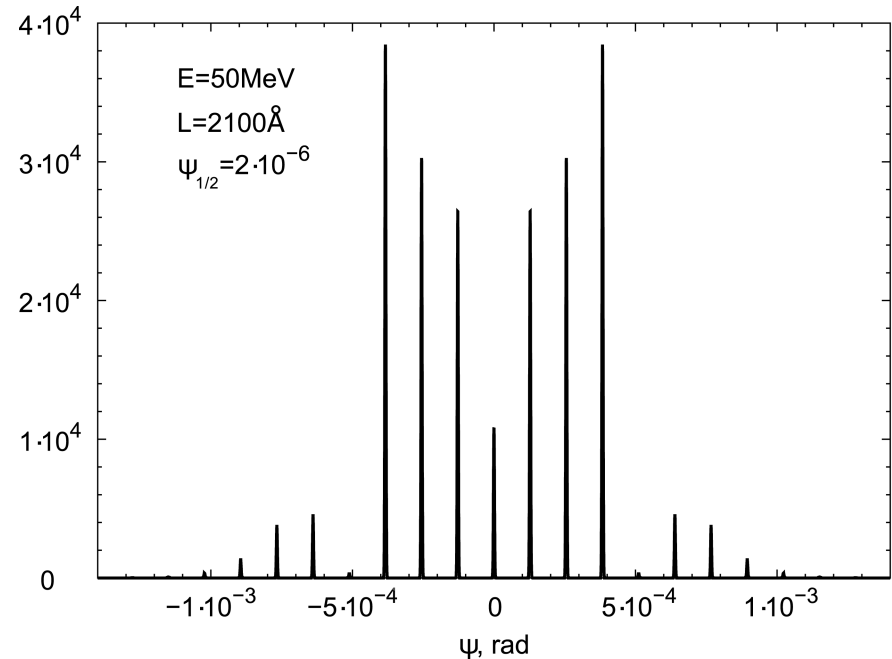
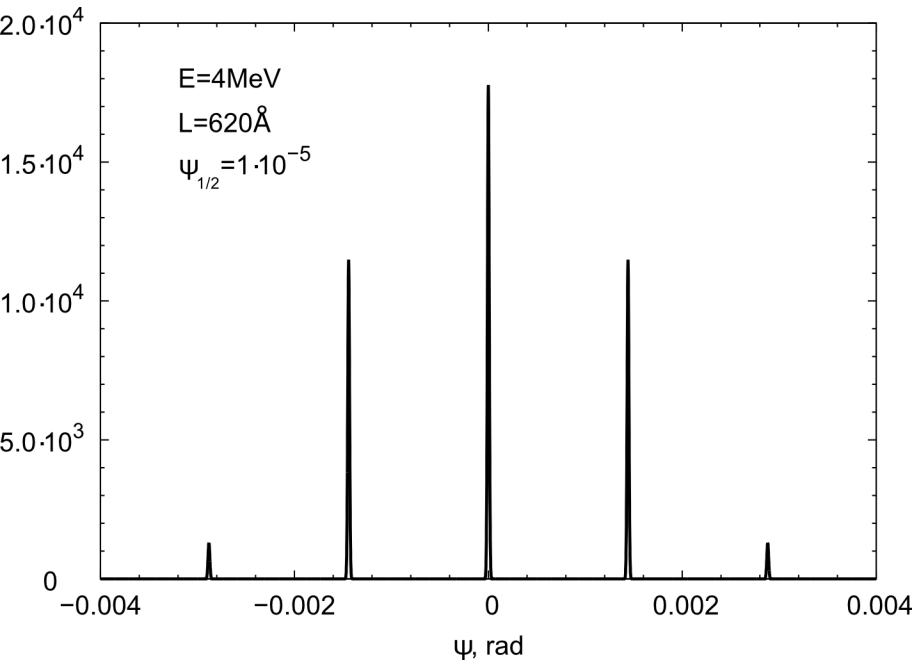
Scattering of electrons by crystal plane. Quantum case

Electrons are considered as plane waves

Expansion over reciprocal lattice vectors

d_x – lattice period

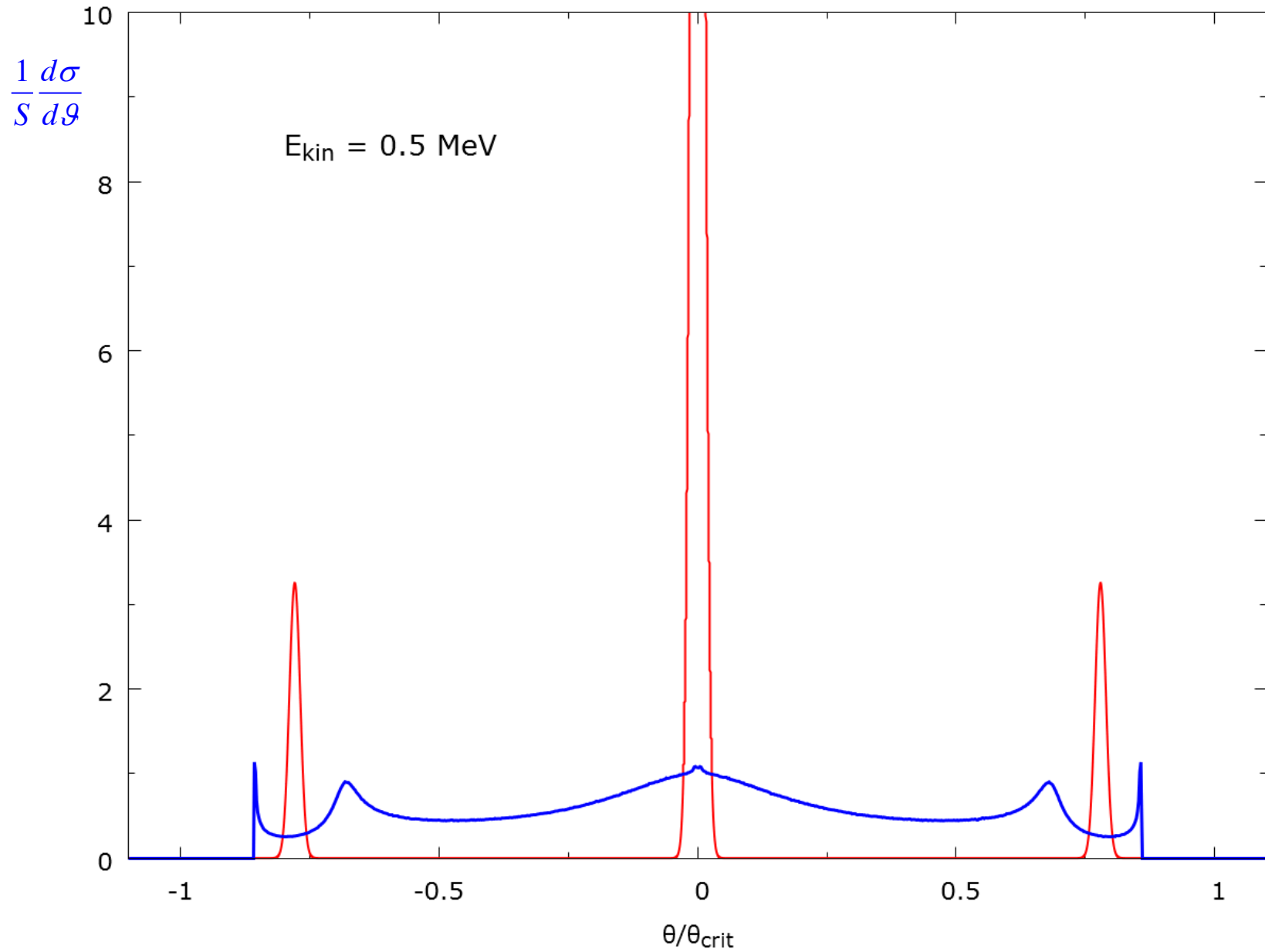
$$\psi_n = \frac{2\pi\hbar n}{d_x} \frac{1}{p_p}$$



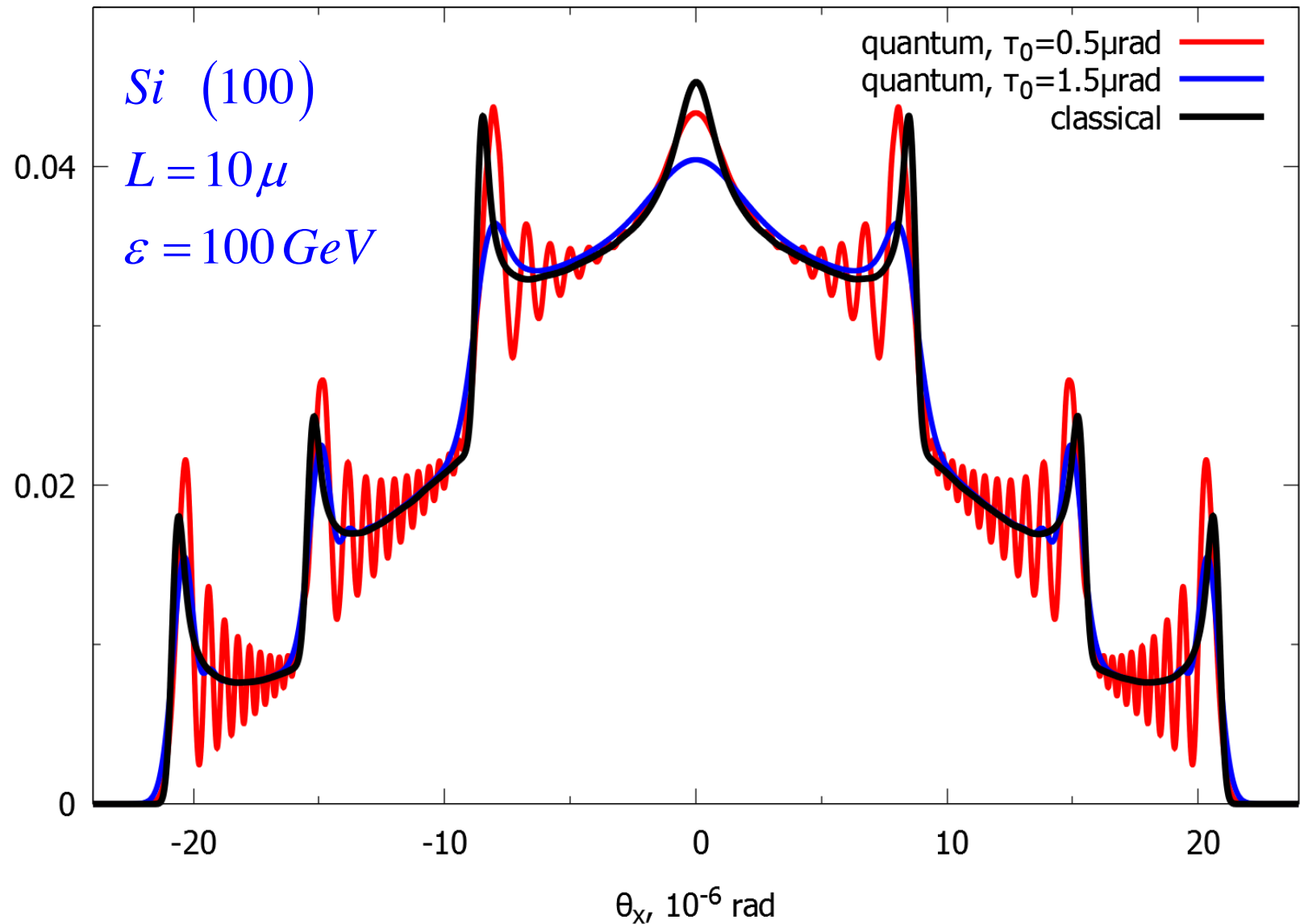
Convolution over different incidence angles corresponding to different electrons of a beam with divergence σ_{beam}

$$\overline{w_{beam}(\psi)} = \frac{1}{\sigma_{beam} \sqrt{\pi}} \int e^{-i\psi_i^2 / \sigma_{beam}^2} w(\psi_i, \psi) d\psi_i$$

Planar scattering of positrons in a thin Si crystal: changing energy



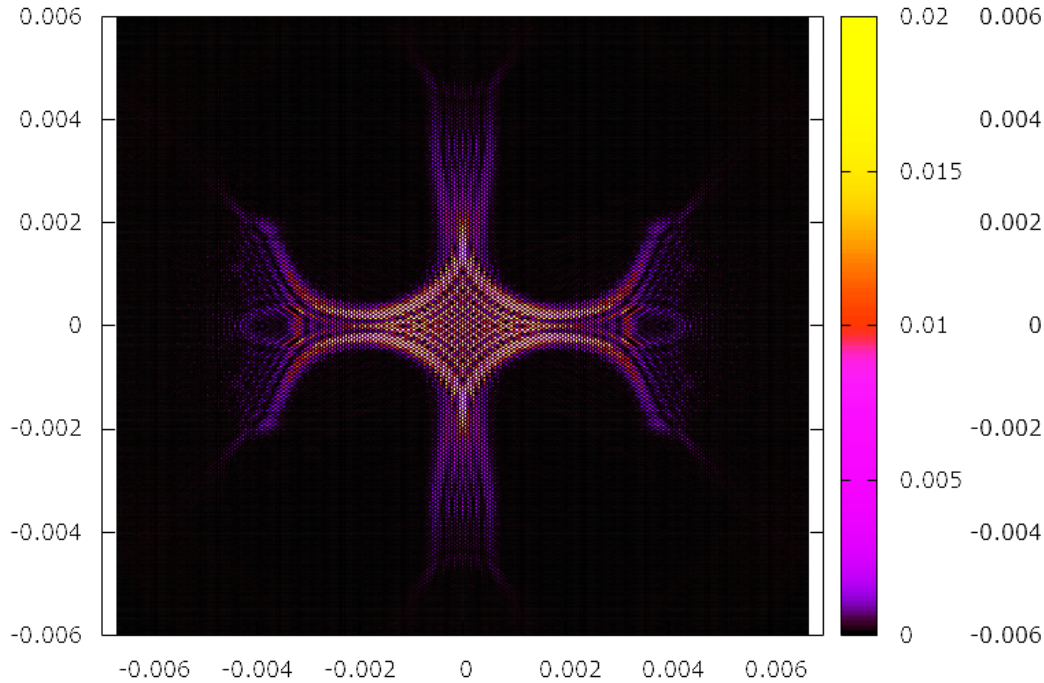
Rainbow scattering in the field of ultrathin Si (110) crystal planes



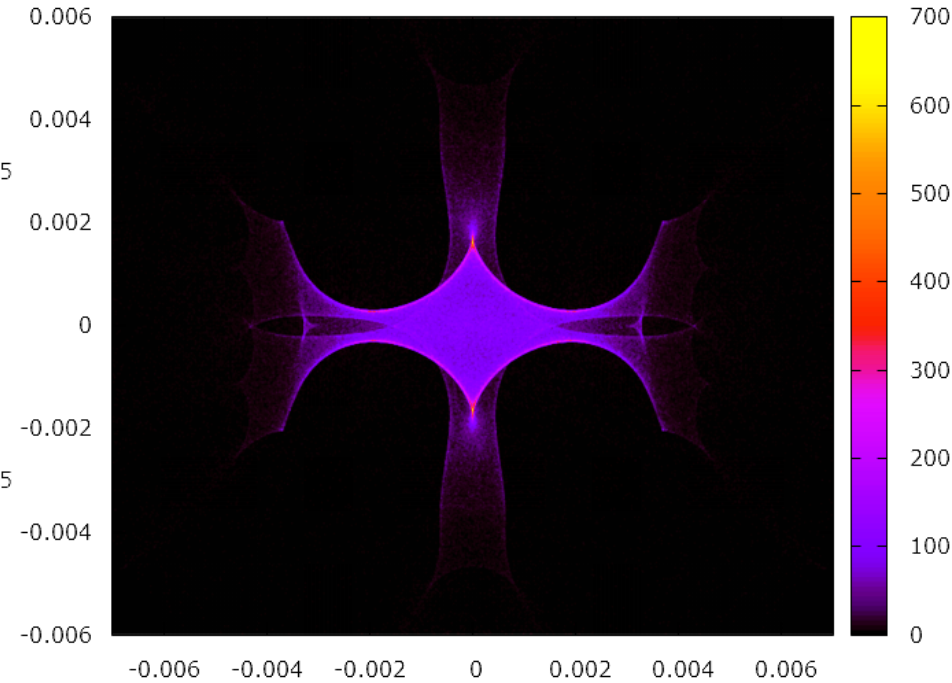
Scattering of protons on a thin crystal (Si $\langle 110 \rangle$ 952Å)

Axial case. Quantum results VS classical

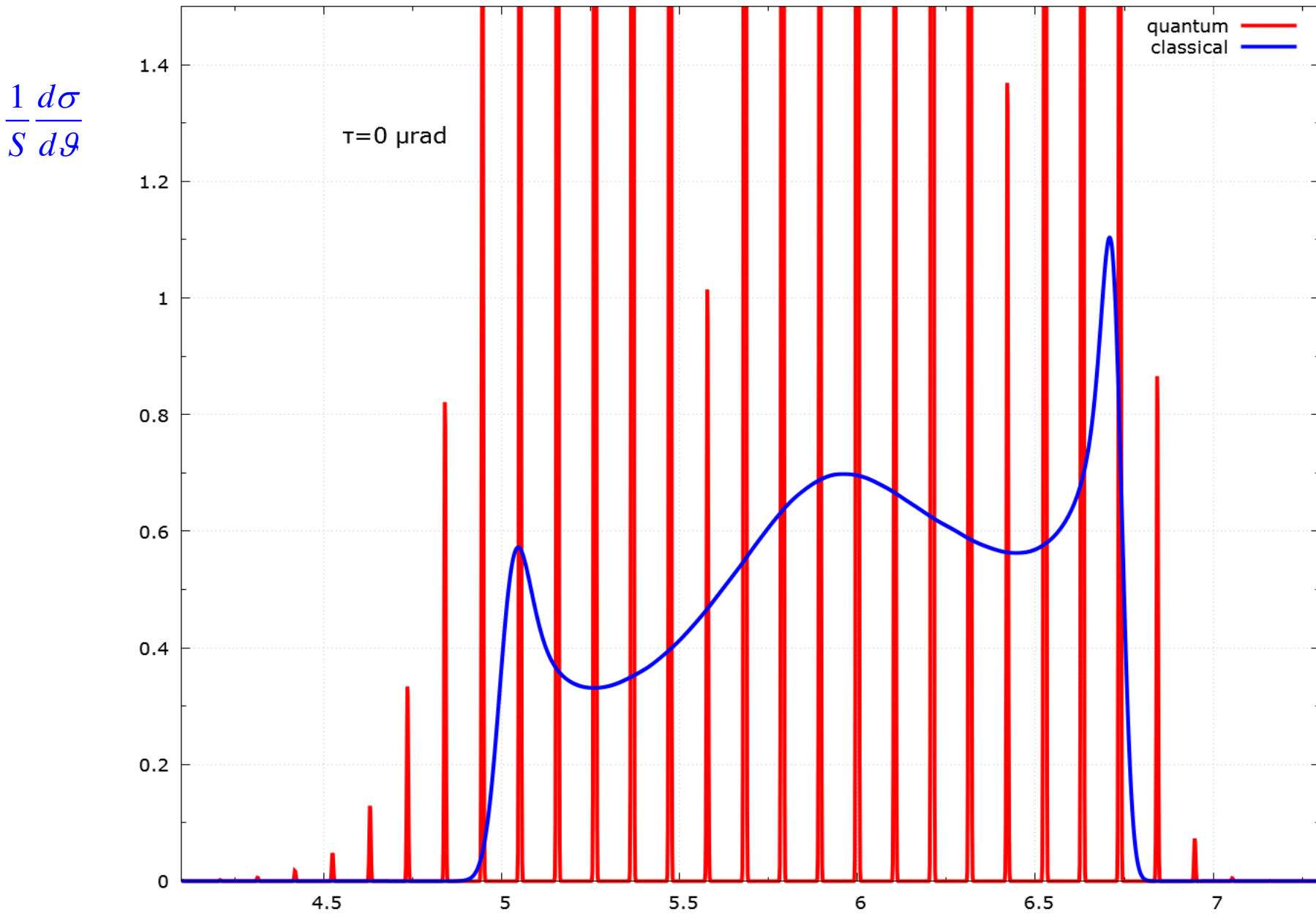
L=952.38 Å



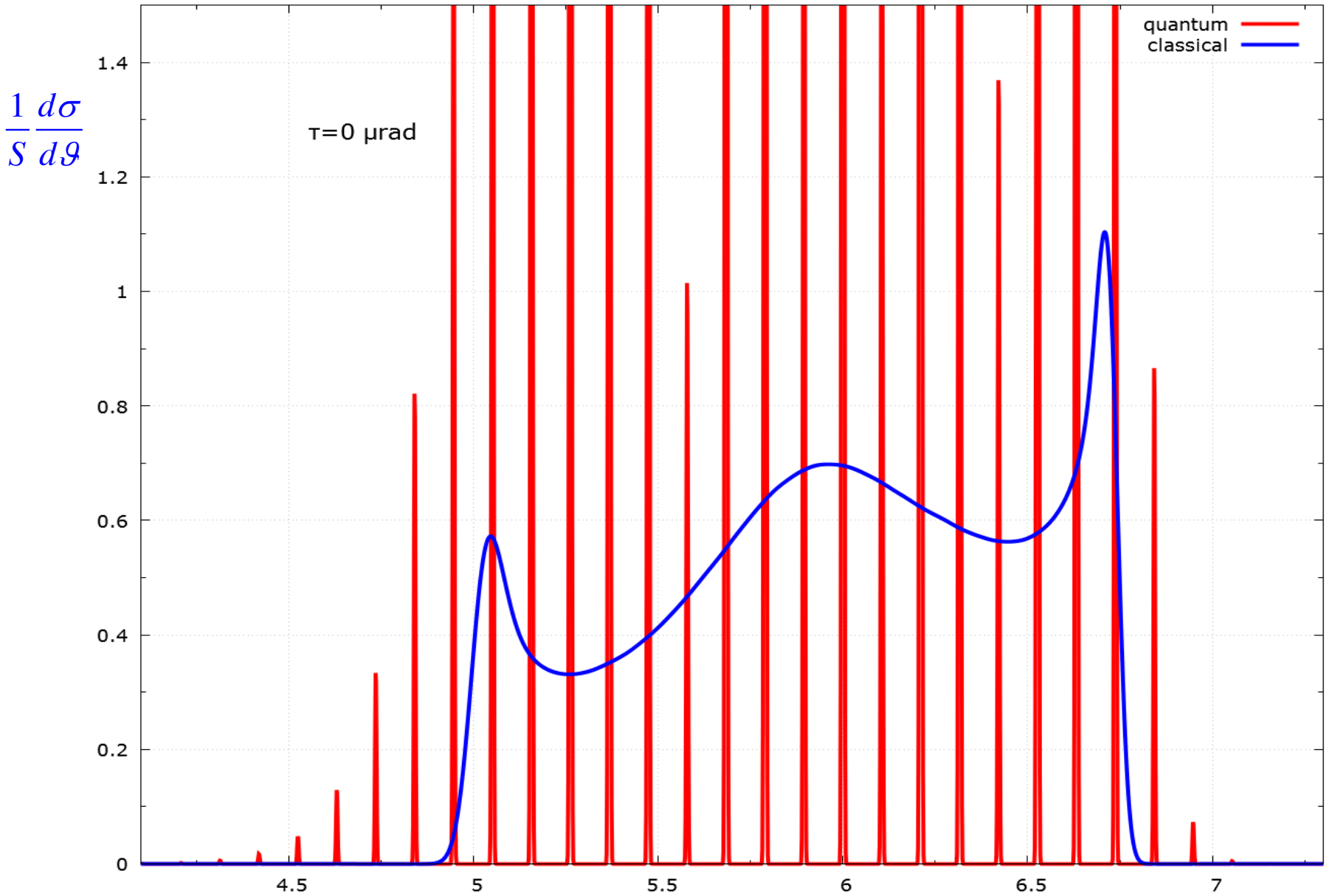
L=952.38 Å



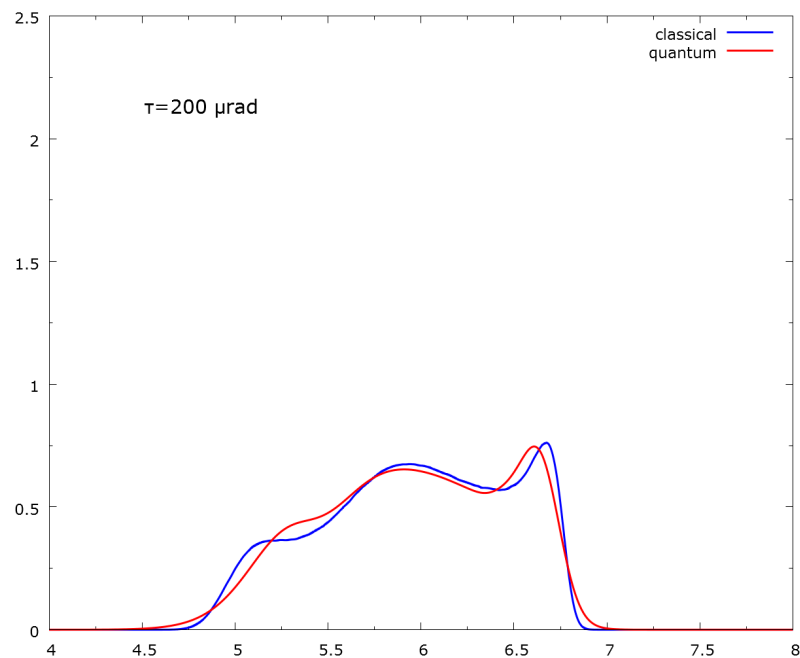
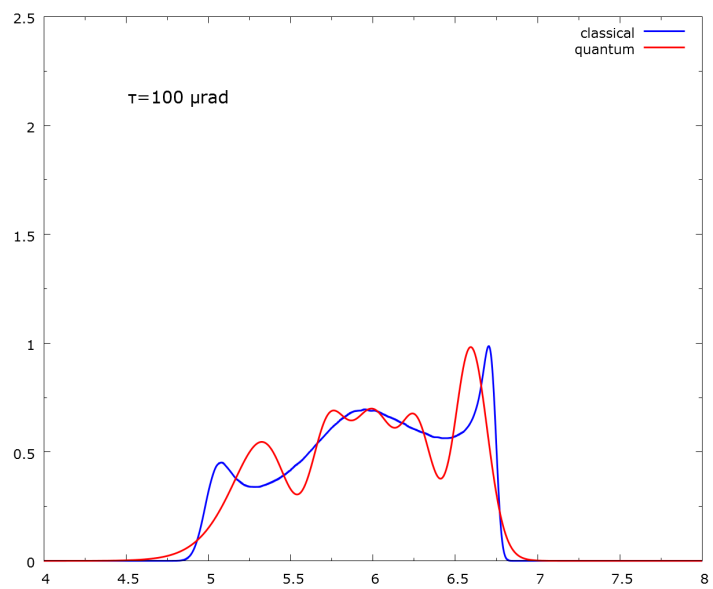
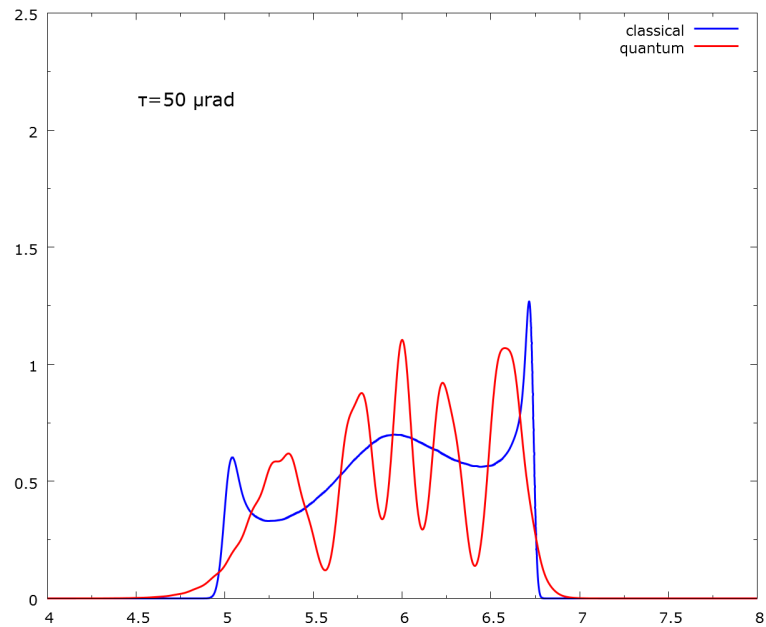
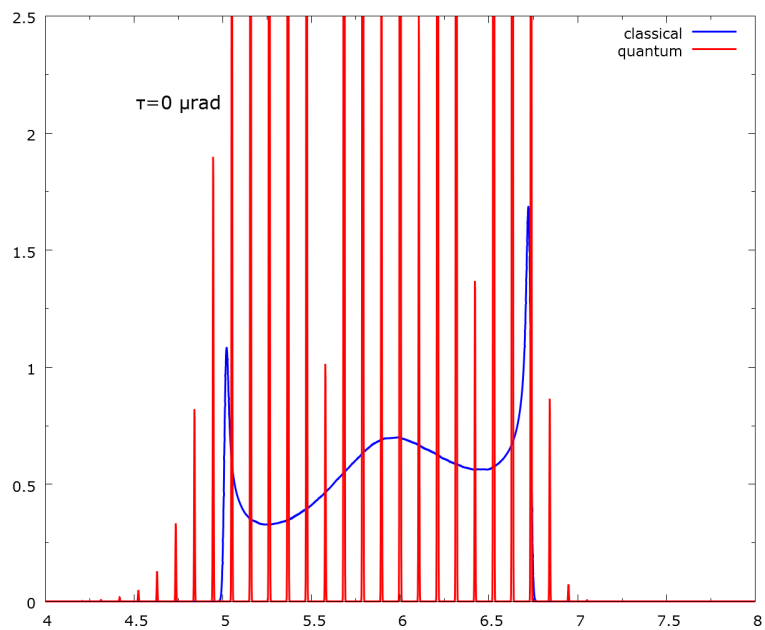
Dependence on beam divergence



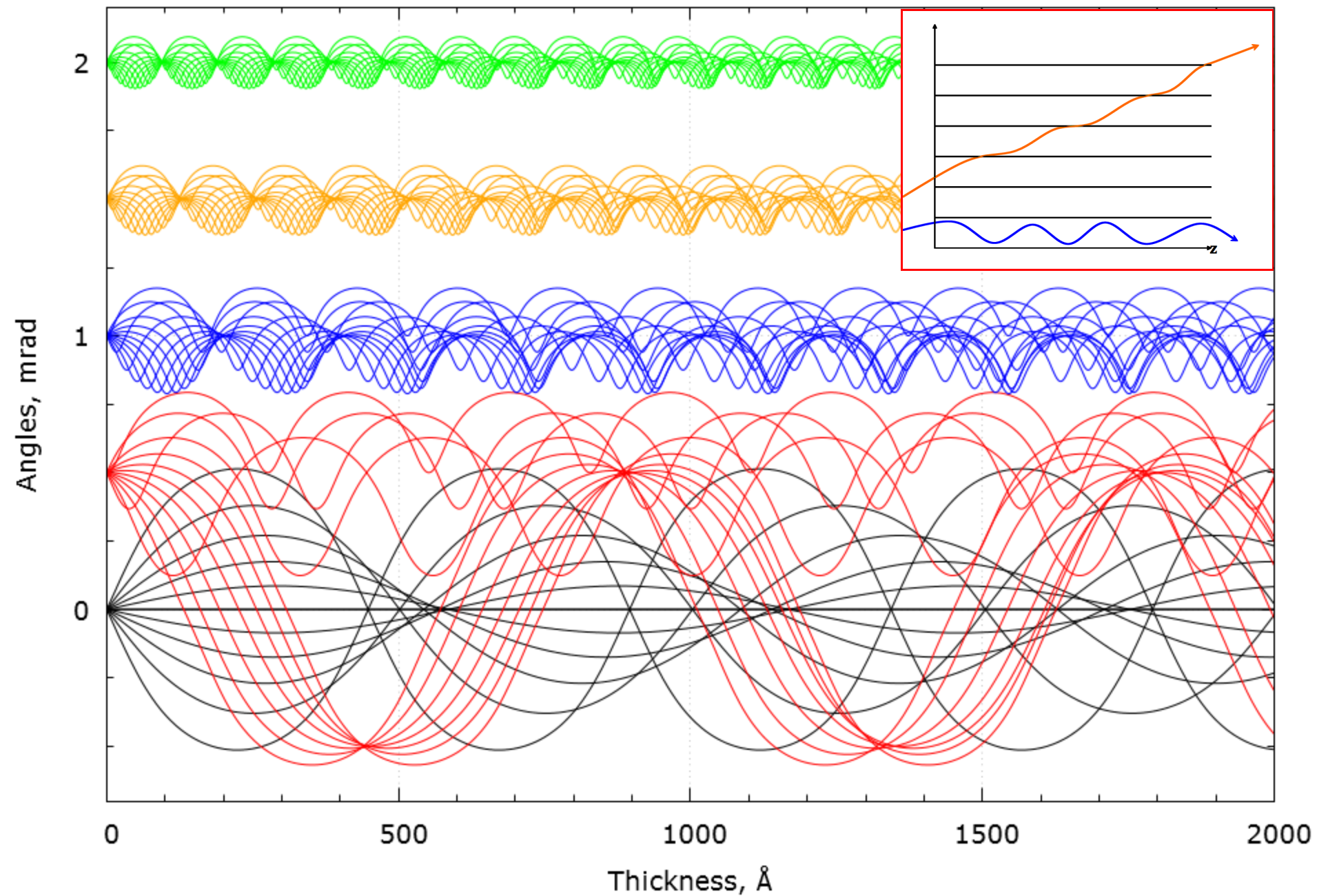
Dependence on beam divergence



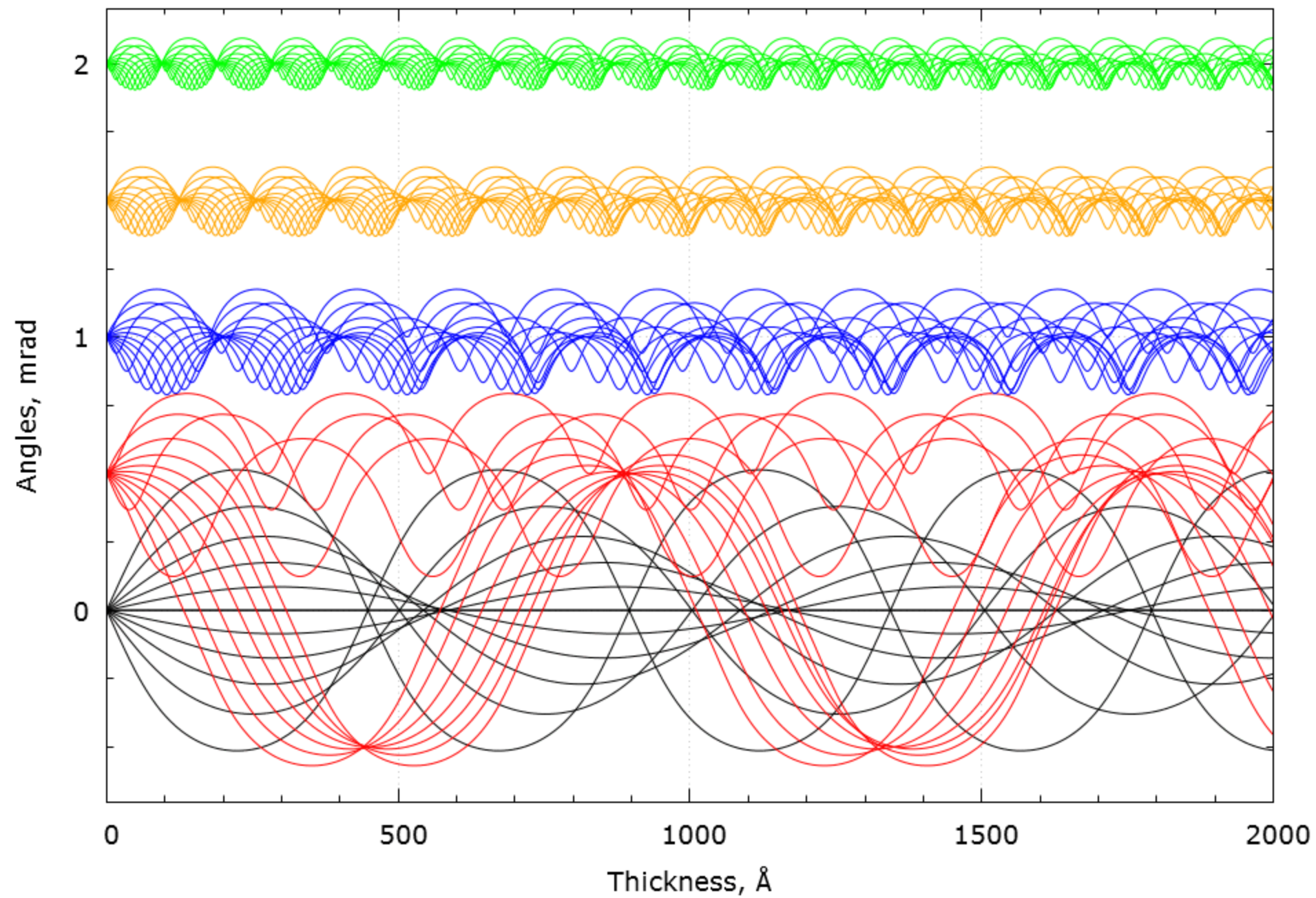
Dependence on beam divergence



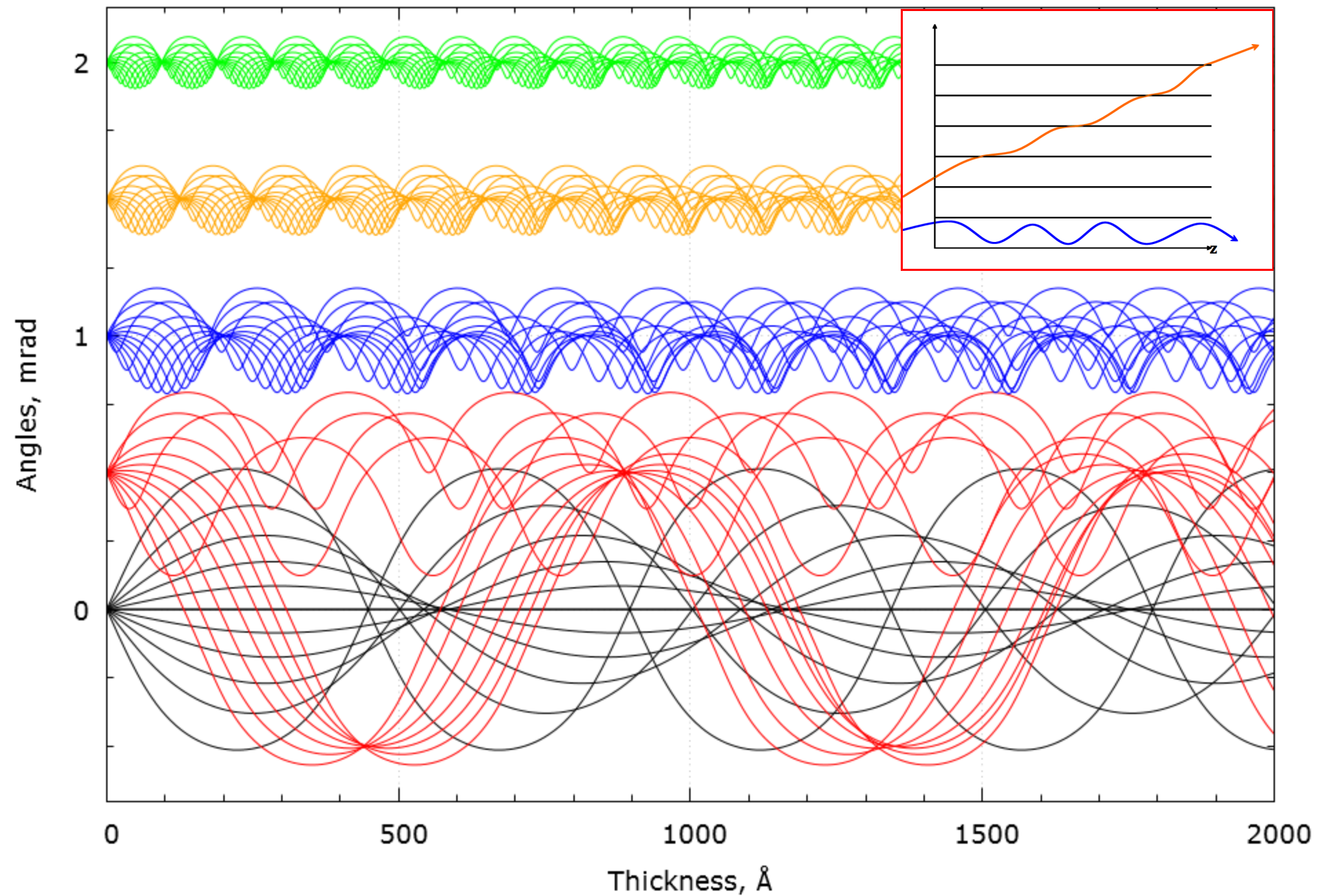
Si (110) protons $E_{\text{kin}} = 600 \text{ keV}$



Si (110) protons $E_{\text{kin}} = 600 \text{ keV}$



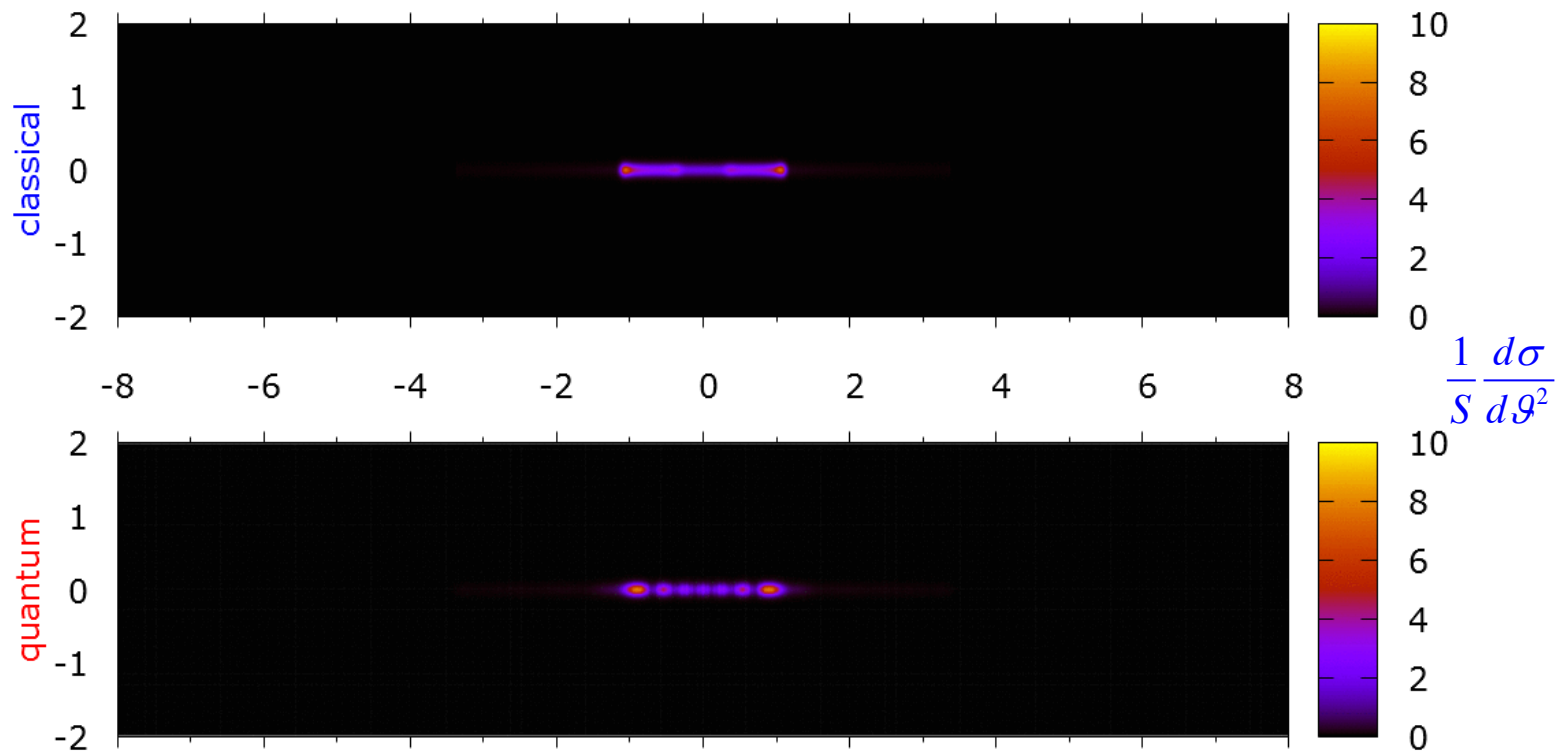
Si (110) protons $E_{\text{kin}} = 600 \text{ keV}$



Planar scattering of 2 MeV protons in a 777Å Si (110) crystal: changing angular tilt

$$E_{\text{kin}} = 2.0 \text{ MeV}, \quad L = 777.0 \text{ \AA}, \quad \tau = 50.0 \text{ \mu rad}$$

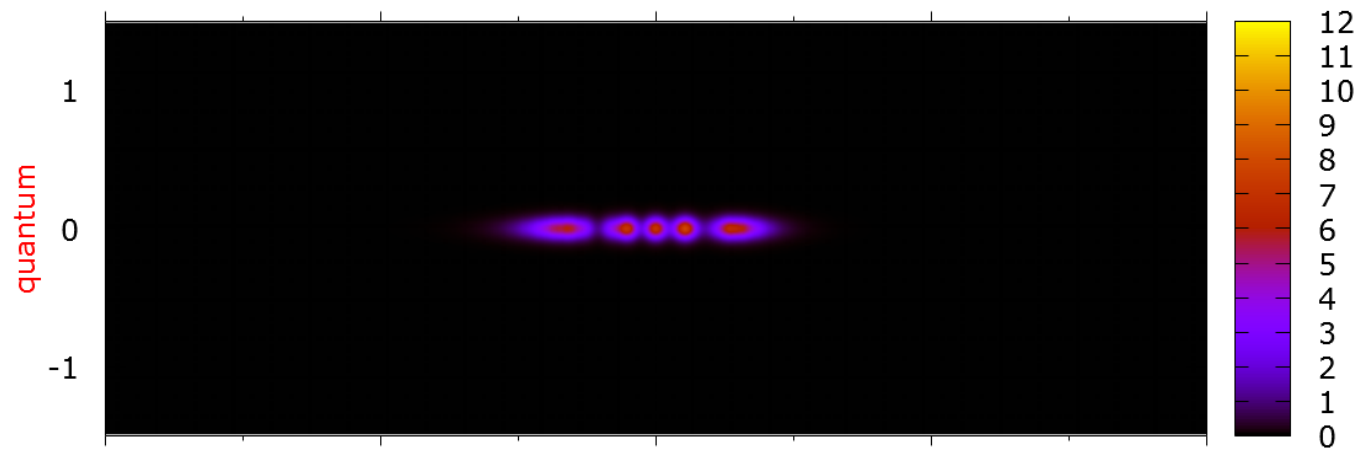
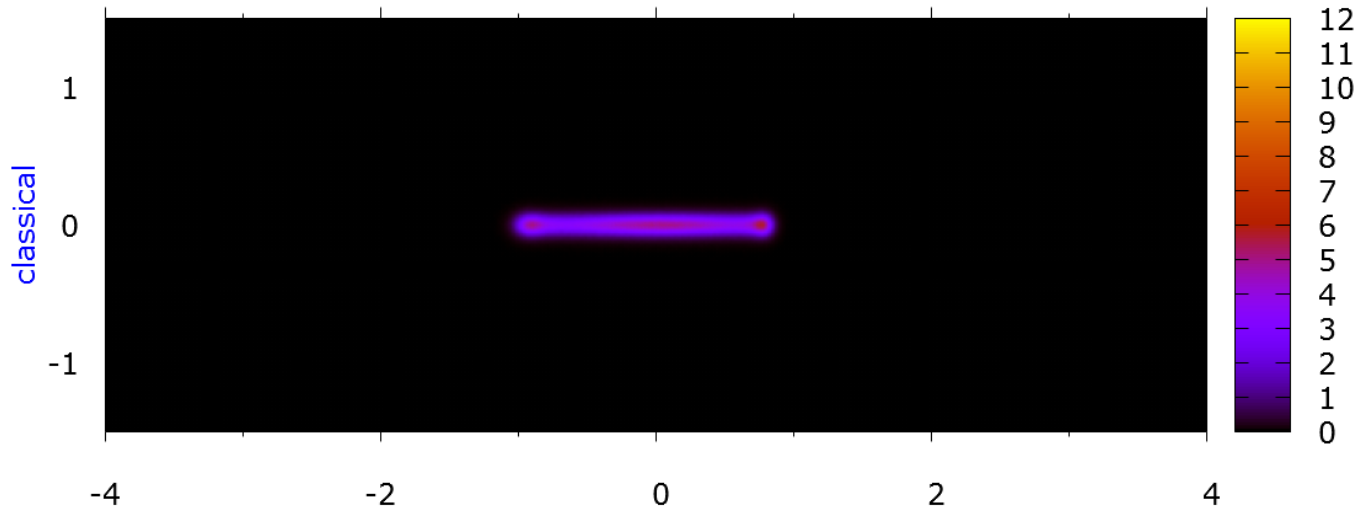
$$\psi = 0.00 \text{ mrad}$$



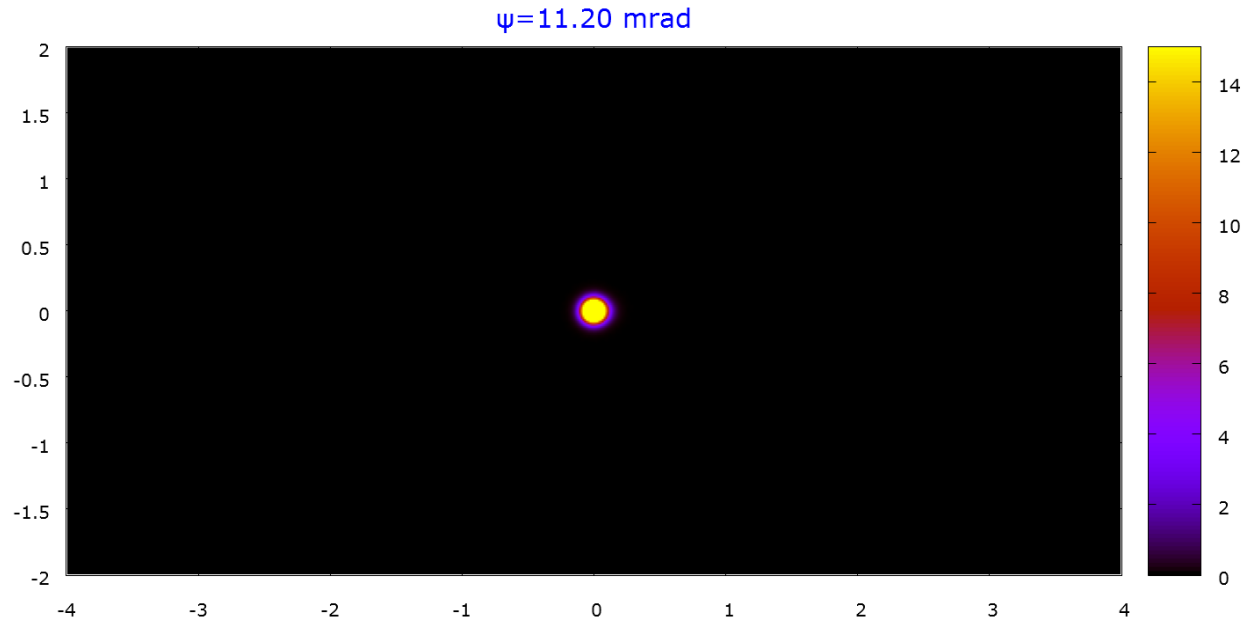
initial beam direction

$E_{\text{kin}} = 2.0 \text{ MeV}$, $L = 170.0 \text{ \AA}$, $\tau = 50.0 \text{ \mu rad}$

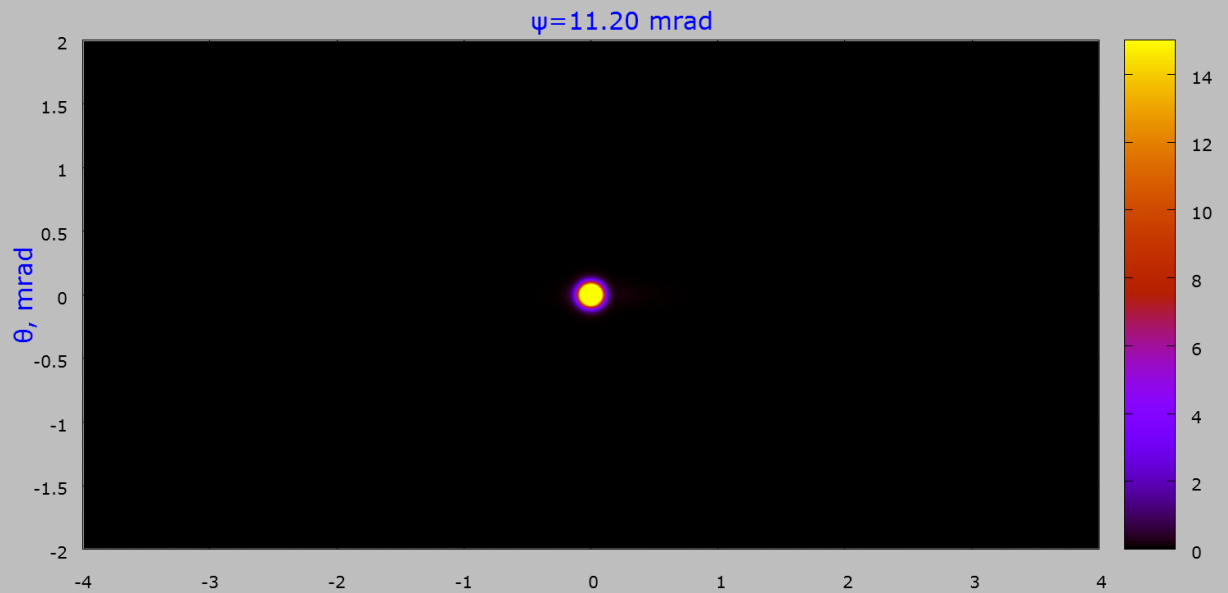
$\psi = 6.40 \text{ mrad}$



classical

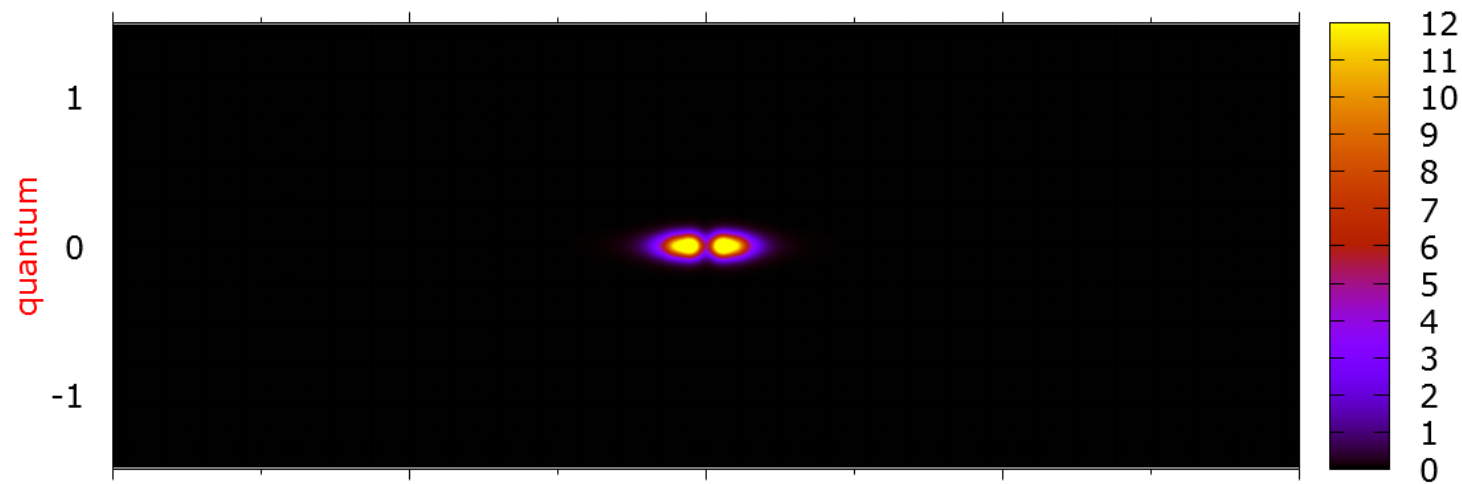
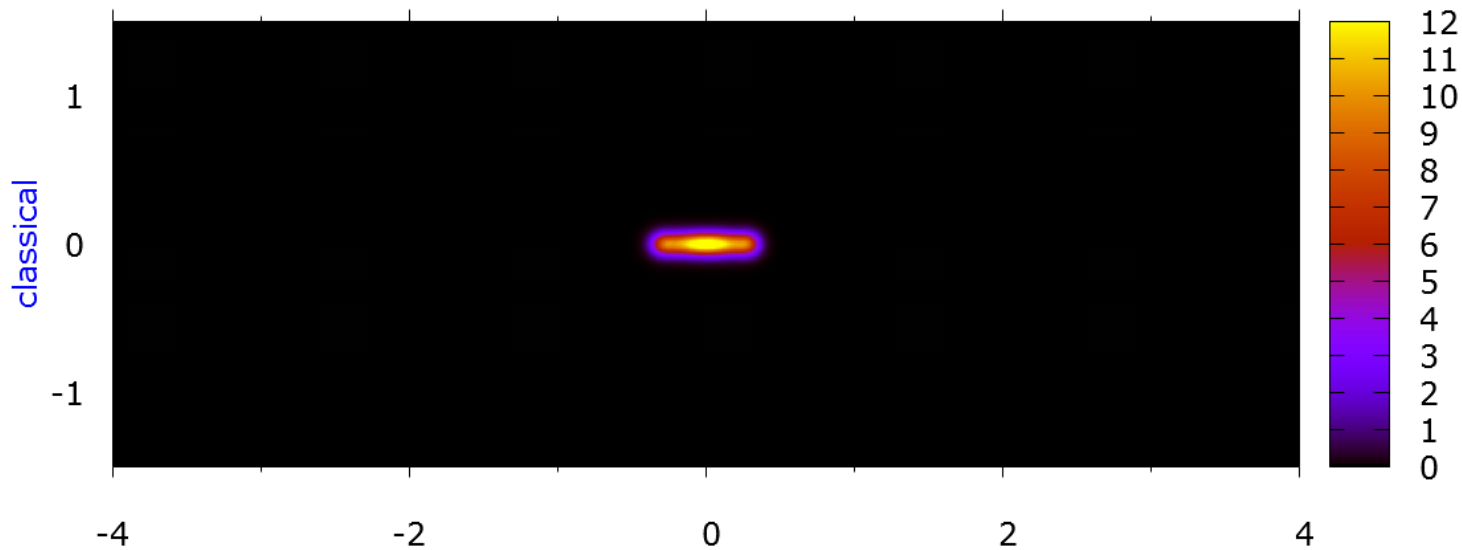


quantum

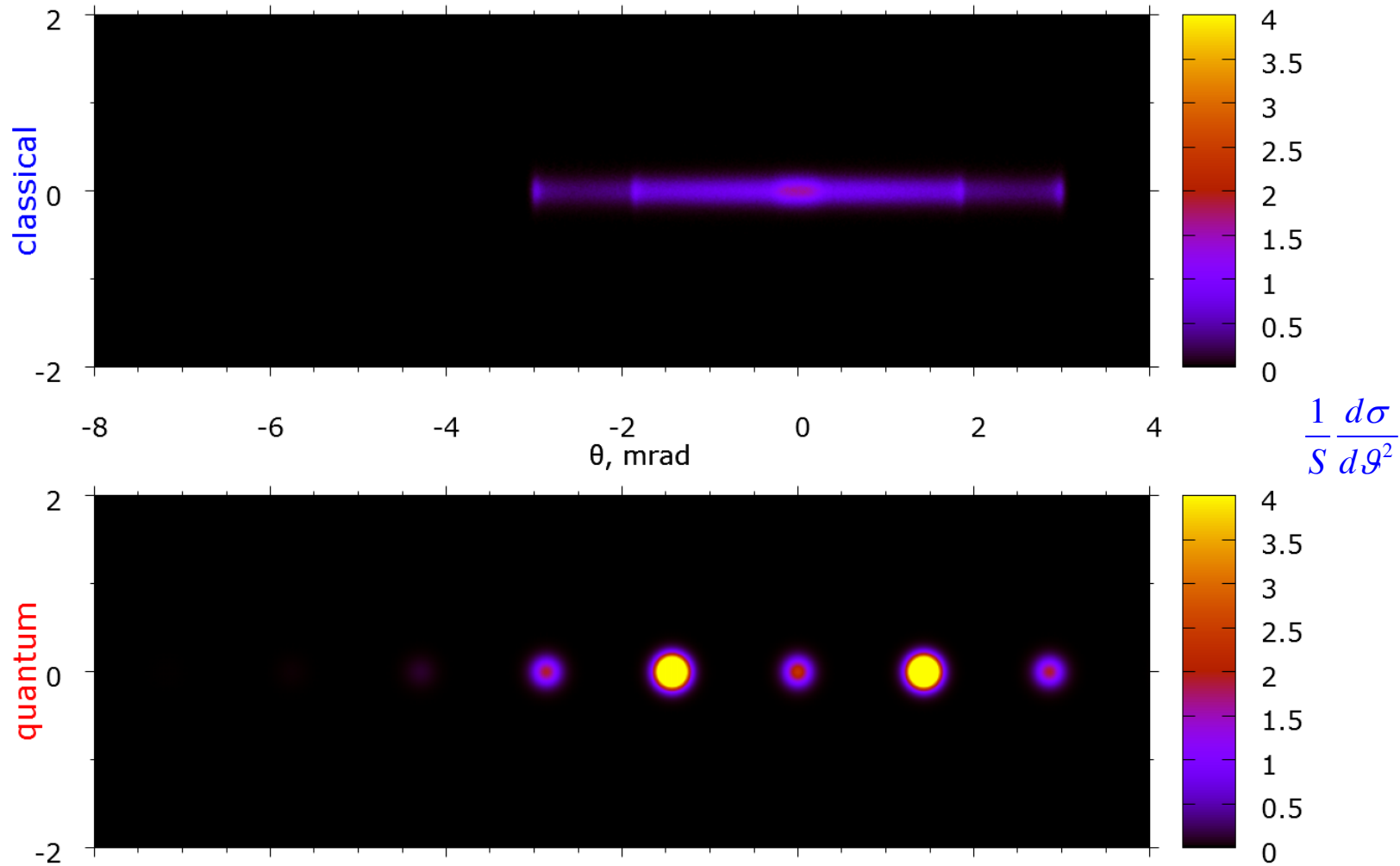


$E_{\text{kin}} = 2.0 \text{ MeV}$, $L = 170.0 \text{ \AA}$, $\tau = 50.0 \text{ \mu rad}$

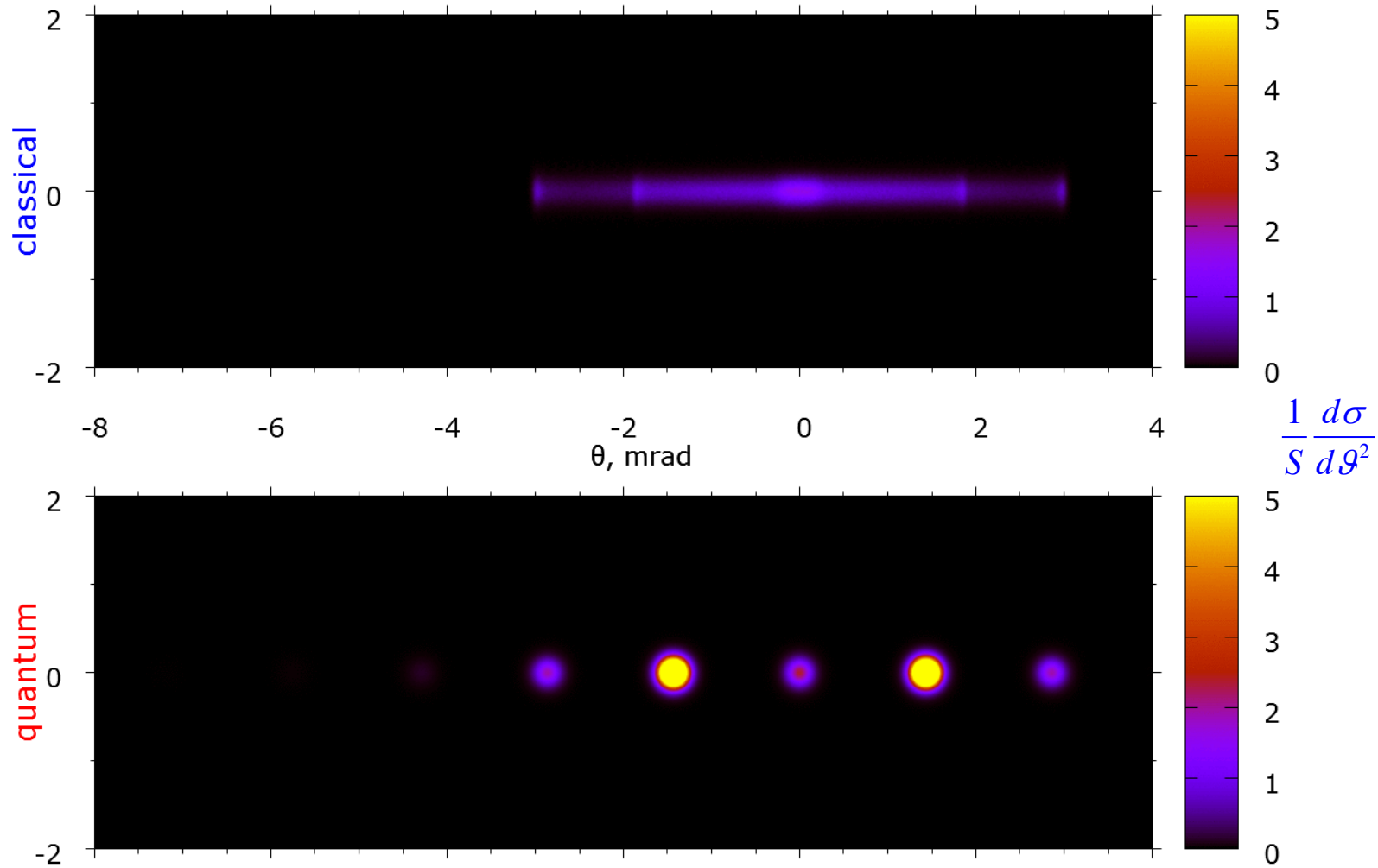
$\psi = 17.80 \text{ mrad}$



$E_{\text{kin}} = 4.000 \text{ MeV},$ $L = 500.0 \text{ \AA},$ $\tau = 100.0 \text{ \mu rad},$ $\psi = 0.00 \text{ mrad}$



$E_{\text{kin}} = 4.000 \text{ MeV},$ $L = 500.0 \text{ \AA},$ $\tau = 100.0 \text{ \mu rad},$ $\psi = 0.00 \text{ mrad}$

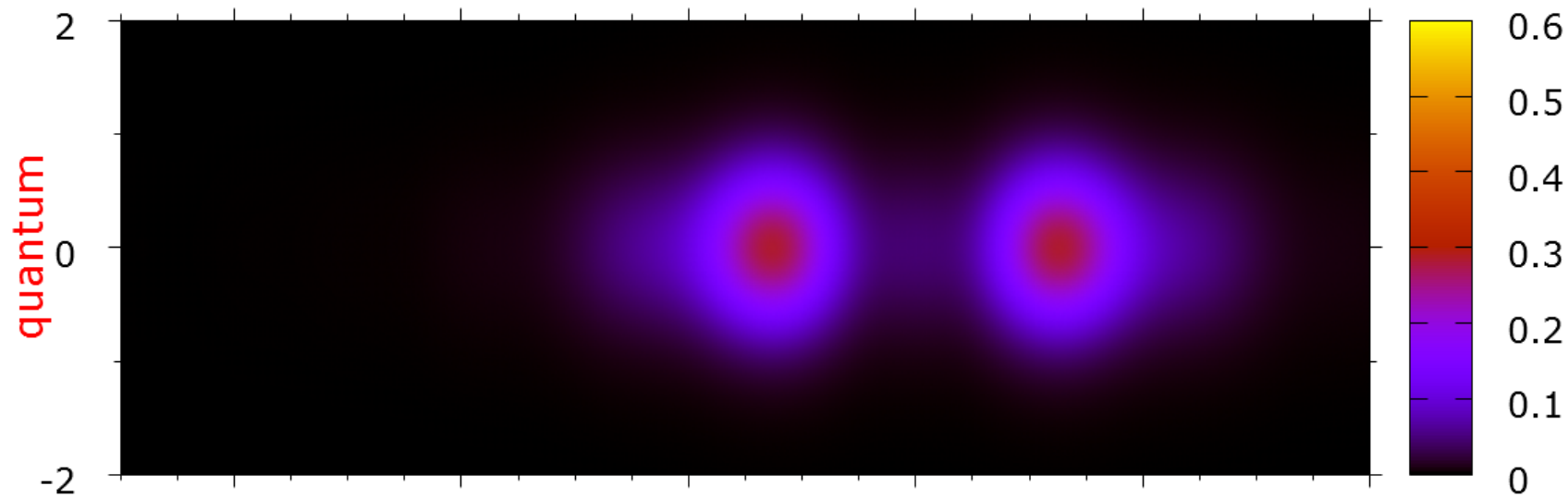
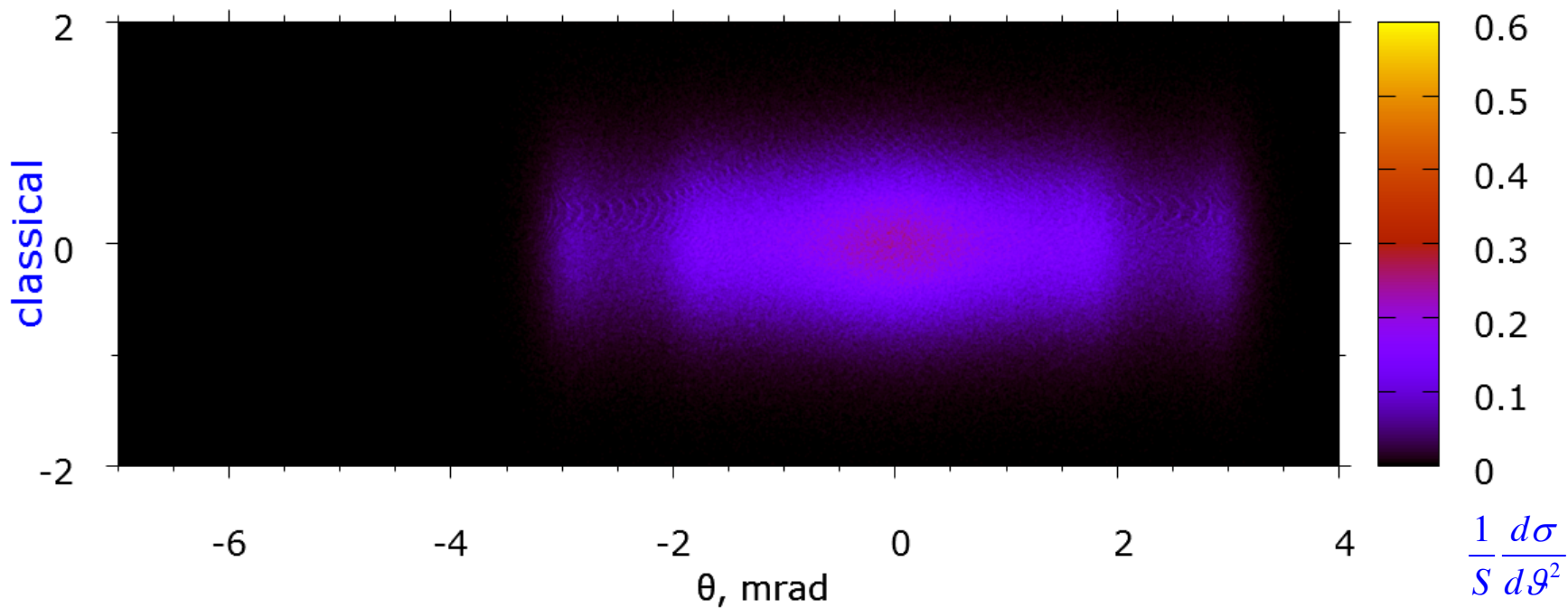


$E_{\text{kin}} = 4 \text{ MeV},$

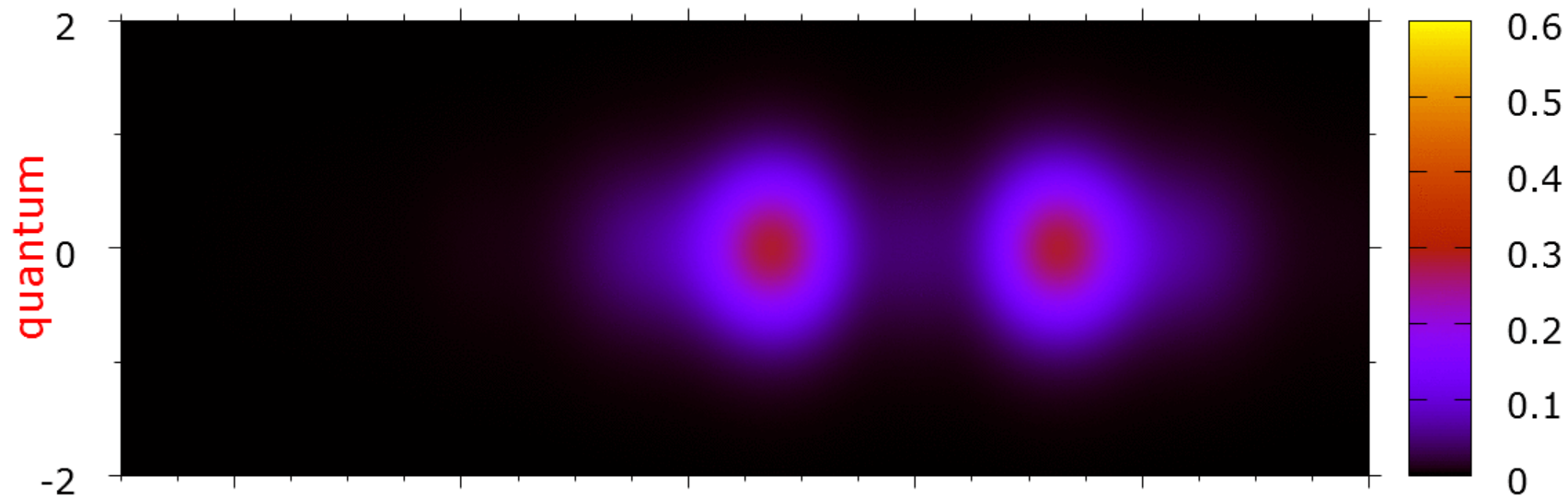
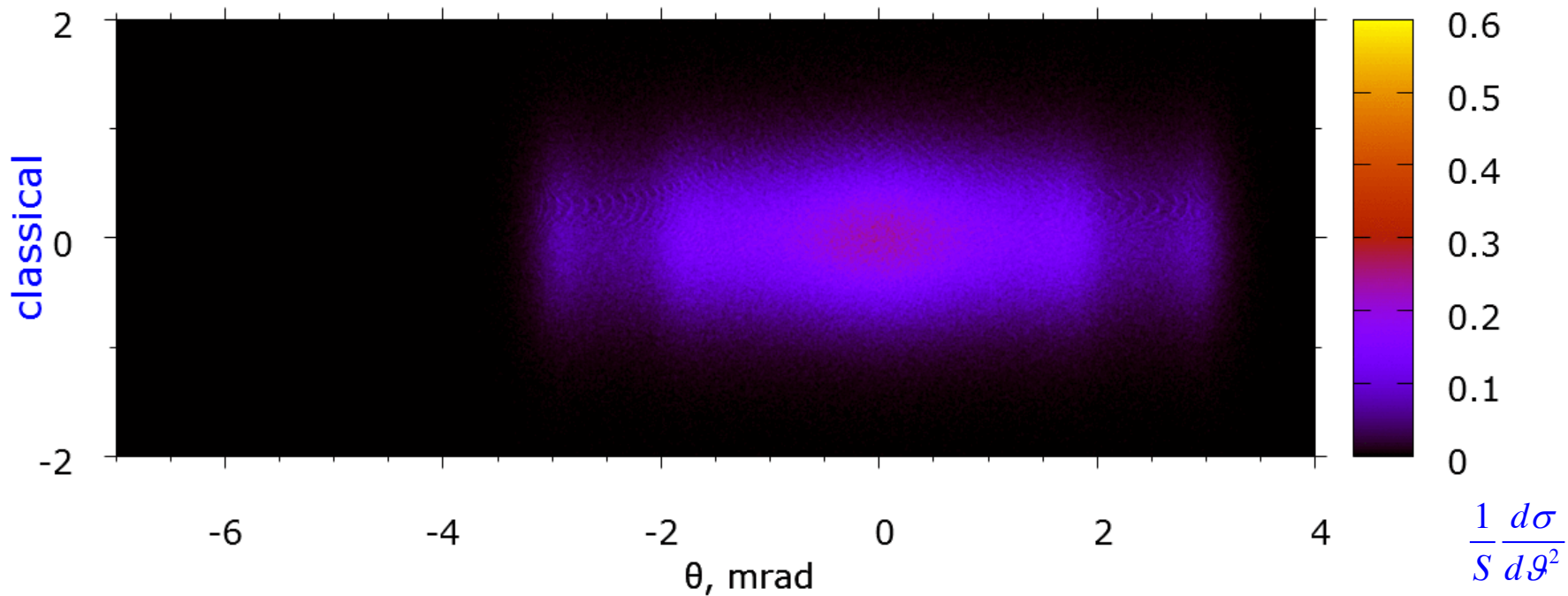
$L = 500.0 \text{ \AA},$

$\tau = 500.0 \text{ \mu rad},$

$\psi = 0.00 \text{ mrad}$



$E_{\text{kin}} = 4 \text{ MeV},$ $L = 500.0 \text{ \AA},$ $\tau = 500.0 \text{ \mu rad},$ $\psi = 0.00 \text{ mrad}$

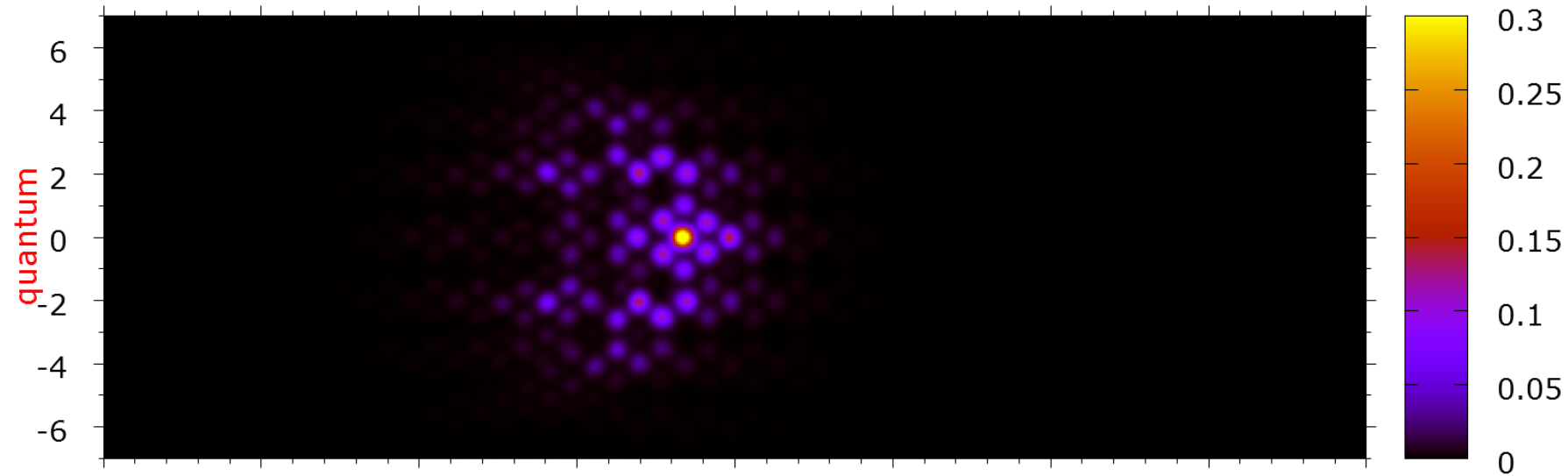
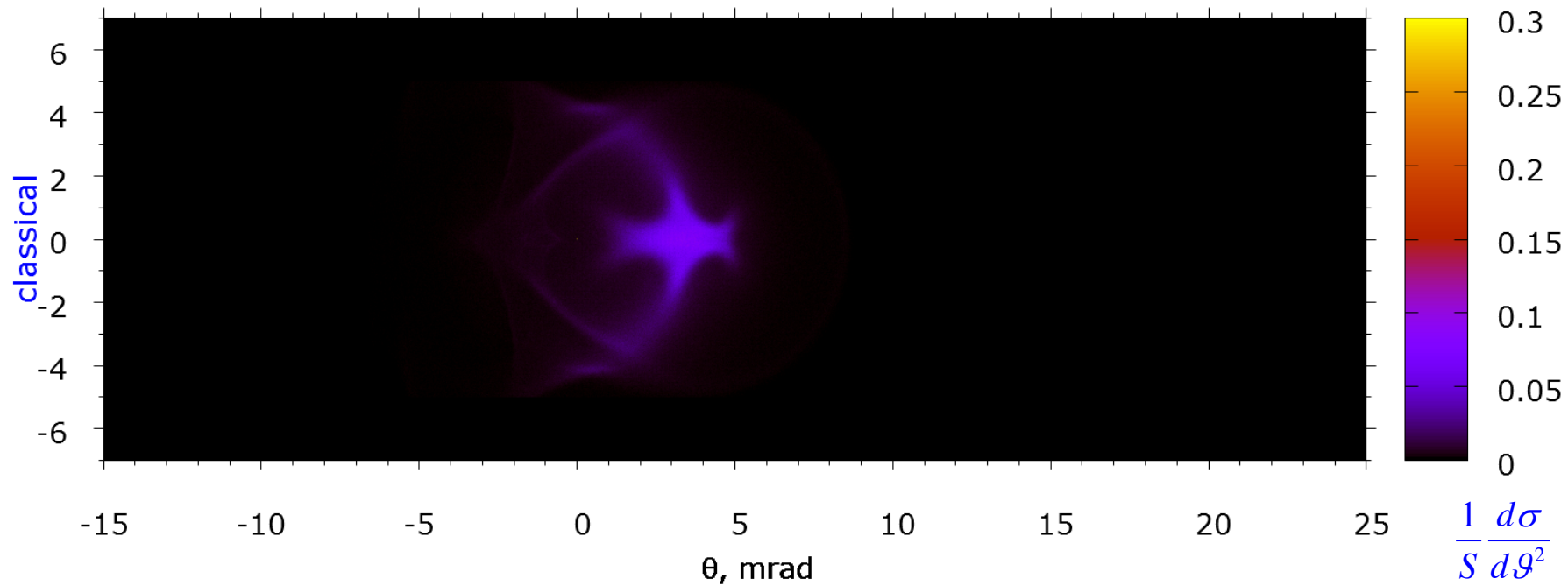


$E_{\text{kin}} = 4.000 \text{ MeV},$

$L = 500 \text{ \AA},$

$\tau = 200.0 \text{ \mu rad},$

$\psi = 3.40 \text{ mrad}$

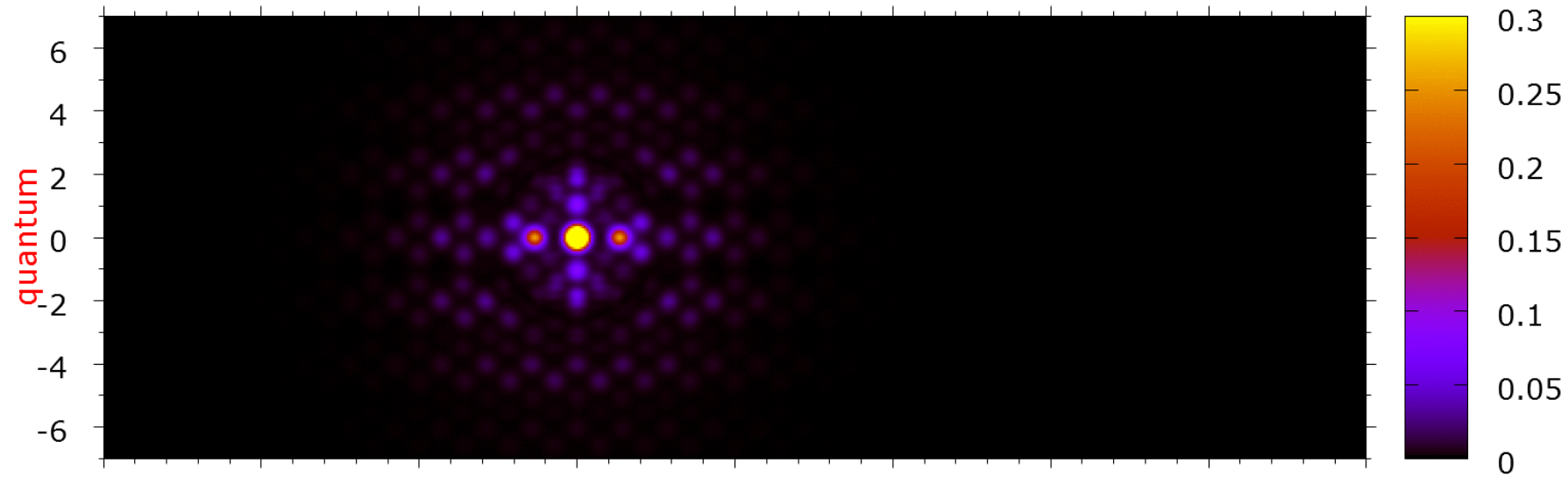
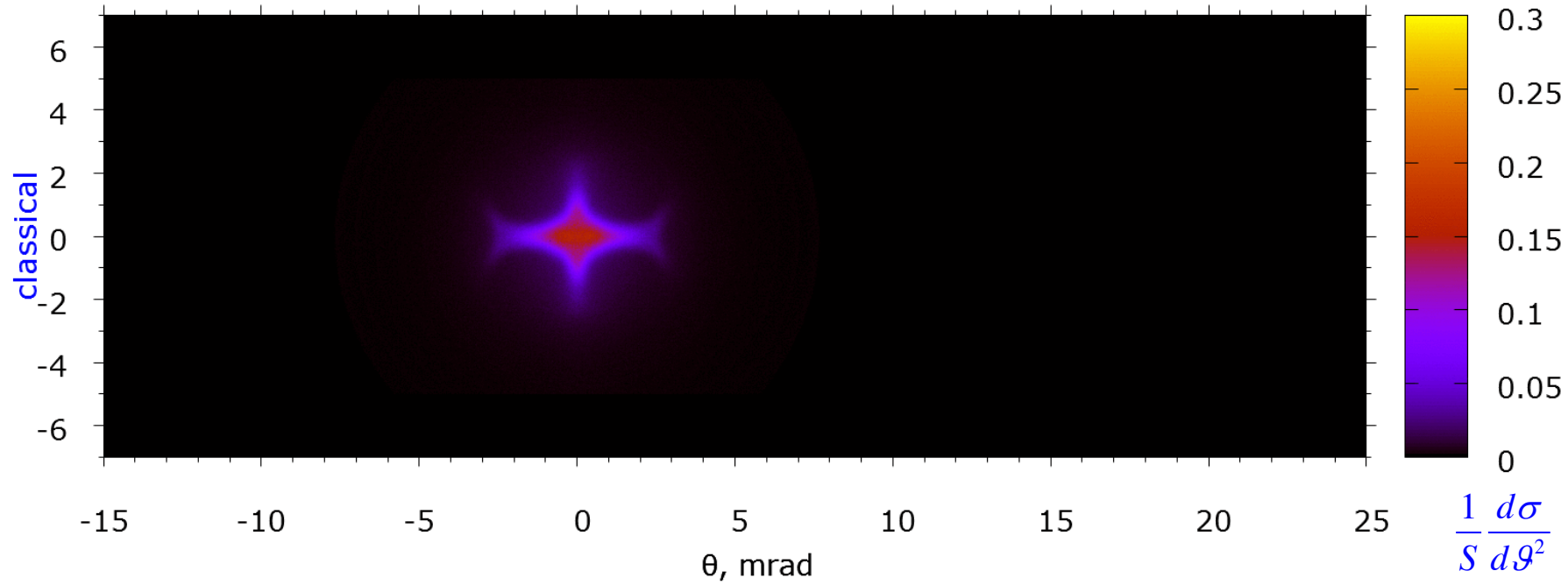


$E_{\text{kin}} = 4.000 \text{ MeV},$

$L = 500 \text{ \AA},$

$\tau = 200.0 \text{ \mu rad},$

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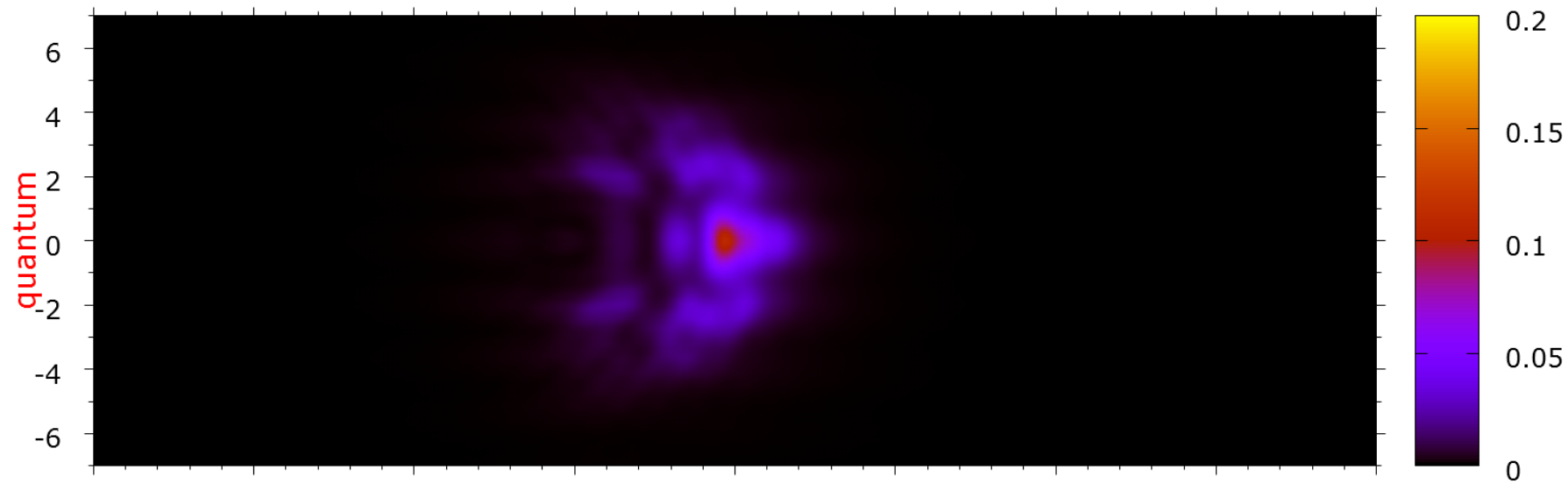
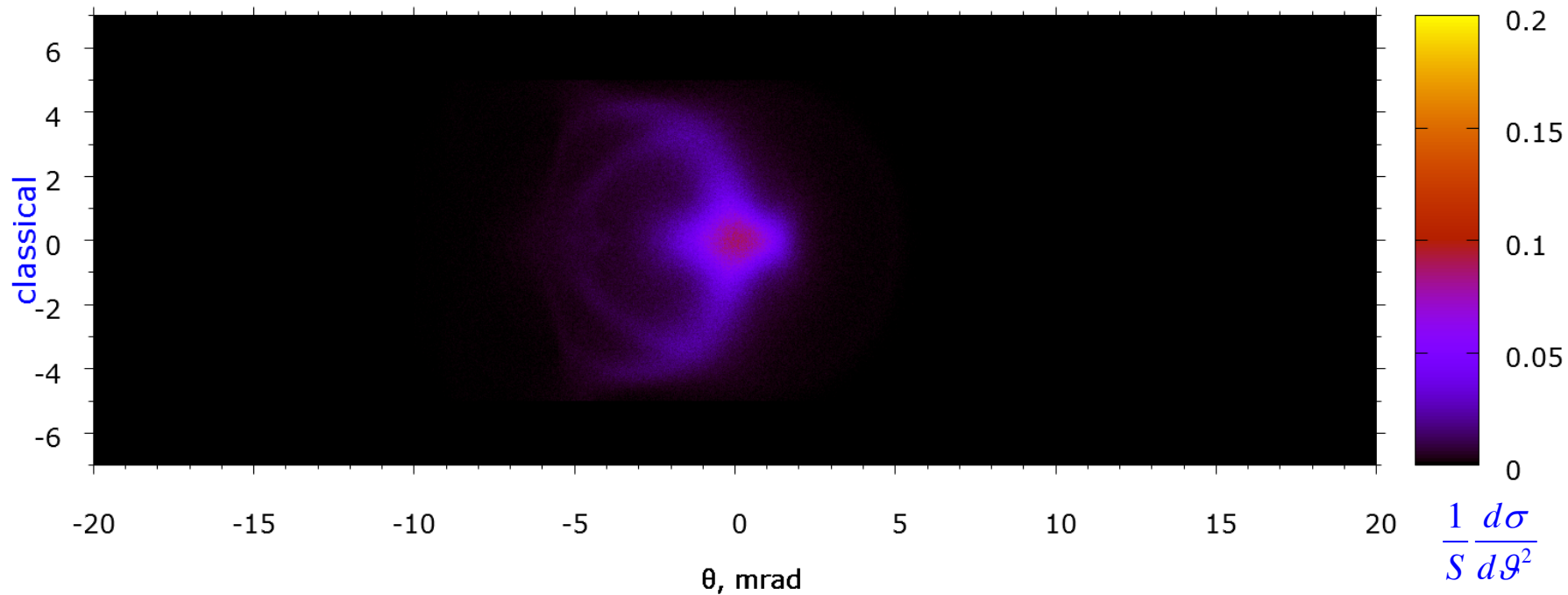


$E_{\text{kin}} = 4.000 \text{ MeV},$

$L = 550\text{\AA}\cdot\sqrt{2}=777.8 \text{ \AA},$

$\tau = 50.0 \text{ }\mu\text{rad},$

$\psi = 3.40 \text{ mrad}$

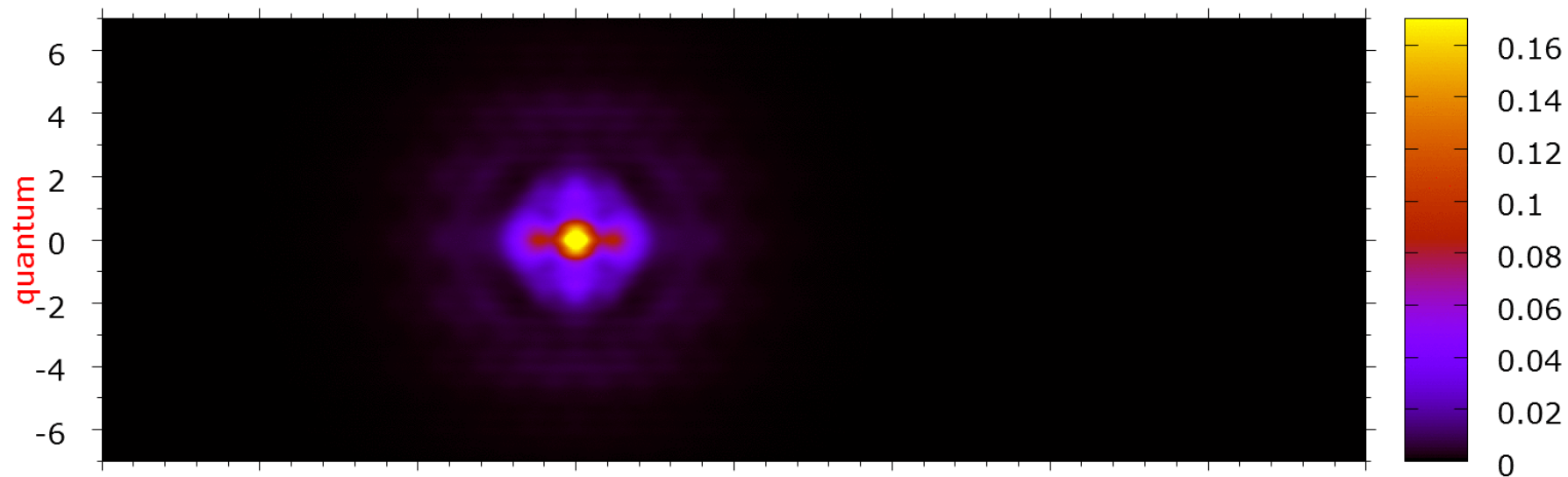
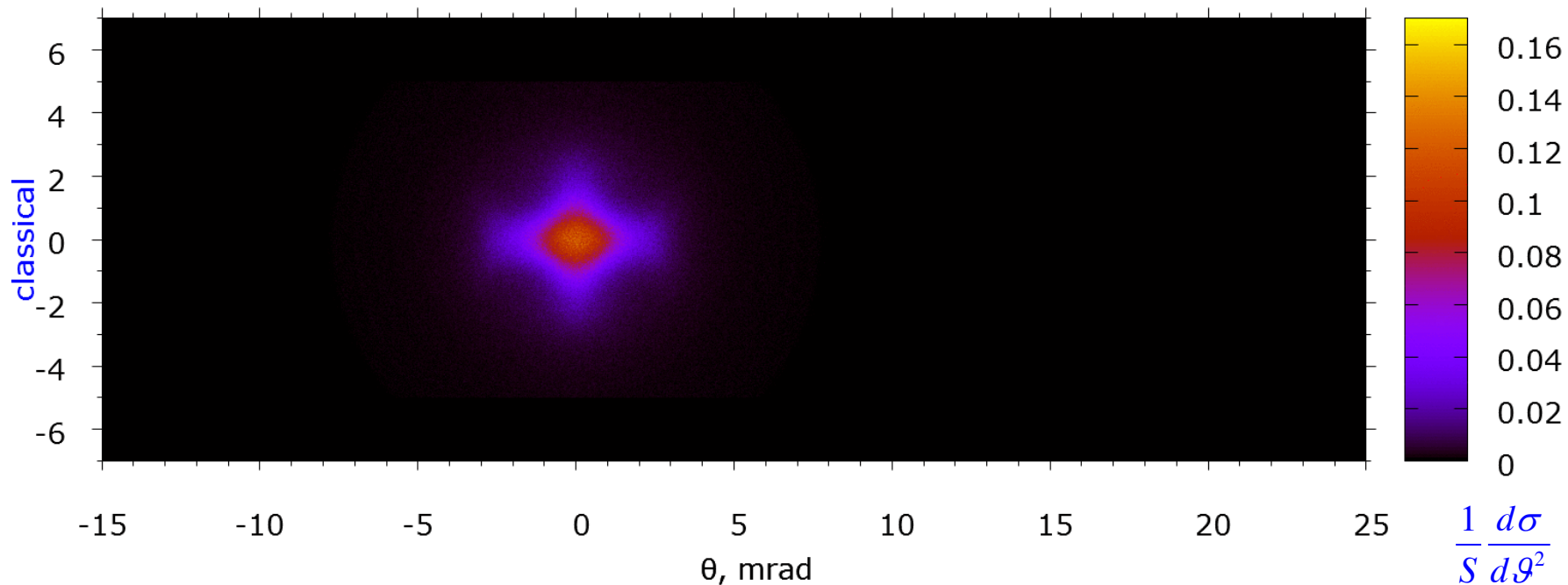


$E_{\text{kin}} = 4.000 \text{ MeV},$

$L = 500 \text{ \AA},$

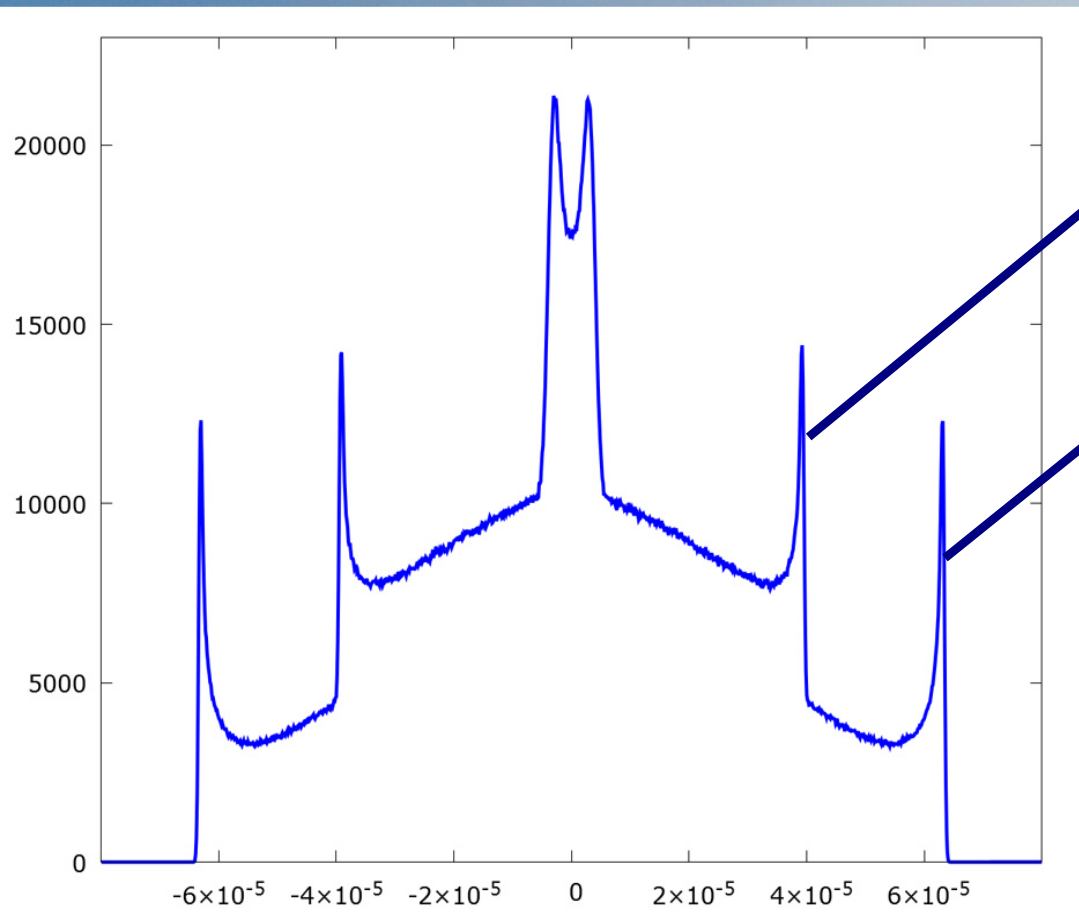
$\tau = 500.0 \text{ \mu rad},$

$\psi = 0.00 \text{ mrad}$

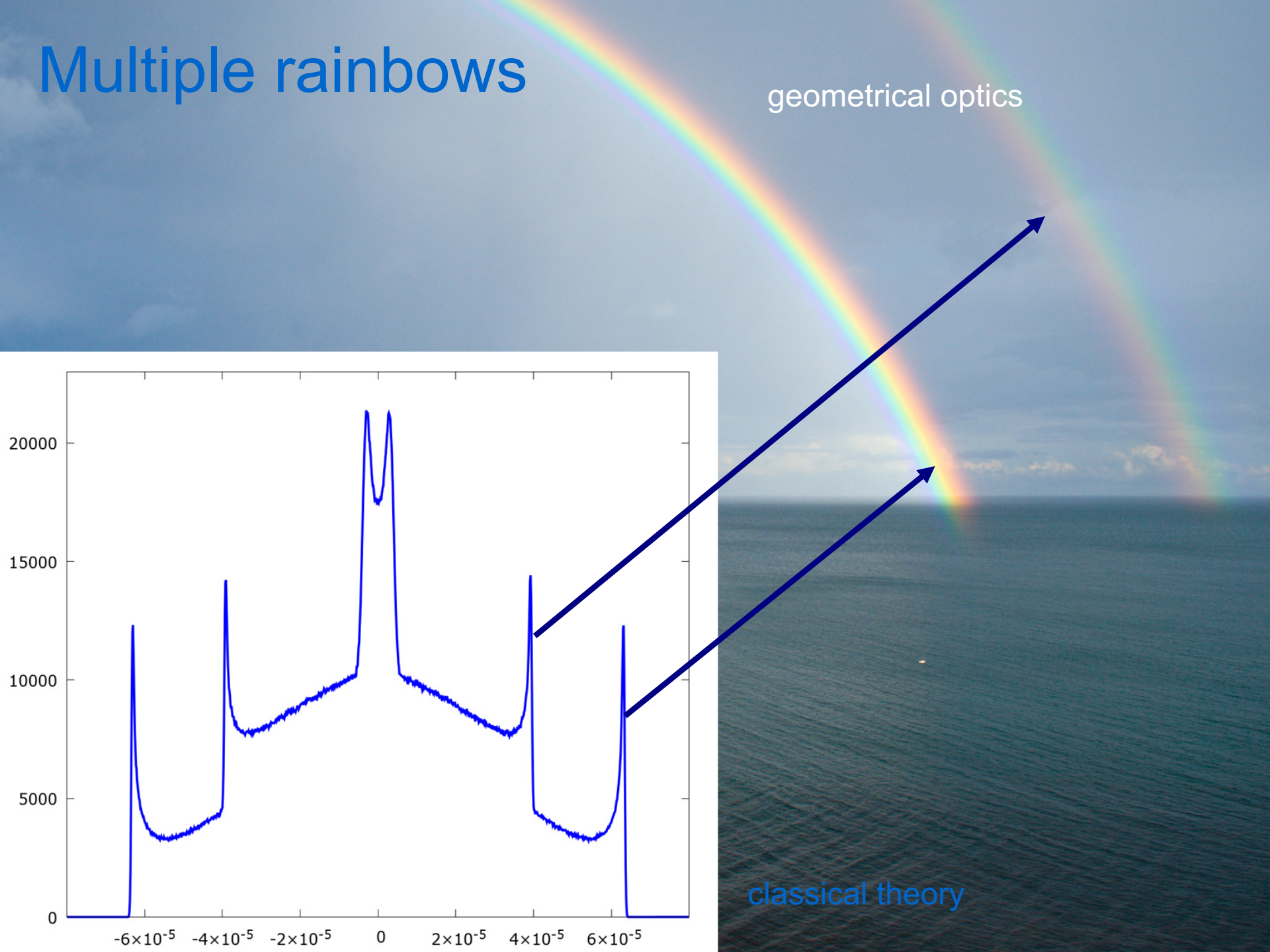


Multiple rainbows

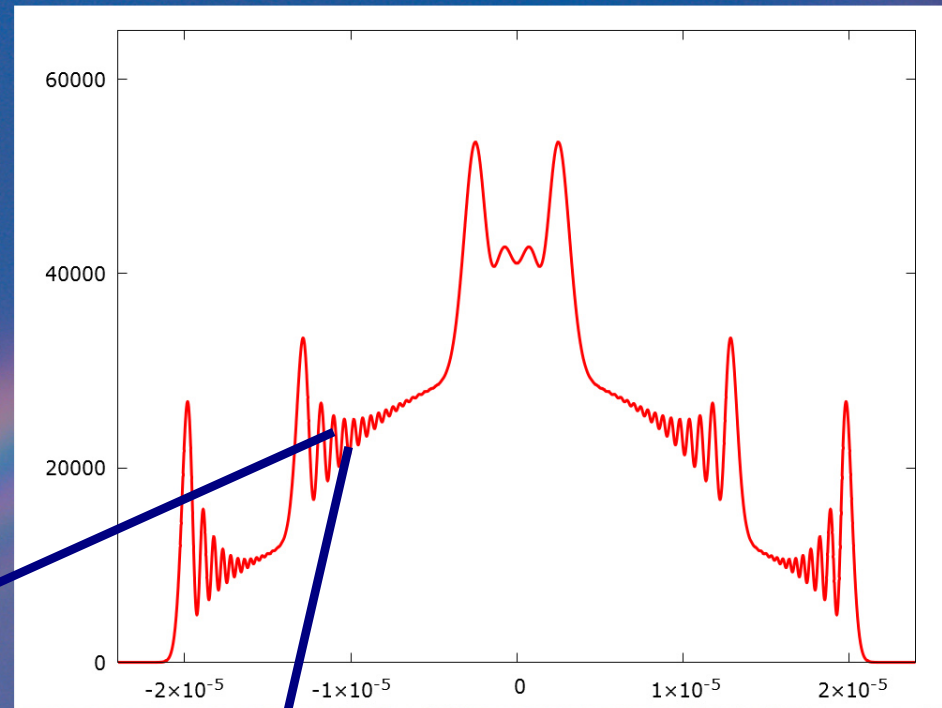
geometrical optics



classical theory



Supernumerary rainbows



wave optics



Summary

Quantum effects can be observed even at scattering of particles as heavy as protons

- Beam divergence has important impact onto the result of scattering
- Planar orientation is preferable VS axial one for observing quantum effects for heavy particles

Quantum effects reveal themselves depending on the beam angular tilt respect to the plane

Quantum nature has influence onto rainbow scattering

Possibility of experimental observation

Summary

Quantum effects can be observed even at scattering of particles as heavy as protons

- Beam divergence has important impact onto the result of scattering
- Planar orientation is preferable VS axial one for observing quantum effects for heavy particles

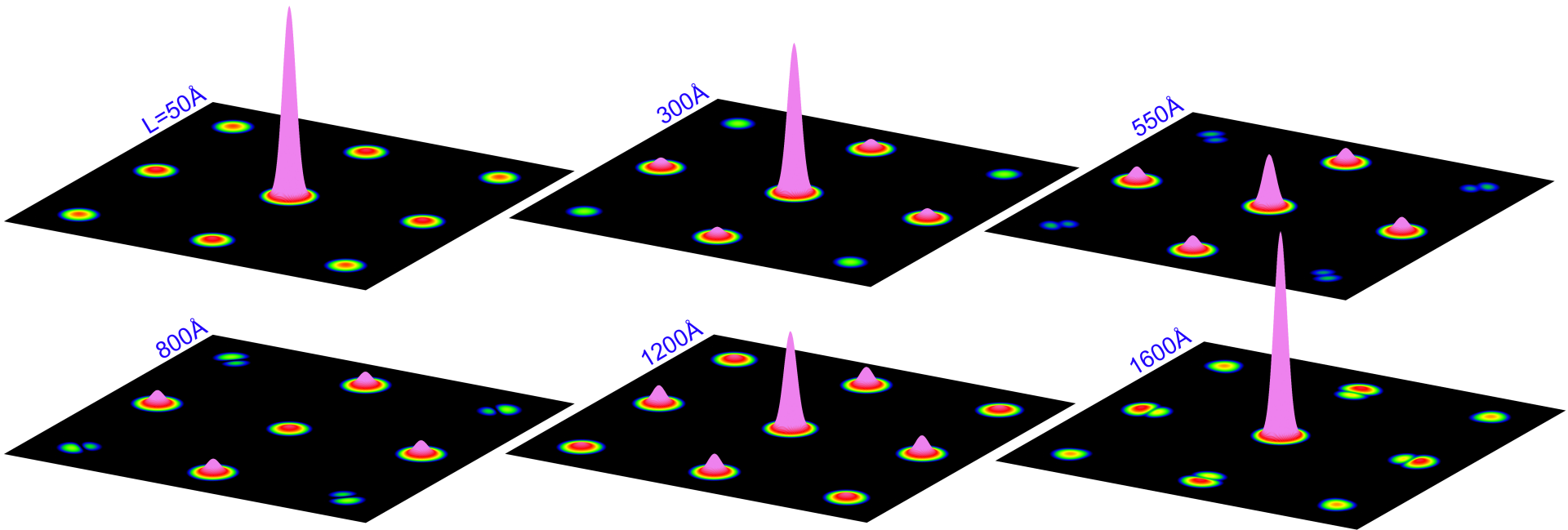
Quantum effects reveal themselves depending on the beam angular tilt respect to the plane

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Possibility of experimental observation

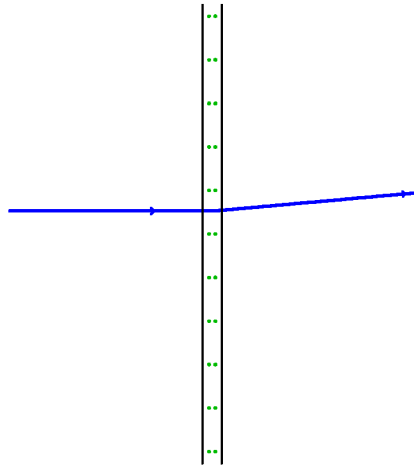
Мерсі! Дякую!

Quantum angular distributions of electrons in ultrathin Si $\langle 100 \rangle$ crystal

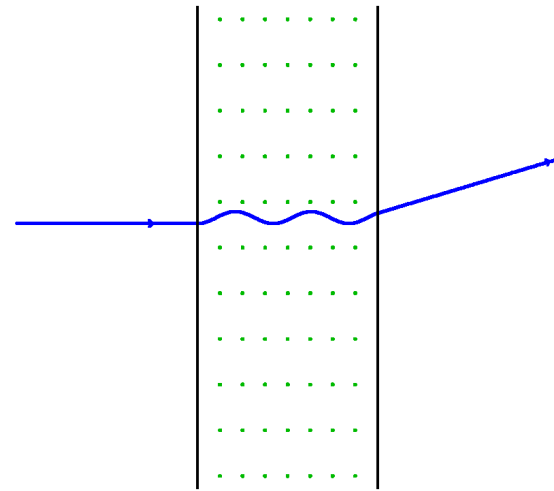


electrons 5MeV Si $\langle 100 \rangle$ 50-1600 \AA

Ultrathin, Thin and Thick Crystals



~~Channeling~~

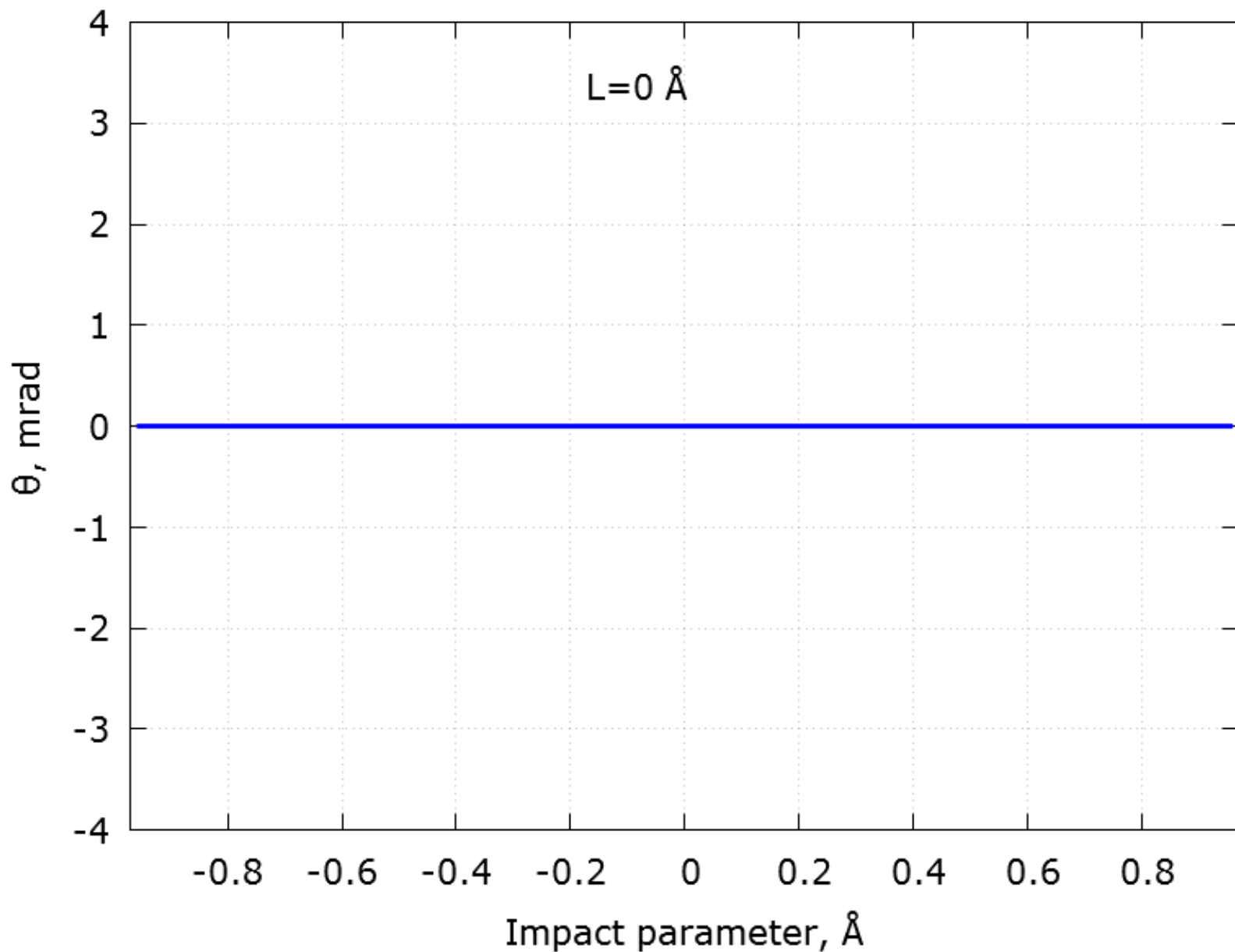


Channeling

V. Guidi et al. Phys. Rev. Lett. (2012)

M. Mothapohtula et al. NIM B283 (2012) 29

Deflection function
of MeV
in ultrathin Si (110) crystal planes



Phenomenon of Planar Channeling

J. Lindhard (1965)

$$p_z = \text{const} \approx p$$

$$p_y = \text{const} \approx 0$$



$$E_{\perp} = \frac{E\psi_c^2}{2} = U_{\max}$$

\Leftrightarrow

$$\psi_c \sim \sqrt{2U_{\max}/E}$$

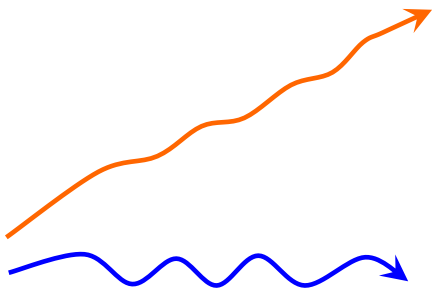
$$\mathcal{K} = -\frac{1}{E} \frac{\partial}{\partial x} U(x)$$

$$E_{\perp} = \frac{E \mathcal{K}^2}{2} + U(x)$$

Quantum consideration

$$\psi = e^{i(pz - \epsilon t)} \varphi(x, t)$$

$$i\hbar \partial_t \varphi = \left(-\frac{\hbar^2}{2\epsilon} \frac{\partial^2}{\partial x^2} + U(x) \right) \varphi(x, t)$$



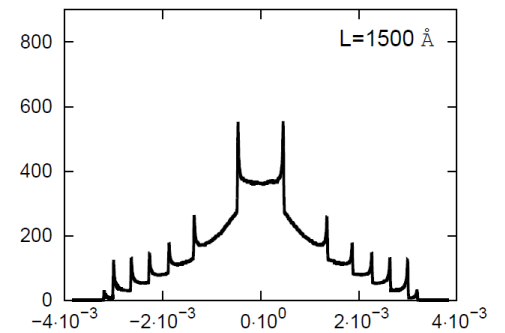
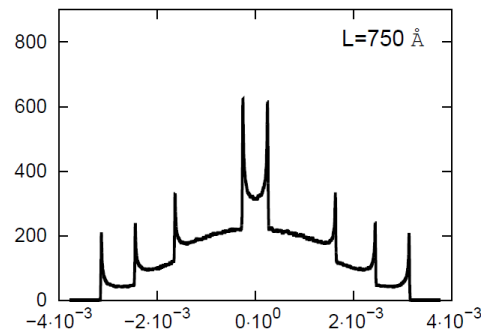
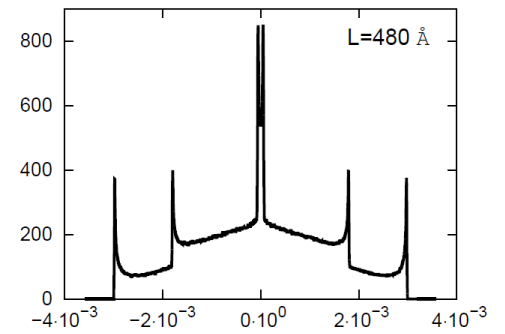
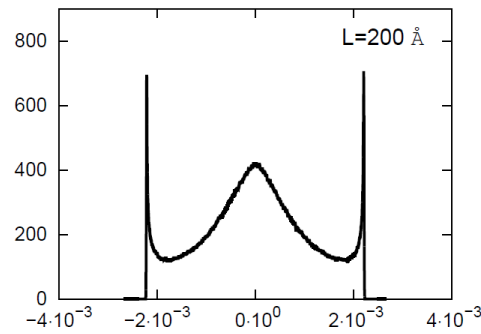
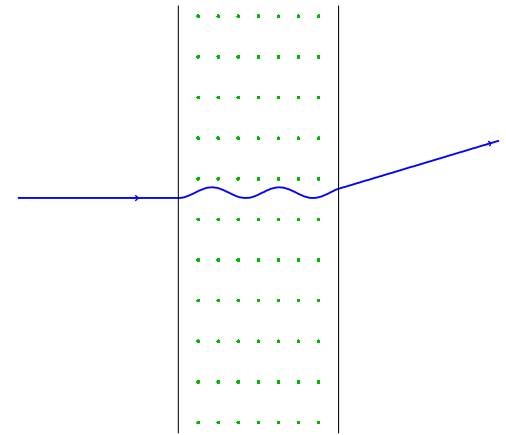
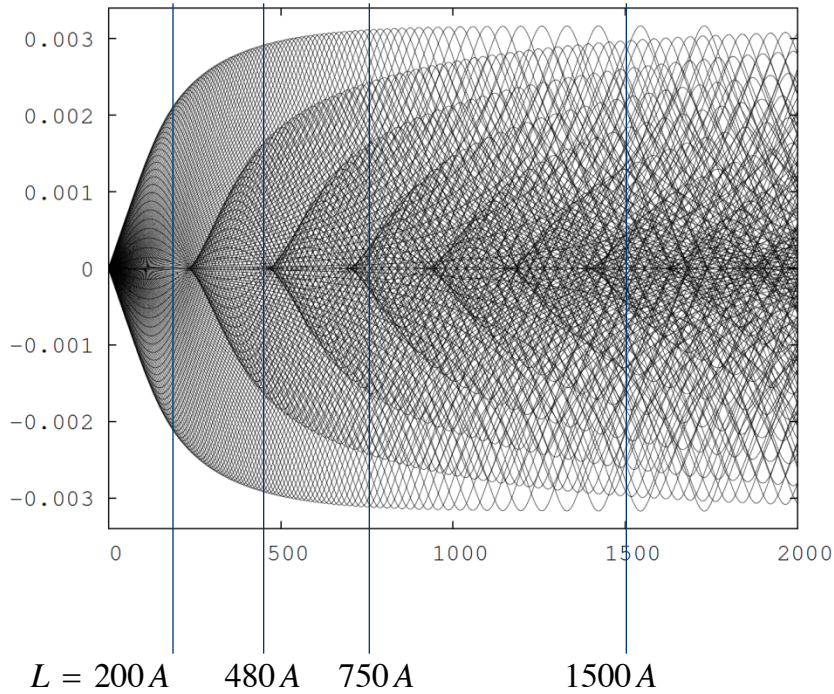
$$E_{\perp p} > U_{\max}$$

$$E_{\perp p} < U_{\max}$$

$$n_{\text{levels}} \sim \sqrt{E_{\text{MeV}}}$$

Phenomenon of Above Barrier Motion: A. Akhiezer, N. Shul'ga (1978)

1D-classical scattering of 4 MeV electrons channeled by (110) Plane in Si crystal

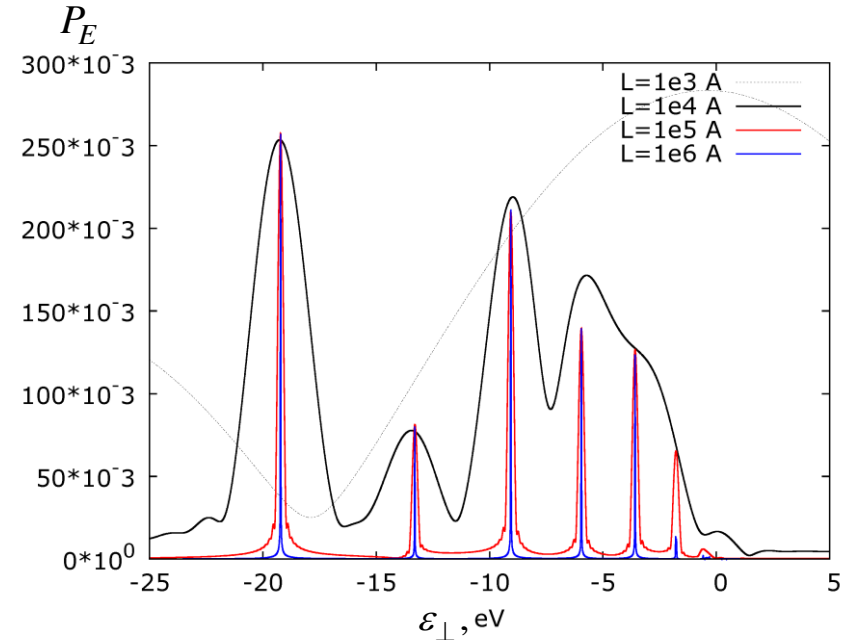


Calculation of Energy Spectrum of Channeling Radiation by the Spectral Method

$$P(t) = \int_{-\infty}^{\infty} dx \psi^*(x, t=0) \psi(x, t)$$

$$P_E = \frac{1}{T} \int_0^T dt \exp(iEt/\hbar) P(t) w(t)$$

$$h\omega \xrightarrow[\text{Lorentz Transf.}]{\text{Doppler eff.}} 2\gamma^2 \cdot h\omega$$



PHIL	$\varepsilon \sim 5\text{MeV}$	$h\omega_{obs} \sim 1\text{keV}$
ThomX	$\varepsilon \sim 50\text{MeV}$	$h\omega_{obs} \sim 100\text{keV}$
PRAE	$\varepsilon \sim 140\text{MeV}$	$h\omega_{obs} \sim 500\text{keV}$

Levels of transversal energy of 50MeV electrons in Si crystal (planar scattering)

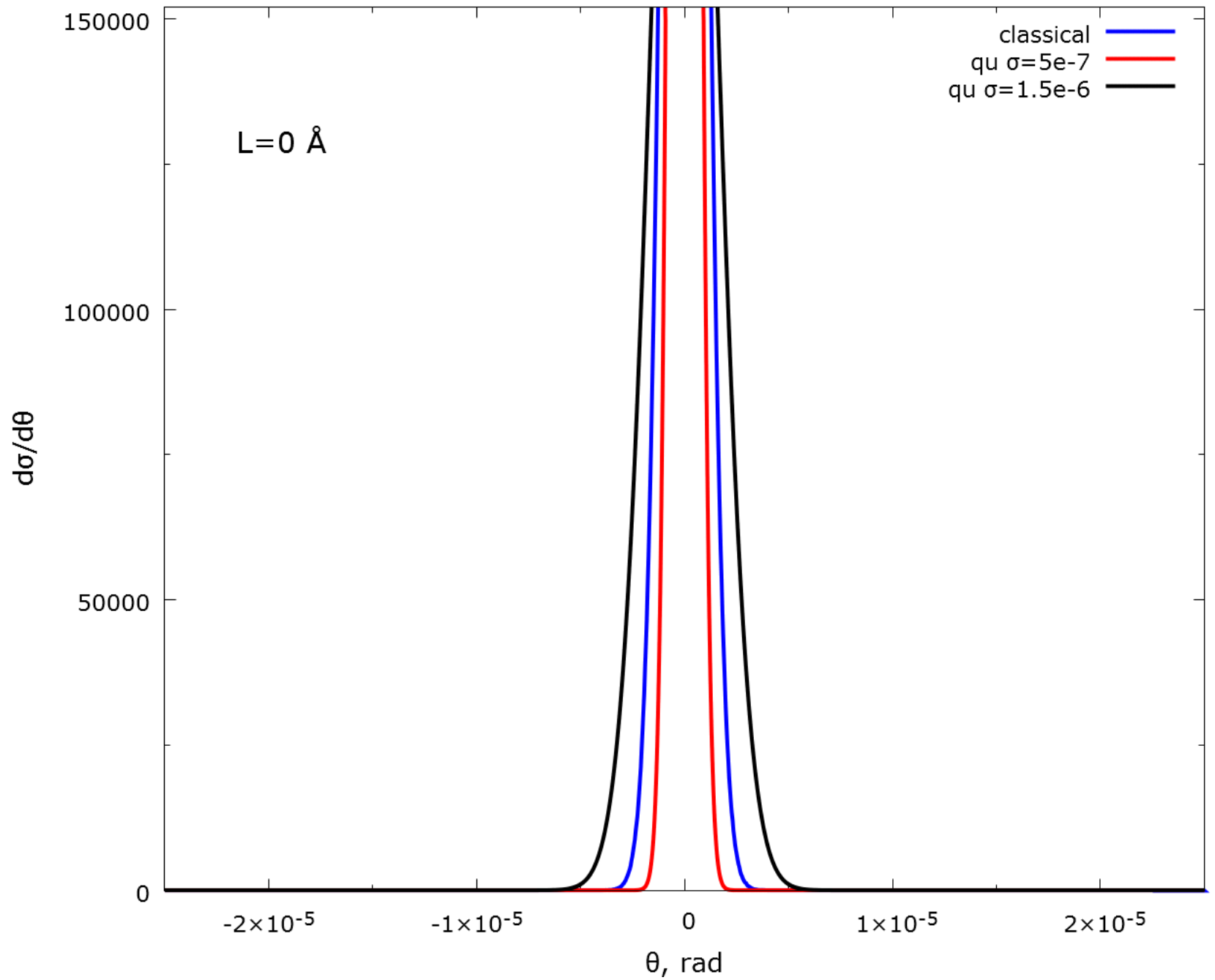
planar scattering $N_{levels} \sim \sqrt{\varepsilon [\text{MeV}]}$

axial scattering $N_{levels} \sim \varepsilon [\text{MeV}]$

What radiation should we get at scattering by ultrathin crystal?
Possible application for study of quantum chaos

1D-classical scattering of 4 MeV positrons channeled by (110) Plane in Si crystal

 Nous ne pouvons pas afficher cette image pour l'instant.



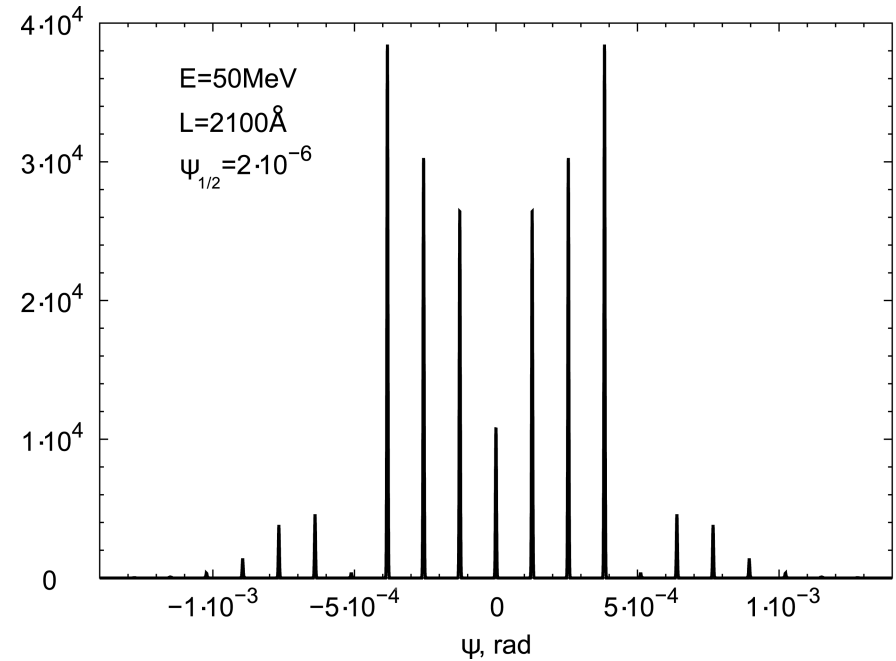
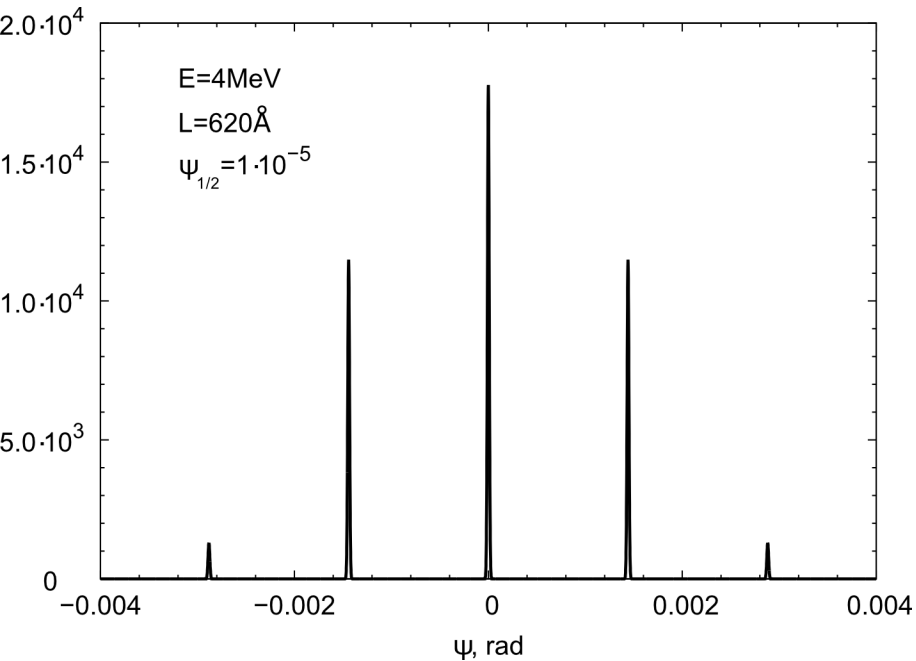
100 GeV electrons

Scattering of electrons by crystal plane. Quantum case

Expansion over reciprocal lattice vectors

d_x —

$$\psi_n = \frac{2\pi\hbar n}{d_x} \frac{1}{p_p}$$



σ_{beam}

$$\overline{w_{beam}(\psi)} = \frac{1}{\sigma_{beam} \sqrt{\pi}} \int e^{-i\psi_i^2 / \sigma_{beam}^2} w(\psi_i, \psi) d\psi_i$$

Classical and quantum angular distributions of 4 MeV electrons in ultrathin Si crystal

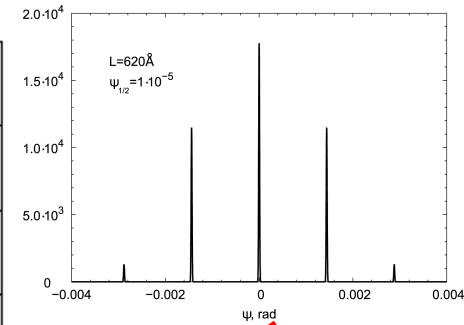
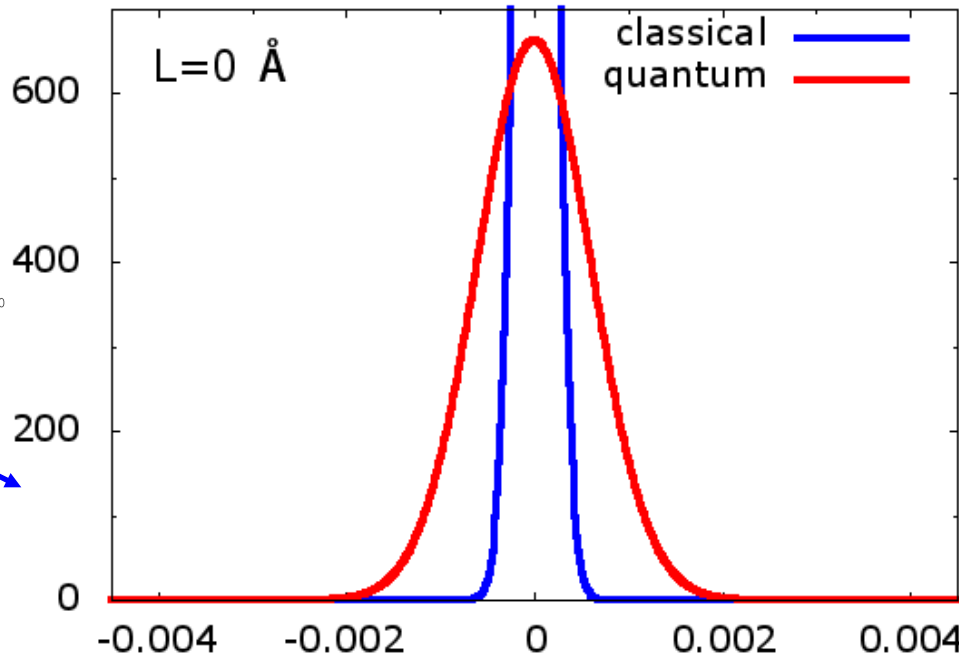
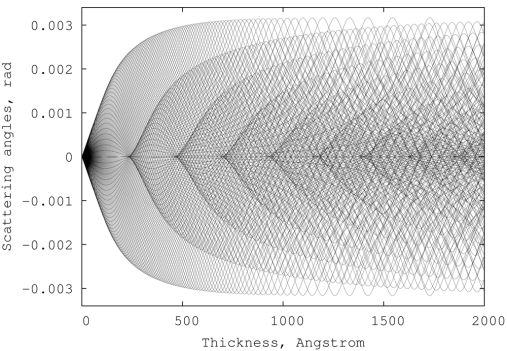


$$d\sigma_{cl} = d^2b = \left| \frac{\partial(b_x, b_y)}{\partial(\vartheta_x, \vartheta_y)} \right| d\vartheta_x d\vartheta_y$$

$$\vec{p} = -\frac{c^2}{E} \nabla U(\vec{\rho})$$

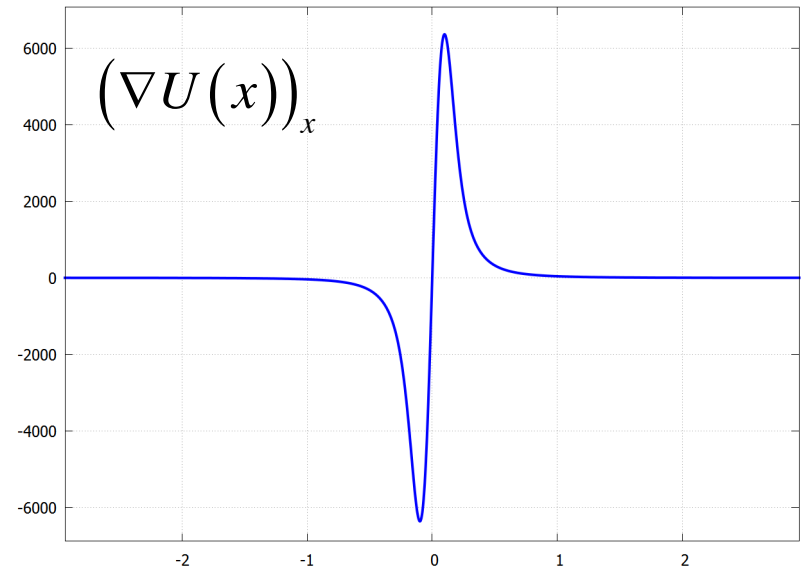
$$\Psi(x, t=0) = \frac{1}{\sqrt{\sigma} \sqrt{\pi}} \exp\left(-\frac{x^2}{2\sigma^2} + i \frac{p_x x}{\hbar}\right)$$

$$\Psi(x, t + \delta t) = \exp\left(-\frac{i}{\hbar} \delta t \hat{H}\right) \Psi(x, t)$$



Classical rainbow on one atom

Once, at a party in Copenhagen, Dirac suggested a theory according to which the face of a woman looks best at a certain optimum distance. He argued that at $d=\infty$ it is impossible to see the face, and at $d=0$ it looks deformed because of $\langle \dots \rangle$ hence there must be a distance between these two values at which the face looks best (it is unknown whether Dirac's argument is valid only for women)...



H.Kragh. Dirac: A Scientific Biography 1990,
Физики продолжают шутить 1968