

Ultra-forward particle production from CGC+Lund fragmentation

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in collaboration with

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Saclay



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1. Introduction

- Forward production in the Color Glass Condensate: Hybrid formalism

2. The Monte-Carlo event generator

- Perturbative parton production: implementation of DHJ formula
- Multiple scattering: eikonal model
- Hadronization: Lund fragmentation model

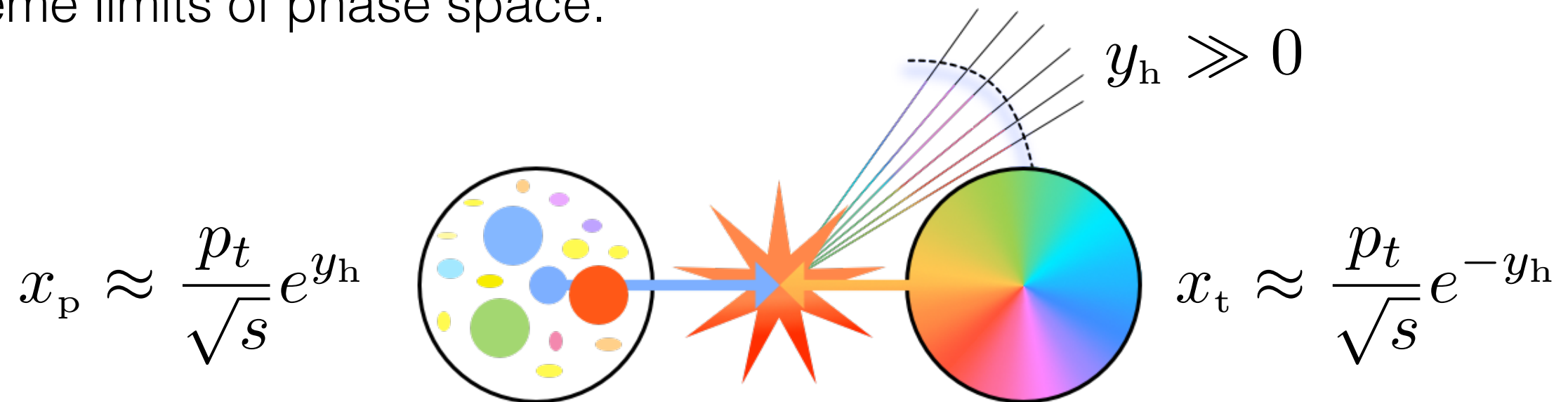
3. Results:

- RHIC: d-Au @ 200 GeV
- LHCf: p-p @ 7 TeV
- LHCf: p-Pb @ 5.02 TeV
- LHCf: nuclear modification factor R_{p-Pb} @ 5.02 TeV

4. Conclusions, future prospects

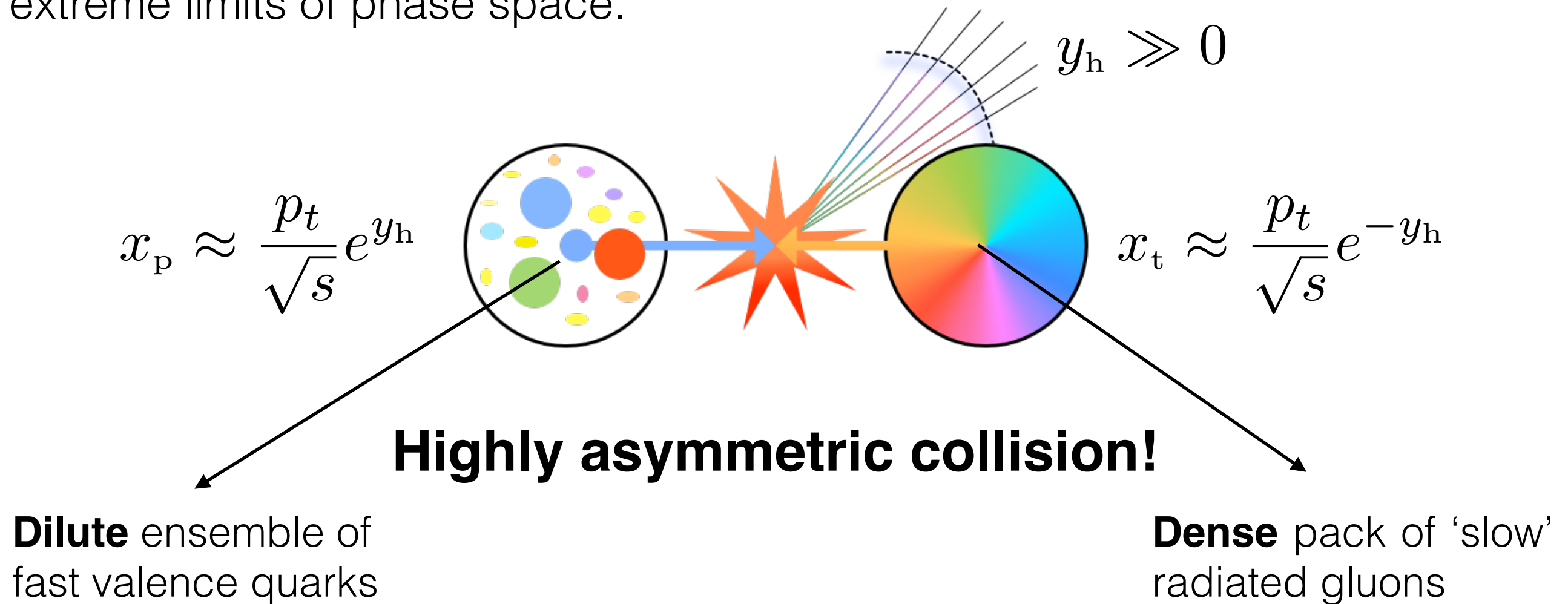
Forward particle production in the Color Glass Condensate

- The analysis of the very forward region of particle production in high-energy collisions gives us access to the wave functions of colliding objects in the extreme limits of phase space.



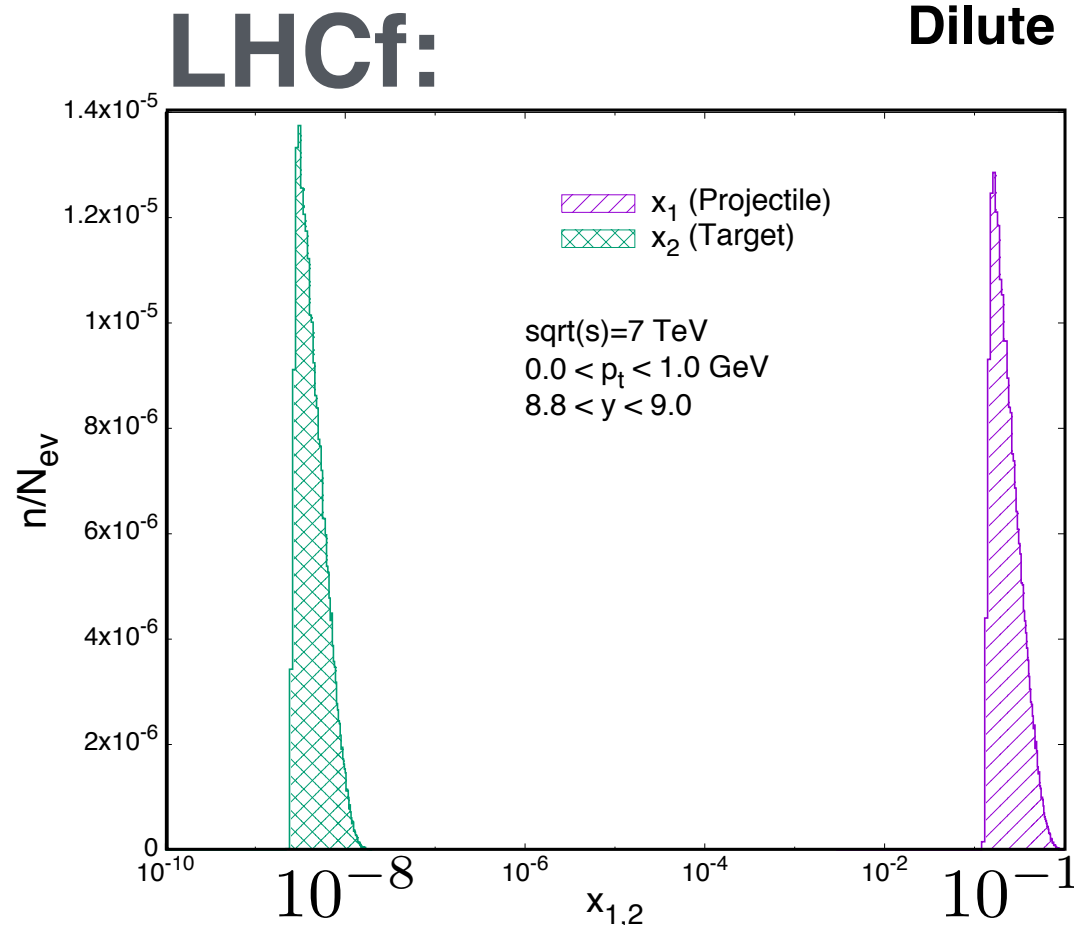
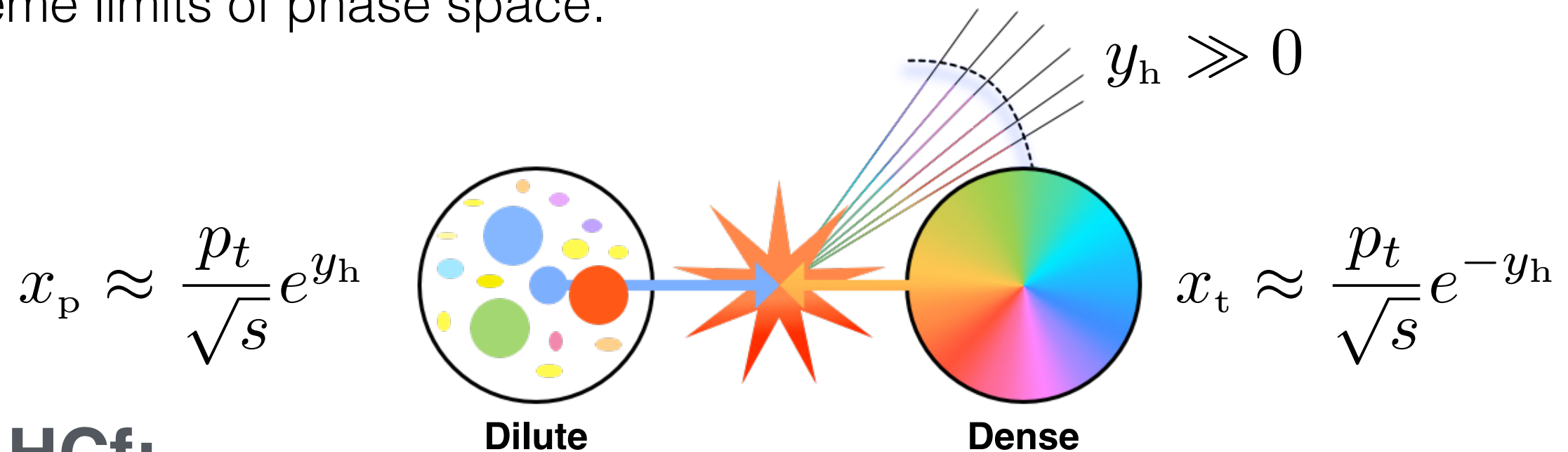
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$$\sqrt{s} = 7 \text{ TeV}$$

$$p_t \lesssim 1 \text{ GeV}$$

$$8.8 \leq y \leq 9.0$$

$$x_p \sim 10^{-1} \div 1$$

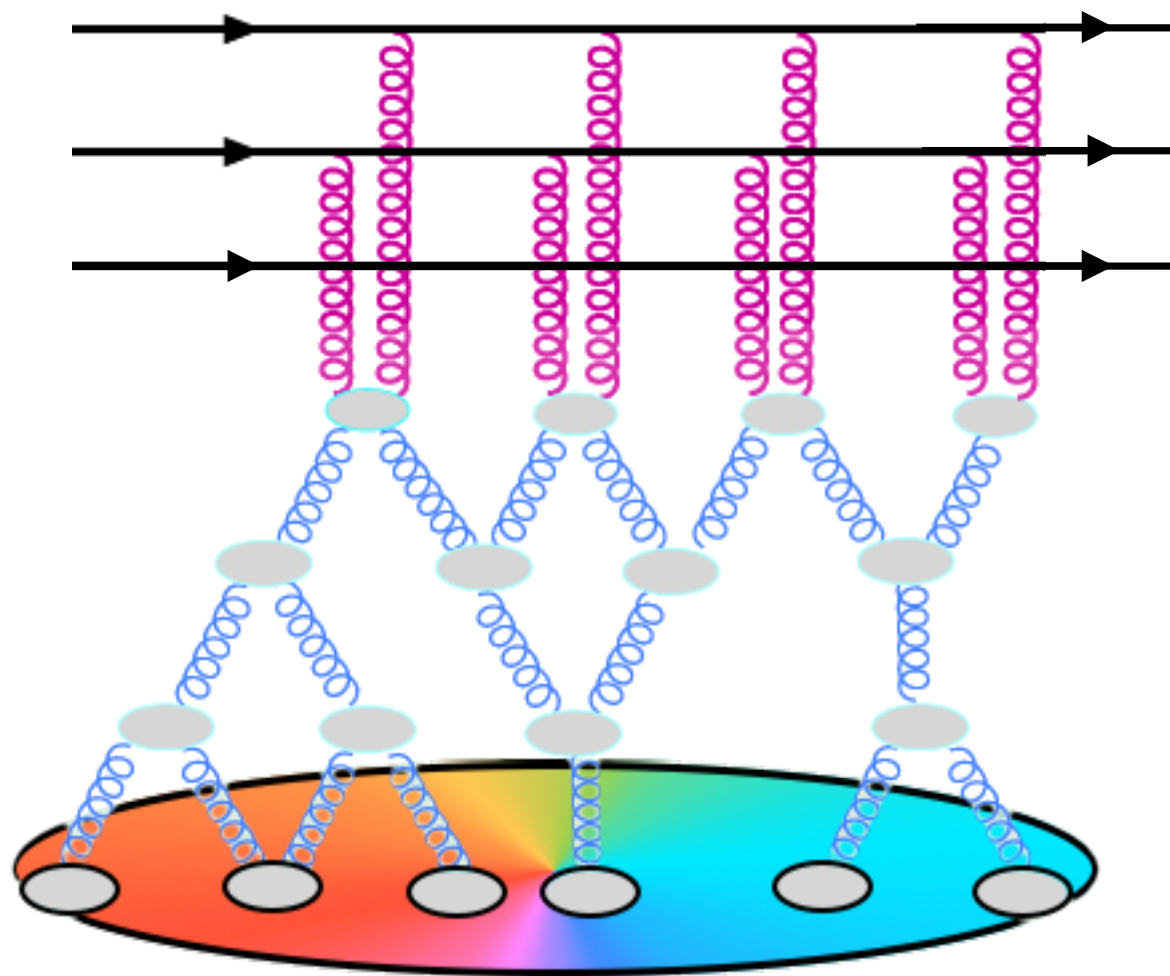
$$x_t \sim 10^{-8} \div 10^{-9}$$

Smallest Bjorken- x
values observed yet

Forward particle production in the Color Glass Condensate

- Color Glass Condensate:

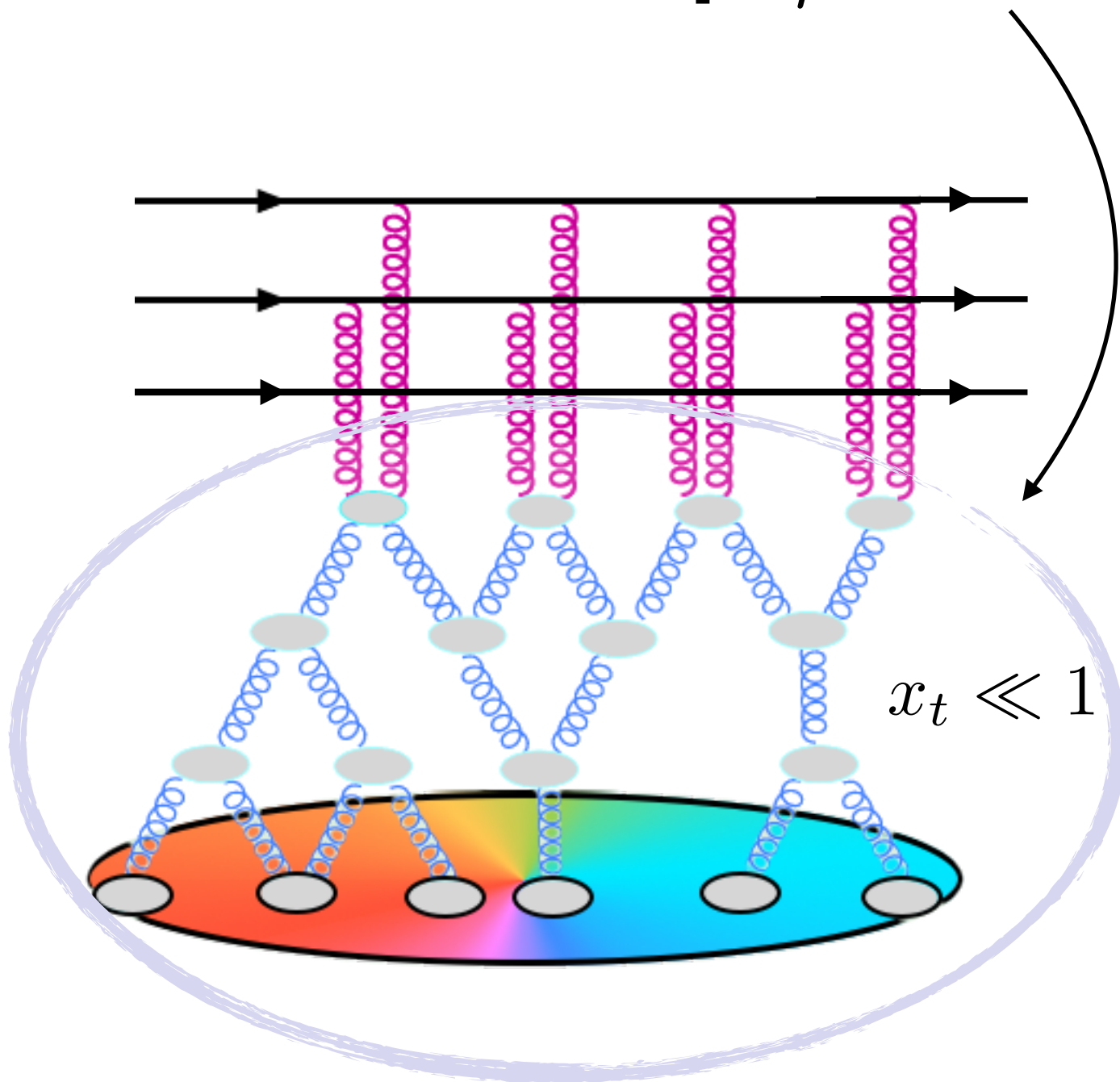
$$[D_\mu, F^{\mu\nu,a}] = J^{\nu,a}$$



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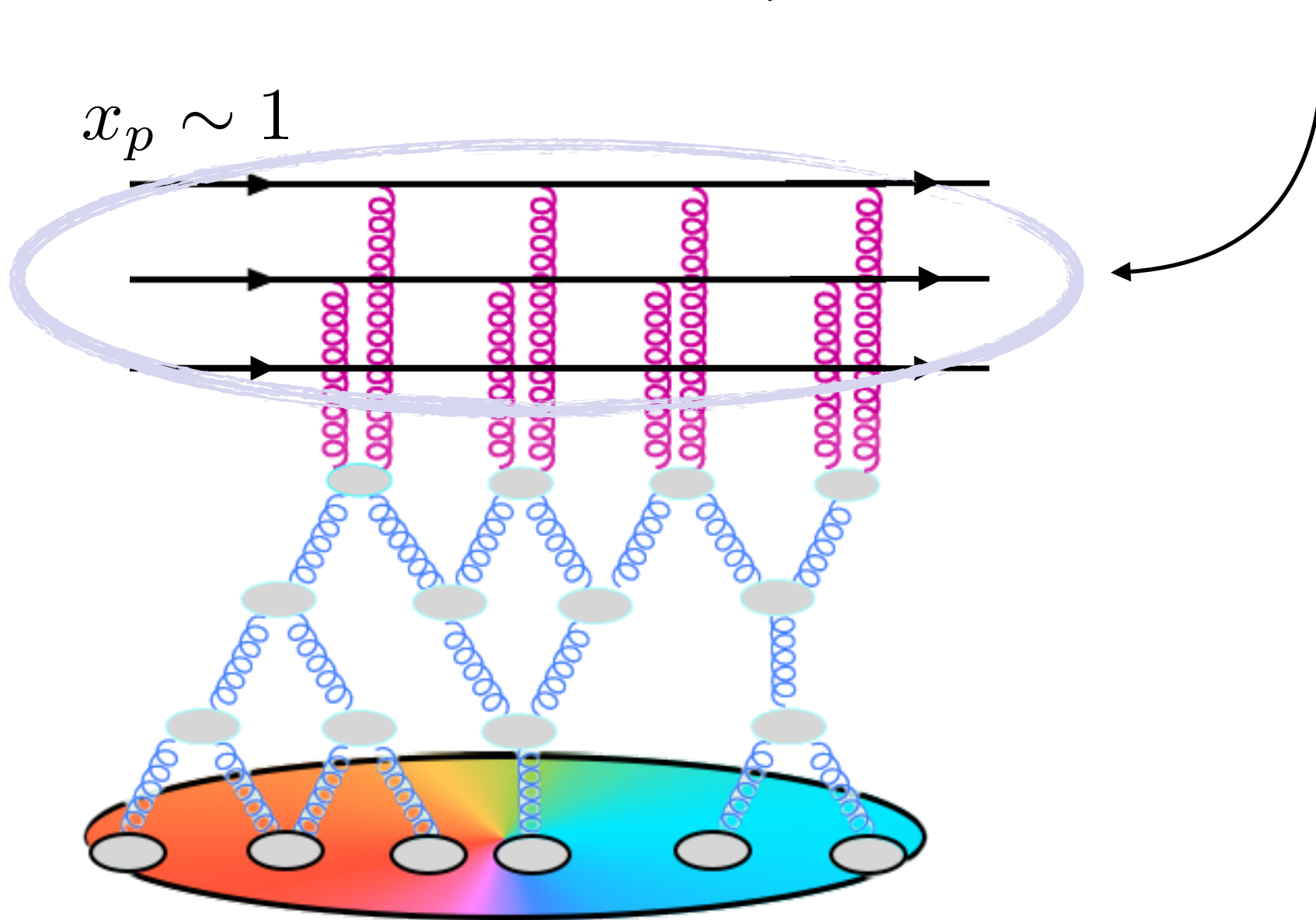
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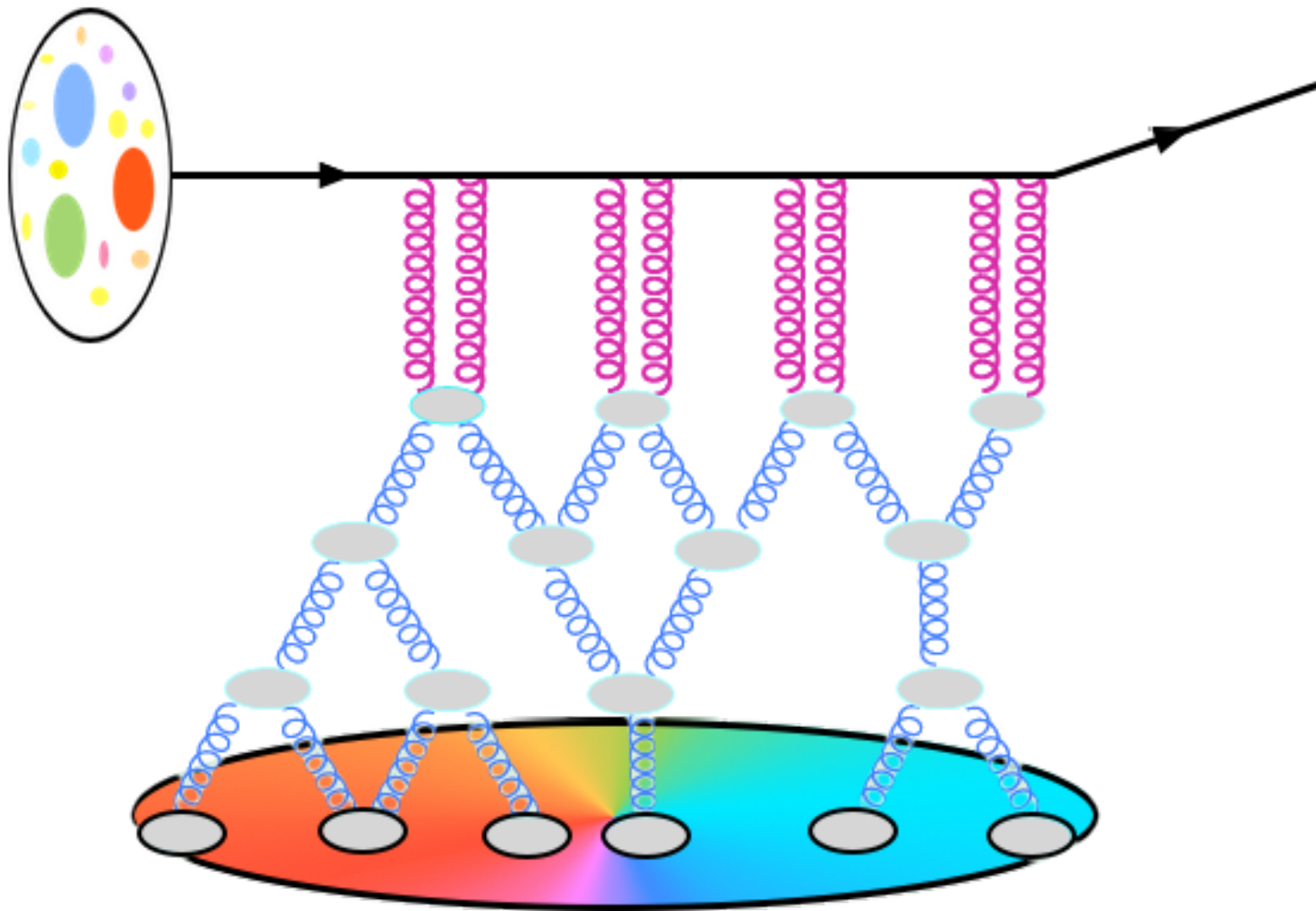
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Forward particle production in the Color Glass Condensate

- Hybrid formalism: the CGC interpretation of dilute-dense interactions
([A. Dumitru, A. Hayashigaki and J. Jalilian-Marian, Nucl. Phys. A765 \(2006\) 464](#)):

$$\frac{d\sigma}{dyd^2k_{\perp}} \sim \text{pdf}(x_p, \mu^2) \times \text{uGD}(x_t, k_{\perp}^2)$$



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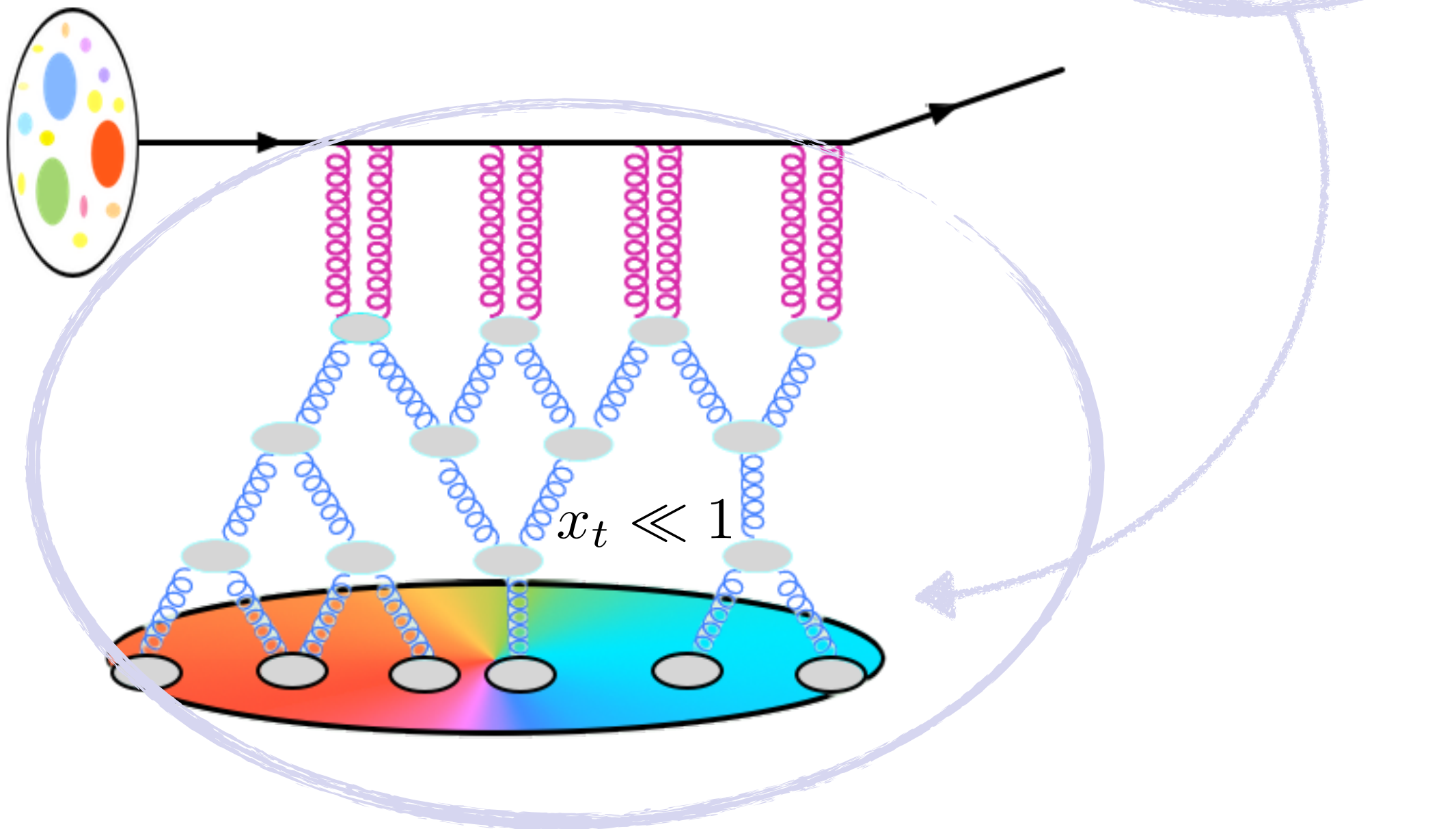
$$\frac{d\sigma}{dyd^2k_{\perp}} \sim \text{pdf}(x_p, \mu^2) \times \text{uGD}(x_t, k_{\perp}^2)$$

The diagram illustrates the hybrid formalism for forward particle production. On the left, a projectile nucleus is shown with a parton distribution function $\text{pdf}(x_p, \mu^2)$ and a parton momentum fraction $x_p \sim 1$. This nucleus interacts with a target nucleus (bottom) via a Color Glass Condensate (CGC). The interaction is described by the hybrid formalism equation: $\frac{d\sigma}{dyd^2k_{\perp}} \sim \text{pdf}(x_p, \mu^2) \times \text{uGD}(x_t, k_{\perp}^2)$. The diagram shows a projectile nucleus (left) with parton distribution function $\text{pdf}(x_p, \mu^2)$ interacting with a target nucleus (bottom) via a Color Glass Condensate (CGC). The interaction is described by the hybrid formalism equation: $\frac{d\sigma}{dyd^2k_{\perp}} \sim \text{pdf}(x_p, \mu^2) \times \text{uGD}(x_t, k_{\perp}^2)$. The diagram shows a projectile nucleus (left) with parton distribution function $\text{pdf}(x_p, \mu^2)$ interacting with a target nucleus (bottom) via a Color Glass Condensate (CGC). The interaction is described by the hybrid formalism equation: $\frac{d\sigma}{dyd^2k_{\perp}} \sim \text{pdf}(x_p, \mu^2) \times \text{uGD}(x_t, k_{\perp}^2)$.

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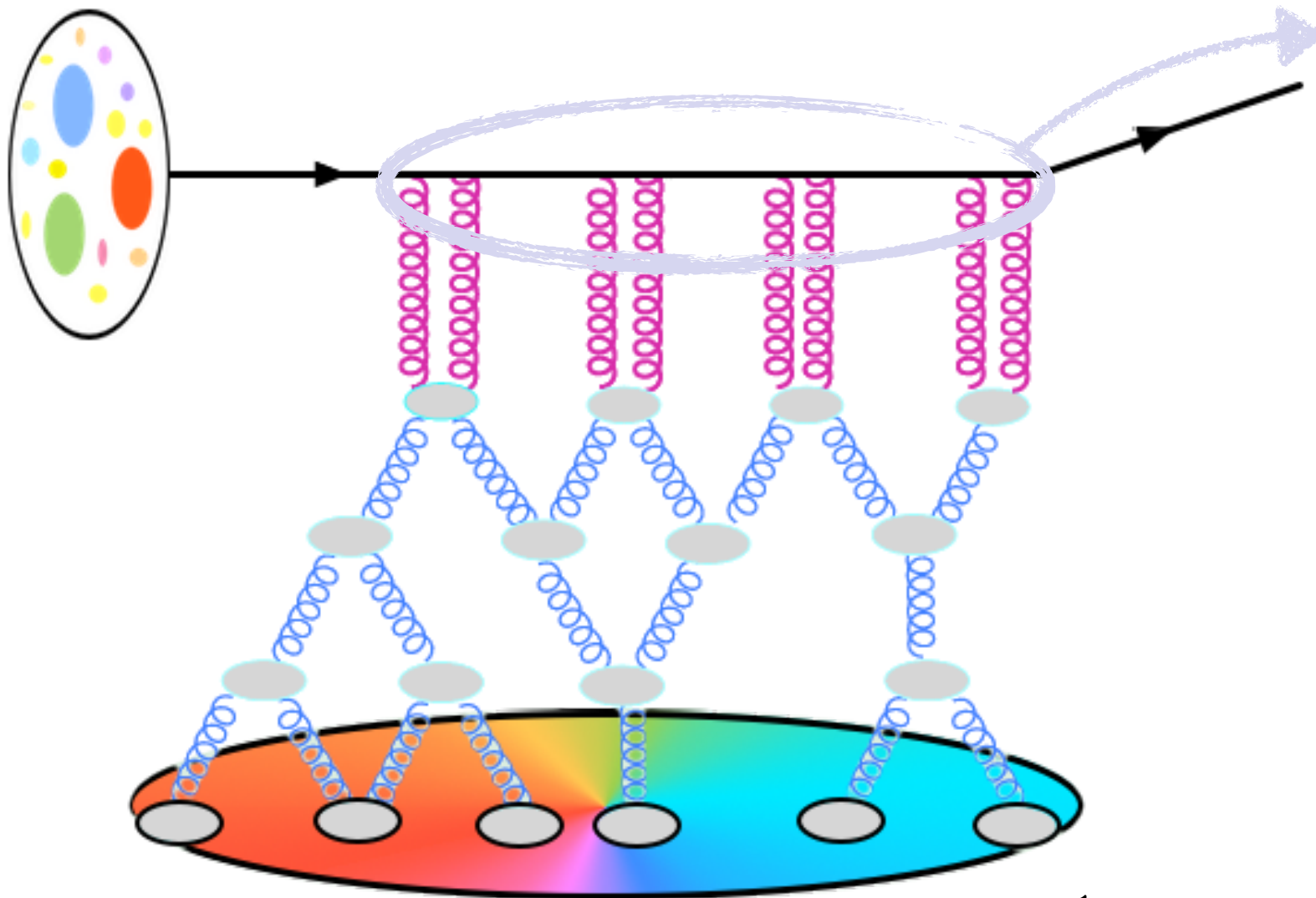
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Multiple scattering:

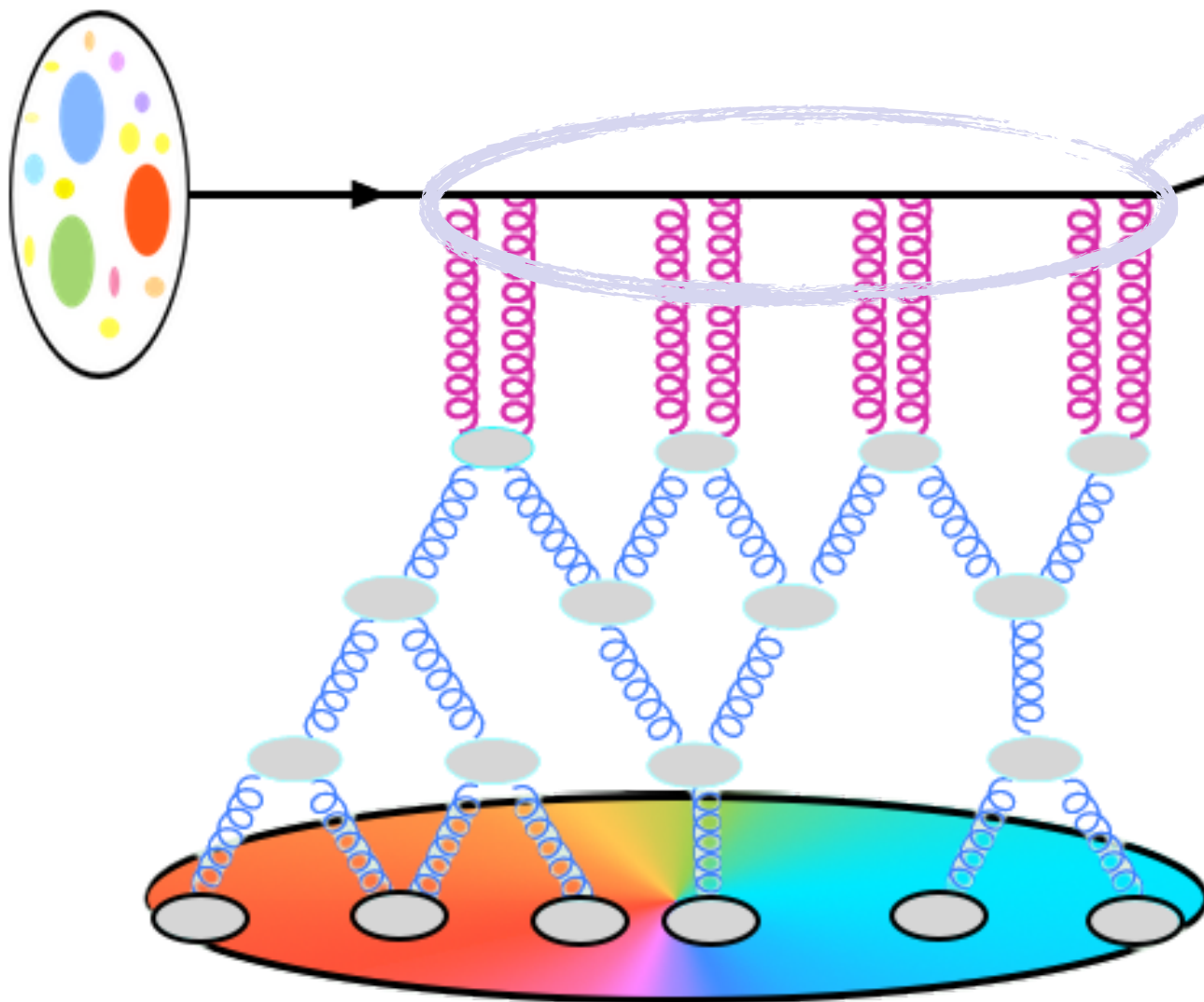
All terms of order $g\mathcal{A}(x) \sim \mathcal{O}(1)$ must be resummed.

Strong color field: $\mathcal{A}(x) \sim \frac{1}{g}$

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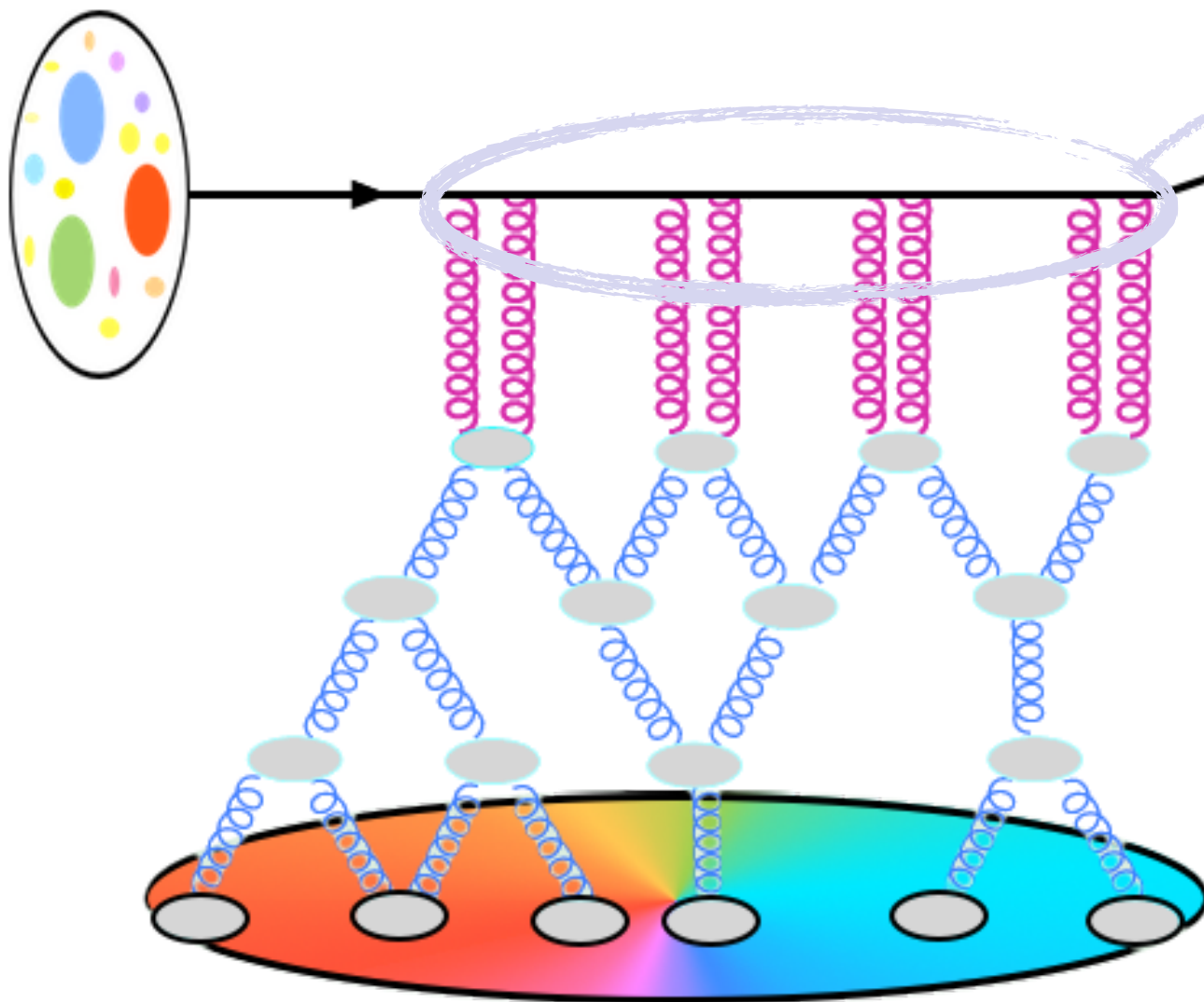
- Resummation to all orders + eikonal approximation: Wilson line $U(z_{\perp})$

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Multiple scattering:

All terms of order $g\mathcal{A}(x) \sim \mathcal{O}(1)$ must be resummed.

- Resummation to all orders + eikonal approximation: Wilson line $U(z_{\perp})$
- Unintegrated gluon distribution:

$$\text{uGD}(x_0, k_t) = \text{FT} \left[1 - \frac{1}{N_c} \langle \text{tr}(UU^{\dagger}) \rangle_{x_0} \right]$$

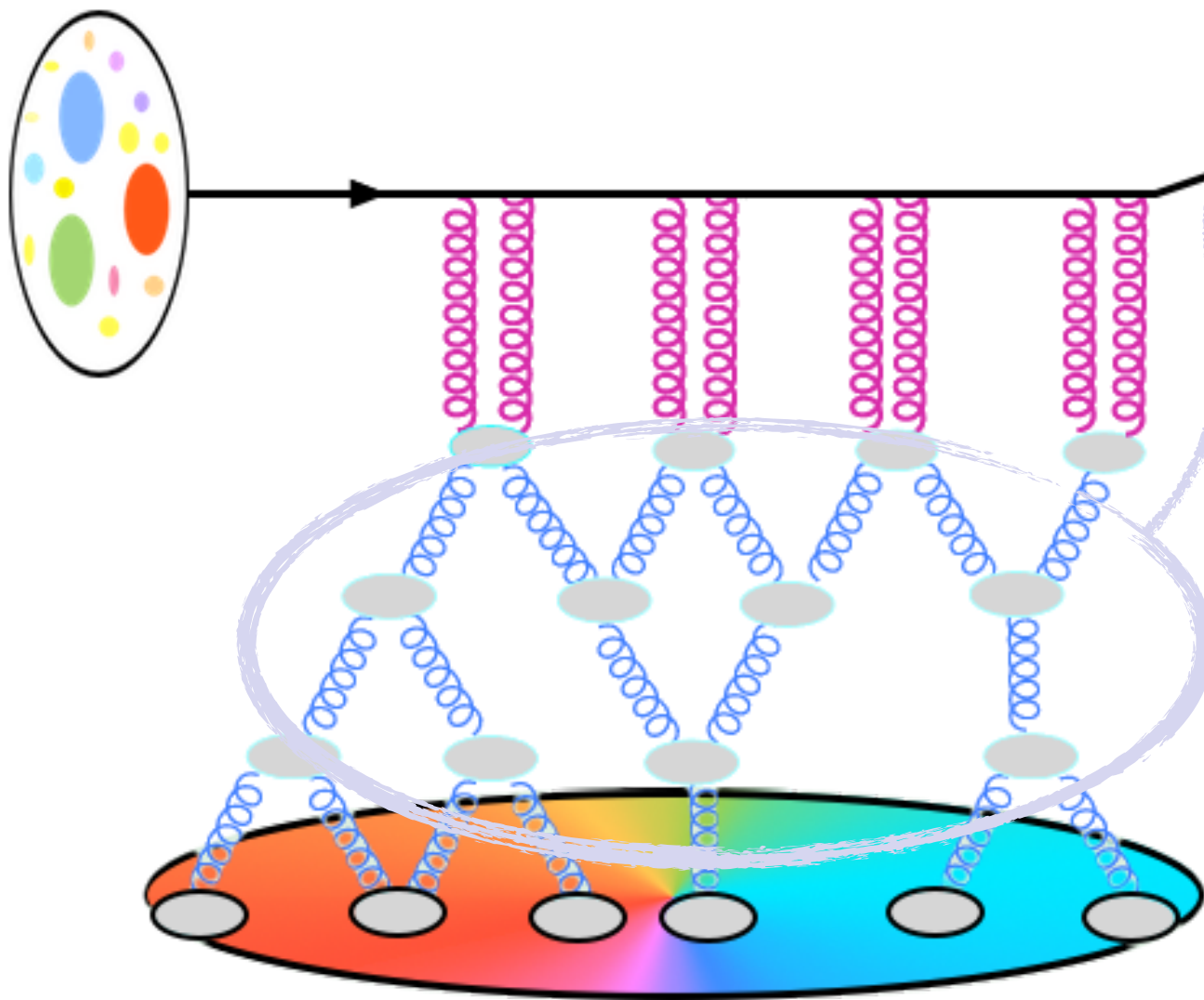
Dipole scattering amplitude

Strong color field: $\mathcal{A}(x) \sim \frac{1}{g}$

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Non-linear small-x evolution:
BK-JIMWLK equations:

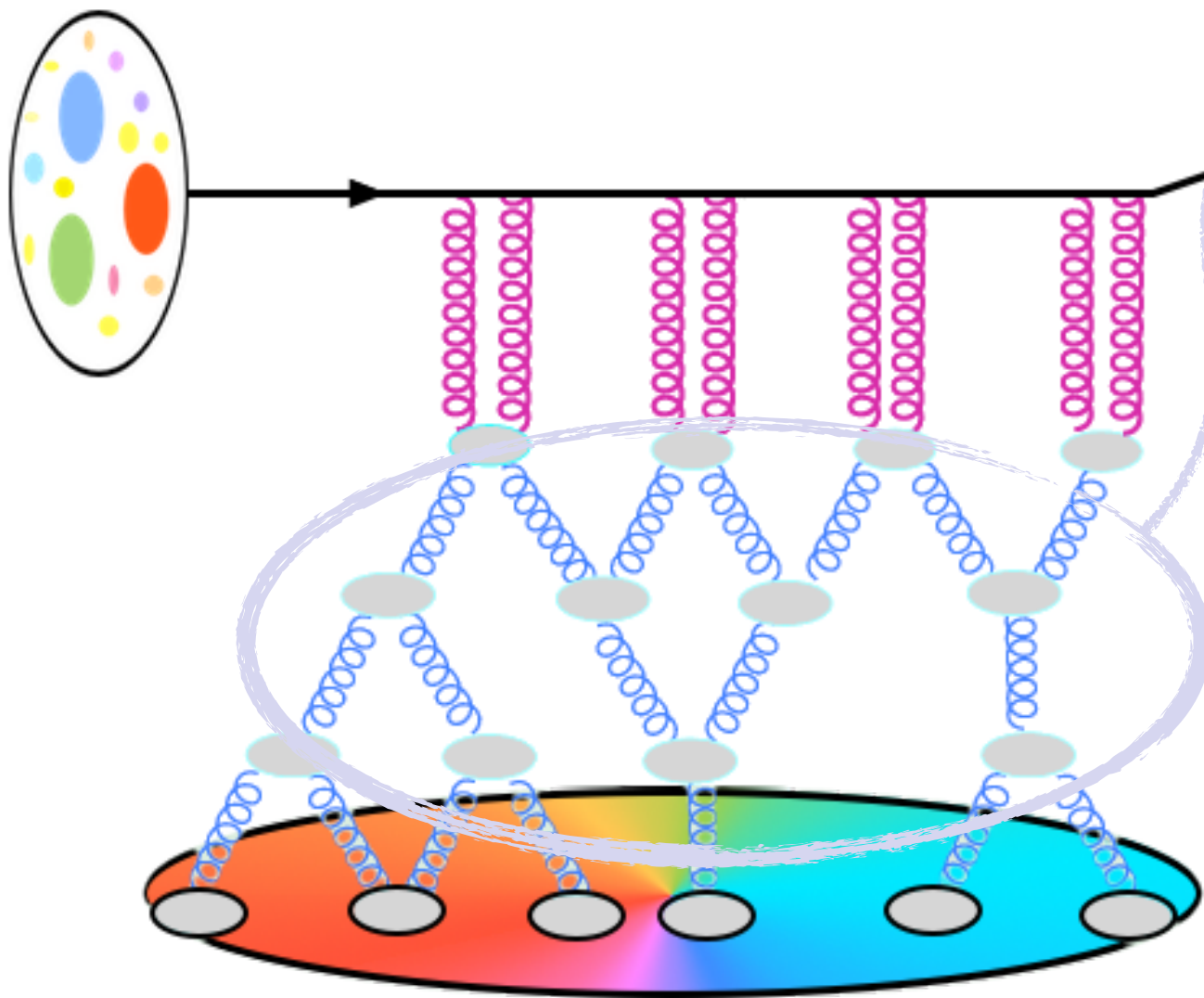
$$\frac{\partial \text{uGD}(x, k_t)}{\partial \ln(x_0/x)} \sim \underbrace{\mathcal{K} \otimes \text{uGD}}_{\text{Radiation}} - \underbrace{\text{uGD}^2}_{\text{Recombination}}$$

BK: evolution of 2-point function
JIMWLK: (coupled) evolution of
all n-point functions

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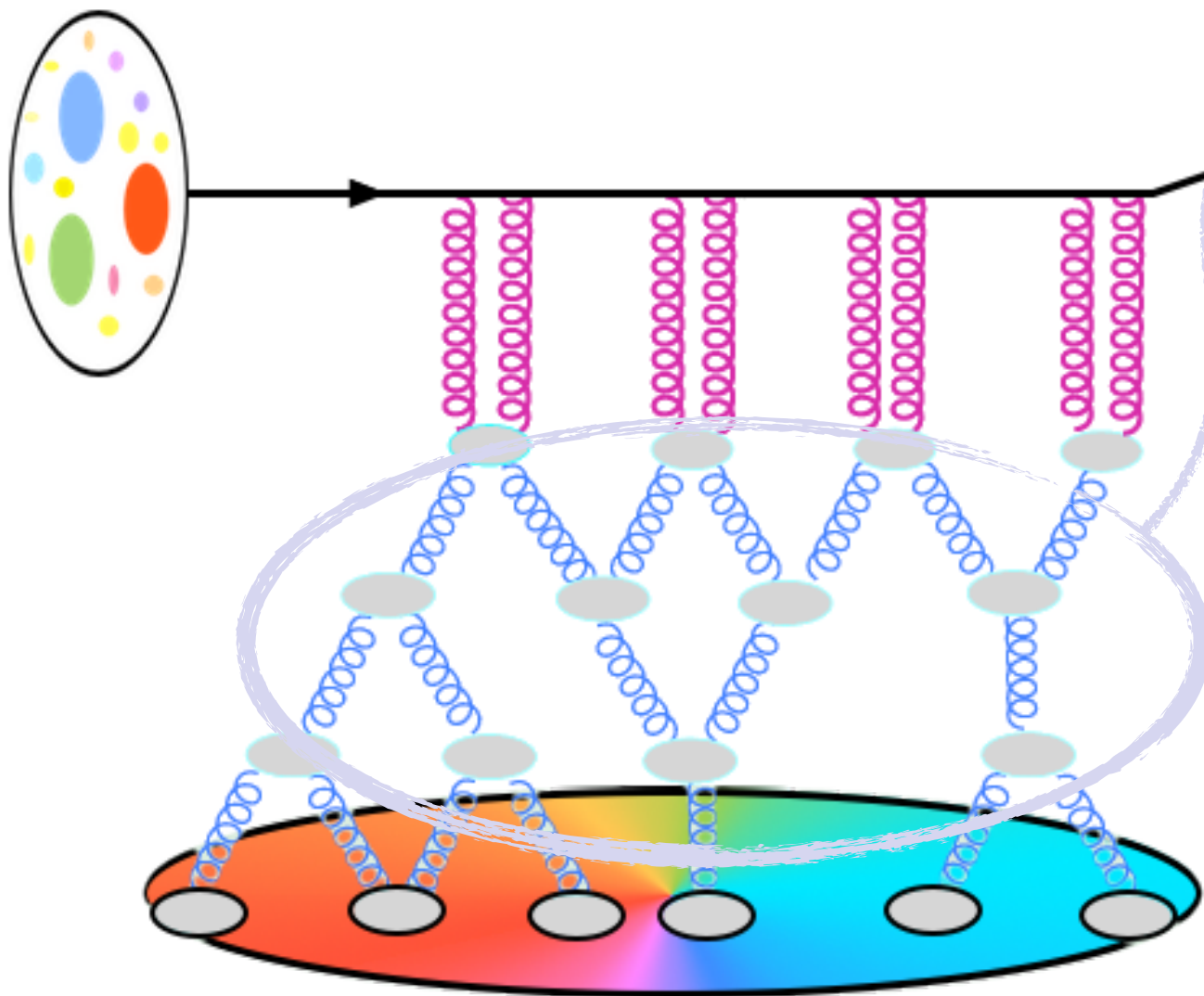
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$Q_s^2(x)$: **Signals when radiation and recombination terms become parametrically of the same order**

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$Q_s^2(x)$: **Signals when radiation and recombination terms become parametrically of the same order**

LHCf:
(p-p)

$$Q_s \gtrsim 1 \text{ GeV}$$

Perturbative parton production: implementation of DHJ formula

- Hybrid formalism ([A. Dumitru, A. Hayashigaki and J. Jalilian-Marian, Nucl. Phys. A765 \(2006\) 464](#)):

$$\frac{d\sigma^{h_1 h_2 \rightarrow (q/g) X}}{dy d^2 k_t} = \frac{K}{(2\pi)^2} \frac{\sigma_0}{2} x_p f_{(q/g)/h_1}(x_p, \mu^2) N_{(F/A), h_2}(x_t, k_t^2)$$

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- Proton PDF: CTEQ6 LO set ([J. Pumplin et. al., JHEP 07 \(2002\) 012](#))
- Default factorization scale:

LHCf: $\mu = \max\{k_t, Q_s\}$

RHIC (forward): $Q_s < 1 \text{ GeV} \longrightarrow \mu = 1.5 \text{ GeV}$

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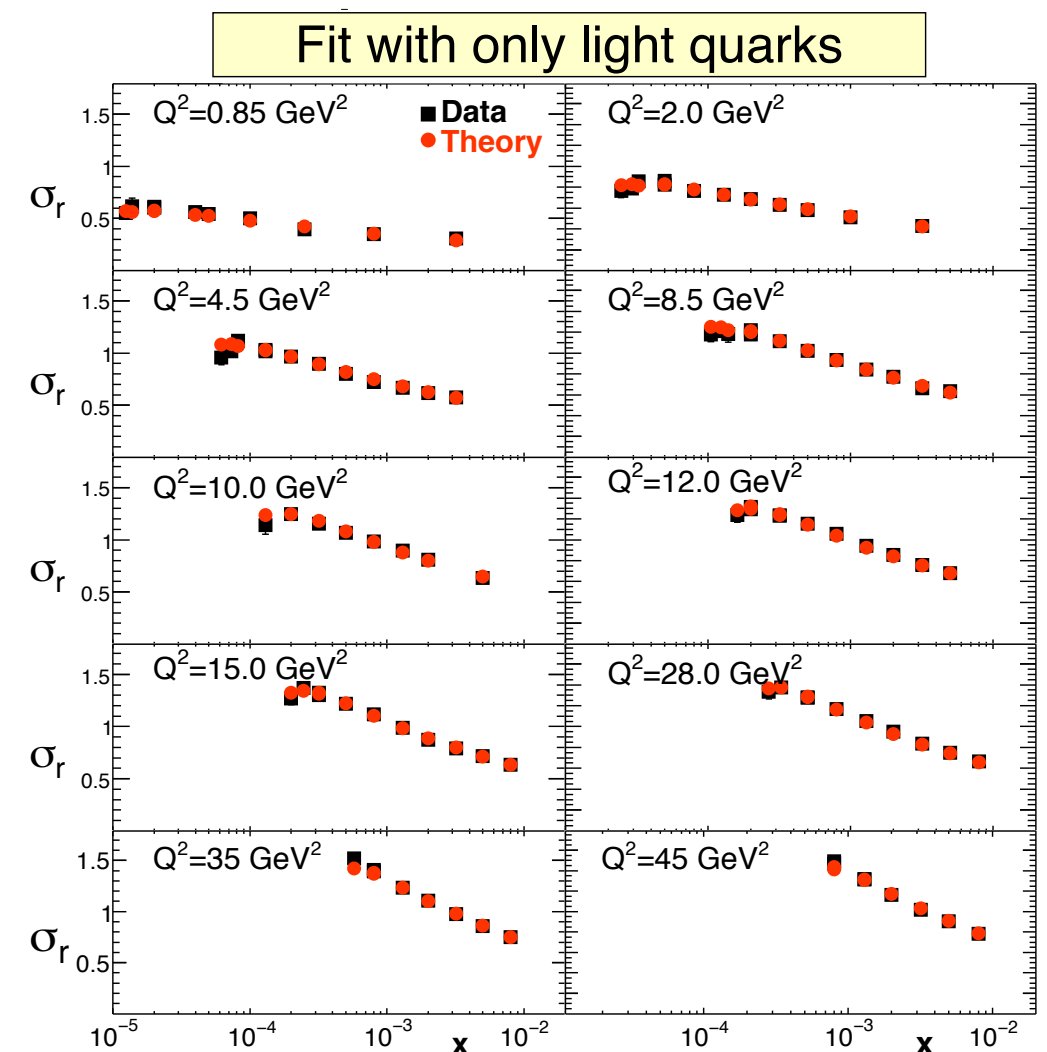
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- uGD's: Fourier transforms of dipole scattering amplitudes.

$$N_{F(A)}(x, k_t) = \int d^2 \mathbf{r} e^{-i \mathbf{k}_t \cdot \mathbf{r}} [1 - \mathcal{N}_{F(A)}(x, r)] .$$

- Small-x evolution: We take parametrization of $\mathcal{N}_{F(A)}(x, r)$ from the AAMQS fits to data on the structure functions measured in e+p scattering at HERA:

rc-BK evolution



J. L. Albacete, N. Armesto, J. G. Milhano and C. A. Salgado, Phys. Rev. D80 (2009) 034031.

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rc-BK evolution

- Initial conditions for evolution:

$$\mathcal{N}_F(x_0, r) = 1 - \exp \left[- \frac{(r^2 Q_{s0}^2)^\gamma}{4} \log \left(\frac{1}{\Lambda r} + e \right) \right]$$

$$x_0 = 10^{-2} \quad \gamma = 1.101 \quad Q_{s0}^2 = 0.157 \text{ GeV}^2$$

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- uGD's for nuclear target:

$$Q_{s0, nucleus}^2 = A^{1/3} Q_{s0, proton}^2$$

↑
Oomph factor

Perturbative parton production: implementation of DHJ formula

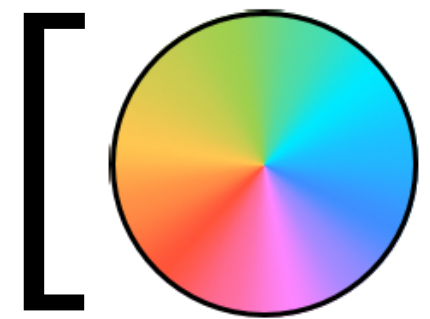
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- Implicit integration in impact parameter \vec{b} : $\sigma_0/2$

Free fit parameter of *AAMQS* fits:

$$\frac{\sigma_0}{2} = 16.5 \text{ mb}$$



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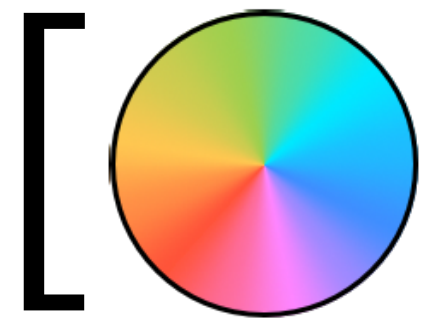
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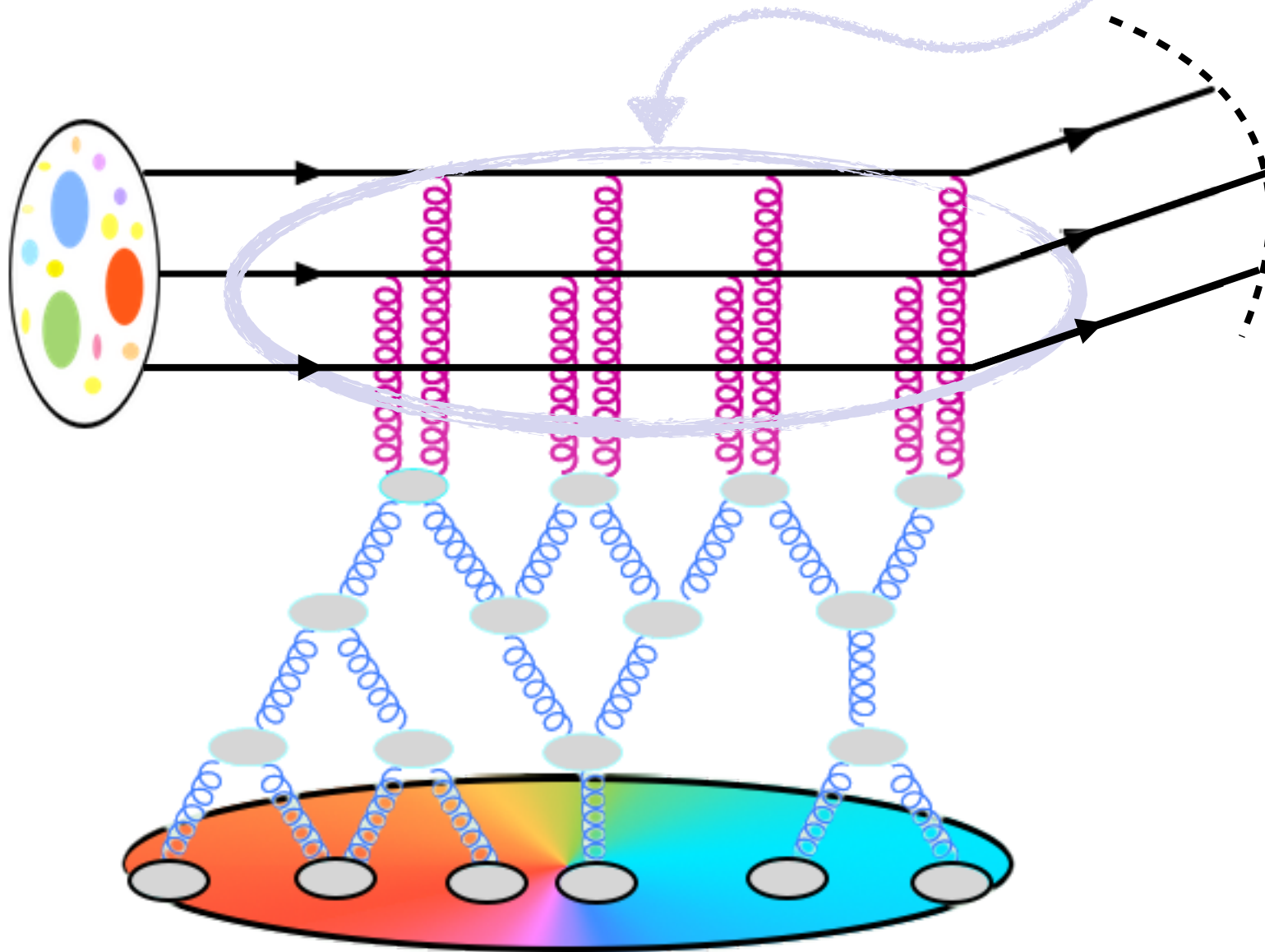


- K -factor: not the result of any calculation. May account for:
 - Higher order corrections
 - Non-perturbative effects
 - (...)

Multiple scattering: eikonal model

- Our approach:
Monte-Carlo implementation of

Hybrid formalism + Multiple parton scattering



Not to be confused
! with multiple gluon
scattering encoded
in uGD's

Multiple scattering: eikonal model

- Number of **independent** hard scatterings according to Poisson probability distribution of mean n , where:

$$n(b, s) = T_{pp}(b)\sigma_{\text{DHJ}}(s)$$

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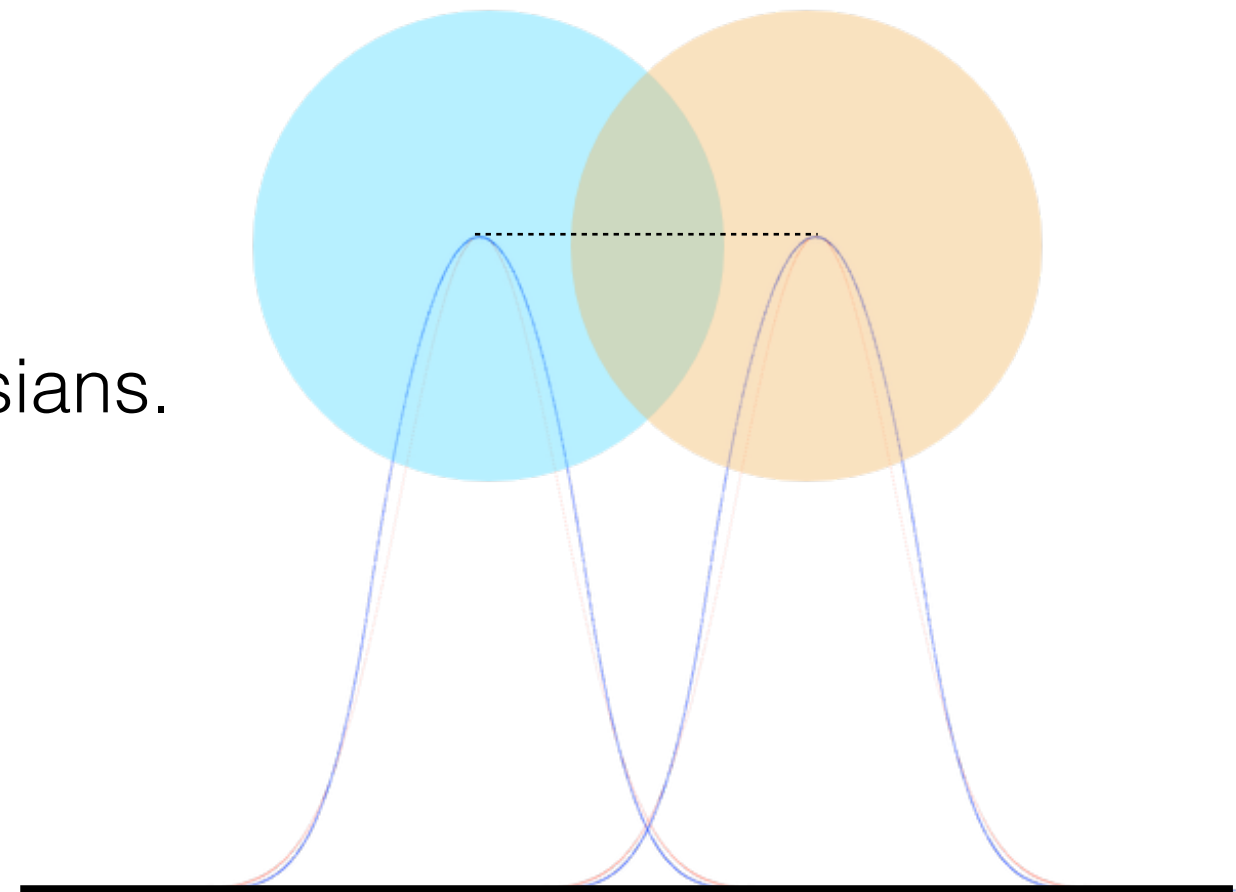
$$n(b, s) = T_{\text{pp}}(b) \sigma_{\text{DHJ}}(s)$$

- b randomly generated between 0 and b_{max} :

$$b_{\text{max}} = \sqrt{\frac{\sigma_{nd}}{\pi}}$$

- Spatial overlap: convolution of two Gaussians.

$$T_{\text{pp}}(b) = \frac{1}{4\pi B} \exp\left(-\frac{b^2}{4B}\right)$$



Multiple scattering: eikonal model

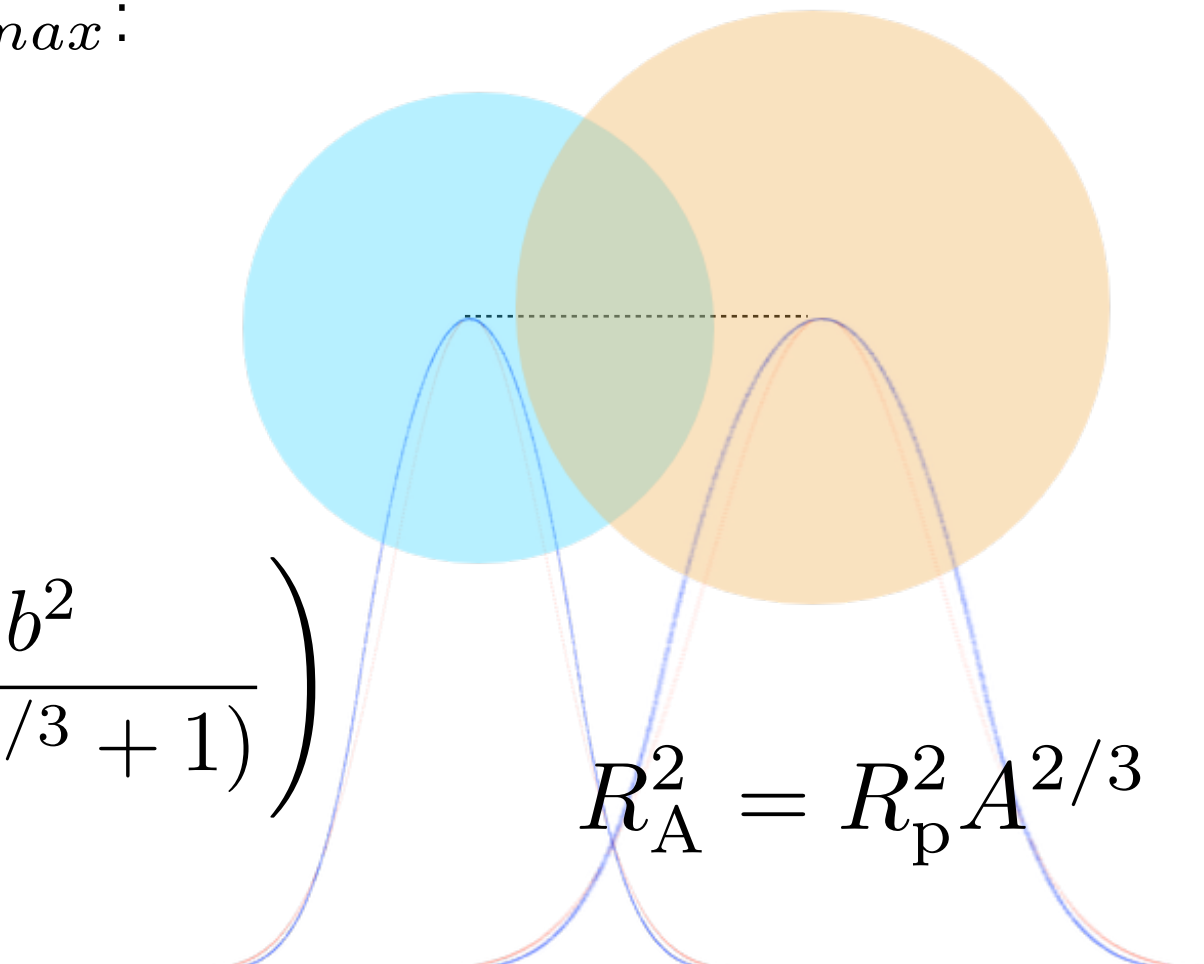
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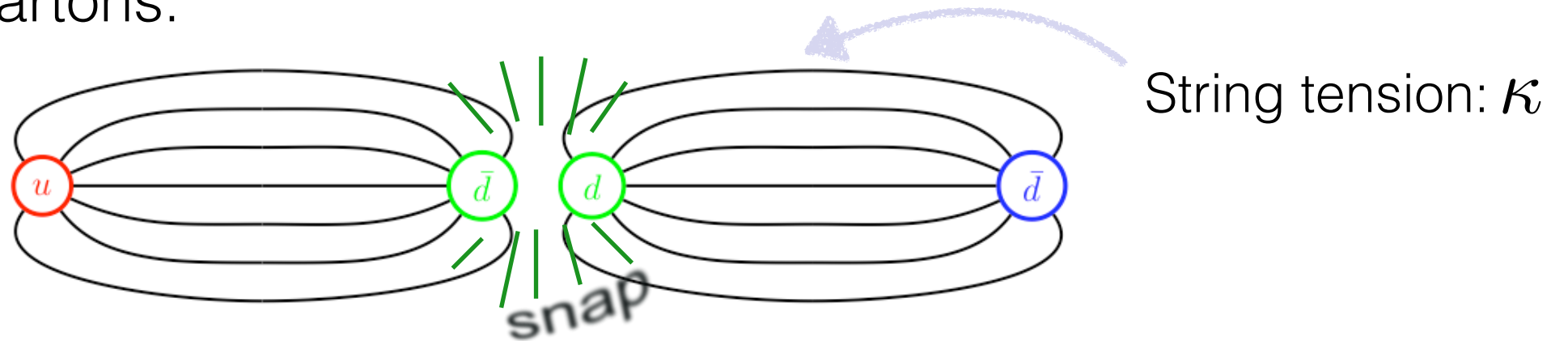
$$b_{max} = \sqrt{\frac{\sigma_{nd}}{\pi}}$$

- For a nuclear target of mass number A :

$$T_{pA}(b) = \frac{1}{\pi R_p^2 (A^{2/3} + 1)} \exp\left(\frac{-b^2}{R_p^2 (A^{2/3} + 1)}\right)$$

$$R_A^2 = R_p^2 A^{2/3}$$

Hadronization: Lund fragmentation model

- Simple but powerful picture of hadron production based on the breaking of strings between partons:



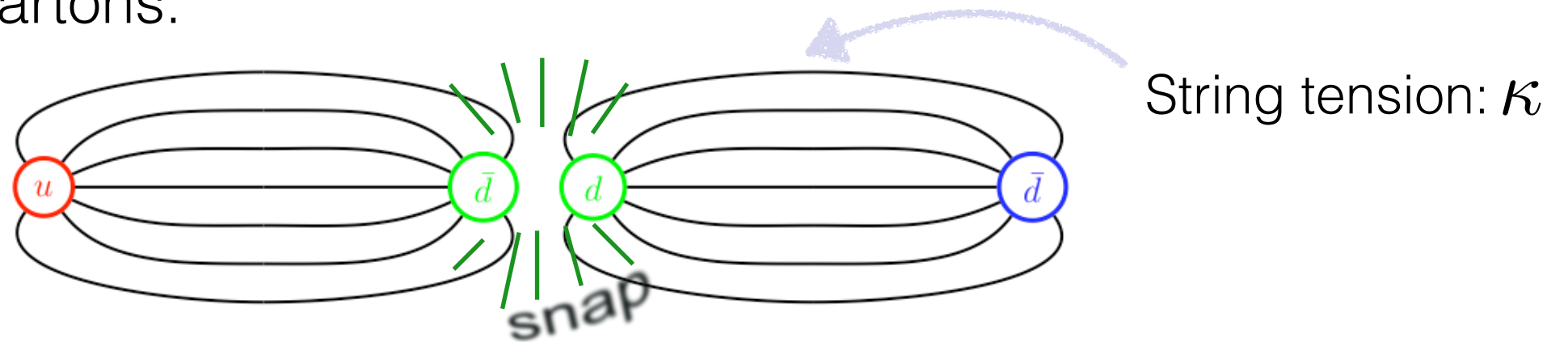
- Probability of string breaking by quark pair with $m_{\perp}^2 = m_q^2 + p_{\perp q}^2$:

$$\text{Prob}(m_q^2, p_{\perp q}^2) \propto \exp\left(\frac{-\pi m_q^2}{\kappa}\right) \exp\left(\frac{-\pi p_{\perp q}^2}{\kappa}\right)$$

As implemented in: **PYTHIA 8**

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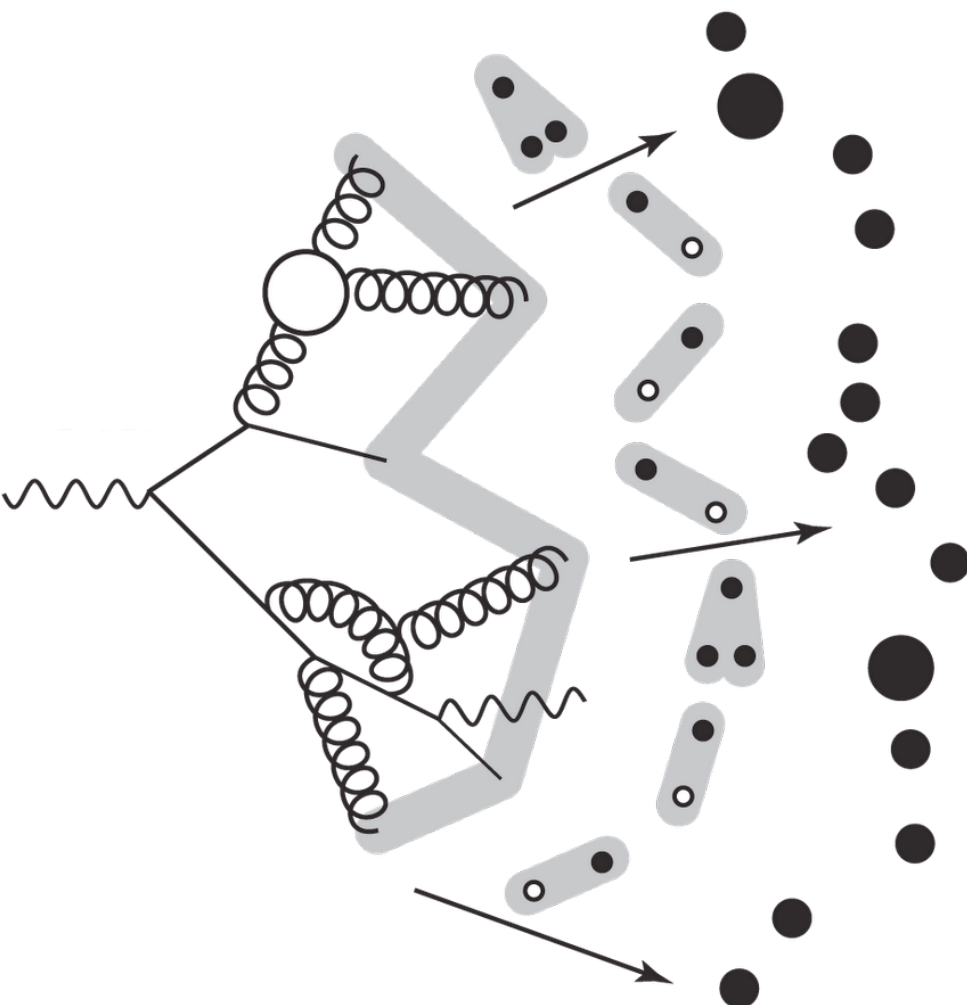


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- Lund fragmentation function:

$$f(z) \propto \frac{1}{z} (1-z)^a \exp\left(-\frac{b(m_h^2 + p_{\perp h}^2)}{z}\right)$$

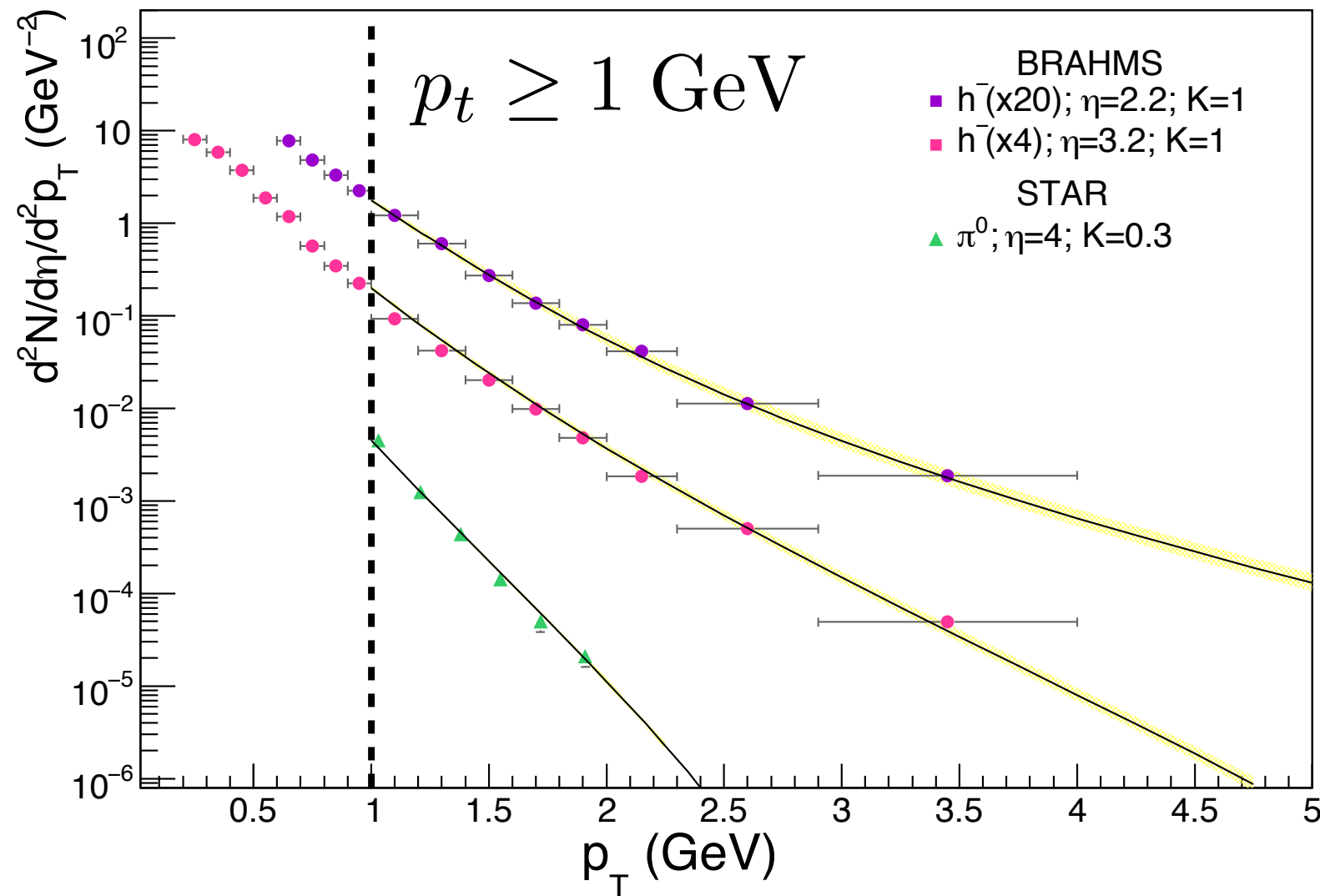


As implemented in: **PYTHIA 8**

Forward particle production in the Color Glass Condensate

- Previous approaches:

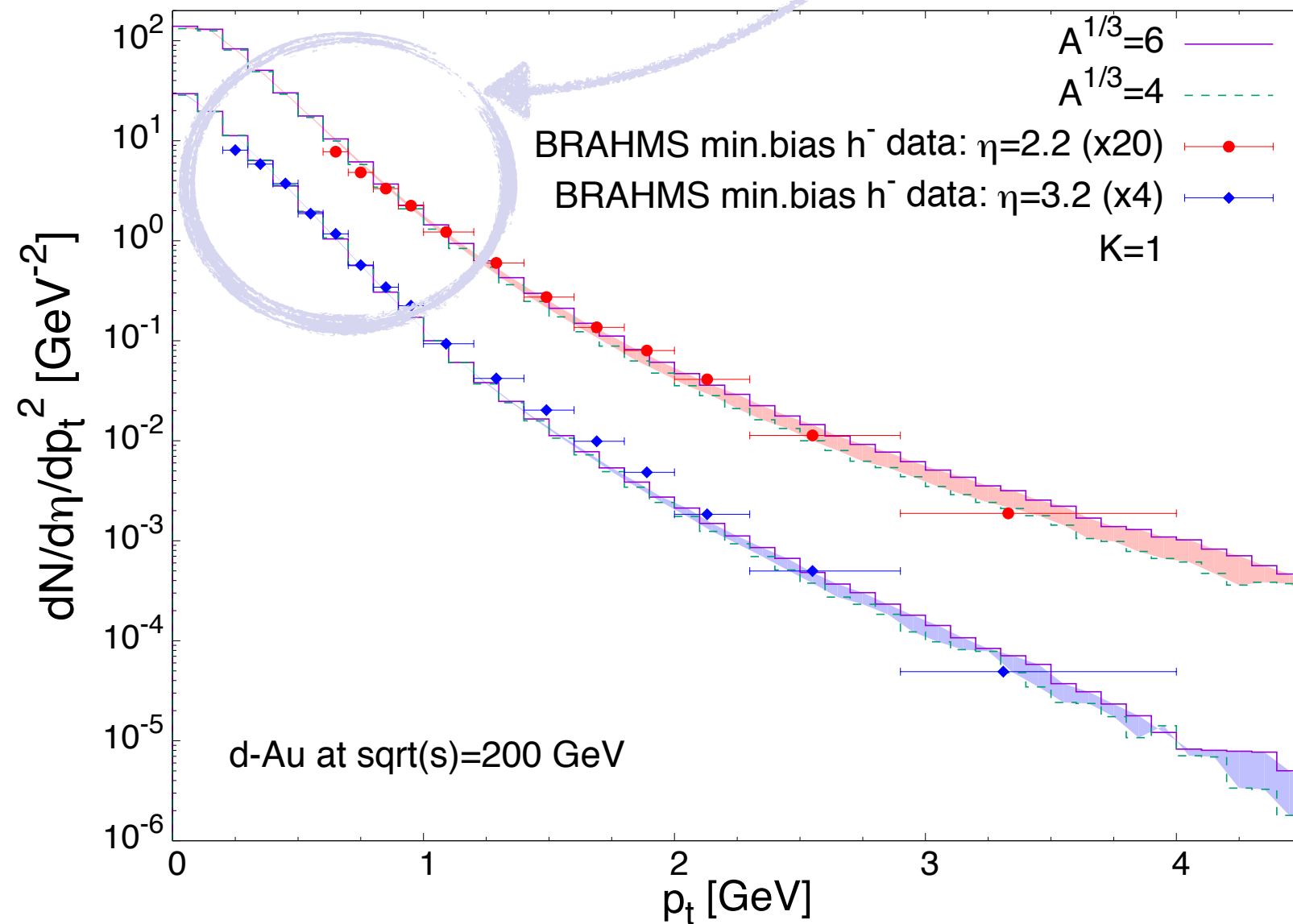
$$\frac{d\sigma^{hadrons}}{d^2k_{\perp}dy} = \frac{d\sigma_{\text{DHJ}}^{partons}}{d^2k_{\perp}dy} \otimes D_{h/p}$$



Forward particle production in the Color Glass Condensate

- Our approach:
Monte-Carlo implementation of

Hybrid formalism + Lund string fragmentation

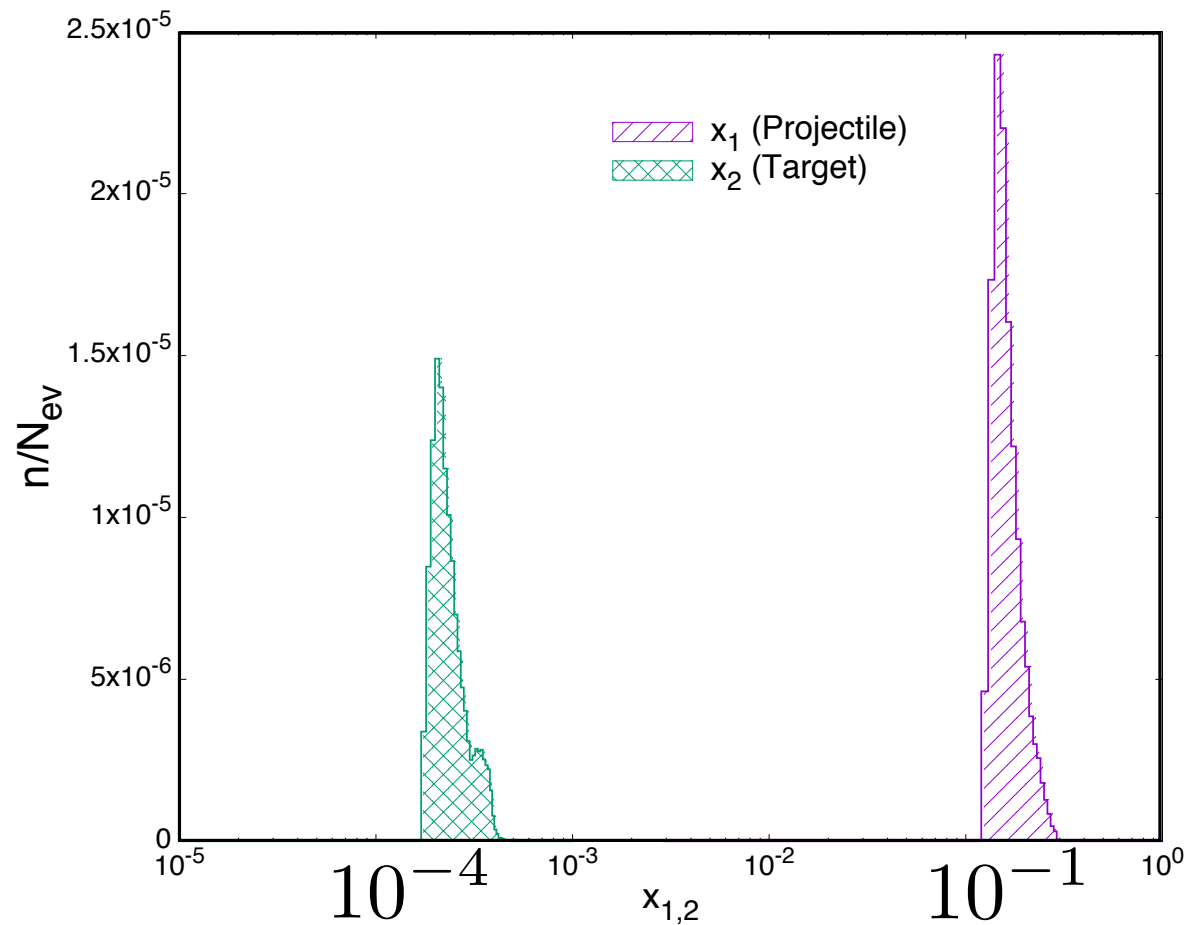


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RHIC: d-Au @ 200 GeV

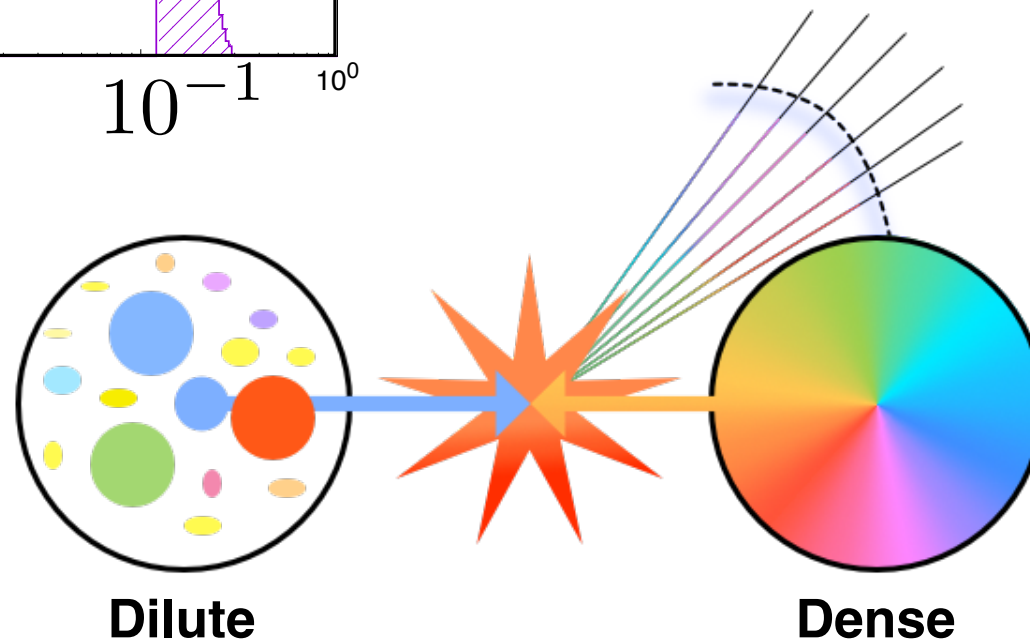
- Forward spectra observed at RHIC allows for a description in terms of CGC:

RHIC:

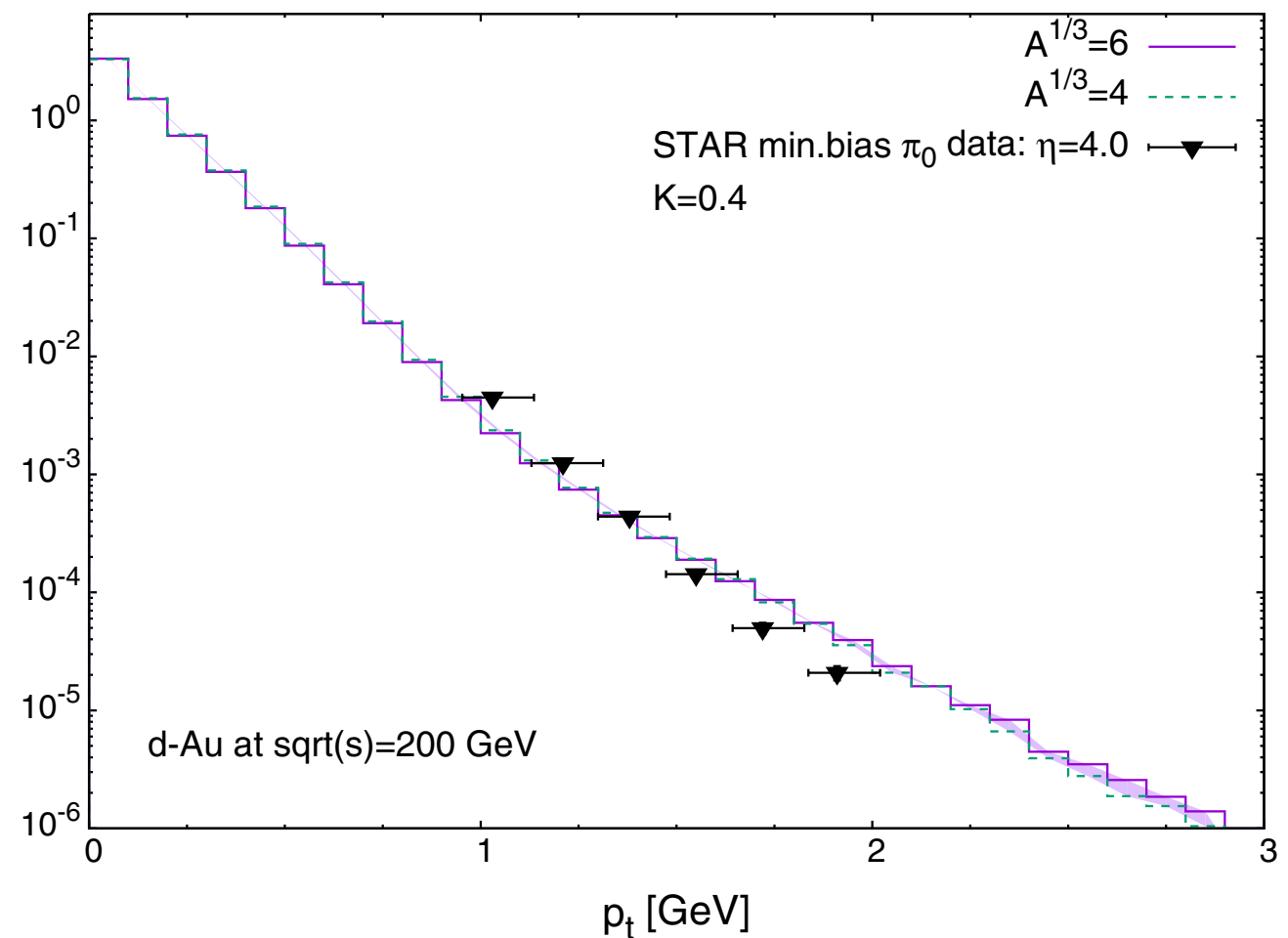
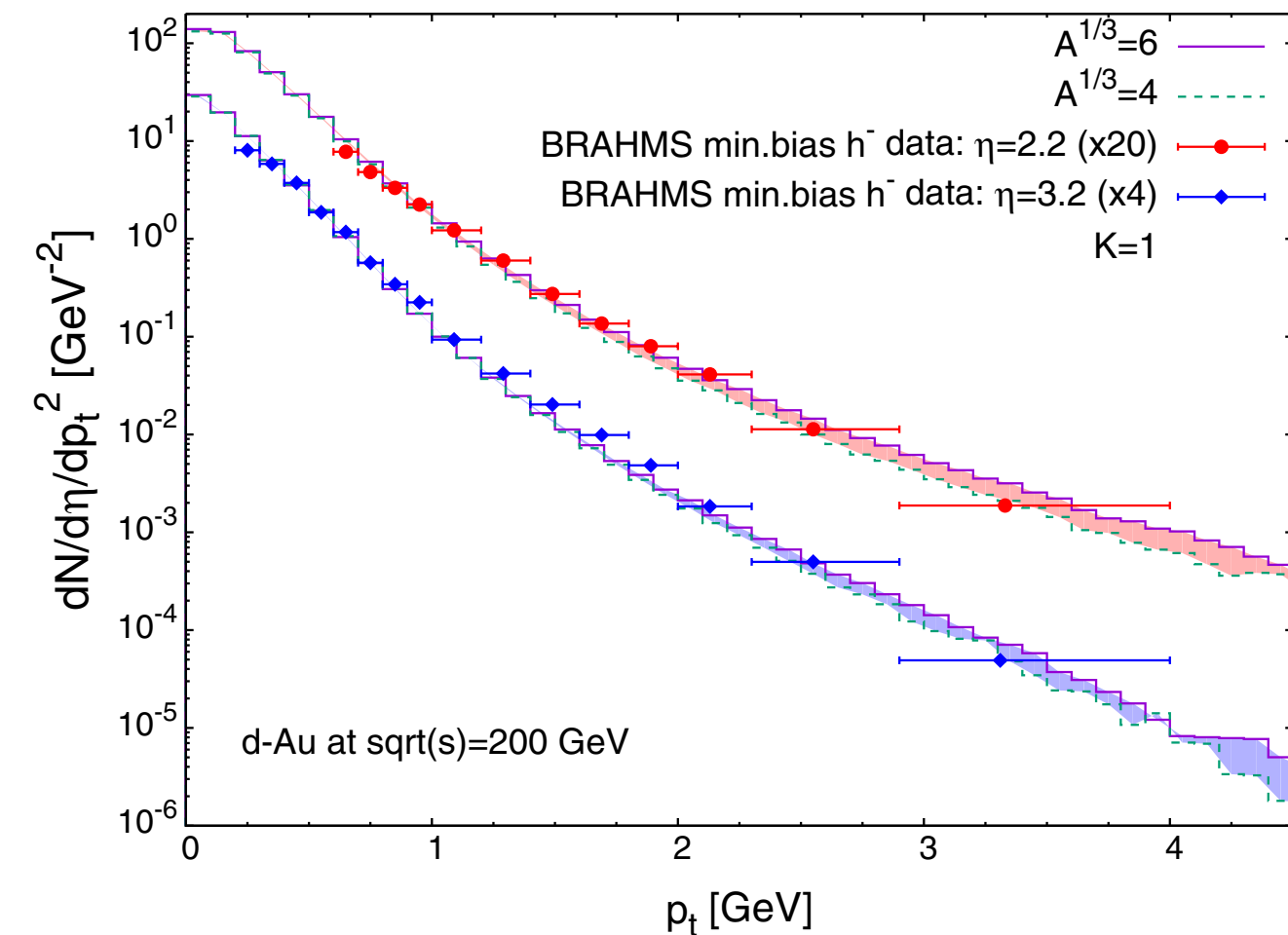


$$\begin{aligned}\sqrt{s} &= 200 \text{ GeV} \\ 1 &< p_t < 2 \text{ GeV} \\ 3.2 &< y < 3.4\end{aligned}$$

$$\begin{aligned}x_p &\sim 10^{-1} \\ x_t &\sim 10^{-4}\end{aligned}$$

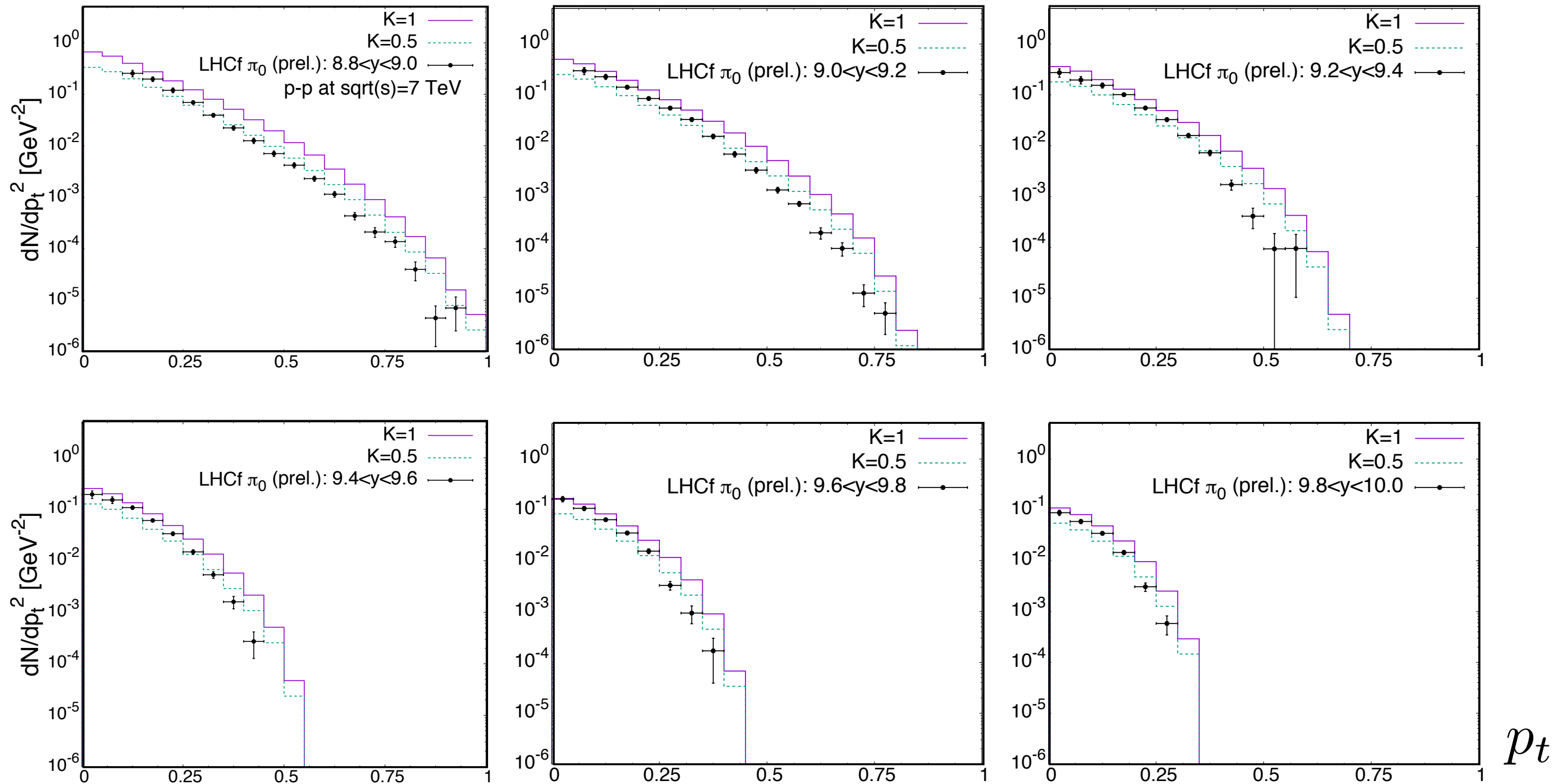


RHIC: d-Au @ 200 GeV



- CGC + Lund approach allows to reach p_t values as low as detected experimentally, $p_t \sim 0.2$ GeV.
- Little sensibility to number of participants,
- BRAHMS data well described with $K = 1$.
- STAR data well described with $K = 0.4$ (also observed in previous analysis of data).

LHCf: p-p @ 7 TeV

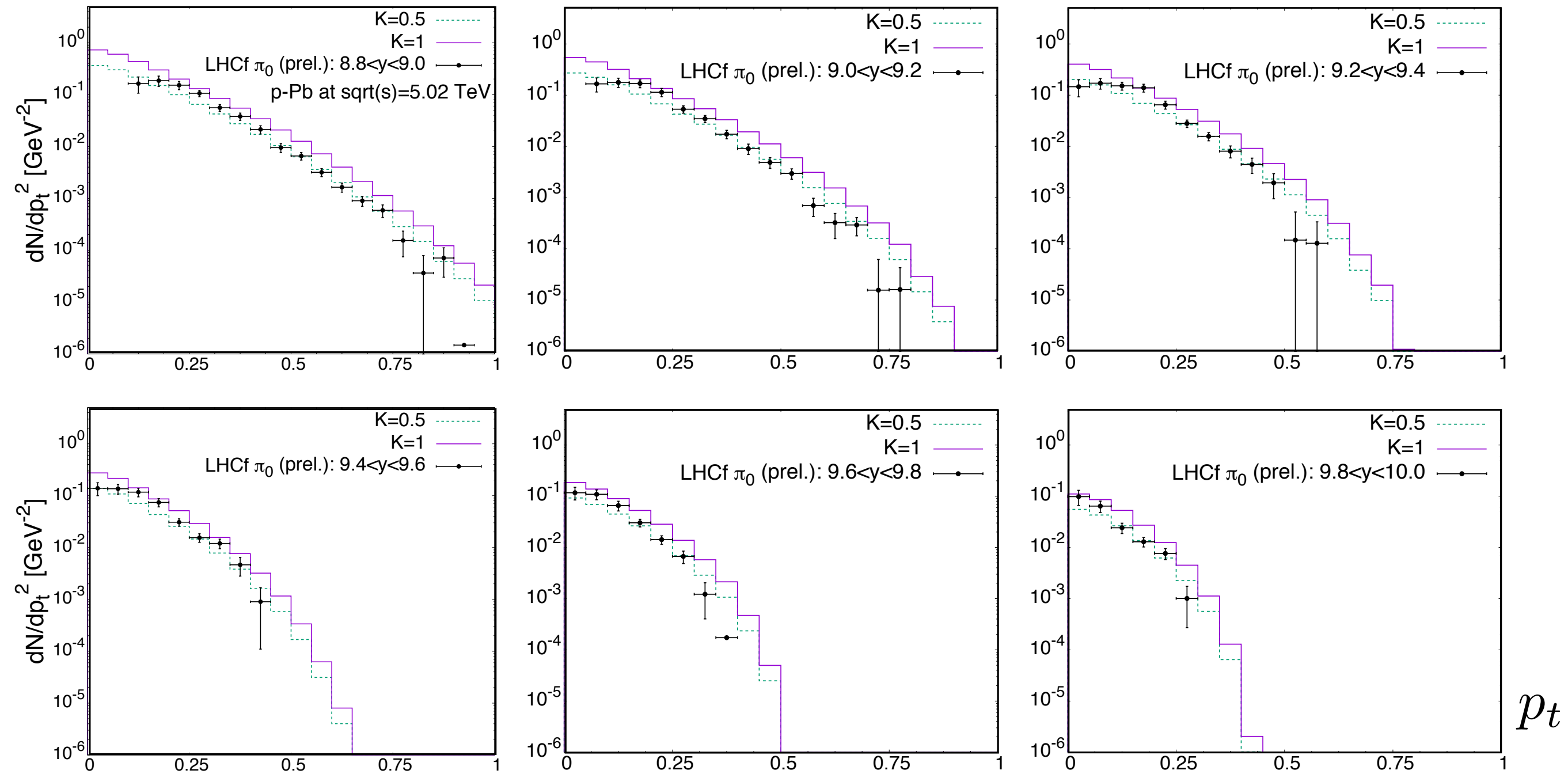


- Increment of evolution rapidity with respect to RHIC:

$$\Delta Y \sim \ln \left(\frac{x_0}{x} \right) \sim 14$$

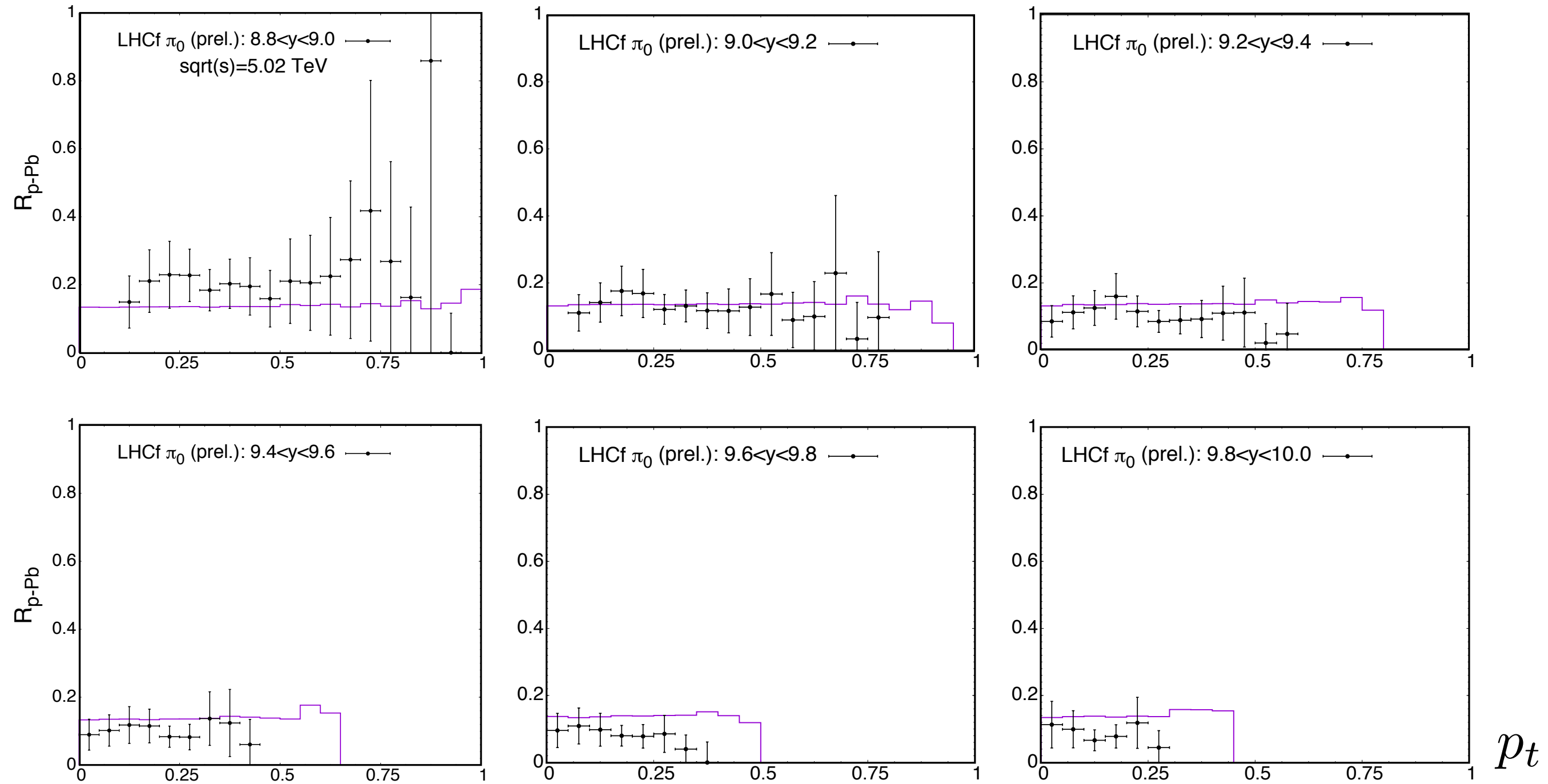
- Only difference with respect to RHIC set: **dynamical evolution of uGD's according to rcBK equation.**

LHCf: p-Pb @ 5.02 TeV



- Similar situation that in the proton-proton case.
- Plenty of room for improvement in the proton-nucleus implementation.
- Low momentum region well described.

LHCf: nuclear modification factor R_{p-Pb} @ 5.02 TeV



$$R_{p-Pb}^{\pi^0} \equiv \frac{1}{\langle N_{coll} \rangle} \frac{dN_{pPb \rightarrow \pi^0 X} / dy d^2 p_t}{dN_{pp \rightarrow \pi^0 X} / dy d^2 p_t}$$

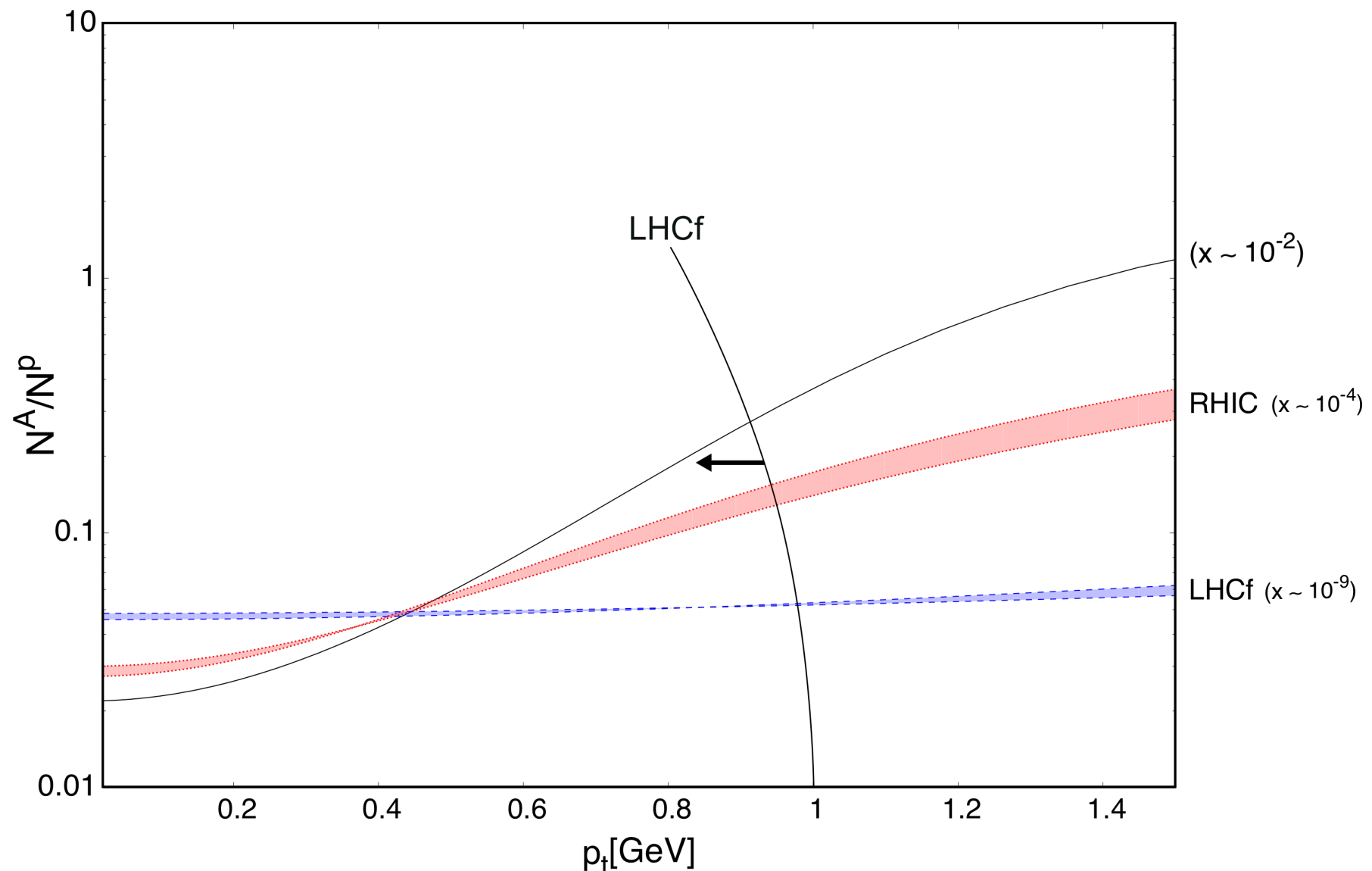
- Approximate constant flat suppression of: $0.15 \approx 1/\langle N_{coll} \rangle$

LHCf: nuclear modification factor $R_{p\text{-Pb}}$ @ 5.02 TeV

$$R_{p\text{-Pb}}^{\pi^0} \equiv \frac{1}{\langle N_{coll} \rangle} \frac{dN_{p\text{Pb} \rightarrow \pi^0 X} / dy d^2 p_t}{dN_{pp \rightarrow \pi^0 X} / dy d^2 p_t}$$

- Approximate constant flat suppression of: $0.15 \approx 1/\langle N_{coll} \rangle$

- This behavior can be understood as a direct consequence of the behavior of the ratios of the uGD's:



Conclusions, future prospects

- We achieve a good description of single inclusive spectra of charged particles and neutral pions at RHIC and the LHC respectively, and nuclear modification factors for proton-lead collisions at the LHC.
 - ↪ This adds evidence to the idea that the **main properties of forward data are dominated by the saturation effects** encoded in the unintegrated gluon distribution of the target

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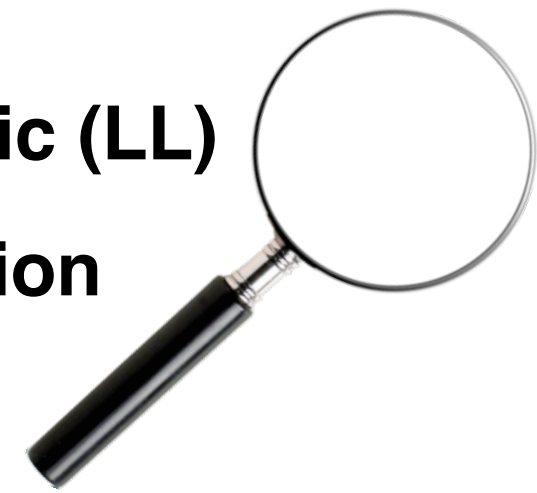
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- Forward particle production is of key importance in the development of air showers
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- There is still a **lot of room for improvement!** (NLO corrections, proper Monte-carlo implementation of proton-nucleus, etc.)

Perturbative parton production: implementation of DHJ formula

- Degree of accuracy of our approach:

- ✦ DHJ formula → **leading logarithmic (LL)**
- ✦ Scale dependence of PDF's → **LO DGLAP evolution**
- ✦ Scale dependence of UGD's → **rc-BK evolution**



- State-of-the-art* degree of accuracy:

- ✦ DHJ formula → **NLO^{1, 2}**
- ✦ Scale dependence of PDF's → **DGLAP NNLO³**
- ✦ Scale dependence of UGD's → **BK NLO^{4, 5}**



¹ T. Altinoluk, N. Armesto, G. Beuf, A. Kovner and M. Lublinsky, Phys. Rev. D91 (2015)no. 9 094016

² G. A. Chirilli, B.-W. Xiao and F. Yuan, Phys.Rev. D86 (2012) 054005

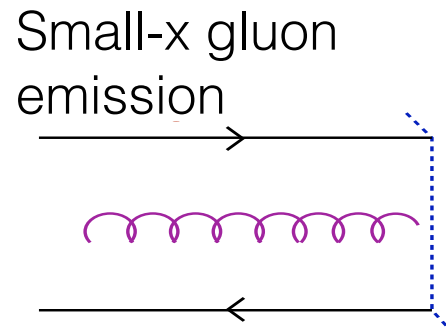
³ Gao, Jun et al. Phys.Rev. D89 (2014) no.3, 033009

⁴ I. Balitsky and G. A. Chirilli, Phys. Rev. D77 (2008) 014019

⁵ I. Balitsky and G. A. Chirilli, Phys. Rev. D88 (2013) 111501

BACK-UP: BK equation with running coupling

- LO BK equation resumming $\alpha_s \ln(1/x)$ contributions to all orders:



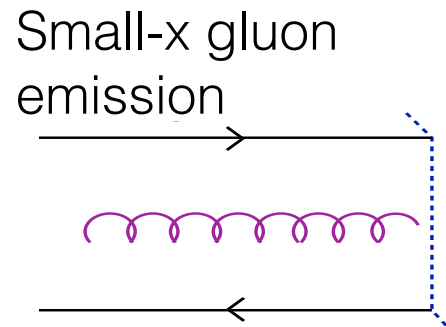
LO Evolution Kernel:

$$\frac{\partial \mathcal{N}(r, Y)}{\partial Y} = \int d\mathbf{r}_1 K^{\text{LO}}(\mathbf{r}, \mathbf{r}_1, \mathbf{r}_2) \times [\mathcal{N}(r_1, Y) + \mathcal{N}(r_2, Y) - \mathcal{N}(r, Y) - \mathcal{N}(r_1, Y) \mathcal{N}(r_2, Y)]$$

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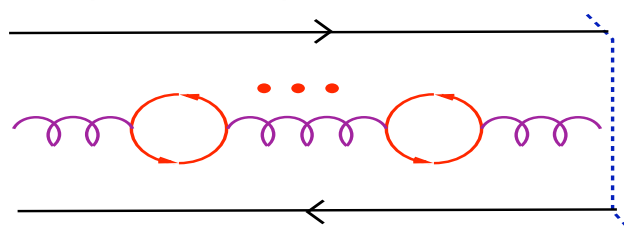
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(According to some separation scheme)

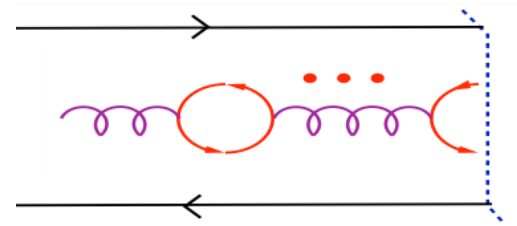
- Considering $\alpha_s N_f$ corrections:

Running coupling: chains of quark loops



+

Emission of $q\bar{q}$ pair (instead of a gluon)

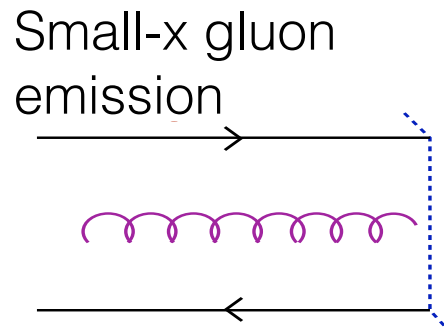


$$\frac{\partial \mathcal{N}(r, Y)}{\partial Y} = \underbrace{\mathcal{R}[\mathcal{N}]}_{\text{Running coupling term}} - \mathcal{S}[\mathcal{N}]$$

Running coupling term:
gathers all the $\alpha_s N_f$ factors
that complete the β -function

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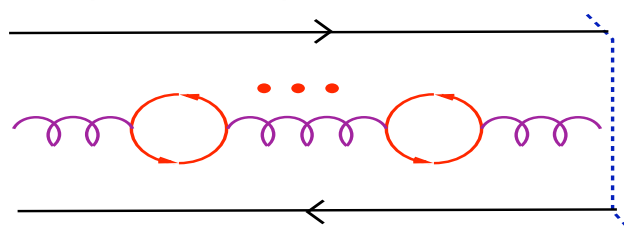
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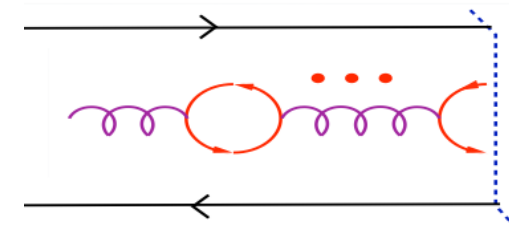
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- Numerical evaluation of subtraction term $\mathcal{S}[\mathcal{N}]$ demands very large computing time.
We only consider the running term $\mathcal{R}[\mathcal{N}]$ (prescription proposed by Balitsky¹)

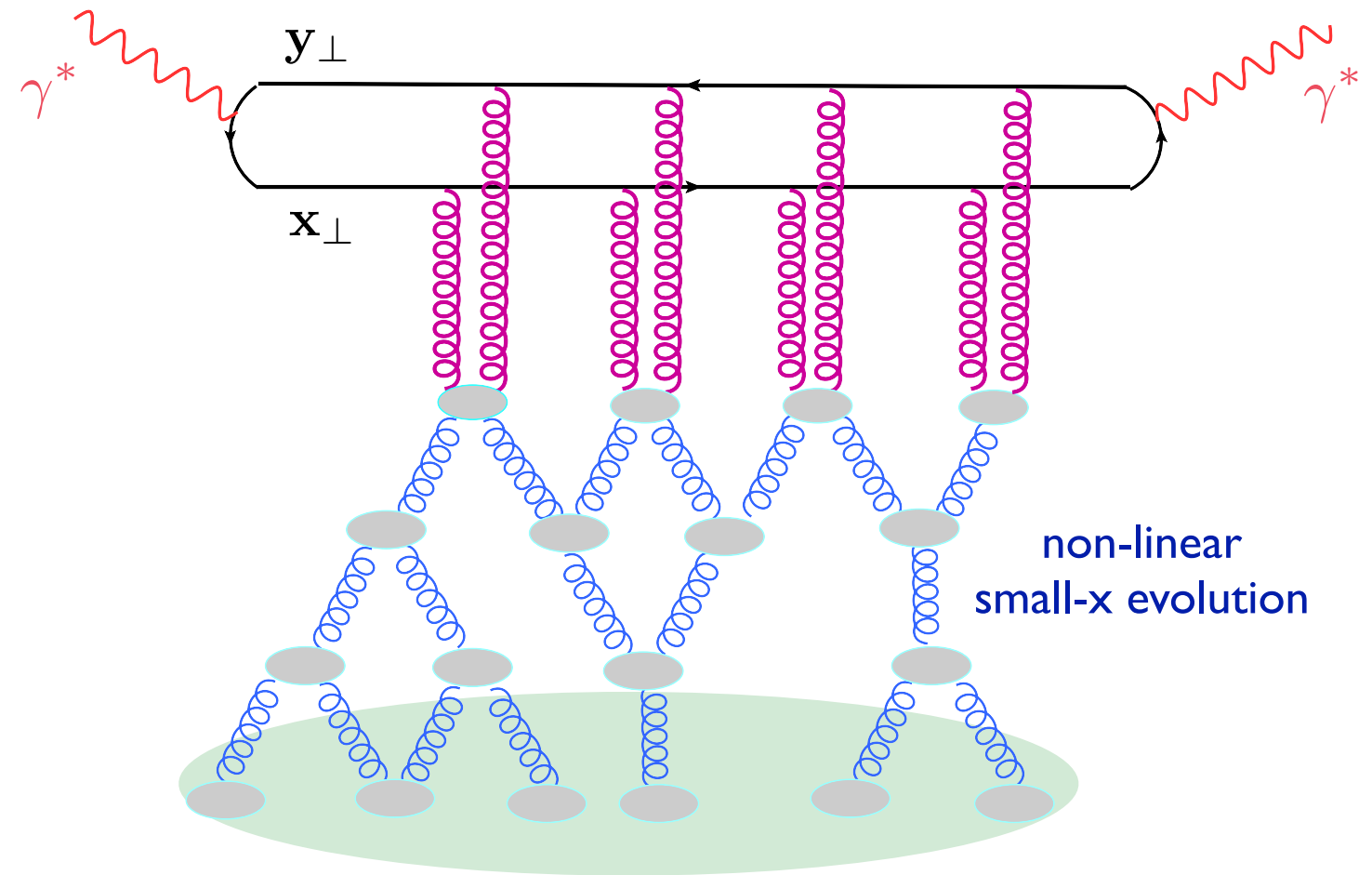
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$$K^{\text{Bal}}(\mathbf{r}, \mathbf{r}_1, \mathbf{r}_2) = \frac{N_c \alpha_s(r^2)}{2\pi^2} \left[\frac{r^2}{r_1^2 r_2^2} + \frac{1}{r_1^2} \left(\frac{\alpha_s(r_1^2)}{\alpha_s(r_2^2)} - 1 \right) + \frac{1}{r_2^2} \left(\frac{\alpha_s(r_2^2)}{\alpha_s(r_1^2)} - 1 \right) \right]$$

¹ I. I. Balitsky, *Quark Contribution to the Small-x Evolution of Color Dipole*, Phys. Rev. D 75 (2007) 014001

BACK-UP: Dipole models, Wilson lines

- Dipole models are simple formulations for the description of Deep Inelastic Scattering processes (such as those observed in e-p collisions at HERA).
- We describe the effect of the small-x gluon field over the projectile as the multiple gluon exchange with a virtual quark-antiquark dipole.

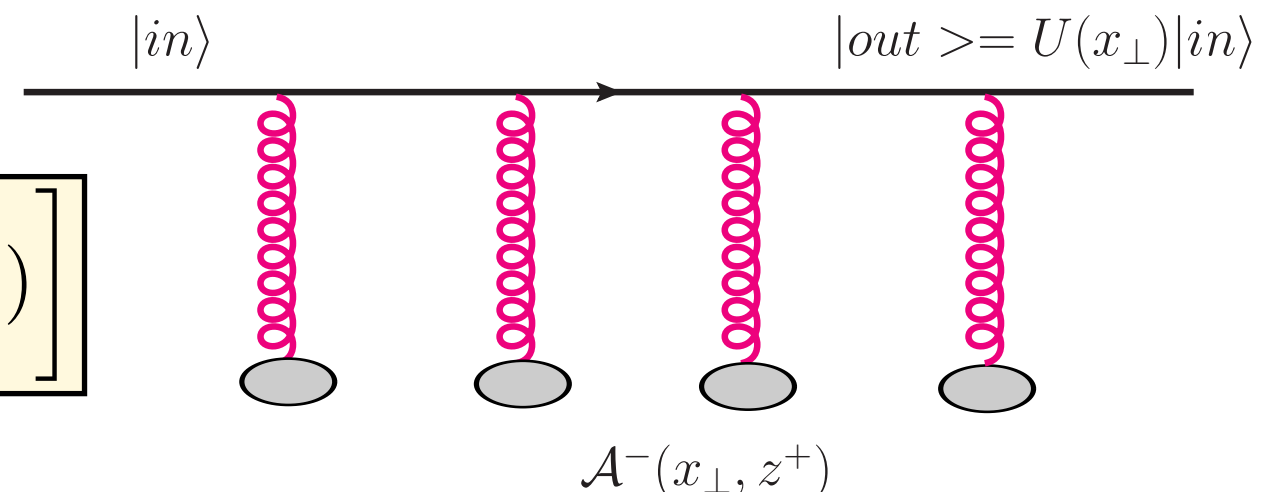
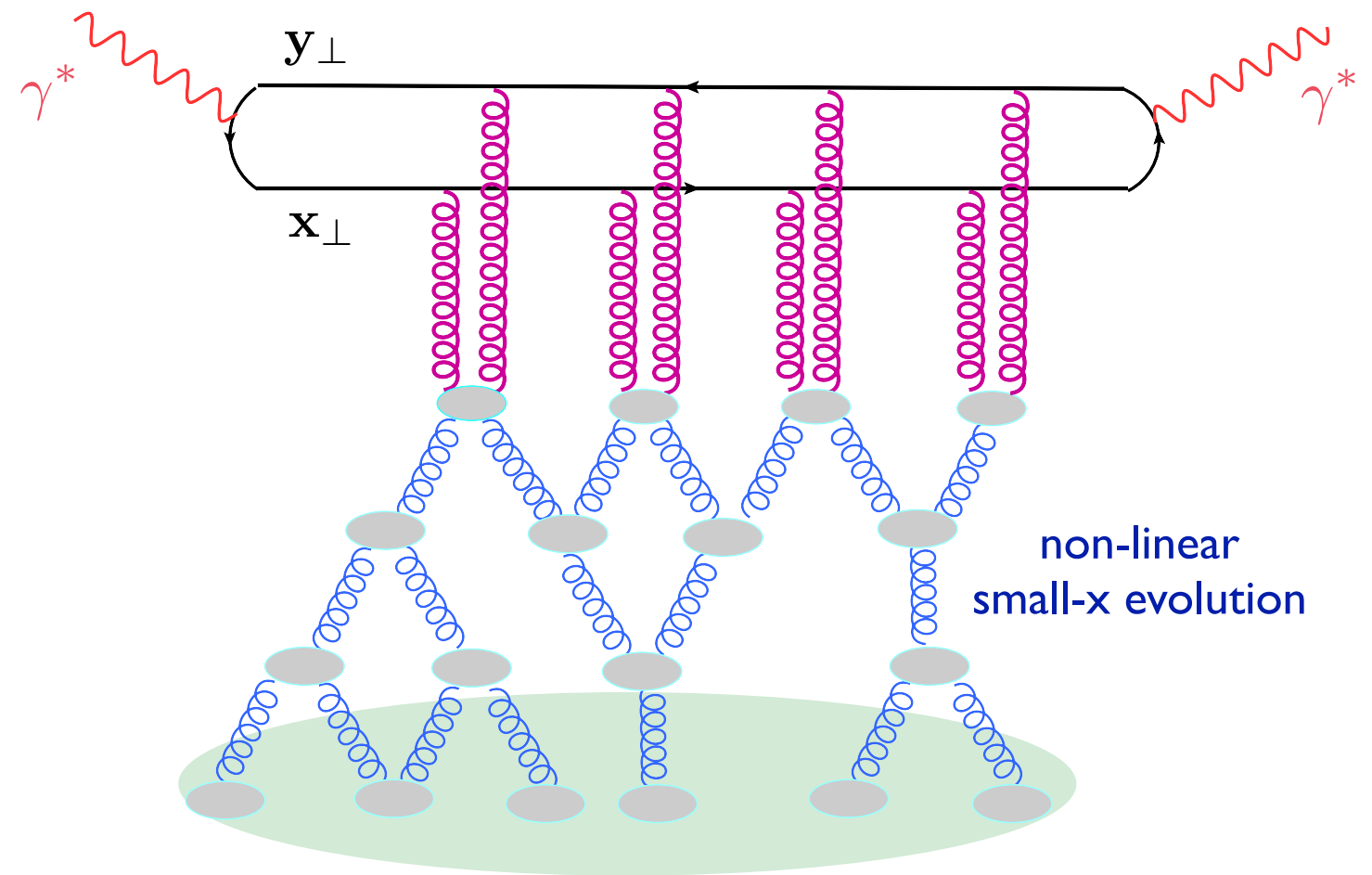


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- Multiple gluon scattering in the eikonal approximation: definition of

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$$U(x_{\perp}) = \mathcal{P} \exp \left[ig \int dx^{-} A^{+}(x^{-}, x_{\perp}) \right]$$



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- Dipole scattering amplitudes: two-point correlators of Wilson Lines:

$$\mathcal{N}(\mathbf{r}, \mathbf{b}, x) = 1 - \frac{1}{N_c} \langle \text{tr} \{ U(x_{1\perp}) U^\dagger(x_{2\perp}) \} \rangle_x$$

- Unintegrated gluon distributions (uGD's) defined as the Fourier transform of dipole scattering amplitude. We take the uGD's as universal objects that represent the effect of gluon-saturated target over hadronic projectiles.

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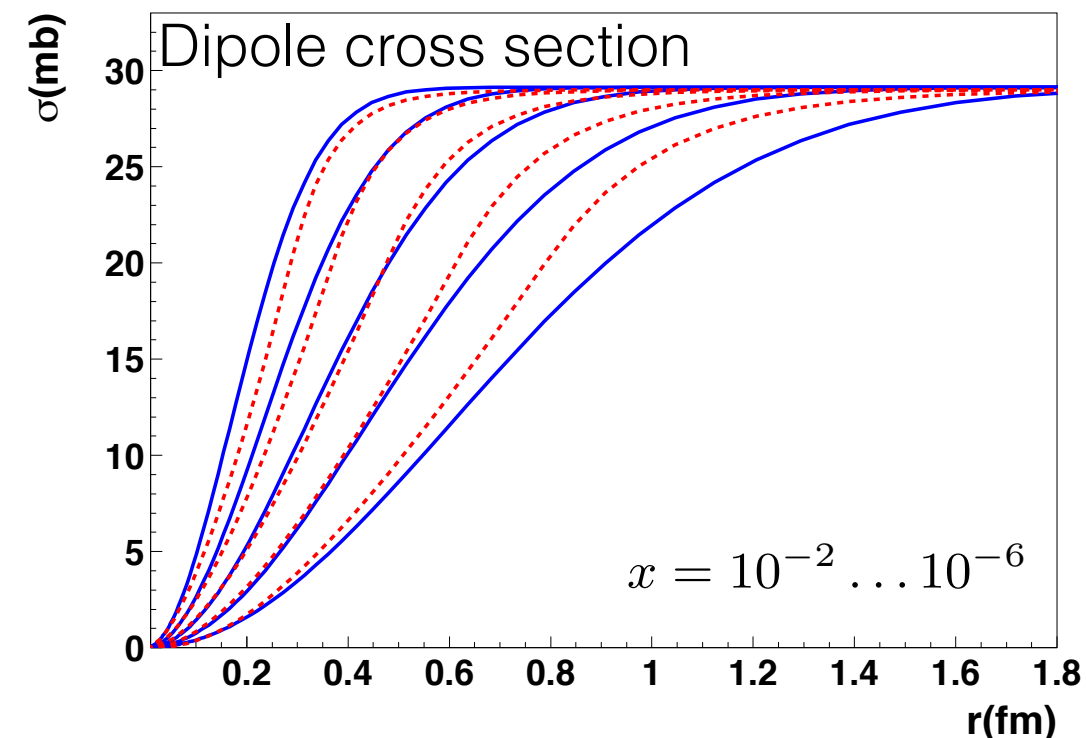
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- Phenomenological models \longrightarrow **modelization of dipole scattering amplitude**

For example: GBW model¹

$$\mathcal{N}(\mathbf{r}, \mathbf{b}, x) = \theta(b_0 - b) (1 - \exp(-r^2 Q_s^2/4))$$



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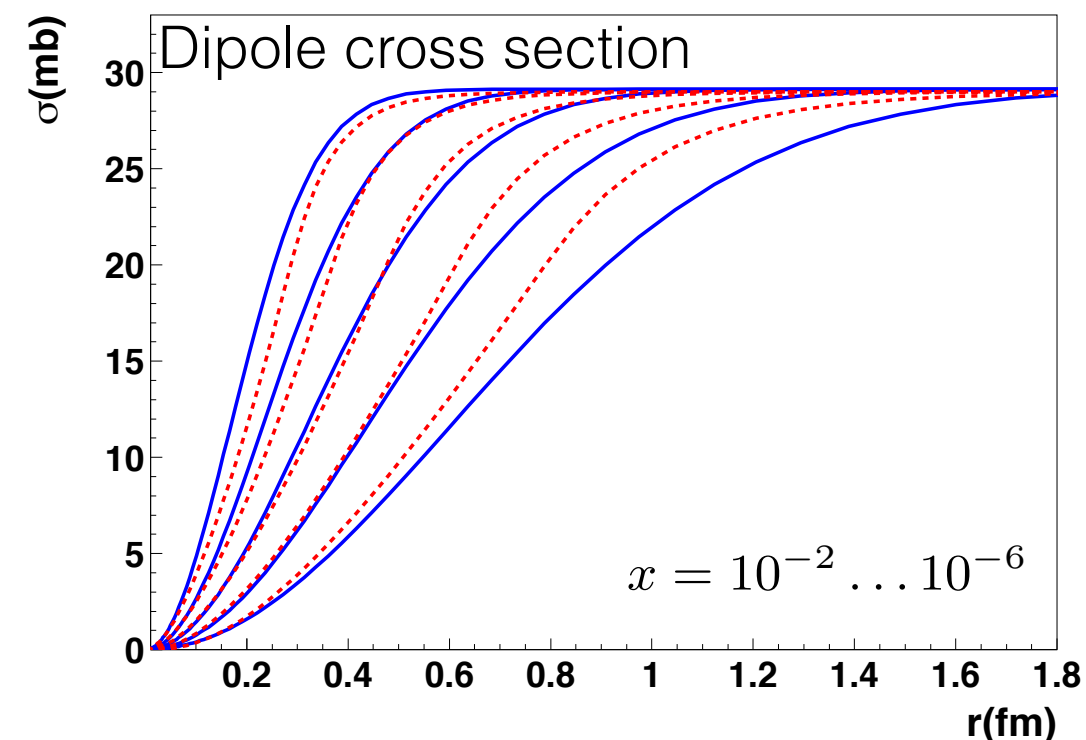
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$$\mathcal{N}(\mathbf{r}, \mathbf{b}, x) = \theta(b_0 - b) (1 - \exp(-r^2 Q_s^2/4))$$

- Small- x evolution encoded in BK equation



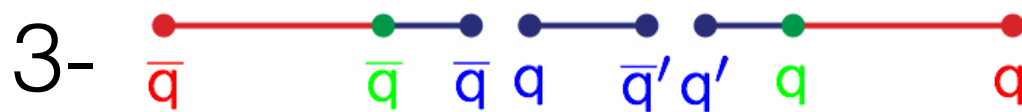
Theoretically controlled tool for extrapolation!



¹ **Golec-Biernat, K. et al. Phys.Rev. D79 (2009) 114010**

BACK-UP: Model of baryon production in Lund formalism

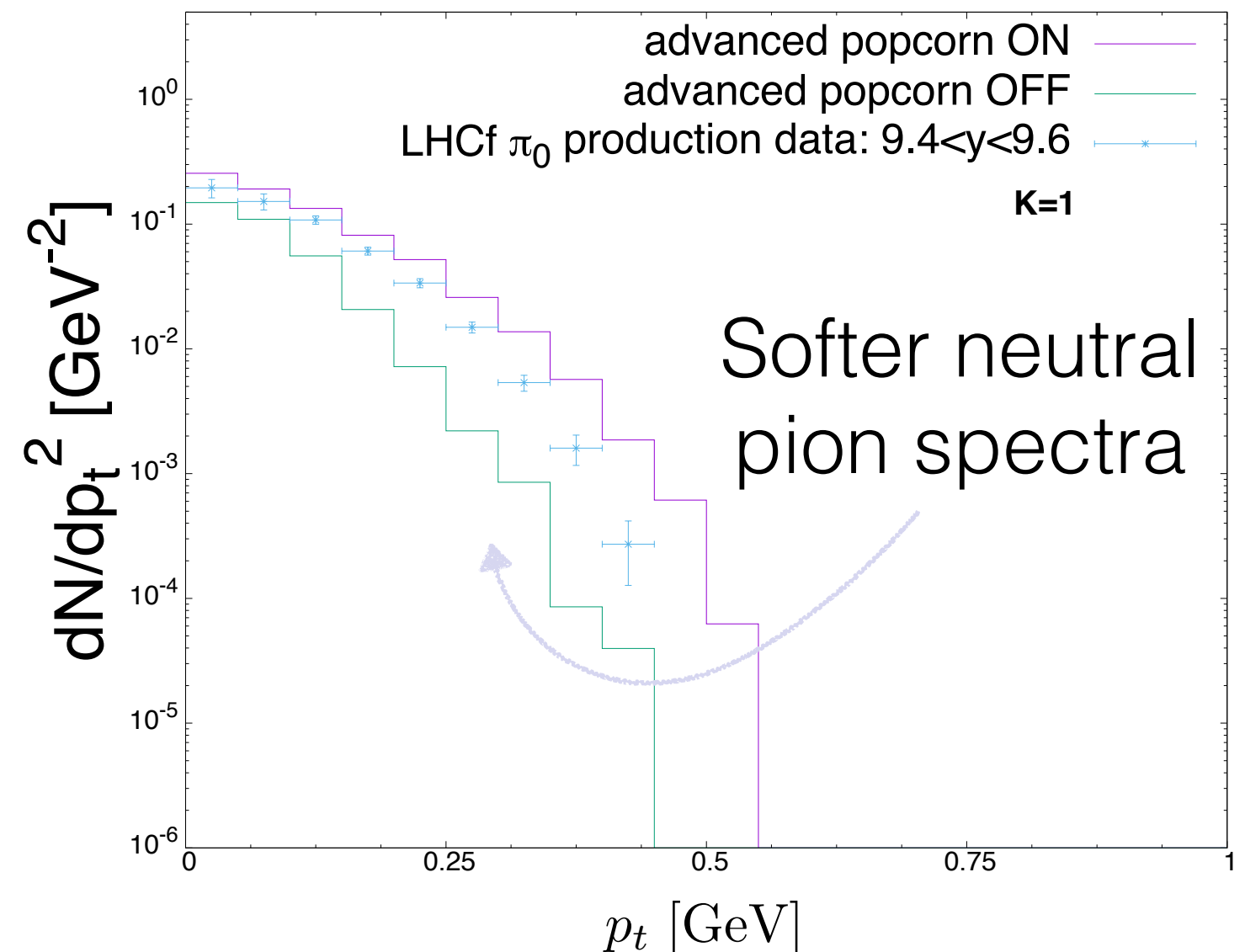
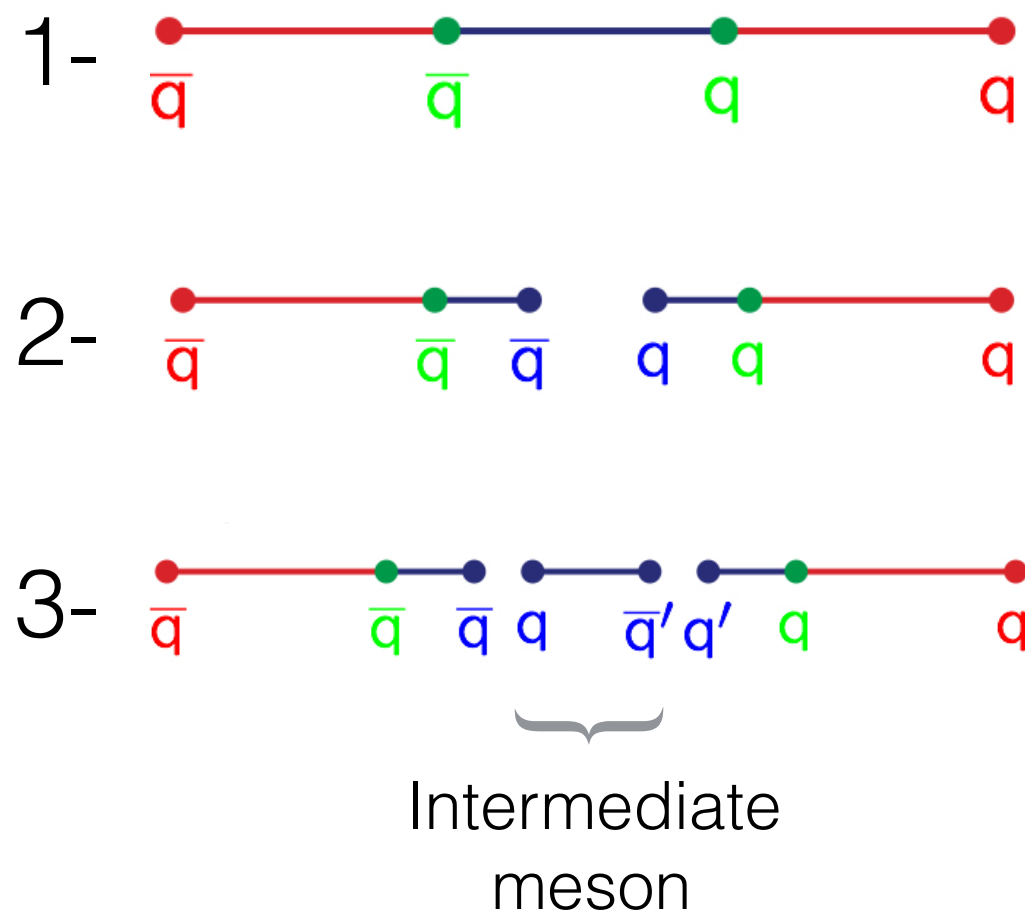
- **Diquark model:** diquarks in color antitriplets are (effectively) fundamental objects of the theory \longrightarrow diquark-antidiquarks fluctuations are an additional string breaking mechanism.
- **Popcorn model:** Quarks are the only fundamental objects. This model allows for the generation of intermediate mesons.



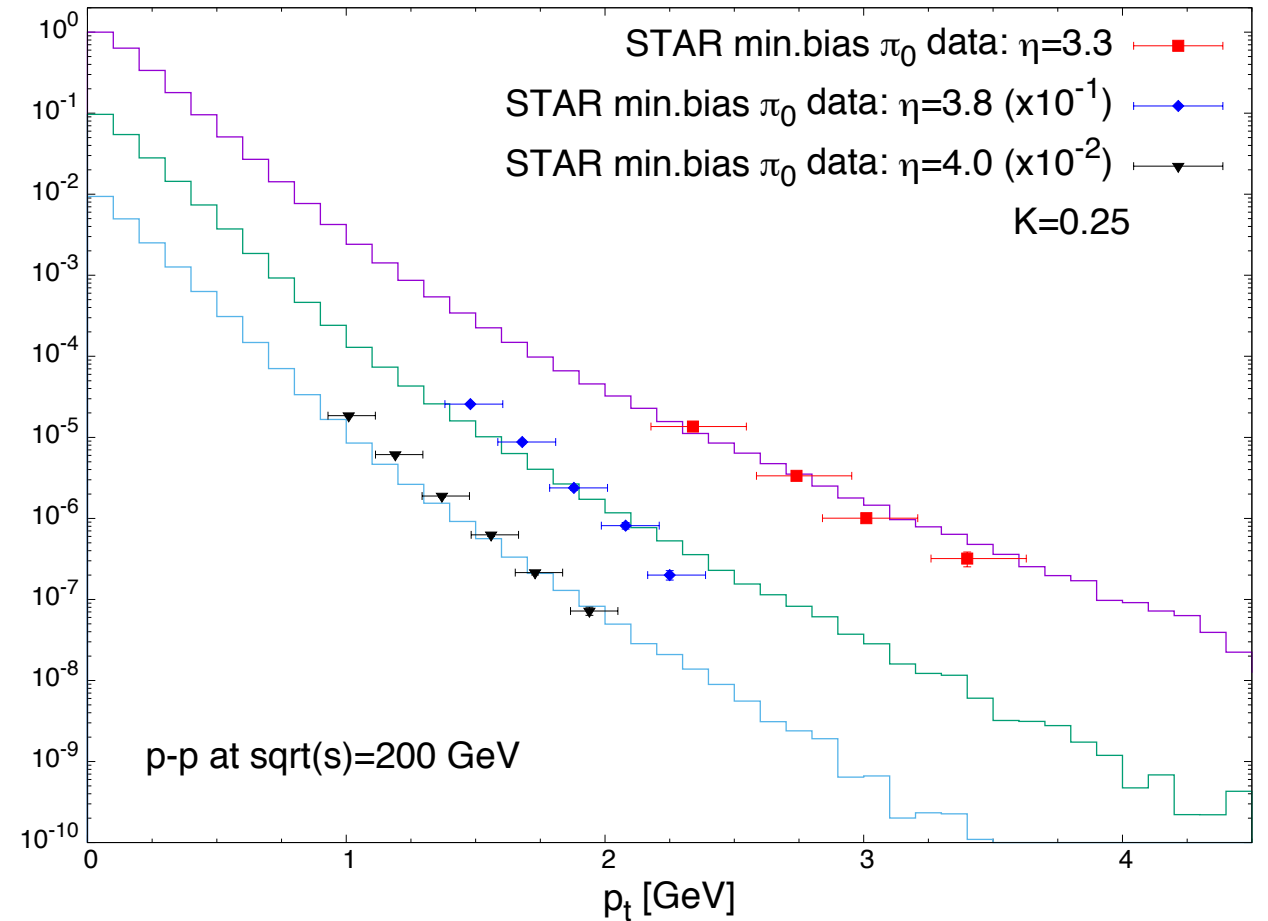
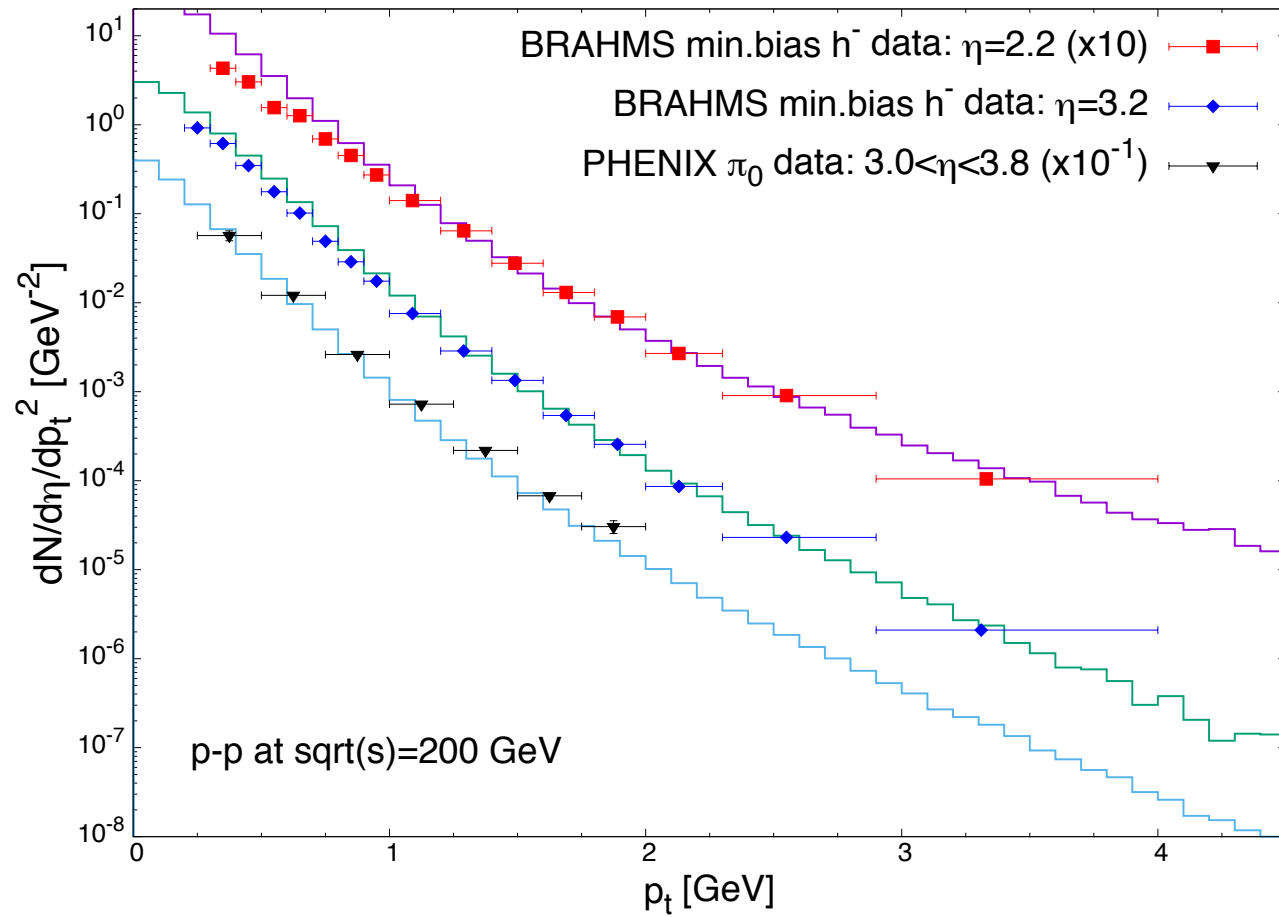
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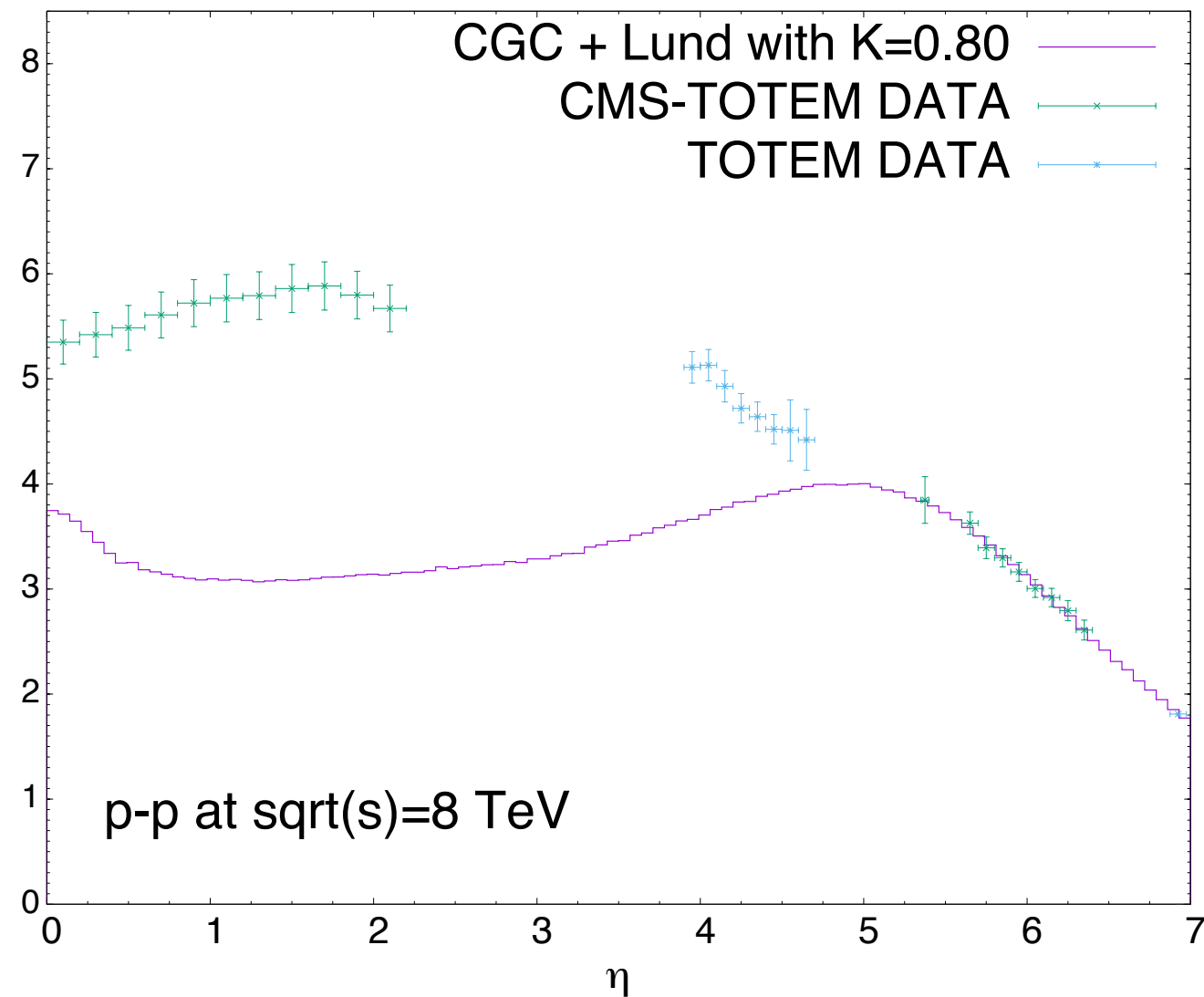
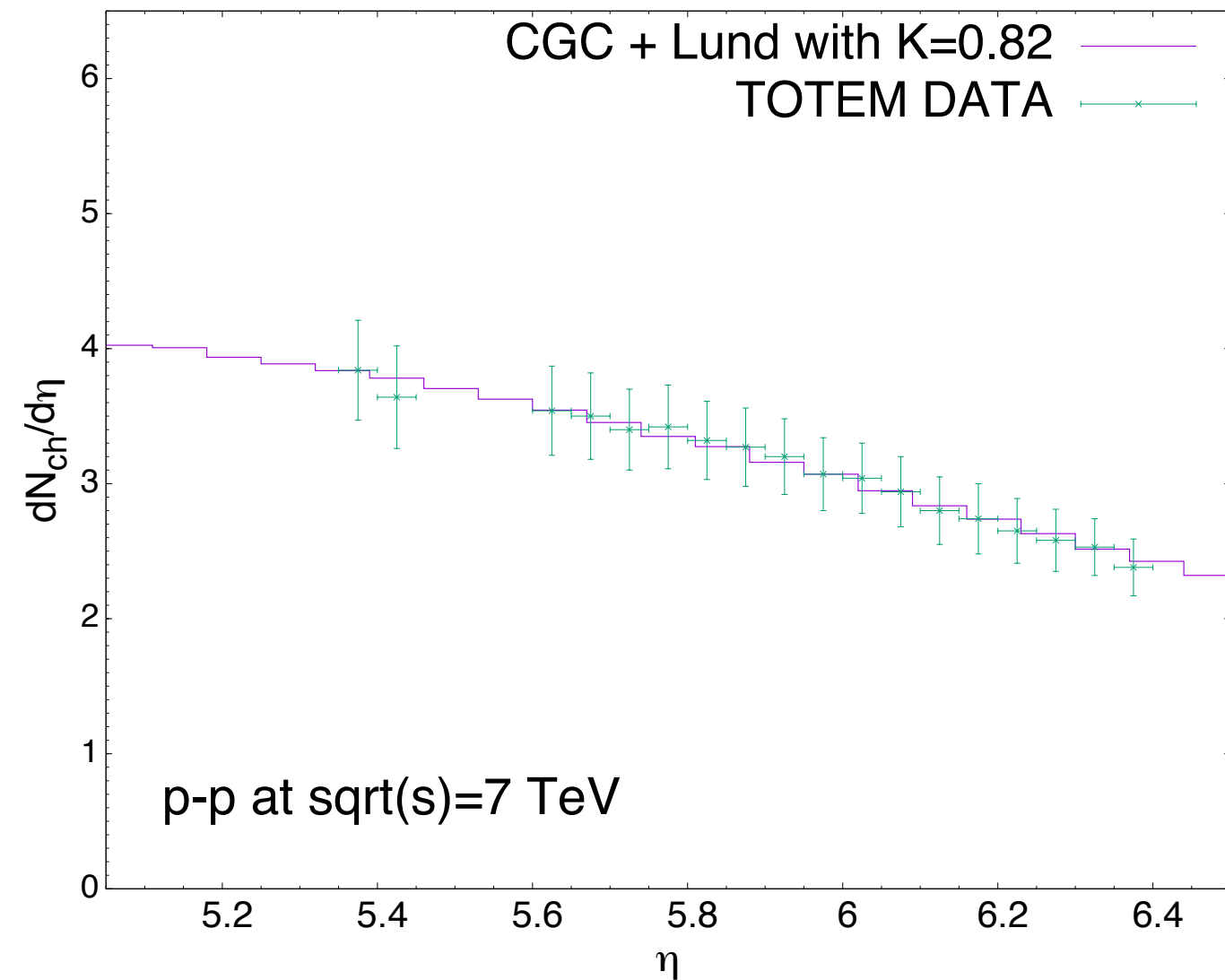


RHIC: p-p@ 200 GeV



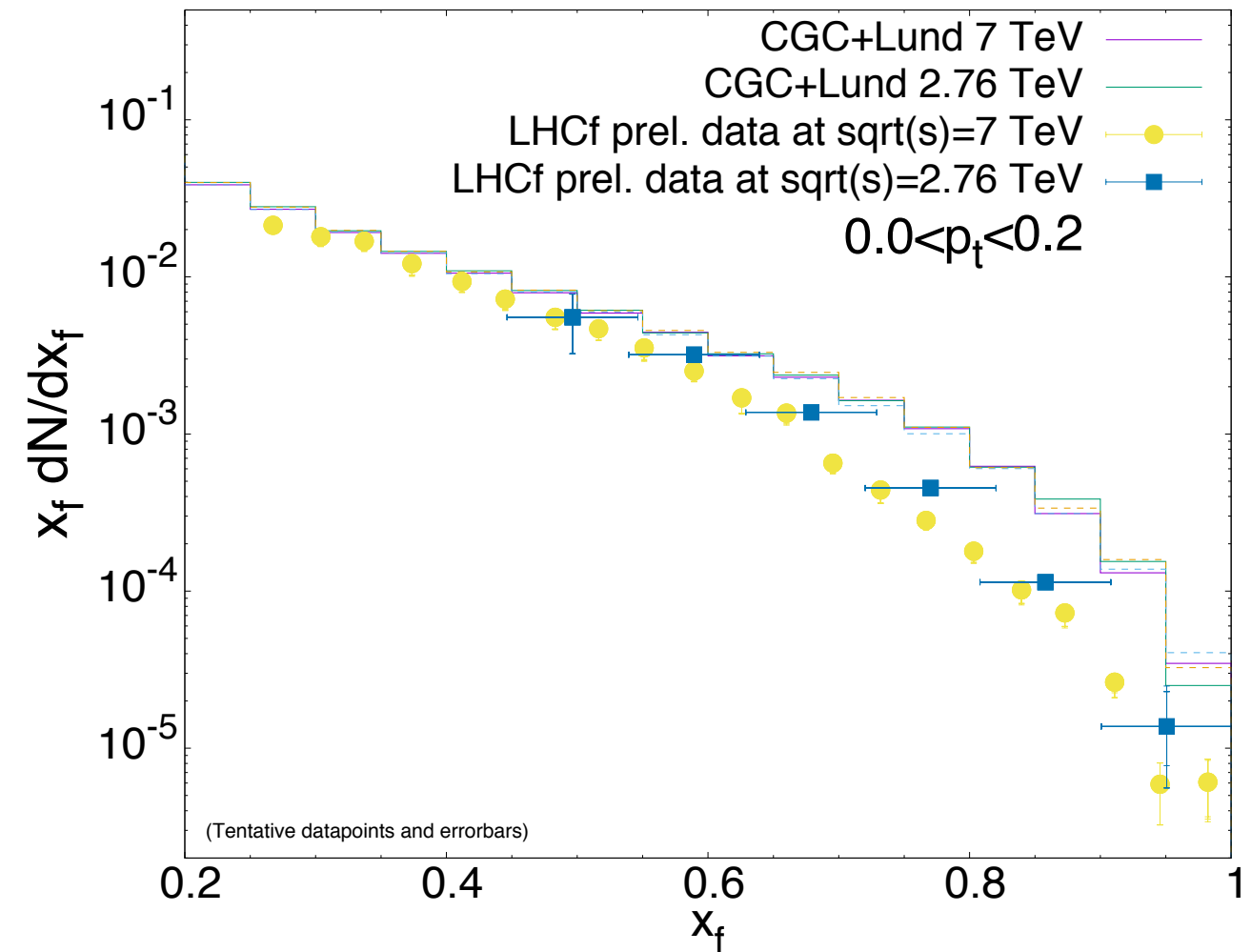
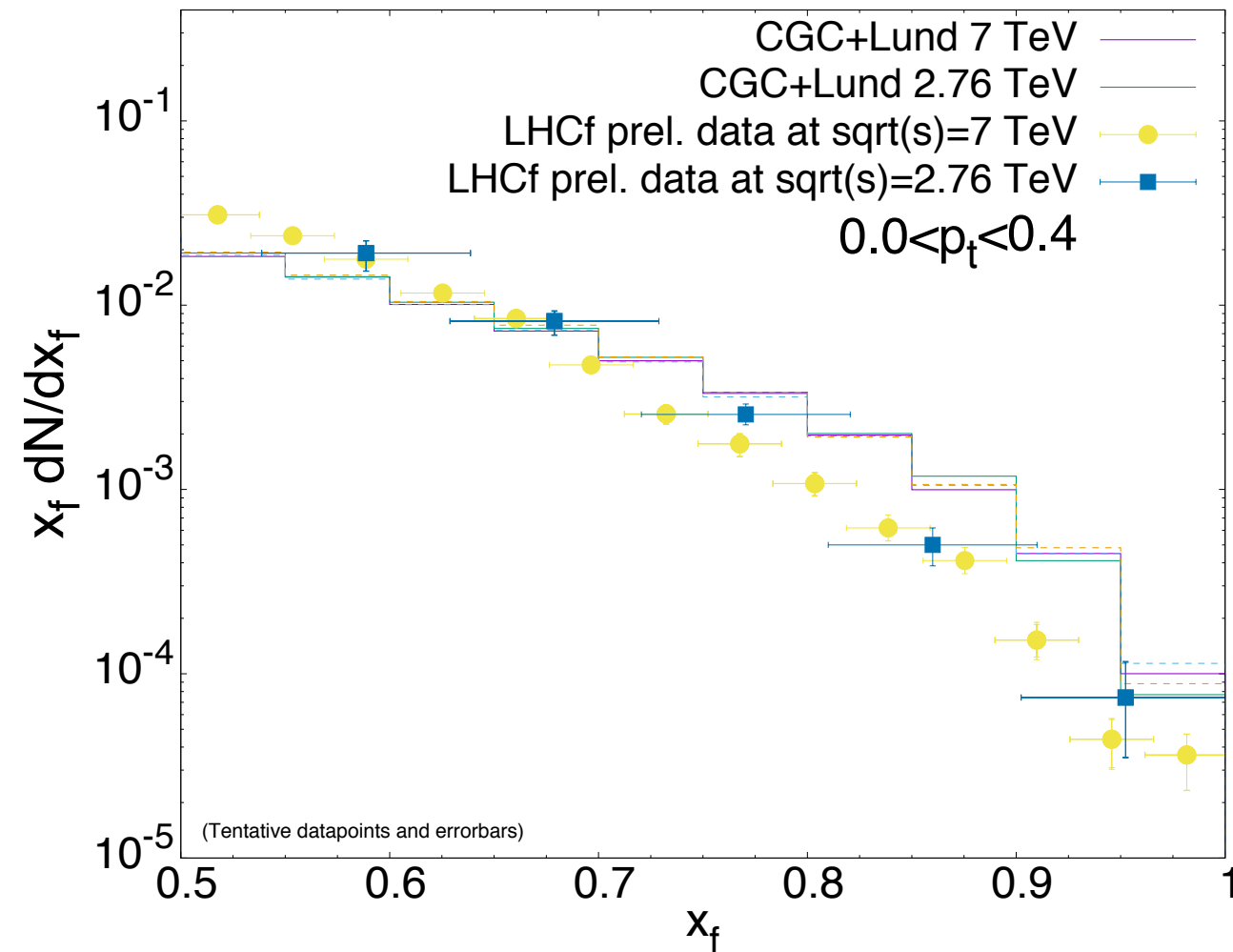
- Good agreement with data in the whole p_t range with $K = 1$ (except for data measured at STAR).
- CGC + Lund approach allows to reach p_t values as low as detected experimentally, $p_t \sim 0.2$ GeV

Multiplicity in p-p collisions: TOTEM data (sneak peek)



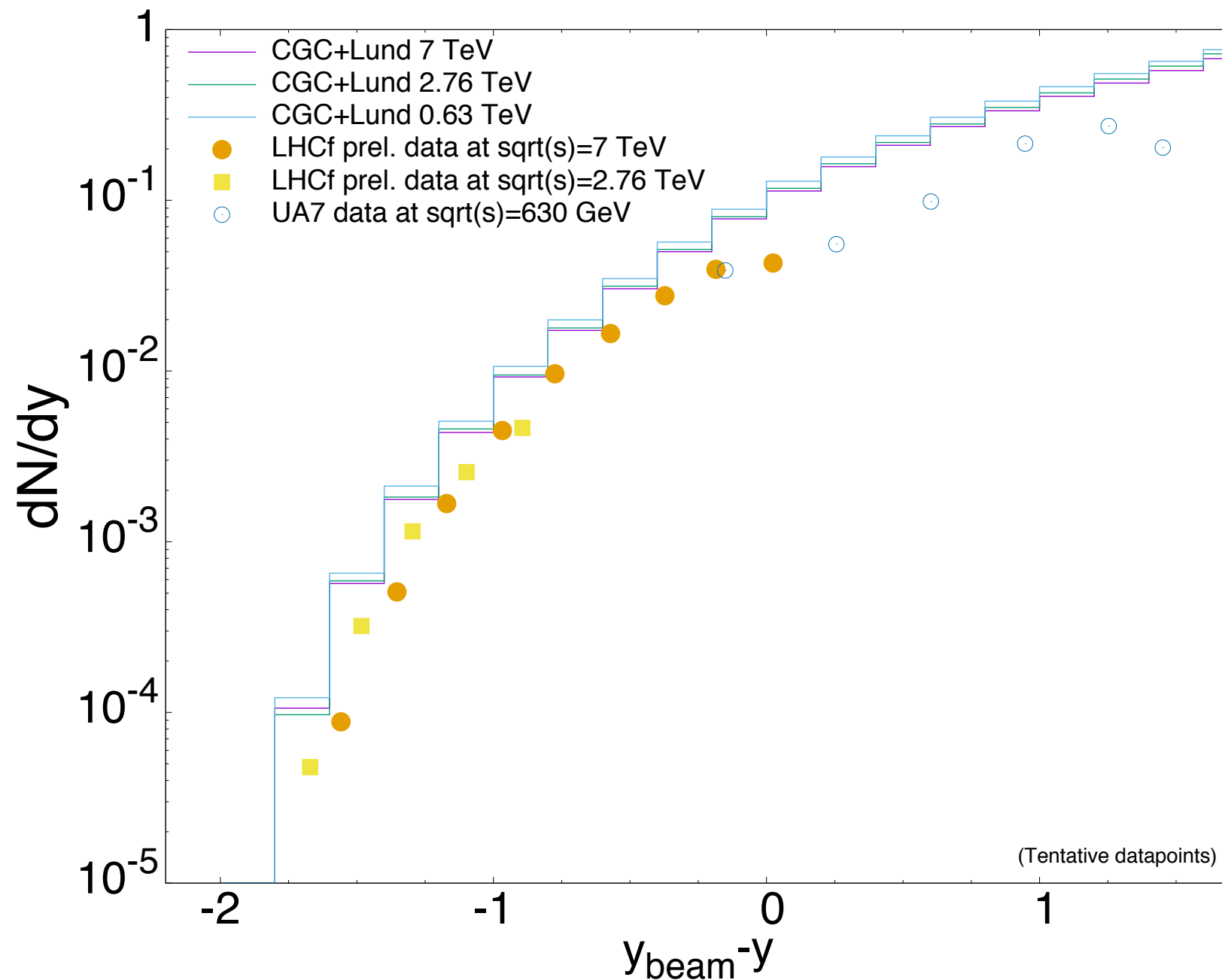
- Good reproduction of charged hadron multiplicity for high rapidities

Feynman scaling: LHCf (preliminary) data (sneak peek)



- Model reproduces Feynman scaling

Feynman scaling: LHCf (preliminary) data (sneak peek)



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Nucleus-nucleus collisions: early results (sneak peek)

