



# Global Higgs fits in the SMEFT framework within the SMEFiT toolbox

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In collaboration with:

J. Ethier, F. Maltoni, E. Nocera, J. Rojo, E.  
Slade, E. Vryonidou and C. Zhang



## Theory

**(N)NLO QCD + NLO EW SM XS**

**NLO-QCD, linear and quadratic, EFT (SMEFT@NLO)**

**PDFs, avoid redundancy (no top)**

## Data

**Higgs data** (inclusive, diff, STXS)

**Top quark data**

**Diboson production** (LEP + LHC)



## Output

Validation statistical toolbox: **Fisher information, PCA, closure tests**

**Posterior probabilities** in EFT parameter space, **CL intervals**

## Methodology

Two independent fitting methods: **MCfit** and **Nested Sampling**

**Modular structure:** easy to add new theory predictions and data

$$\mathcal{L} = \mathcal{L}_{SM} + \sum_i \frac{1}{\Lambda} \mathcal{O}_i^5 + \sum_i \frac{1}{\Lambda^2} \mathcal{O}_i^6 + \dots$$

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**Dim 6: Large number of operators and therefore degrees of freedom**



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Many observables  
and final states



Break degeneracies  
in parameter space

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$$\mathcal{O} = \mathcal{O}_{SM} + \frac{C_i}{\Lambda^2} \mathcal{O}_i^{INT} + \frac{C_i C_j}{\Lambda^4} \mathcal{O}_{ij}^{SQ}$$

**NLO-QCD  
with SMEFT@NLO**

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Linear contribution: leading correction

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**NLO-QCD  
with SMEFT@NLO**

Linear contribution: leading correction

Quadratic contribution: useful information in many instances

Category	Processes	$n_{\text{dat}}$
Top quark production	$t\bar{t}$ (inclusive)	94
	$t\bar{t}Z, t\bar{t}W$	14
	single top (inclusive)	27
	$tZ, tW$	9
	$t\bar{t}t\bar{t}, t\bar{t}b\bar{b}$	6
	<b>Total</b>	<b>150</b>
Higgs production and decay	Run I signal strengths	22
	Run II signal strengths	40
	Run II, differential distributions & STXS	35
	<b>Total</b>	<b>97</b>
Diboson production	LEP-2	40
	LHC	30
	<b>Total</b>	<b>70</b>
Baseline dataset	<b>Total</b>	<b>317</b>



Chi2 values: slight improvement  
(dataset dependent)

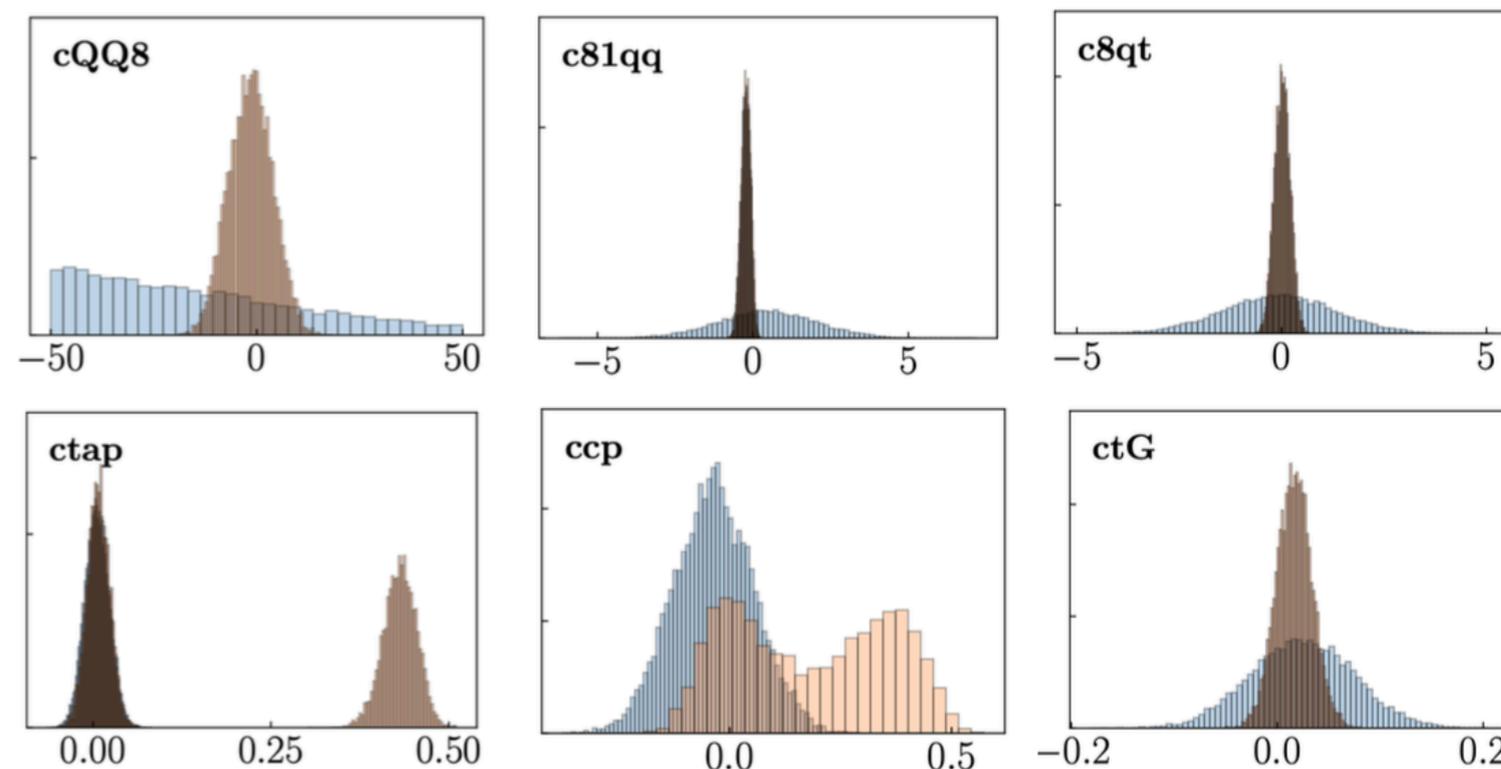
Dataset	$n_{\text{dat}}$	$\chi_{\text{SM}}^2$	$\chi_{\text{EFT}}^2$ $\mathcal{O}(\Lambda^{-2})$	$\chi_{\text{EFT}}^2$ $\mathcal{O}(\Lambda^{-4})$
$t\bar{t}$ inclusive	83	1.46	1.32	1.42
$t\bar{t}$ charge asymmetry	11	0.60	0.39	0.59
$t\bar{t} + V$	14	0.65	0.48	0.65
single-top inclusive	27	0.43	0.44	0.41
single-top +V	9	0.71	0.55	0.75
$t\bar{t}b\bar{b}$ & $t\bar{t}t\bar{t}$	6	1.68	1.09	2.12
Higgs signal strenghts (Run I)	22	0.86	0.85	0.90
Higgs signal strenghts (Run II)	40	0.67	0.64	0.63
Higgs differential & STXS	35	0.88	0.85	0.83
Diboson (LEP+LHC)	70	1.31	1.31	1.30
<b>Total</b>	<b>317</b>	<b>1.05</b>	<b>0.98</b>	<b>1.04</b>

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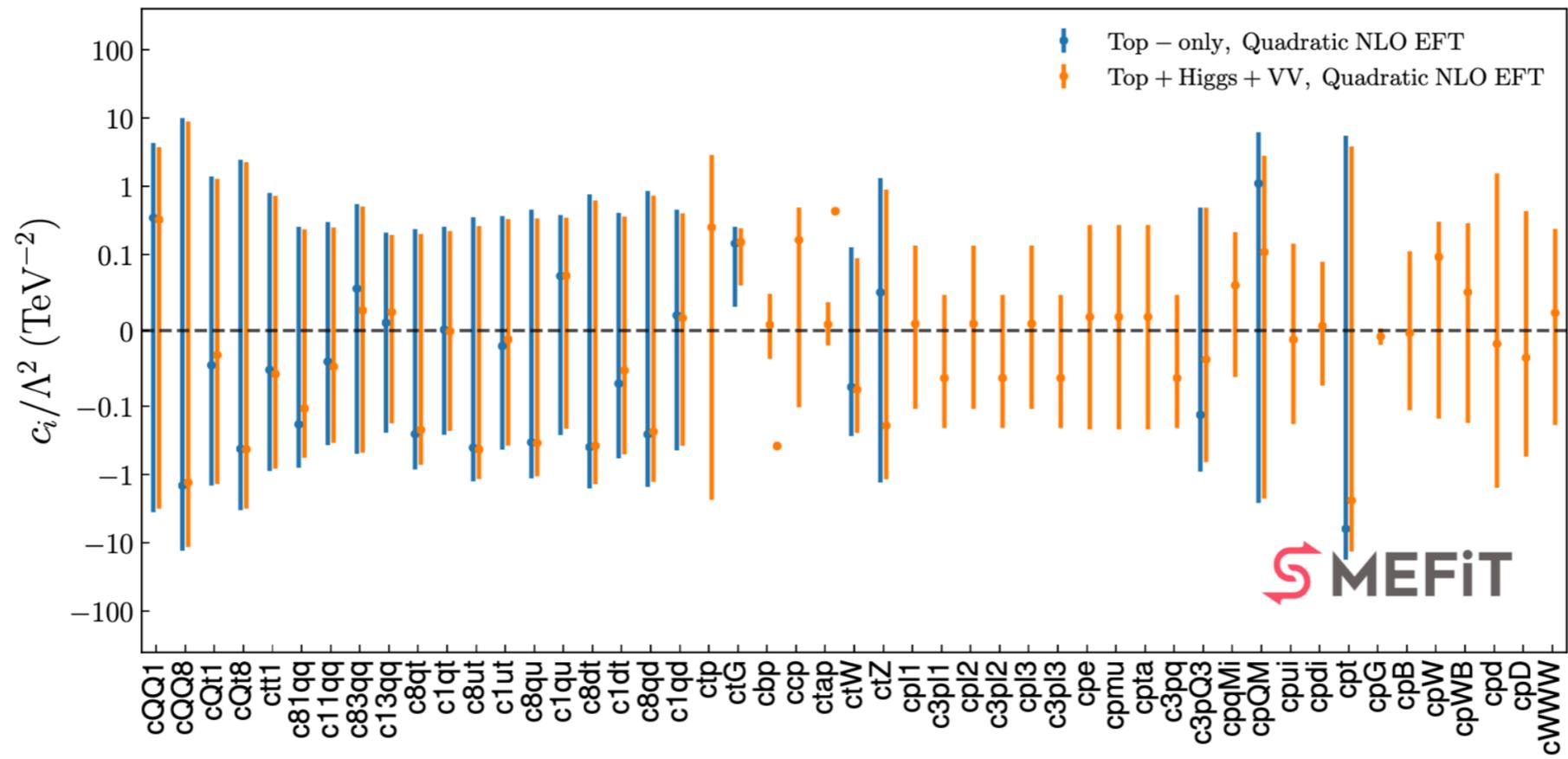
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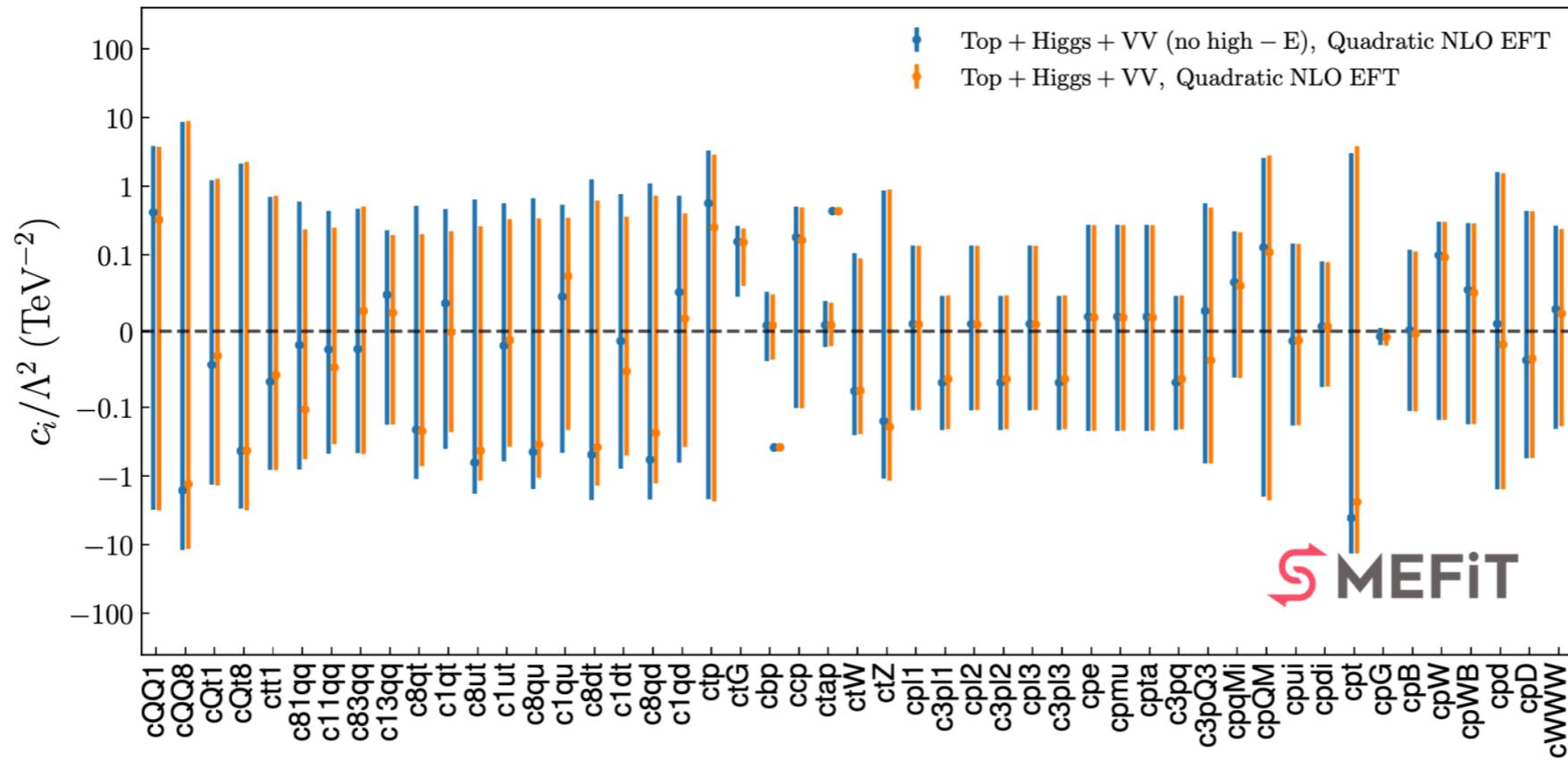
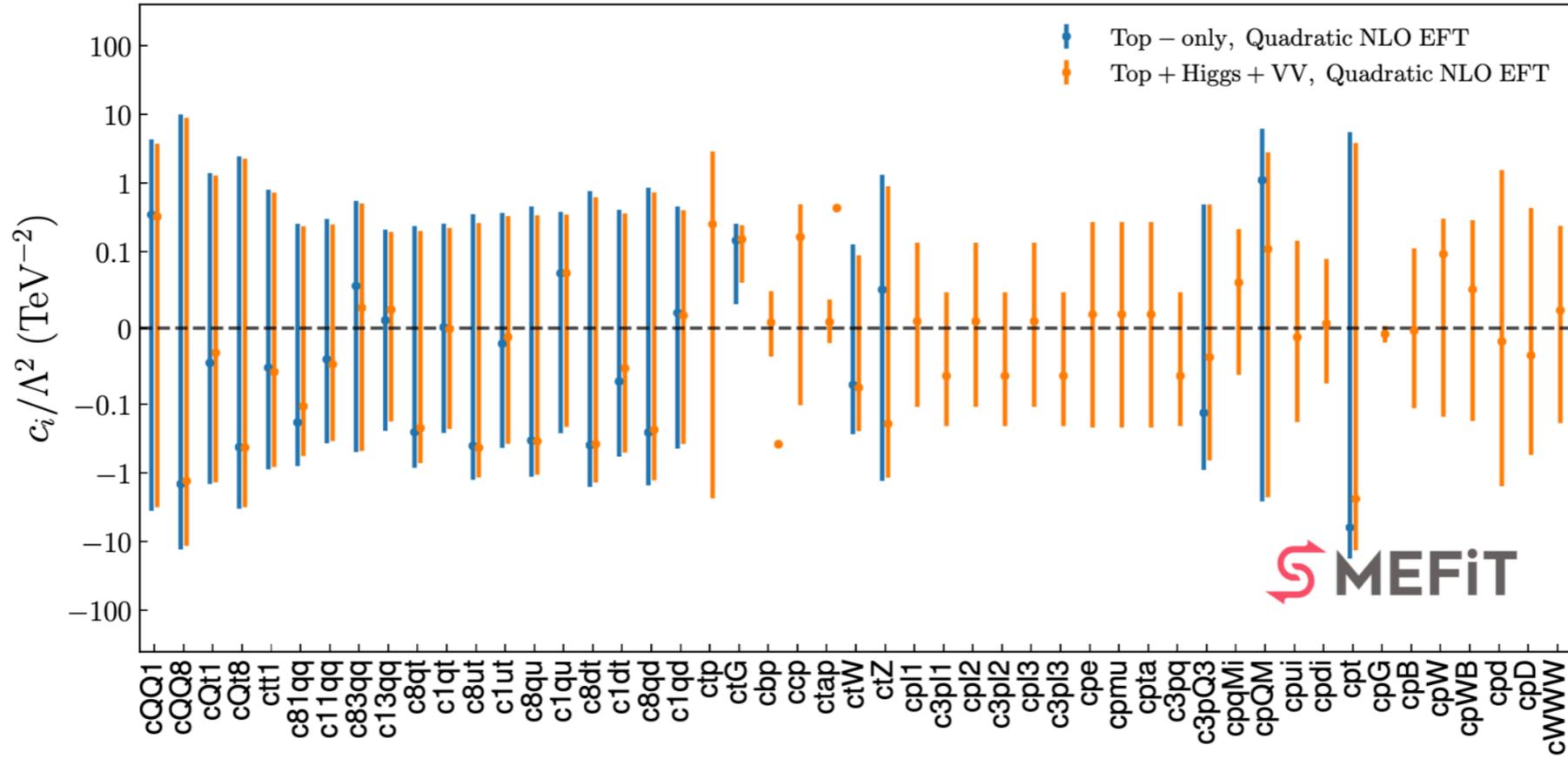
Linear

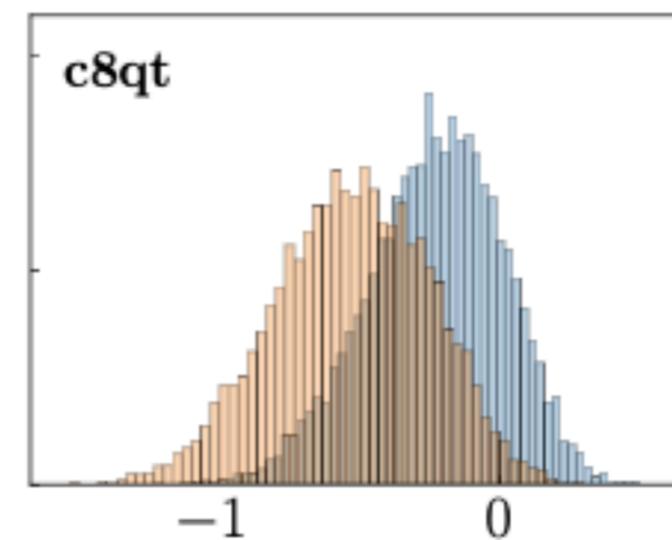
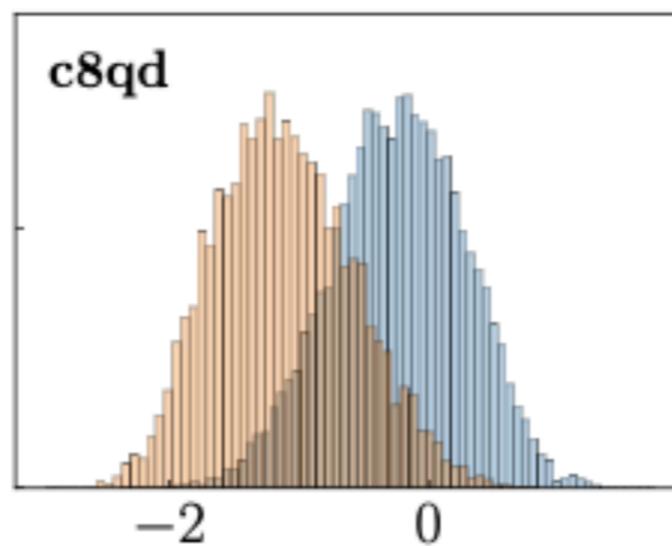
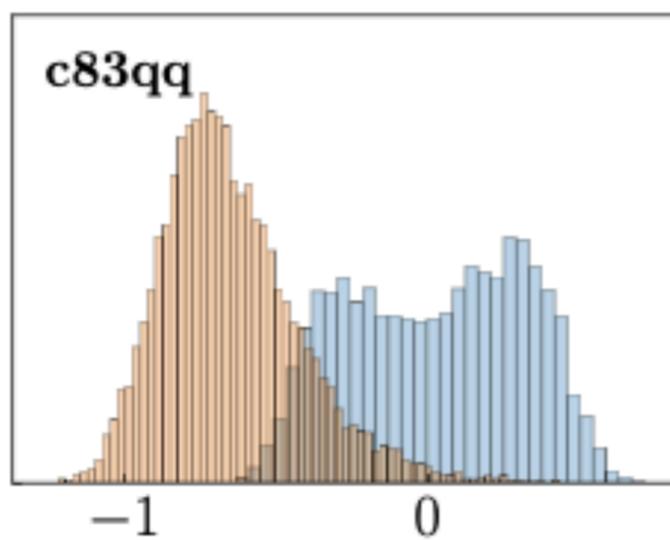
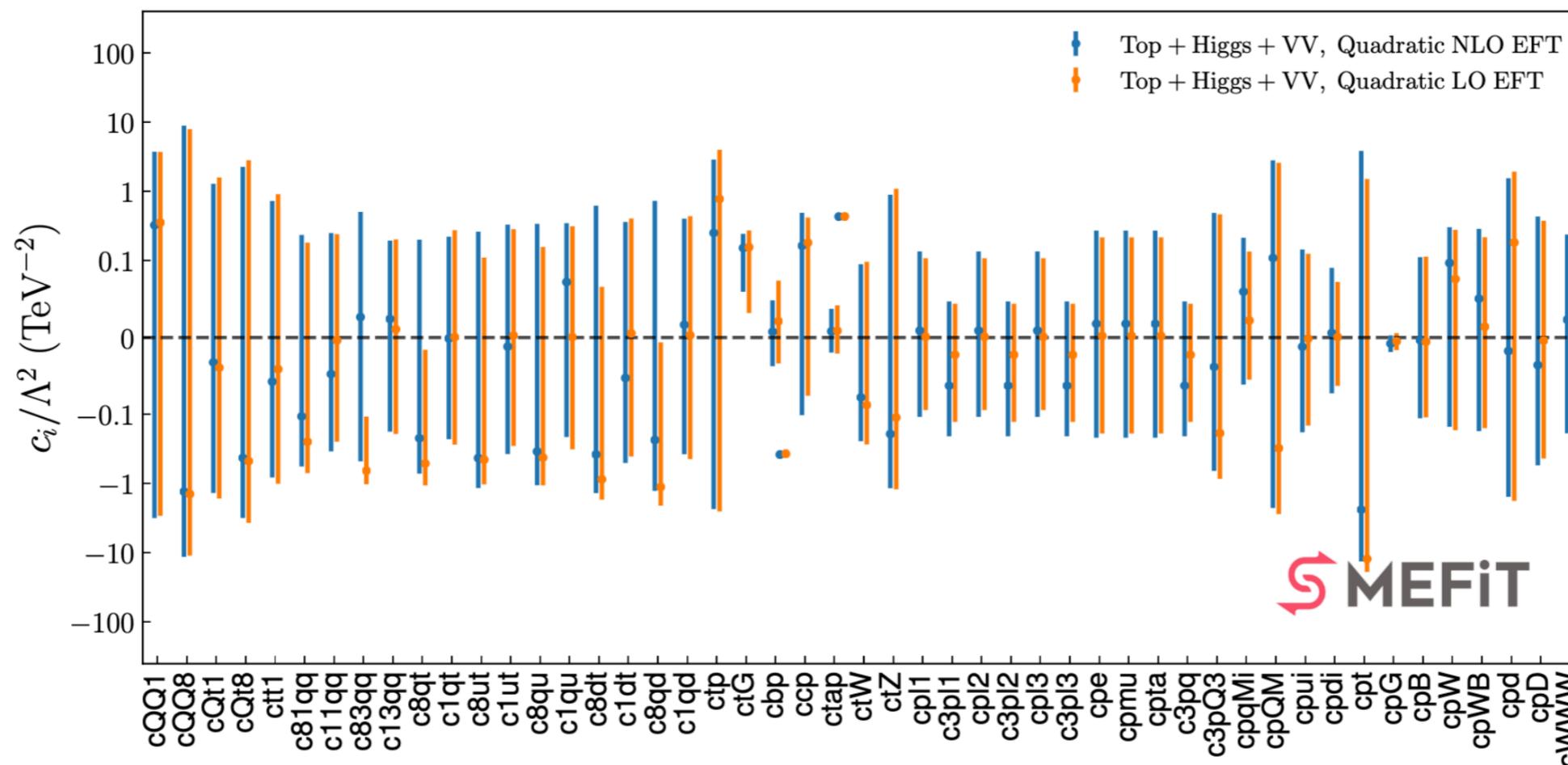
Quadratic



Effects of quadratic  
corrections can be drastic







- ❖ **SMEFiT** is a **novel and flexible framework** for global EFT interpretations.
- ❖ **Already successfully employed for Top, Higgs and diboson data.**
- ❖ Several functionalities to **cross-check** and **validate** results.

New steps:

- ❖ **Add new data** (VBS, Tevatron, low-E observables, recent LHC results, ...)
- ❖ **Improve theory** (RGEs, NLO-QCD and EW in EFT, etc)
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# Thanks!



# Backup



## Slide from Juan Rojo's talk at LHCEFTWG

Building upon extensive **expertise in global PDF determinations**, SMEFiT was designed as a **flexible EFT analysis framework** with the built-in capabilities for producing a **global EFT interpretation of particle physics data** (including non-LHC constraints)



*Publications based on SMEFiT:*

- **A Monte Carlo global analysis of the Standard Model Effective Field Theory: the top quark sector**, Hartland, Maltoni, Nocera, JR, Slade, Vryodinou, Zhang, JHEP 04 (2019) 100, arXiv:1901.05965 [hep-ph]
- **Constraining the SMEFT with Bayesian reweighting**, van Beek, Nocera, JR, Slade, SciPost Phys. 7 (2019) 5, 070, arXiv:1906.05296 [hep-ph]
- **SMEFT analysis of vector boson scattering and diboson data from the LHC Run II**, Ethier, [See G. Magni talk](#) Gomez-Ambrosio, Magni, JR, arXiv:2101.03180 [hep-ph]
- **Combined EFT interpretation of Higgs, electroweak, and top quark measurements at the LHC**, Ethier, Maltoni, Luca Mantani, Nocera, JR, Slade, Vryonidou, Zhang, in preparation

*representative results show in this talk*

6

10



**EWPO**

$$\frac{1}{4}g_1^2 \left( -2c_{\varphi l_1}^{(3)} - 2c_{\varphi l_2}^{(3)} + c_{ll} \right) - \frac{c_{\varphi D}g_w^2}{4} - g_1g_w c_{\varphi WB},$$

$$c_{\varphi l_i}^{(3)} - f\left(-\frac{1}{2}, -1\right) + f\left(\frac{1}{2}, 0\right) \quad i = 1, 2, 3,$$

$$f\left(-\frac{1}{2}, -1\right) - \frac{c_{\varphi l_i}^{(3)}}{2} - \frac{c_{\varphi l_i}^{(1)}}{2} \quad i = 1, 2, 3,$$

$$f(0, -1) - \frac{c_{\varphi e}}{2}, \quad f(0, -1) - \frac{c_{\varphi \mu}}{2}, \quad f(0, -1) - \frac{c_{\varphi \tau}}{2},$$

$$f\left(\frac{1}{2}, \frac{2}{3}\right) - \frac{c_{\varphi q}^{(-)}}{2}, \quad f\left(-\frac{1}{2}, -\frac{1}{3}\right) - \frac{c_{\varphi q}^{(-)}}{2} - c_{\varphi q}^{(3)}$$

$$f\left(0, \frac{2}{3}\right) - \frac{c_{\varphi u}}{2}, \quad f\left(0, -\frac{1}{3}\right) - \frac{c_{\varphi d}}{2},$$

where the function  $f$  is given by:

$$f(T_3, Q) = \left( -\frac{c_{\varphi l_1}^{(3)}}{2} - \frac{c_{\varphi l_2}^{(3)}}{2} + \frac{c_{ll}}{4} - \frac{c_{\varphi D}}{4} \right) \left( \frac{g_1^2 Q}{g_w^2 - g_1^2} + T_3 \right)$$

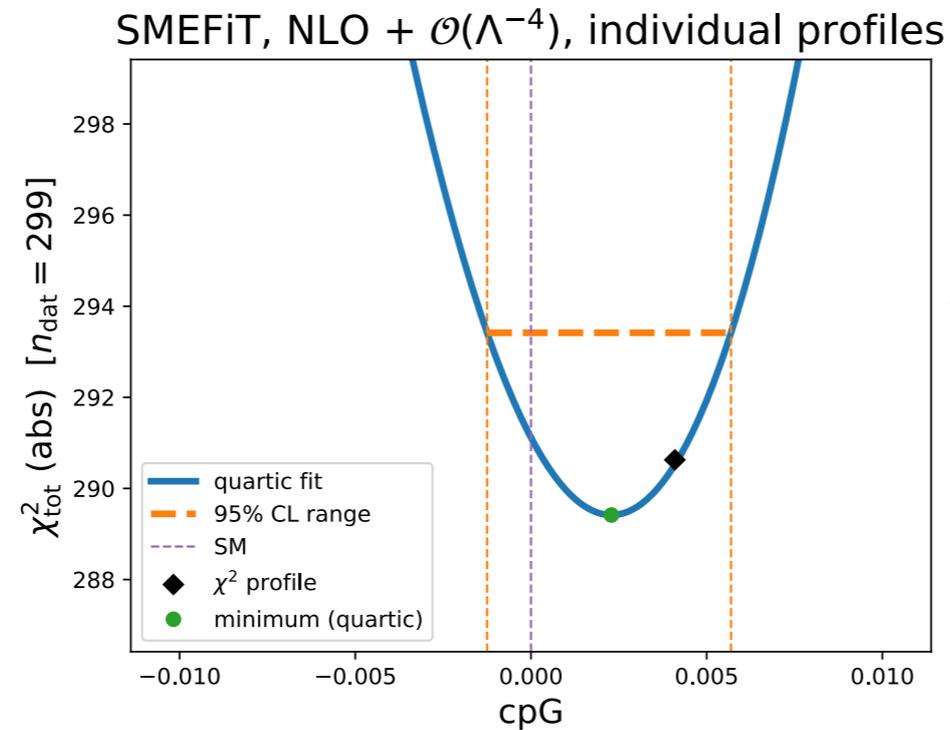
$$- c_{\varphi WB} \frac{Qg_1g_w}{g_w^2 - g_1^2},$$

Class	$N_{\text{dof}}$	Independent DOFs	DoF in EWPOs
four-quark (two-light-two-heavy)	14	$c_{Qq}^{1,8}, c_{Qq}^{1,1}, c_{Qq}^{3,8}$ $c_{Qq}^{3,1}, c_{tq}^8, c_{tq}^1,$ $c_{tu}^8, c_{tu}^1, c_{Qu}^8,$ $c_{Qu}^1, c_{td}^8, c_{td}^1,$ $c_{Qd}^8, c_{Qd}^1$	
four-quark (four-heavy)	5	$c_{QQ}^1, c_{QQ}^8, c_{Qt}^1$ $c_{Qt}^8, c_{tt}^1$	
four-lepton	1		$c_{ll}$
two-fermion (+ bosonic fields)	23	$c_{t\varphi}, c_{tG}, c_{b\varphi},$ $c_{c\varphi}, c_{\tau\varphi}, c_{tW},$ $c_{tZ}, c_{\varphi Q}^{(3)}, c_{\varphi Q}^{(-)},$ $c_{\varphi t}$	$c_{\varphi l_1}^{(1)}, c_{\varphi l_1}^{(3)}, c_{\varphi l_2}^{(1)}$ $c_{\varphi l_2}^{(3)}, c_{\varphi l_3}^{(1)}, c_{\varphi l_3}^{(3)},$ $c_{\varphi e}, c_{\varphi \mu}, c_{\varphi \tau},$ $c_{\varphi q}^{(3)}, c_{\varphi q}^{(-)},$ $c_{\varphi u}, c_{\varphi d}$
Purely bosonic	7	$c_{\varphi G}, c_{\varphi B}, c_{\varphi W},$ $c_{\varphi d}, c_{WWW}$	$c_{\varphi WB}, c_{\varphi D}$
Total	50 (36 independent)	34	16 (2 independent)



$$\chi^2(\mathbf{c}) \equiv \frac{1}{n_{\text{dat}}} \sum_{i,j=1}^{n_{\text{dat}}} \left( \sigma_i^{(\text{th})}(\mathbf{c}) - \sigma_i^{(\text{exp})} \right) (\text{cov}^{-1})_{ij} \left( \sigma_j^{(\text{th})}(\mathbf{c}) - \sigma_j^{(\text{exp})} \right)$$

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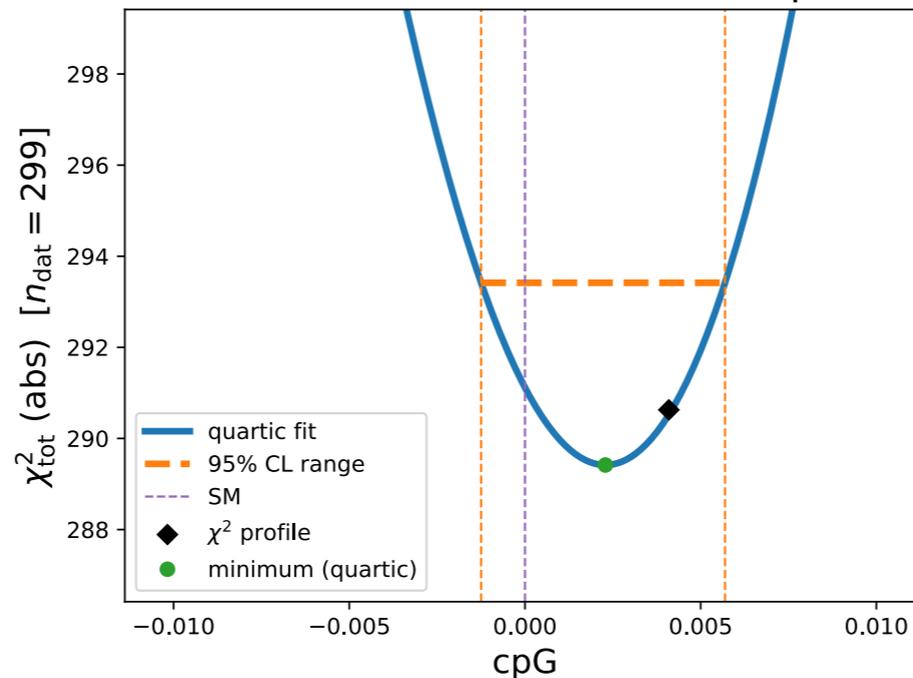
Gaussian profile



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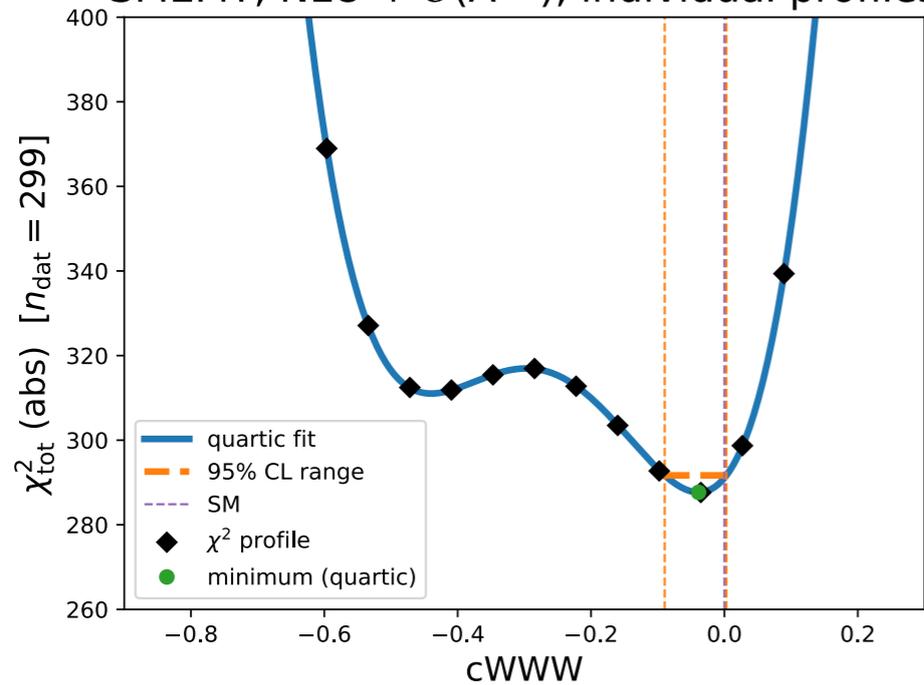
Non gaussian  
global minimum

SMEFiT, NLO +  $\mathcal{O}(\Lambda^{-4})$ , individual profiles



Gaussian profile

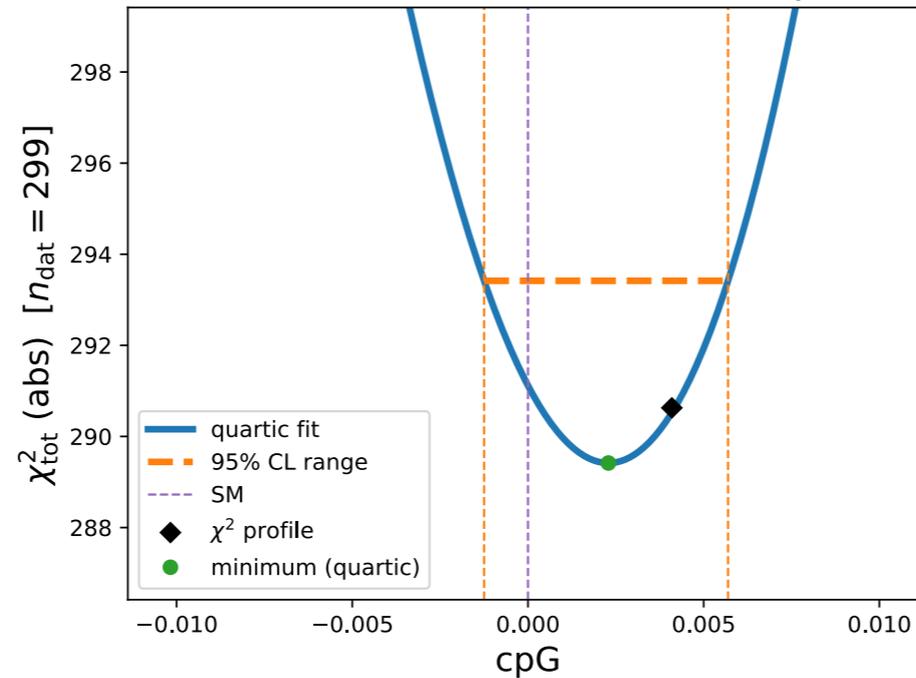
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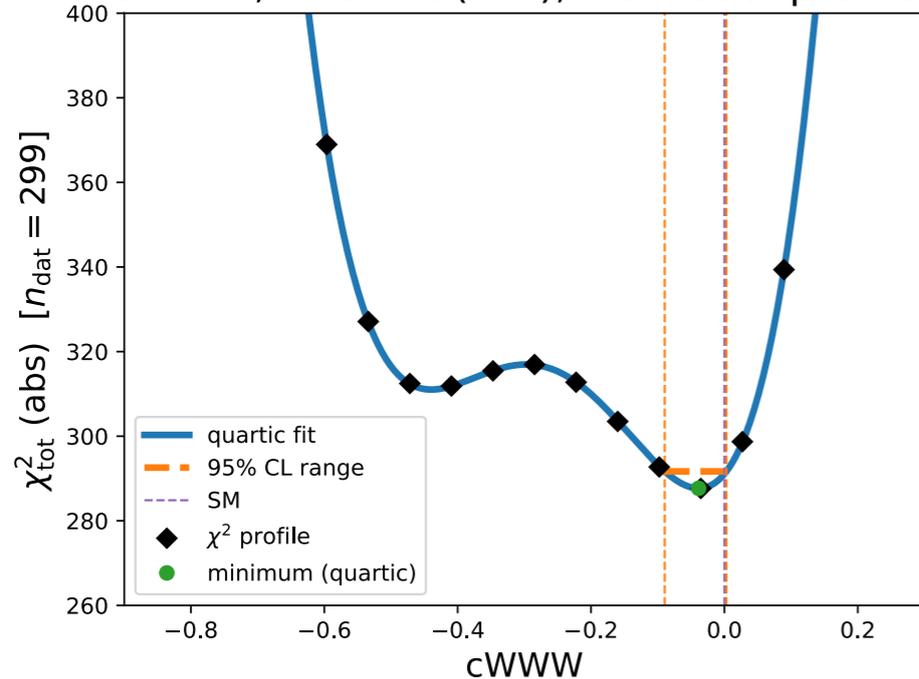
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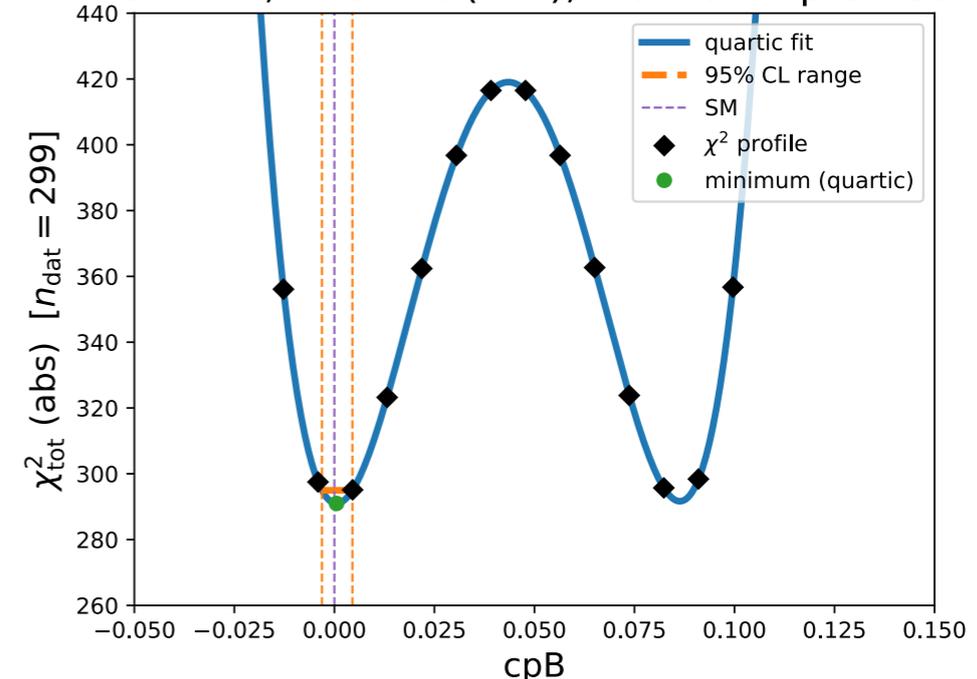
Gaussian profile

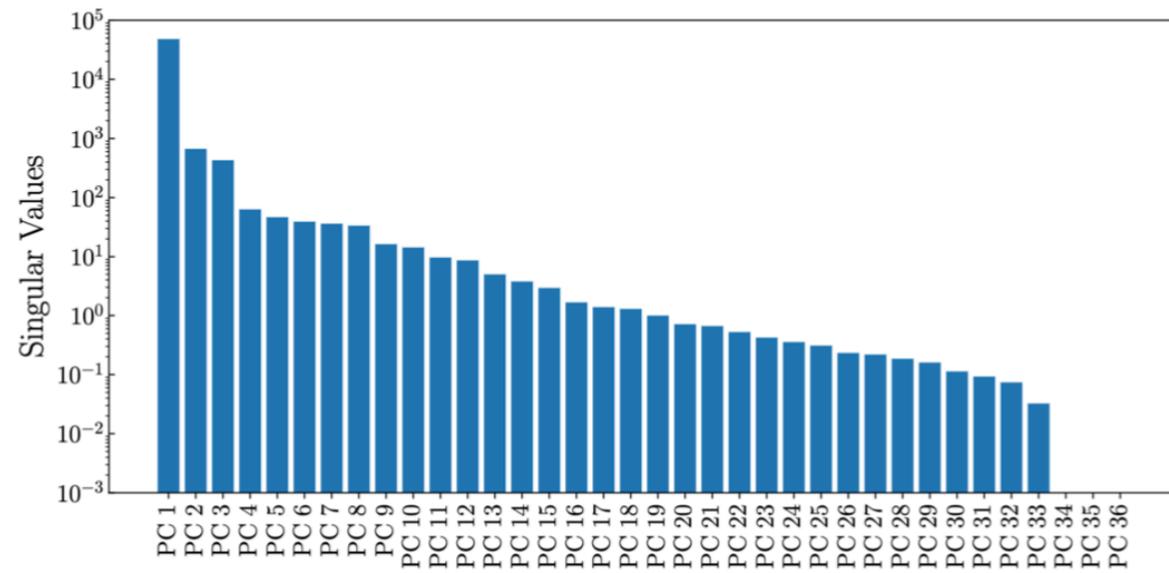
Degenerate minima

SMEFiT, NLO +  $\mathcal{O}(\Lambda^{-4})$ , individual profiles

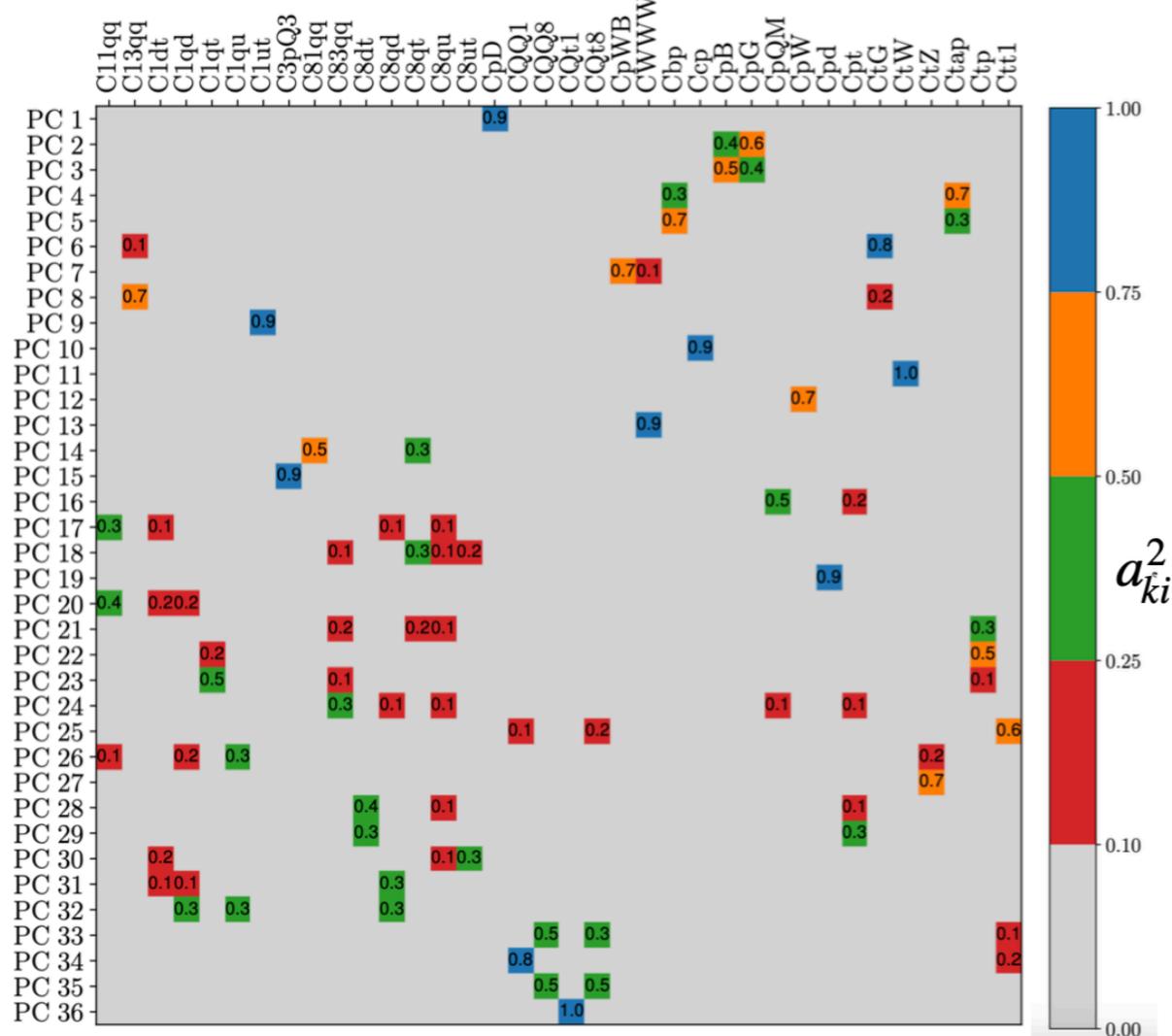


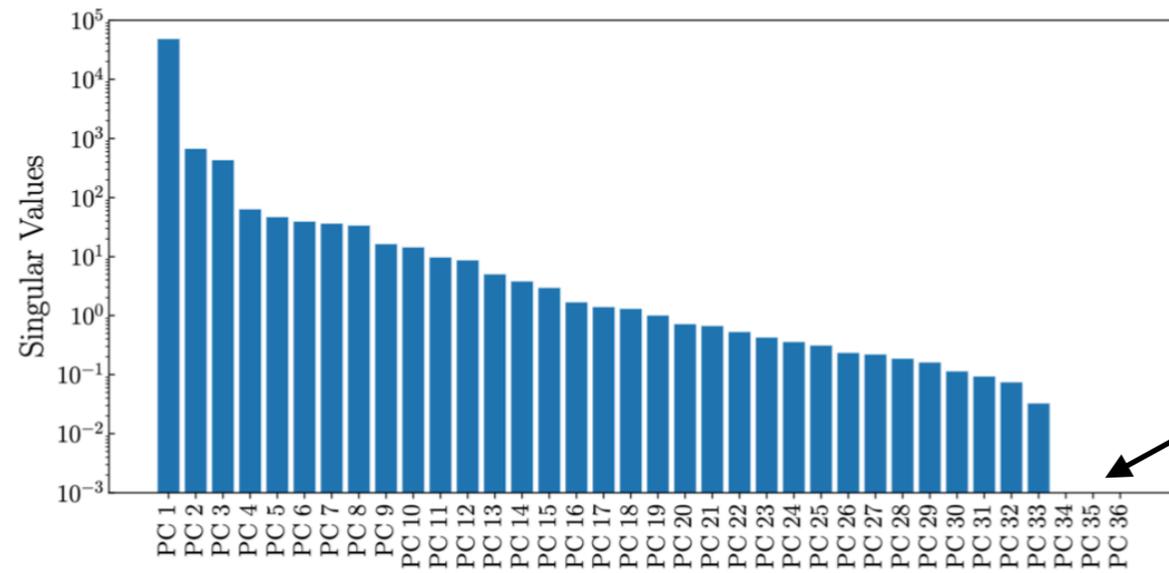
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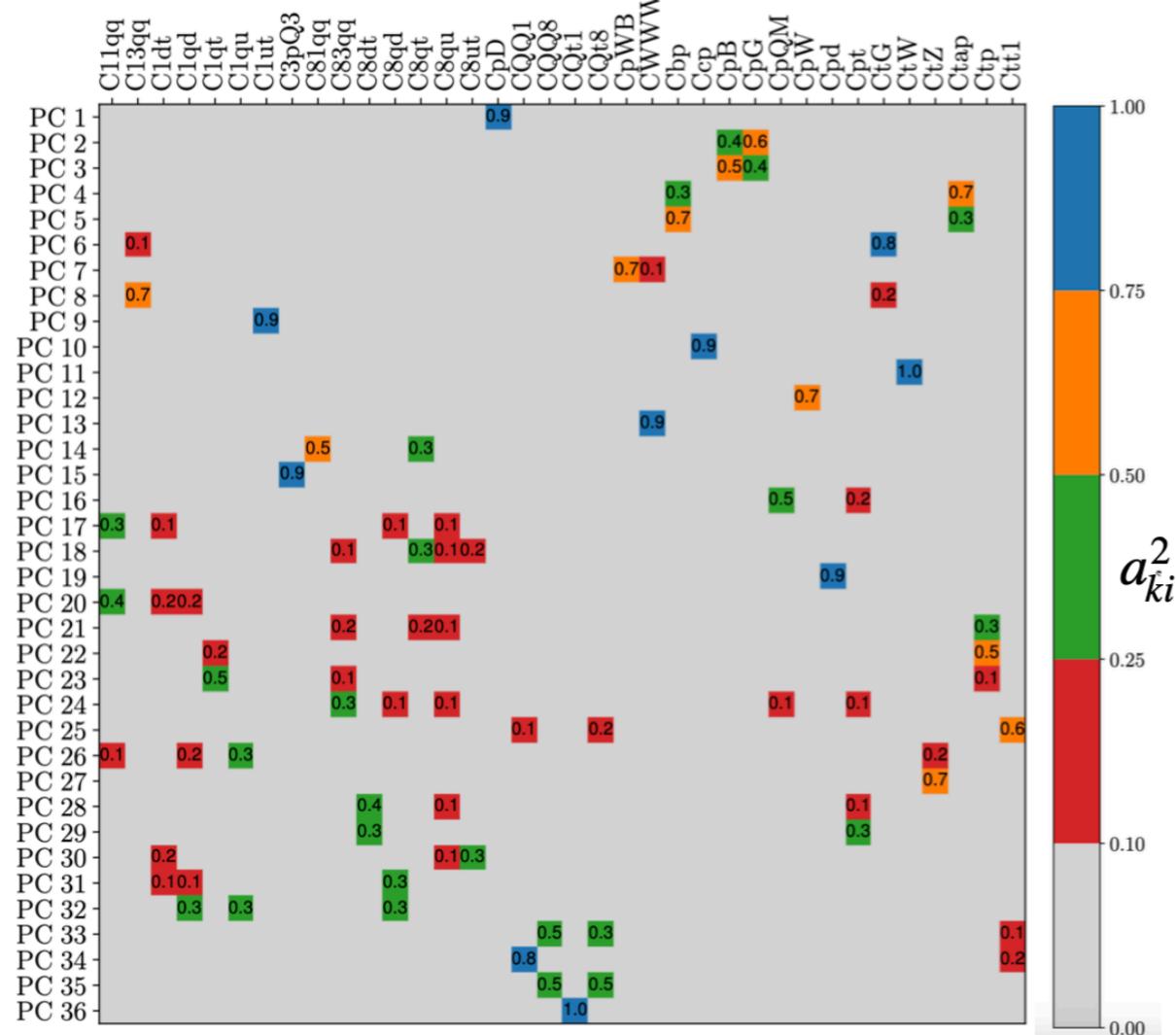
$$PC_k = \sum_{i=1}^{n_{op}} a_{ki} C_i, \quad k = 1, \dots, n_{op} \quad \left( \sum_{i=1}^{n_{op}} a_{ki}^2 = 1 \forall k \right)$$

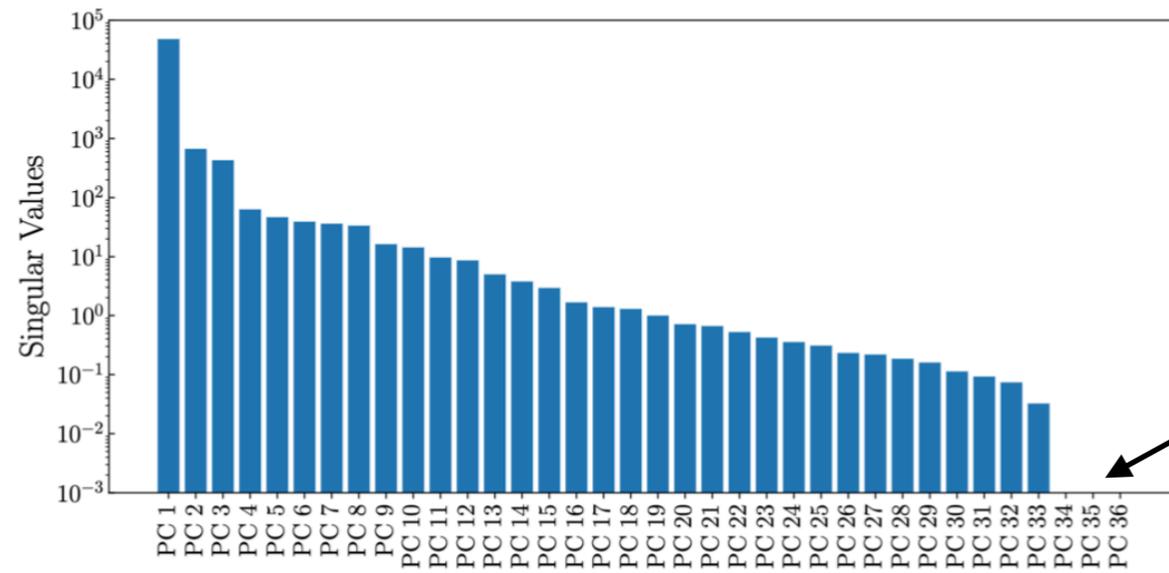




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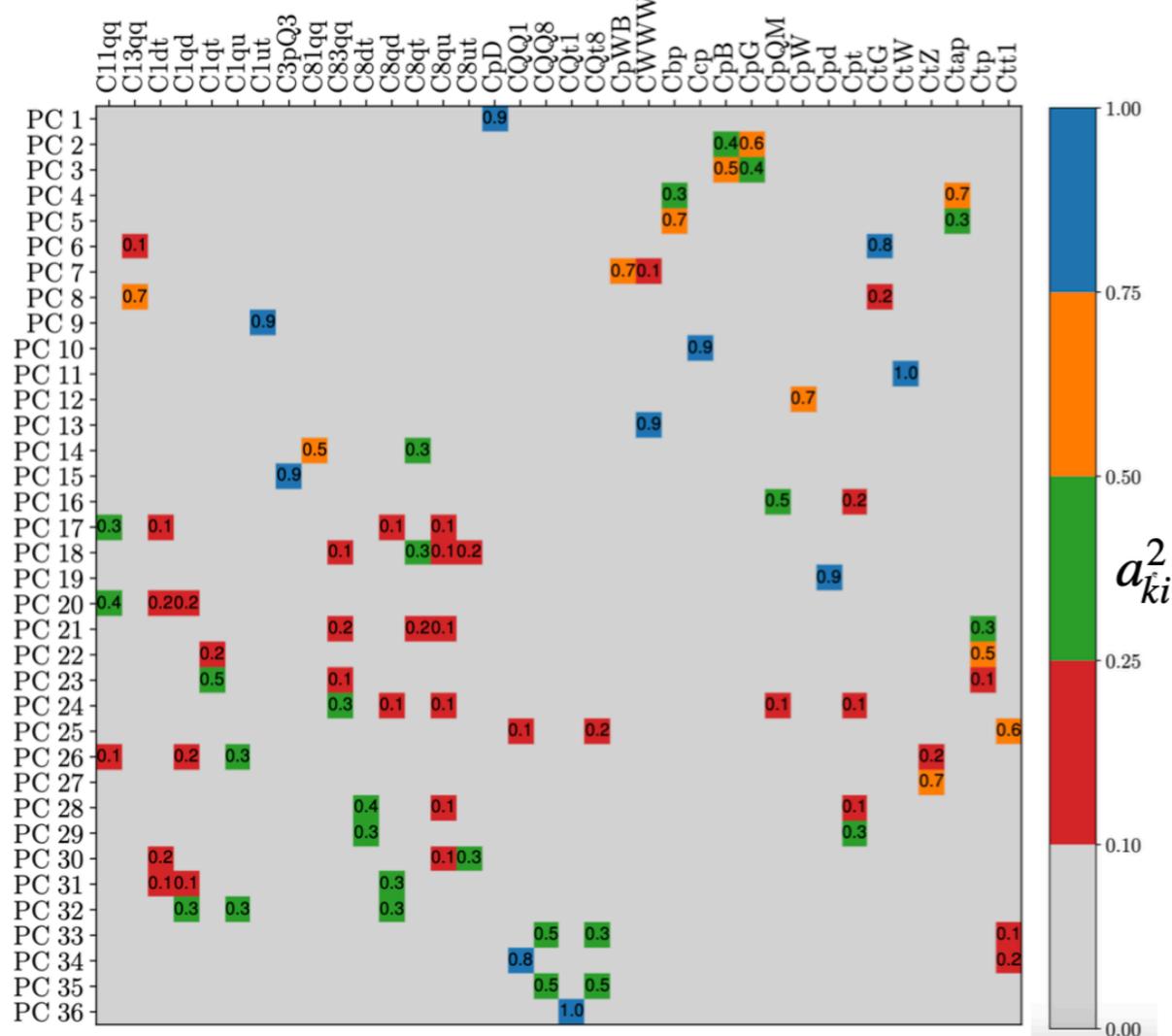
Flat directions





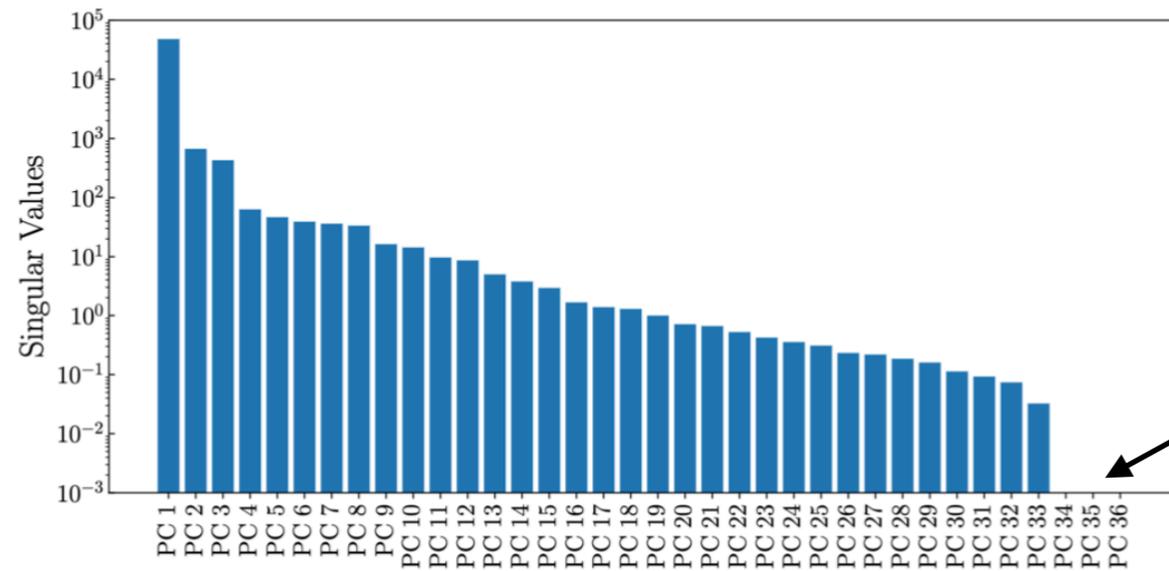
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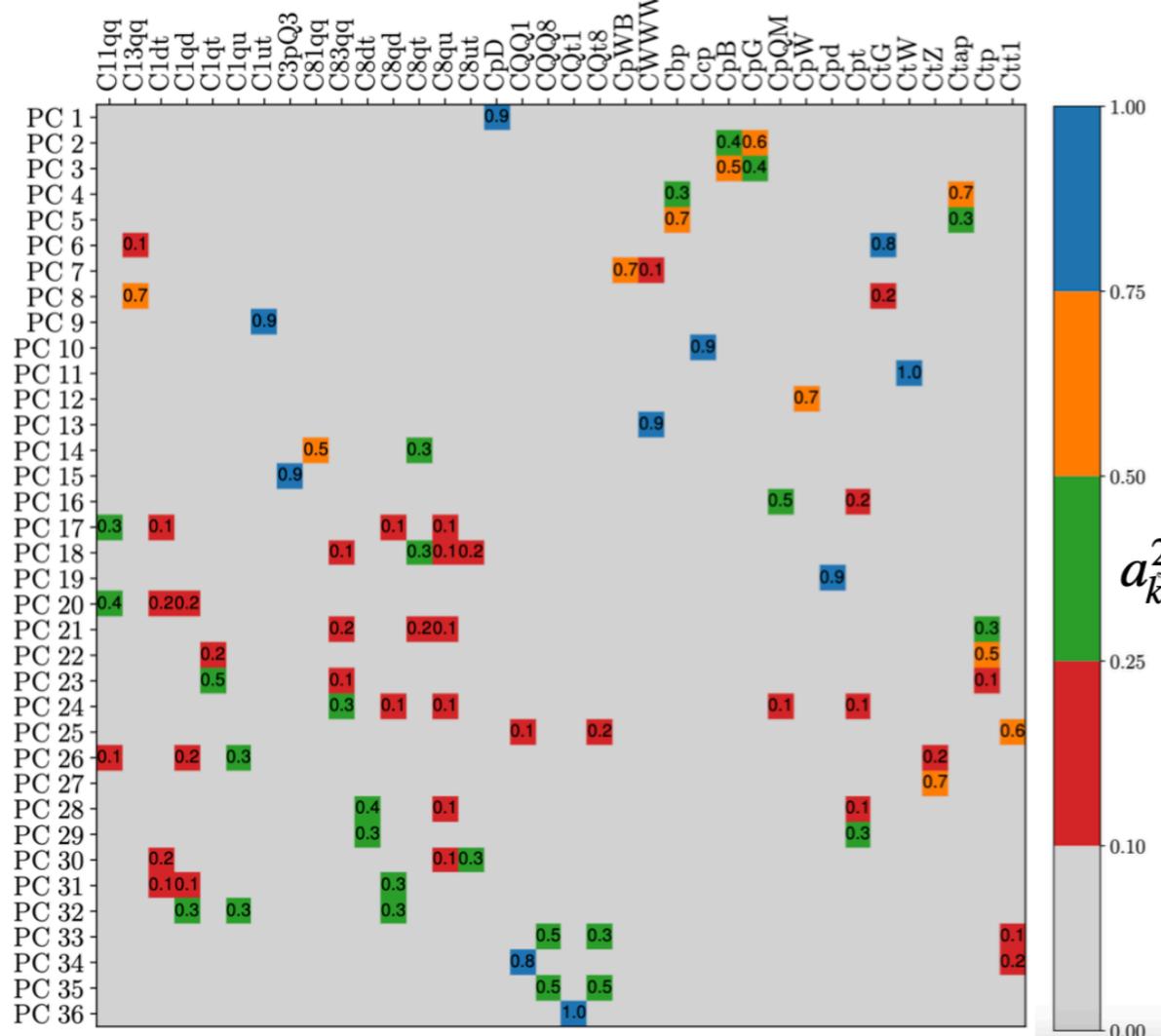
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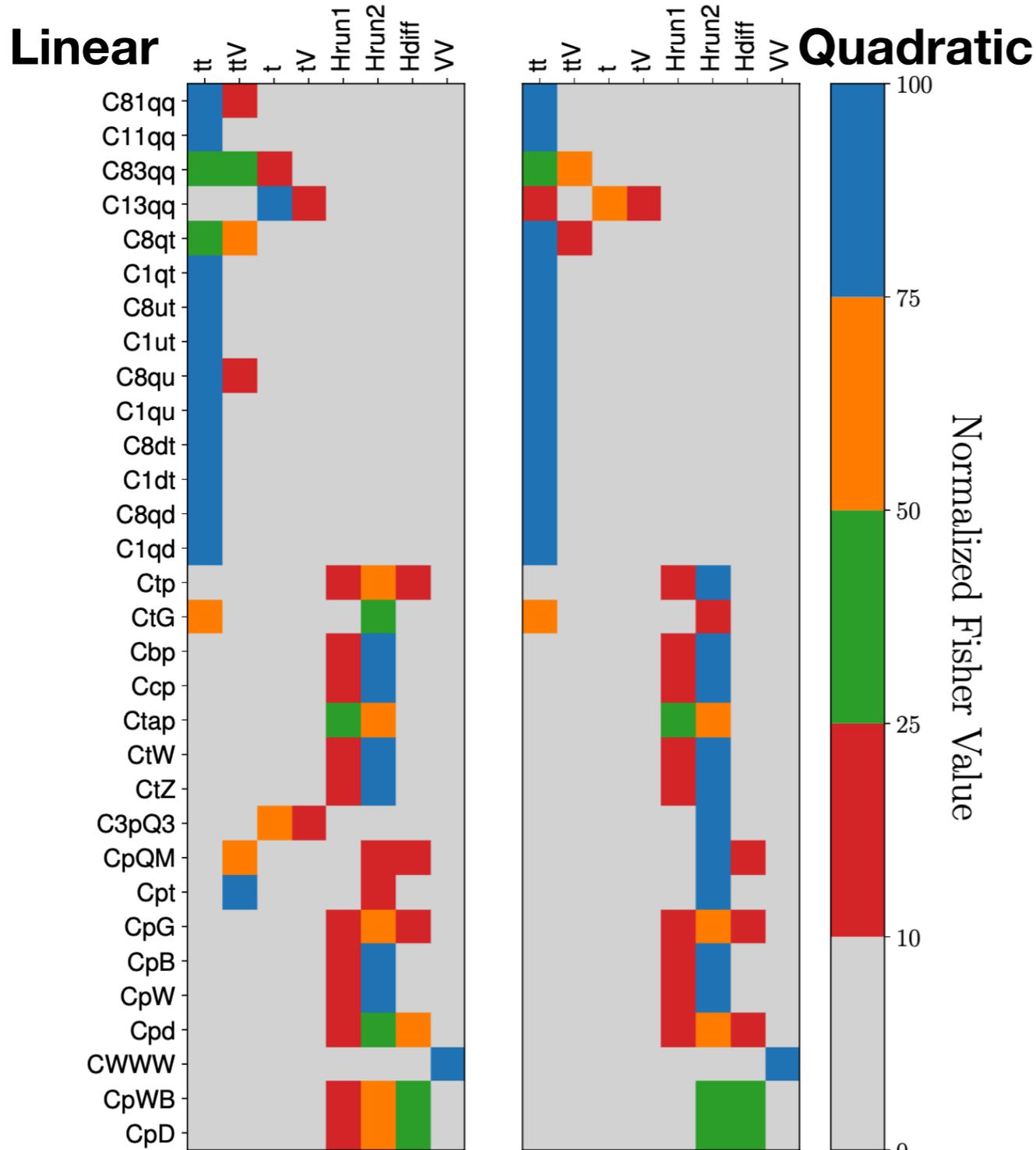
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Eventually one can fit in the PC basis (not done in the present fit)



Useful to gain insight from **Information Geometry**

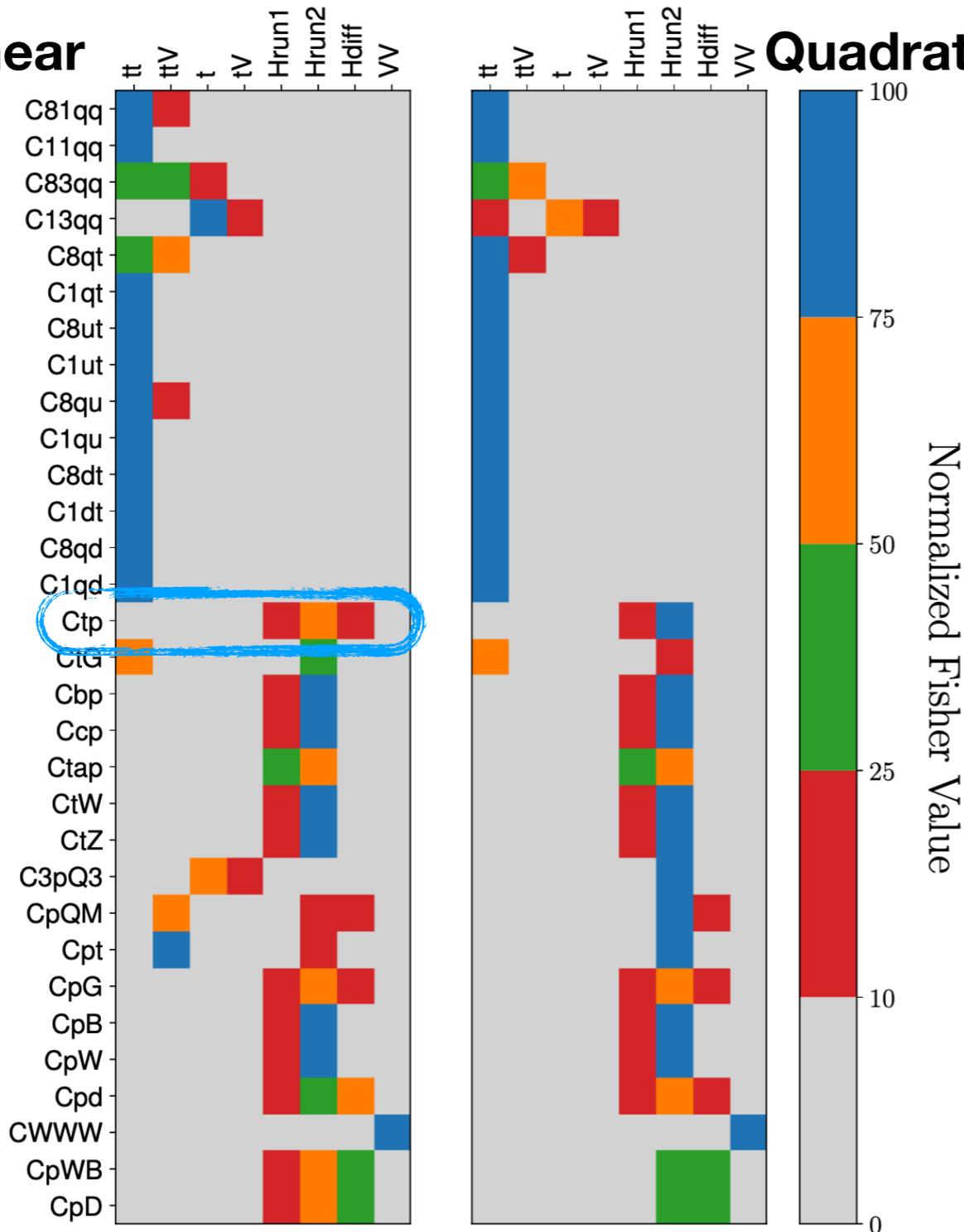
$$I_{ij}(\mathbf{c}) = -\mathbb{E} \left[ \frac{\partial^2 \ln f(\boldsymbol{\sigma}_{\text{exp}} | \mathbf{c})}{\partial c_i \partial c_j} \right]$$



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**Linear** **Quadratic**

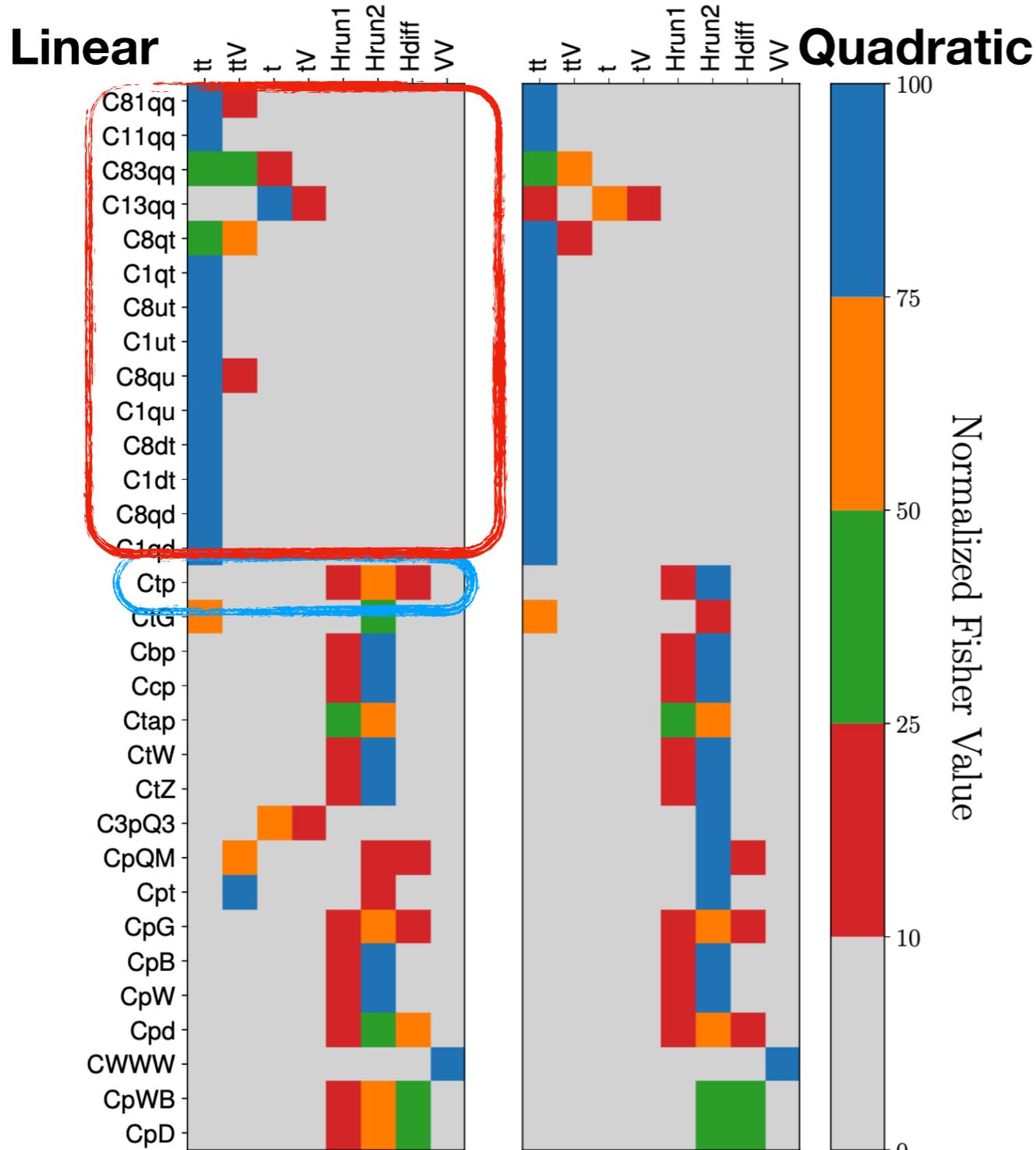


Top Yukawa



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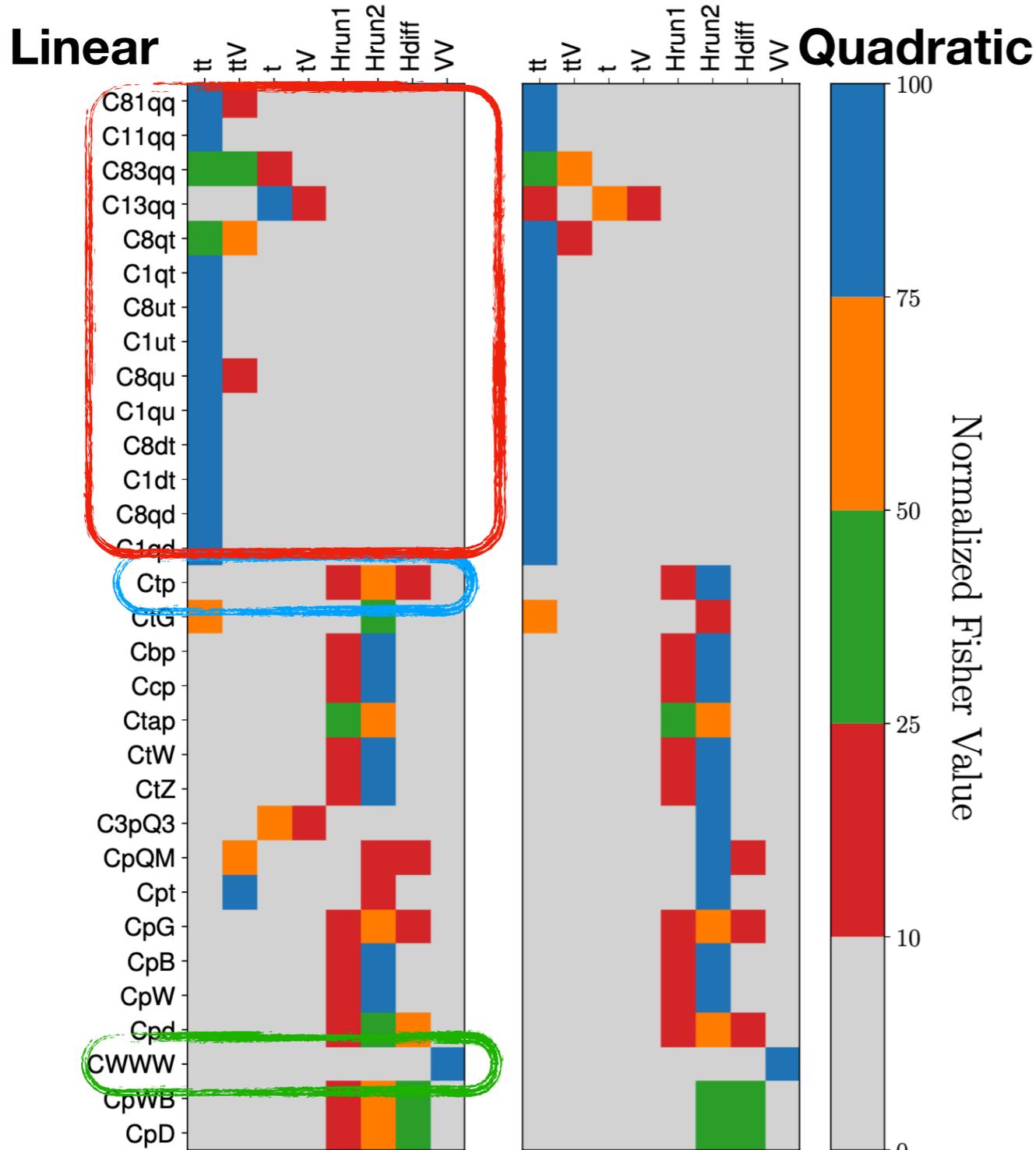
Top Yukawa

Four fermion operators



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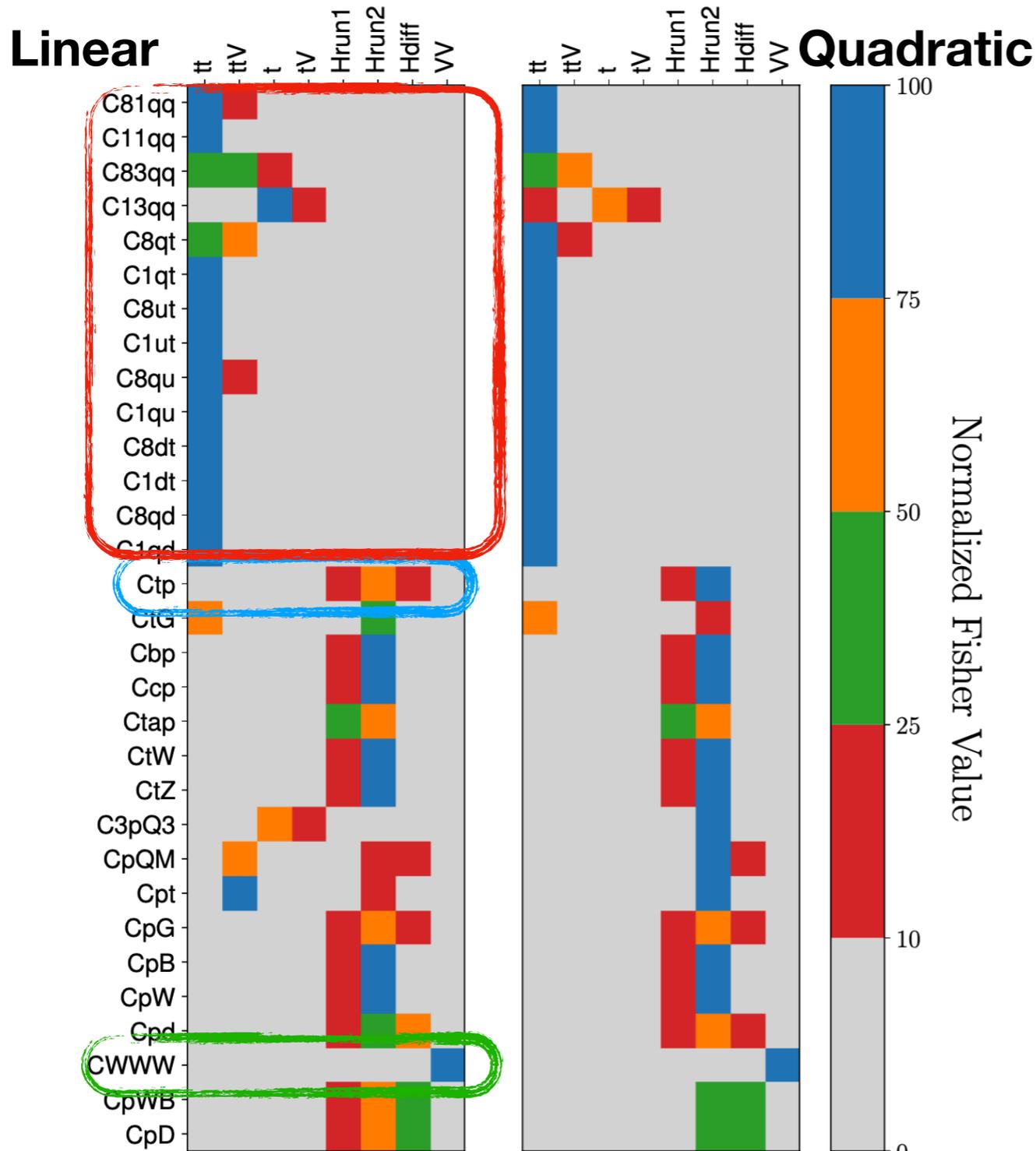
Four fermion operators

TGC operator



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Top Yukawa

Four fermion operators

TGC operator

In the quadratic case the picture does not change much



**MCfit:** generate MC replicas to construct **probability distribution** in experimental data space.  
Determine EFT coefficients **replica by replica.**

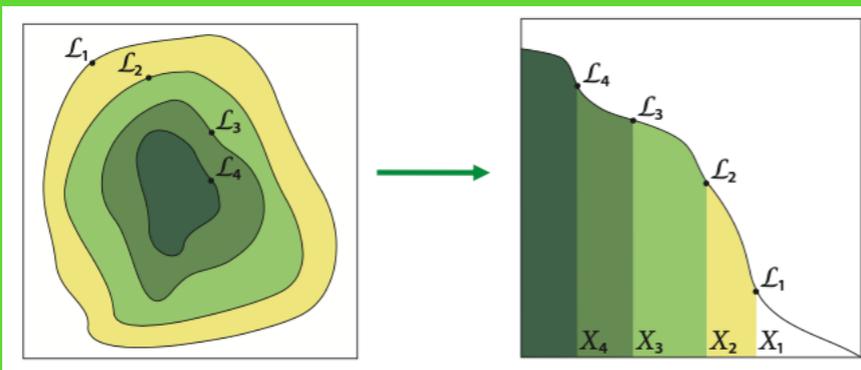
$$E(\{c_l^{(k)}\}) \equiv \frac{1}{N_{\text{dat}}} \sum_{i,j=1}^{N_{\text{dat}}} \left( \mathcal{O}_i^{(\text{th})}(\{c_n^{(k)}\}) - \mathcal{O}_i^{(\text{art})(k)} \right) (\text{cov}^{-1})_{ij} \left( \mathcal{O}_j^{(\text{th})}(\{c_n^{(k)}\}) - \mathcal{O}_j^{(\text{art})(k)} \right)$$

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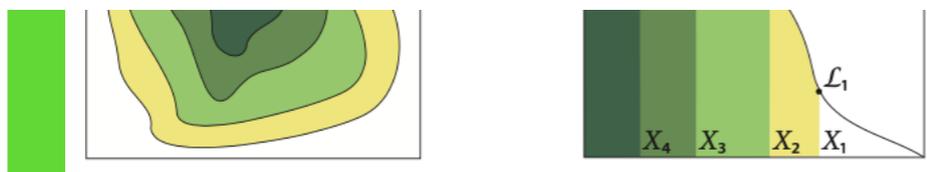
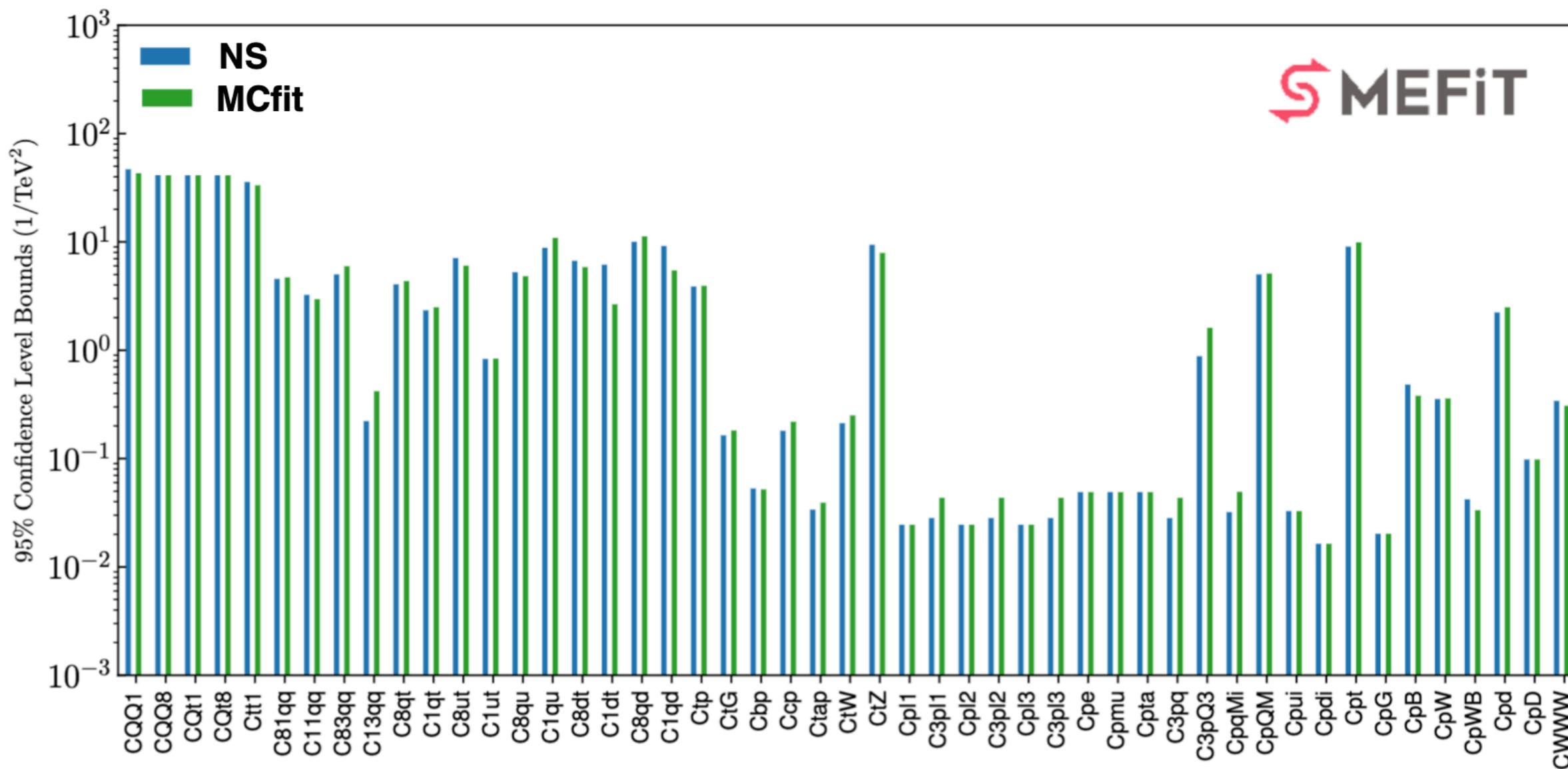
**Nested Sampling:** statistical mapping of the likelihood.

$$Z = \int d^N c \mathcal{L}(\text{data} | \vec{c}) \pi(\vec{c}) = \int_0^1 dX \mathcal{L}(X)$$

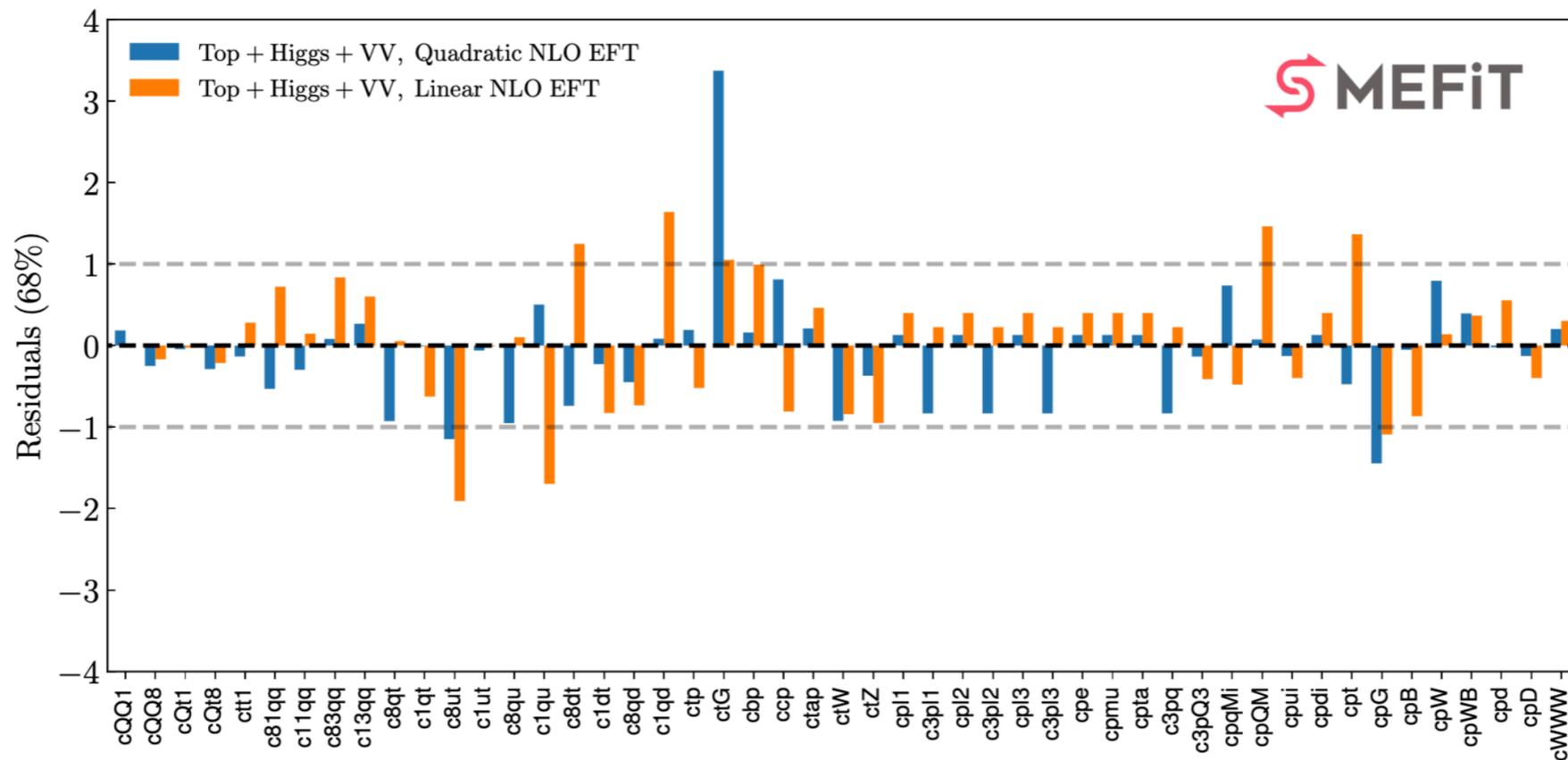
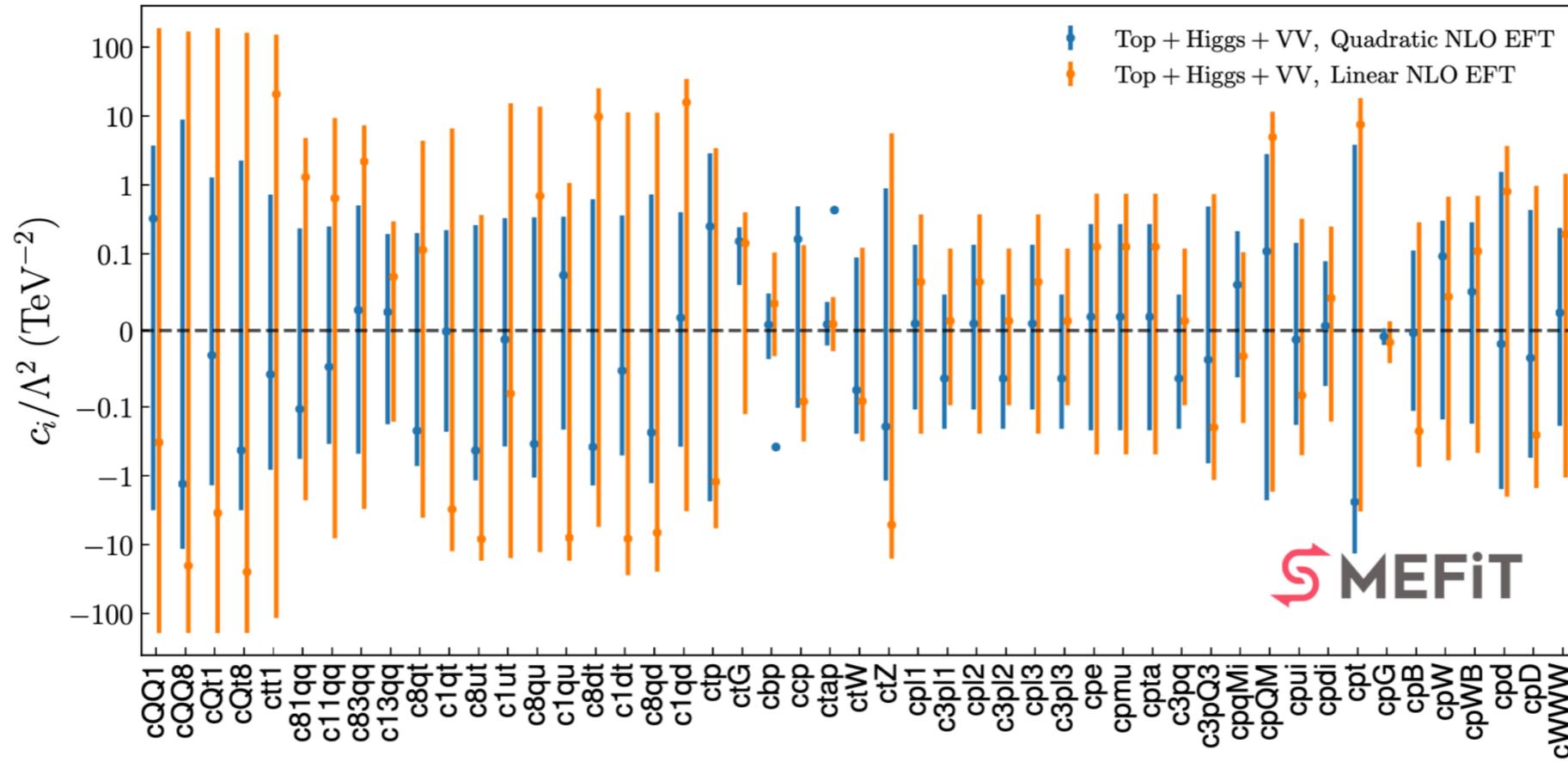


Samples from prior space to locate maximum.  
No need for optimisers.  
Construct posterior distribution.

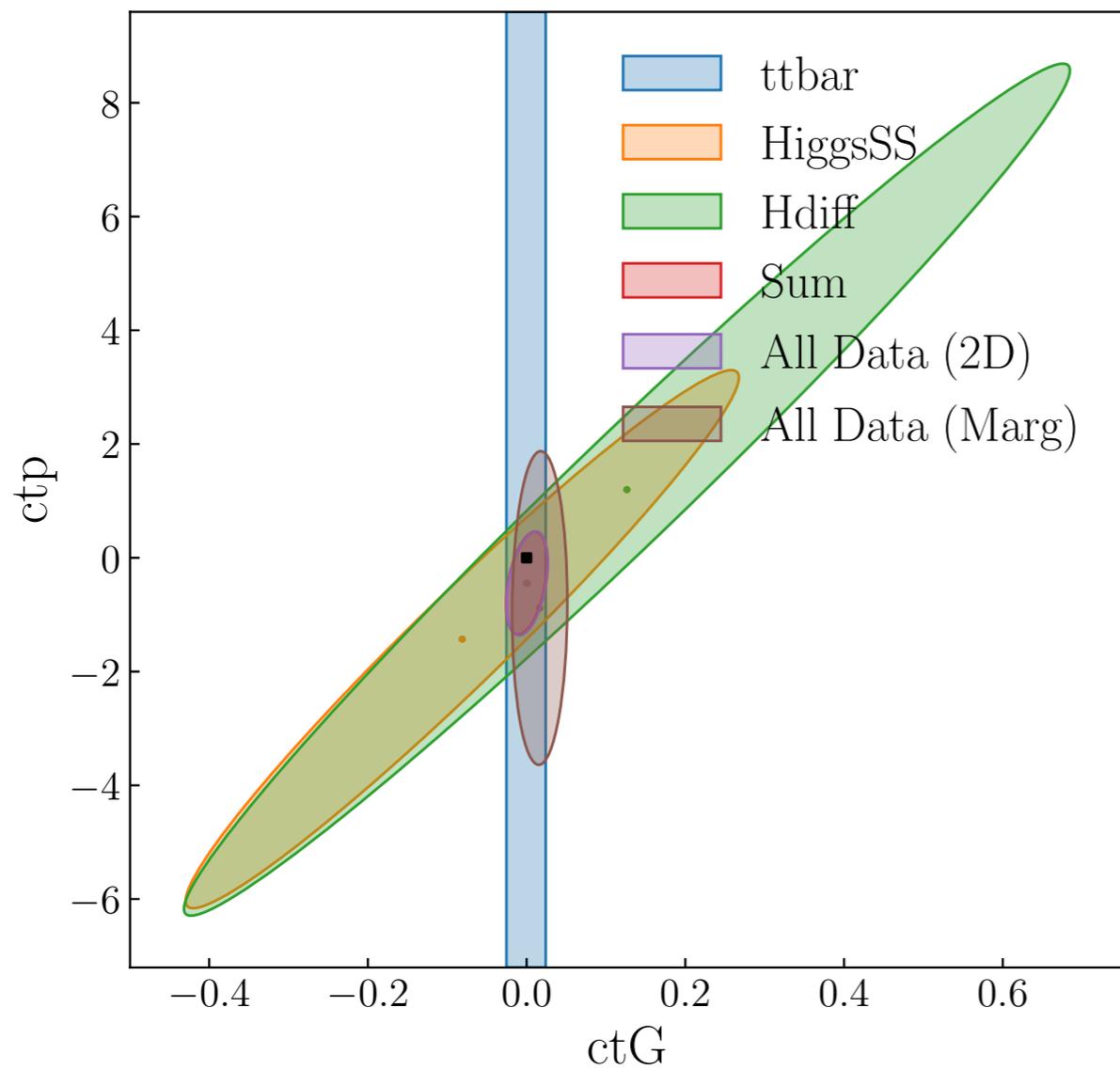
MCfit: generate MC replicas to construct probability distribution in



Construct posterior distribution.



95% Confidence Level Bounds



95% Confidence Level Bounds

