

# Machine Learning for Real-Time Processing of ATLAS Liquid Argon Calorimeter Signals with FPGAs

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on behalf of the ATLAS Liquid Argon Calorimeter Group



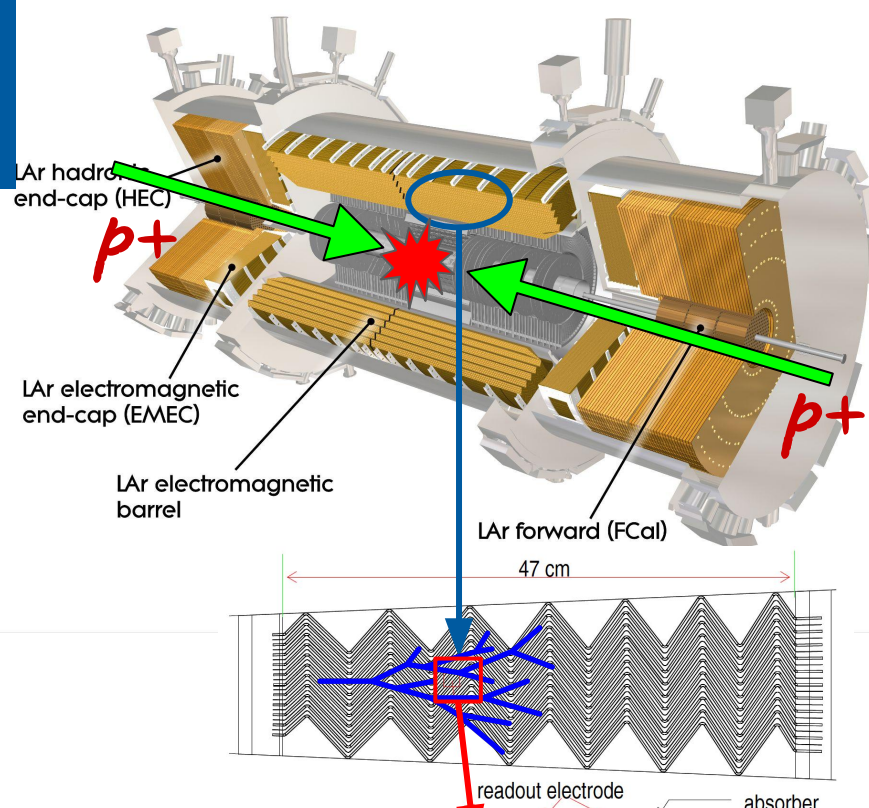
**L..EARNING To DISCOVER**

Institut Pascal, Université Paris-Saclay  
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Orsay

# The Liquid Argon Calorimeter:

A crucial component of the **ATLAS** detector

- $\sim 160 \text{ fb}^{-1}$  p-p collision data reconstructed with high quality and precision
- Designed to measure the **time, position, and energy** deposited by **electrons and photons**, and in addition, **hadrons** in the end-cap region
- $\sim 180\text{K}$  readout channels - Lead, copper, and tungsten as absorbers, cryogenically cooled liquid argon as active material



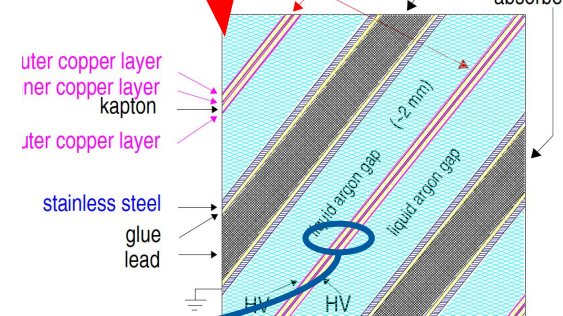
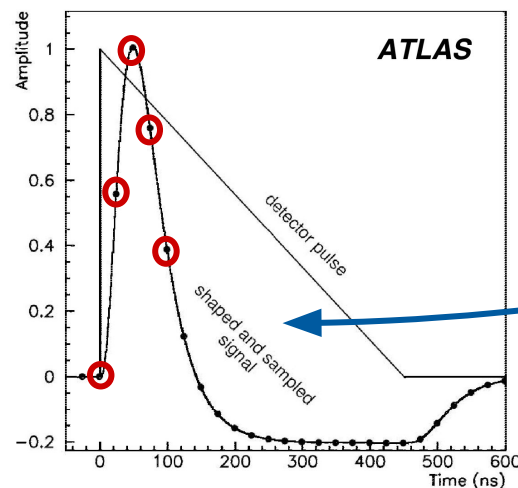
## Energy from Optimal-Filter (OF)

$$E(t) = \sum_{i=t}^{t+n} a_i \cdot s_i$$

n = 5 in this talk

Pulse Samples

Pre-set coefficients (fit of the peak)

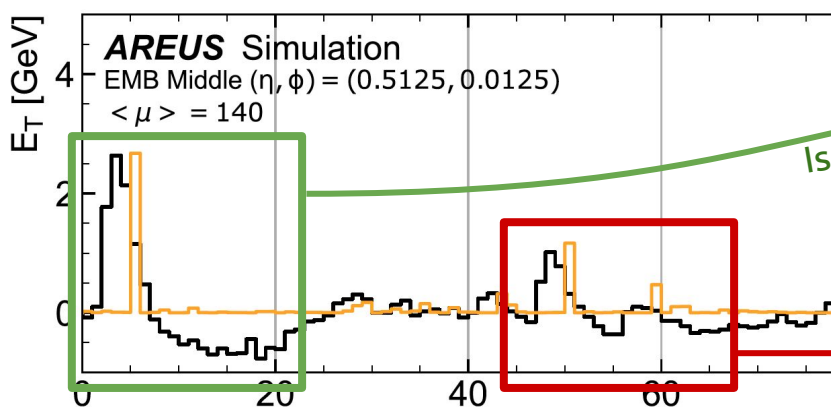


Sampled at 40 MHz

# Towards HL-LHC

The high luminosity phase of the LHC (**HL-LHC**) will produce **140-200** simultaneous p-p interactions (pile-up), compared to the current value **~40**

Legacy algorithms cannot compensate for past events affecting the present



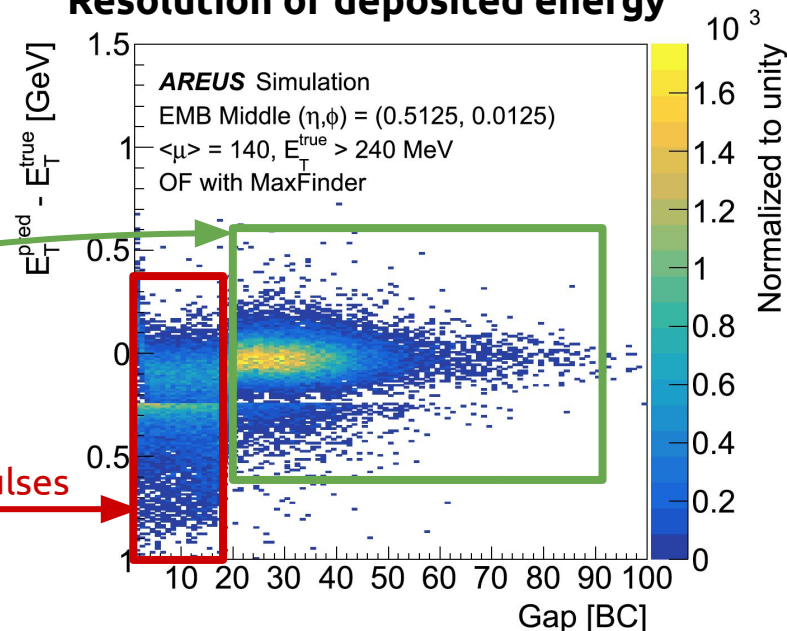
Energy deposits **continuously** sampled and digitized at 40 MHz :

⇒ requires peak finder/trigger (to select the correct BCIDs)

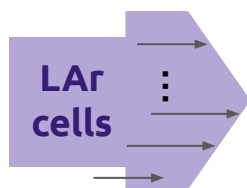
**Real-time energies for triggers :**

⇒ requires compact algorithms on high-end FPGAs

## Resolution of deposited energy



Upgrade of readout electronic chain for AI algorithms



## New off-detector electronics on the backend board: LAr Signal Processor (LASP)

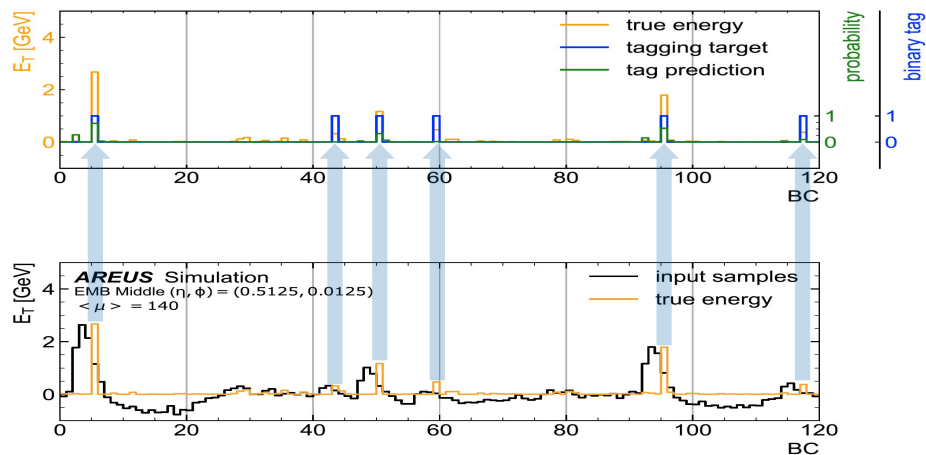
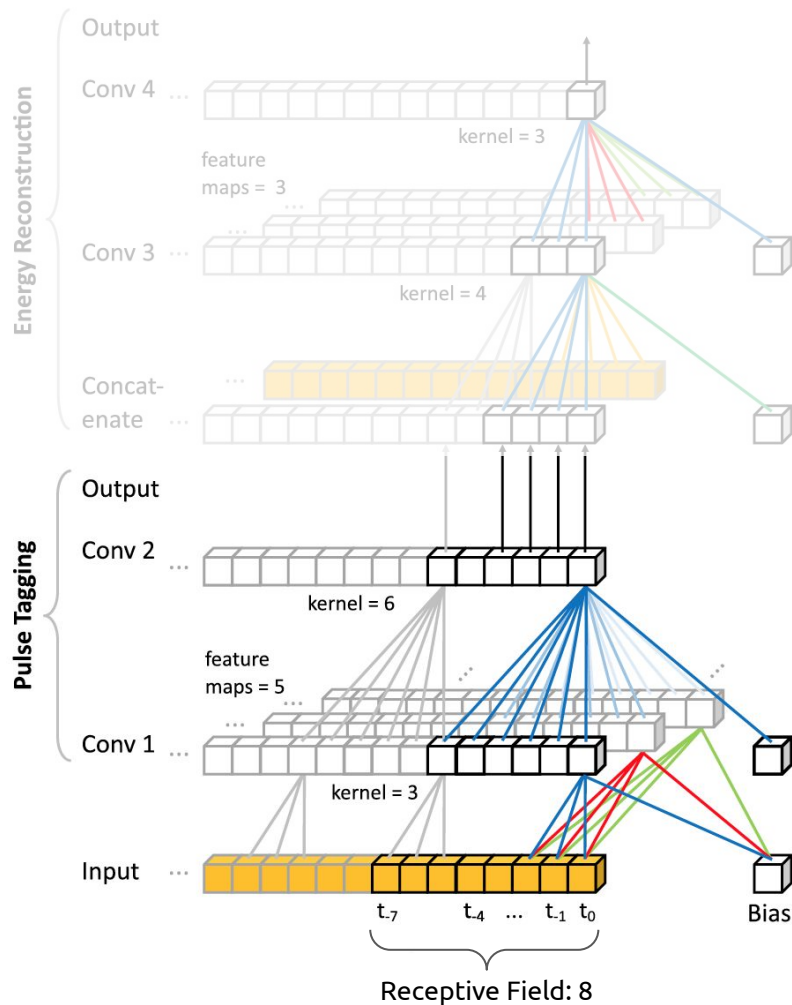
- Two Intel Stratix 10 FPGAs
- ~Tb/s (~500 channels)
- ~200 boards

$E_{\text{reconstruct}}$

# CNN: pulse tagging

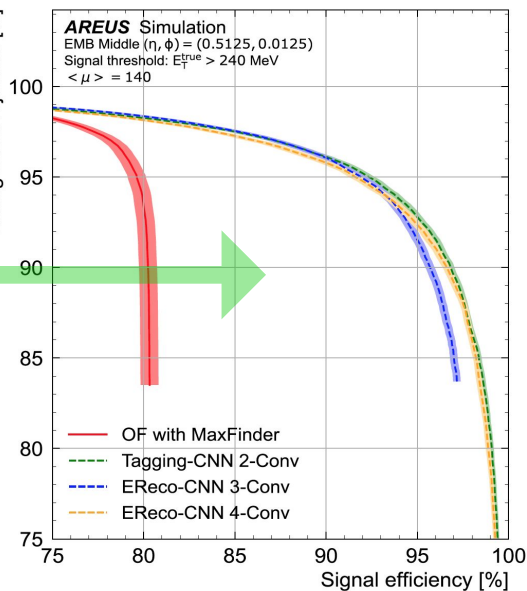
## CNN for pulse tagging:

Trained to detect energy deposits  $3\sigma$  above noise (240 MeV) using pulse samples for 8 bunch crossings



Efficiency to reject BCs  
with energy deposits <  
240 MeV

significant gain in  
efficiency with CNN  
tagger with respect  
to OF with  
MaxFinder

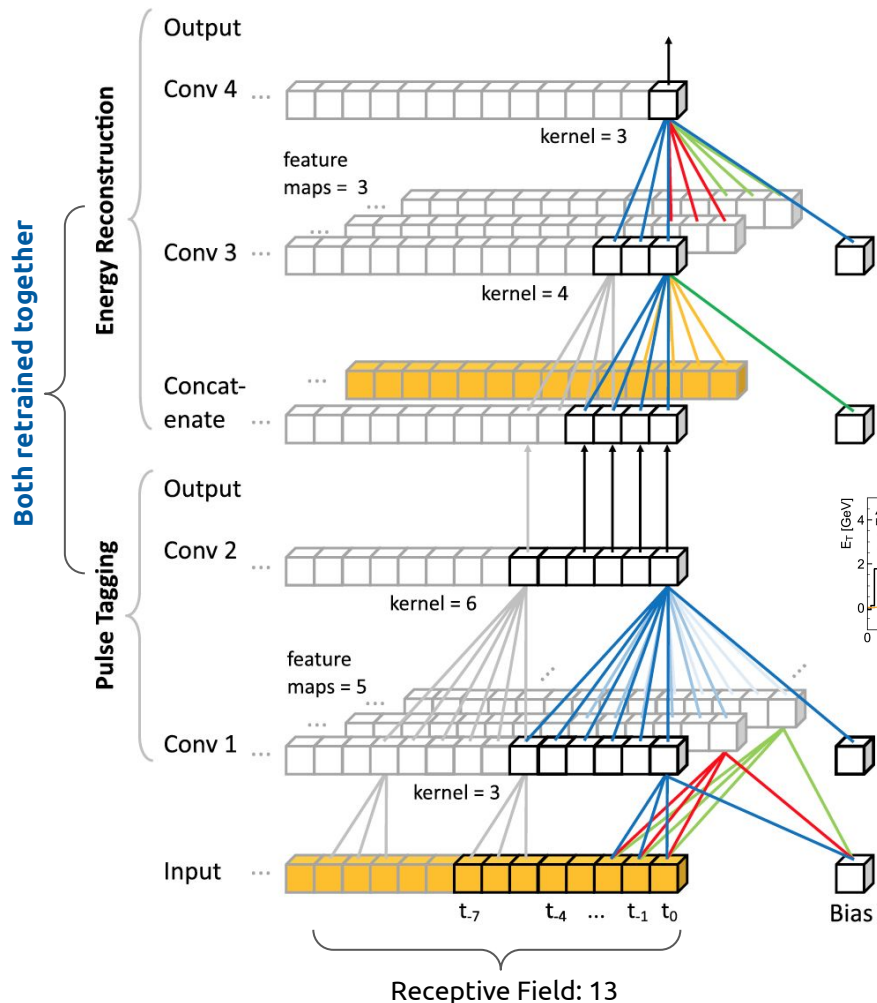


Efficiency to select BCs  
with energy deposits >  
240 MeV

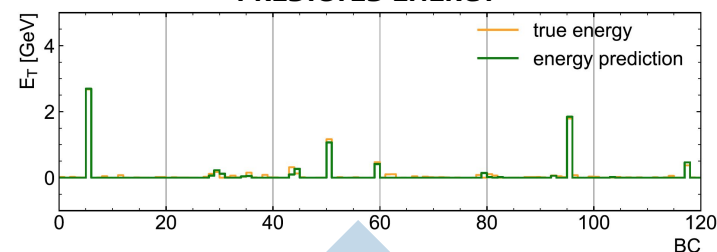
# CNN: Energy inference

## CNN for energy reconstruction:

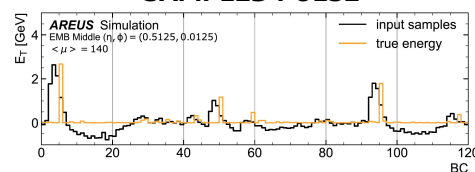
Energy reconstruction layers are added to the tagging layers and retrained together



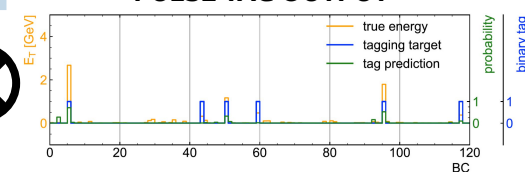
### PREDICTED ENERGY



### SAMPLED PULSE



### PULSE TAG OUTPUT



#### 4-Conv CNN

- 2 layers for energy reconstruction
- Receptive field: 13 BC
- 88 parameters in total

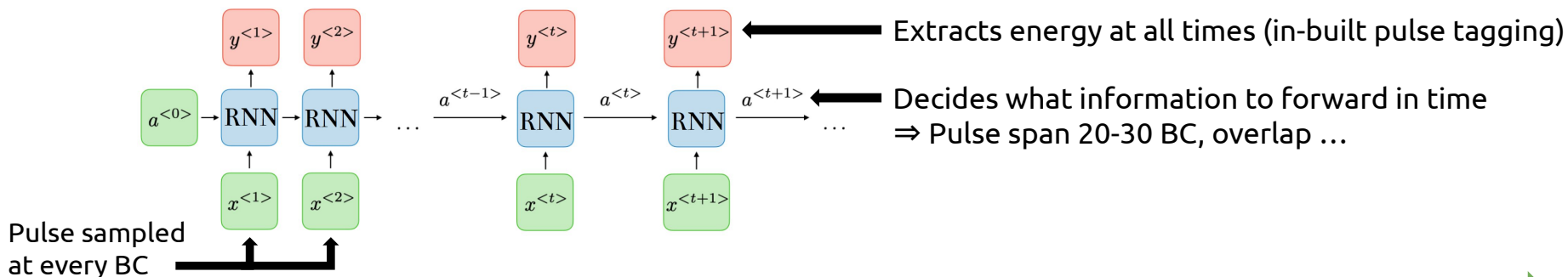
#### 3-Conv CNN

- 1 layer for energy reconstruction
- Receptive field: 28 BC
- 94 parameters in total



# Recurrent Neural Networks

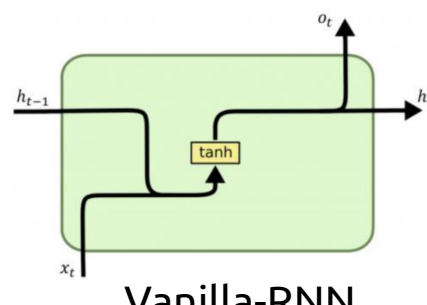
Designed for handling sequential data, RNNs consist of internal neural networks that process new input combined with the past processed state



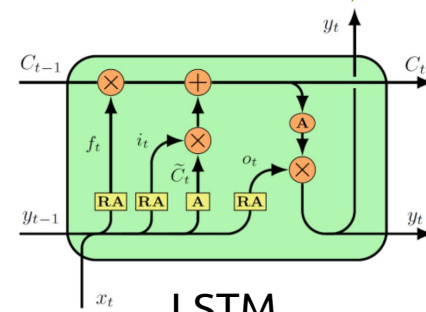
Better performance, more stability in time

## Two RNN internal architectures explored:

- Optimised for smaller number of parameters
- Long Short-Term Memory (LSTM) - 10 internal dimensions
- Vanilla-RNN - 8 internal dimensions



(89 parameters)

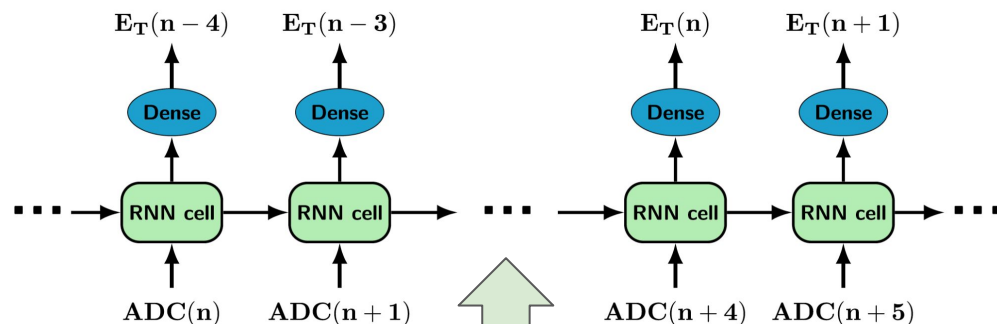
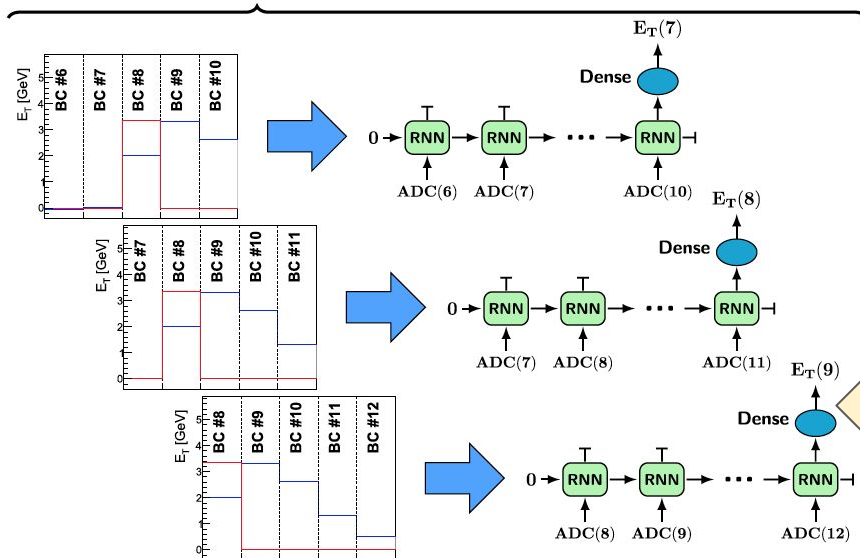
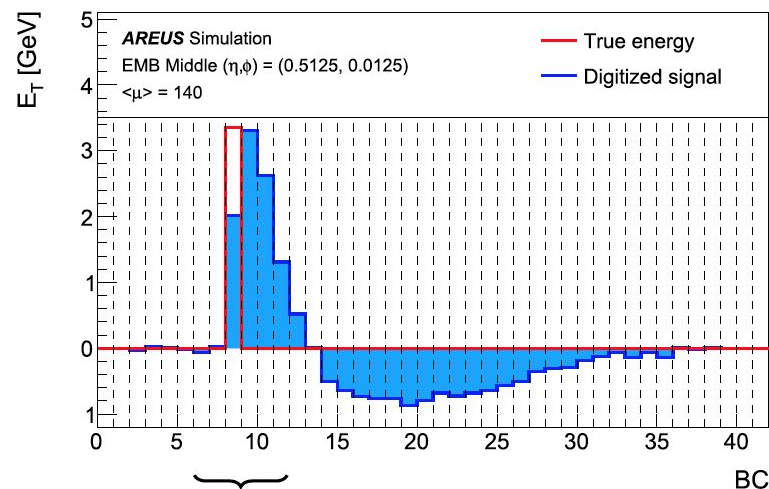


LSTM

(491 parameters)

Higher complexity, bigger size on hardware

# RNN applications: two methods



## Single Cell Method:

- ✓ Long range correction, full signal is processed in a stream
- ✗ Significant amount of complexity needed to process data in time (LSTM only)

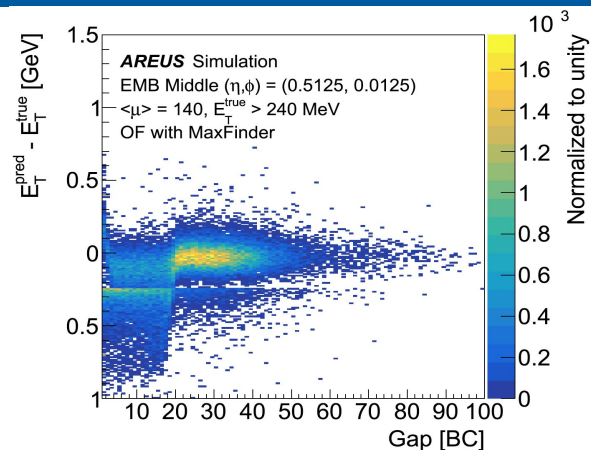
## Sliding window Method (5 BC):

- ✓ Robust against long-lived effects due to unforeseen behaviour of the detector, simpler training
- ✗ Short range correction only (1 BC in the past)

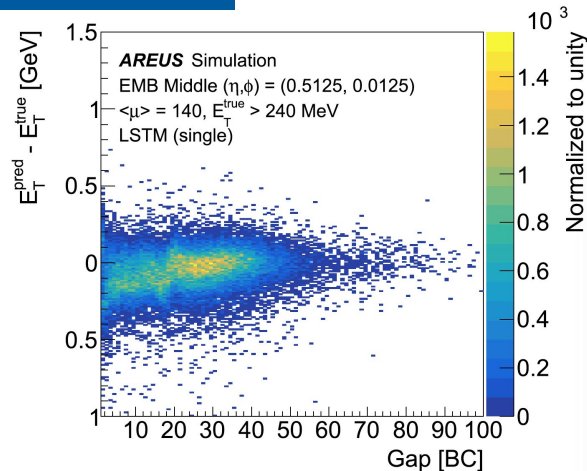
# Performance :

## HL-LHC condition with pileup of 140

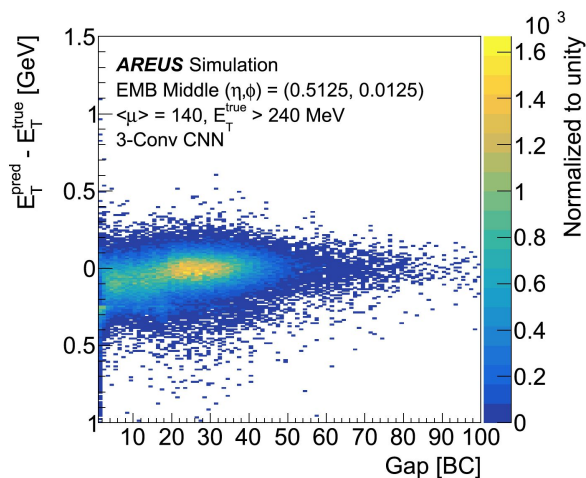
Comparisons on single LAr cell simulations  
(*AREUS* software)



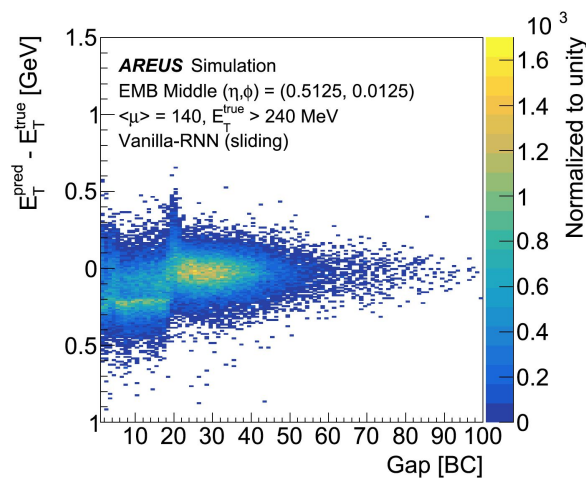
Legacy algorithm:  
5 BC in the peak



LSTM (single cell):  
5 BC in the peak,  $\infty$  in the past



3-conv CNN:  
5 BC in the peak, 8 in the past



Vanilla (sliding window):  
4 BC in the peak, 1 in the past

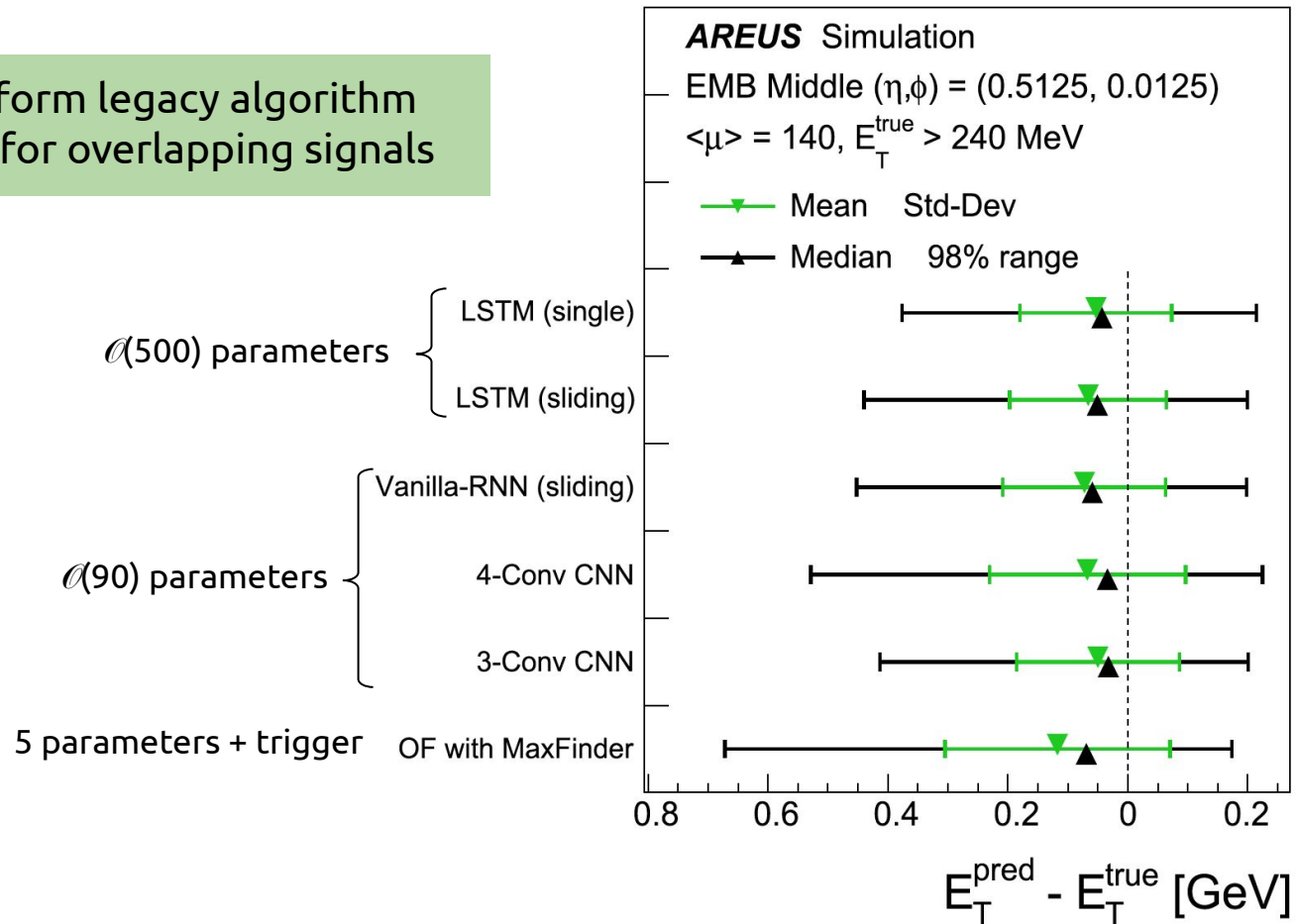
- Legacy algorithm exhibits big distribution tails especially at low gap
- The tails are reduced significantly with all of the new NN methods



# Performance :

## HL-LHC condition with pileup of 140

All methods outperform legacy algorithm  
Clear improvement for overlapping signals



# FPGA Implementations

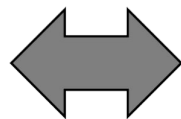
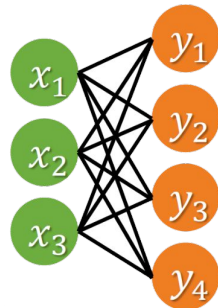
## ANN model in Keras

converter

FPGA firmware

- Set of weights optimised by training
- architecture(layers, dimensions, ...)
- Mathematical operations

- ALM: adaptive logic modules
- DSP: digital signal processors
- Fixed-point arithmetic, LUT for non-linear functions



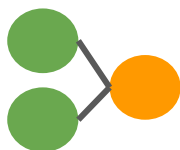
$$\begin{pmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{pmatrix} = A \begin{pmatrix} w_{11} & w_{12} & w_{13} \\ w_{21} & w_{22} & w_{23} \\ w_{31} & w_{32} & w_{33} \\ w_{41} & w_{42} & w_{43} \end{pmatrix} \times \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} + \begin{pmatrix} b_1 \\ b_2 \\ b_3 \\ b_4 \end{pmatrix}$$

Activation function for  
non-linear element operations

# FPGA Implementations: CNNs

The CNNs are transformed into VHDL code with the help of a custom-made VHDL converter:

- Configured directly by Keras model
- Optimised for low latency:
  - CNN architecture mapped to DSP chains
  - Pipelined inputs



**In software:**

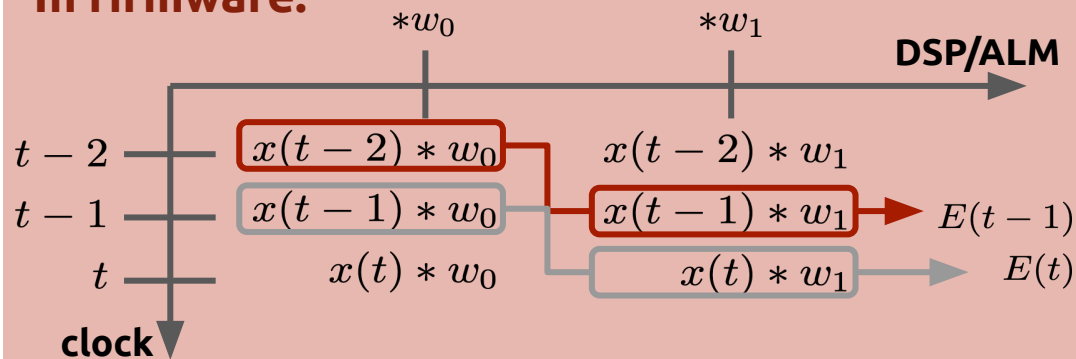
$$E(t-1) = x(t-1) * w_1 + x(t-2) * w_0$$

$$E(t) = x(t) * w_1 + x(t-1) * w_0$$



**Input pipeline :** reuse hardware as soon as available to deal with continuous data flow

**In firmware:**

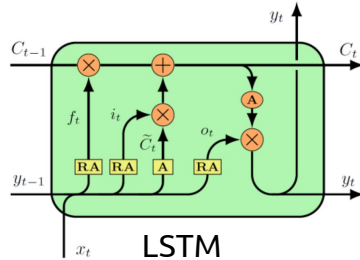
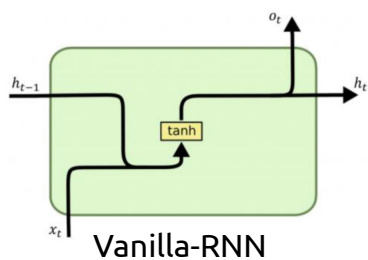


# FPGA Implementations: RNNs

## RNNs implemented in Intel HLS:

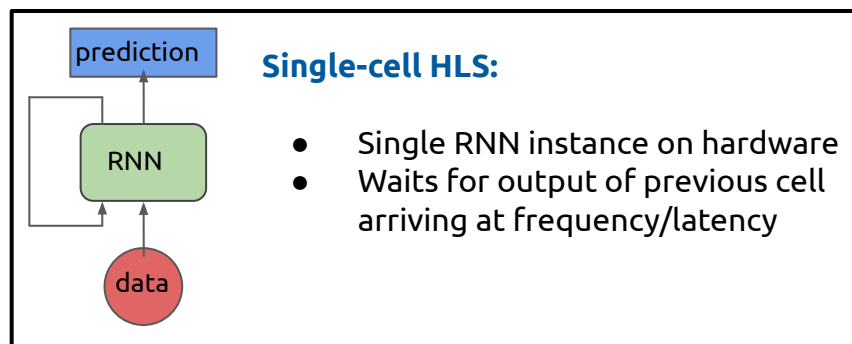
- automated generation of hardware description language from a C++-like algorithmic description of the network
- flexible design automatically optimised to a given hardware target

### RNN cells coded as template functions



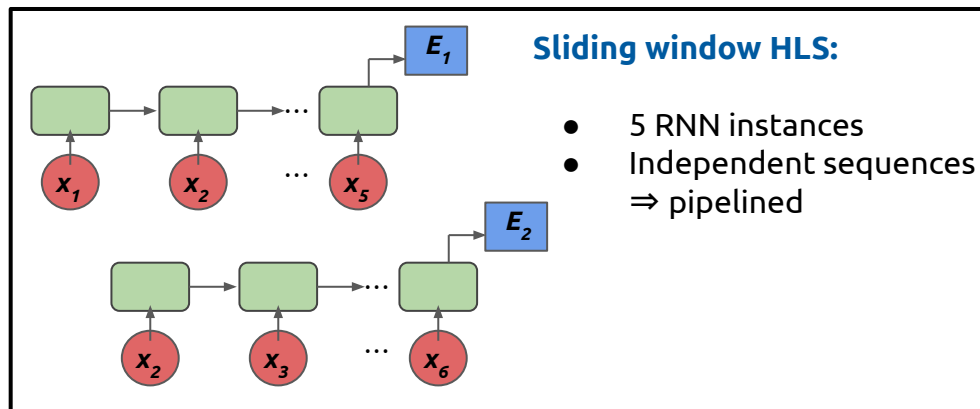
Every loop is “fully unrolled”  
⇒ each of the loop iterations has its own logic resources

### Single-cell HLS:



- Single RNN instance on hardware
- Waits for output of previous cell arriving at frequency/latency

### Sliding window HLS:



- 5 RNN instances
- Independent sequences  
⇒ pipelined

# FPGA Implementations: Results

Compare Intel Stratix 10 simulation (Quartus 20.4 and Questa Sim 10.7c) to Keras Tensorflow :

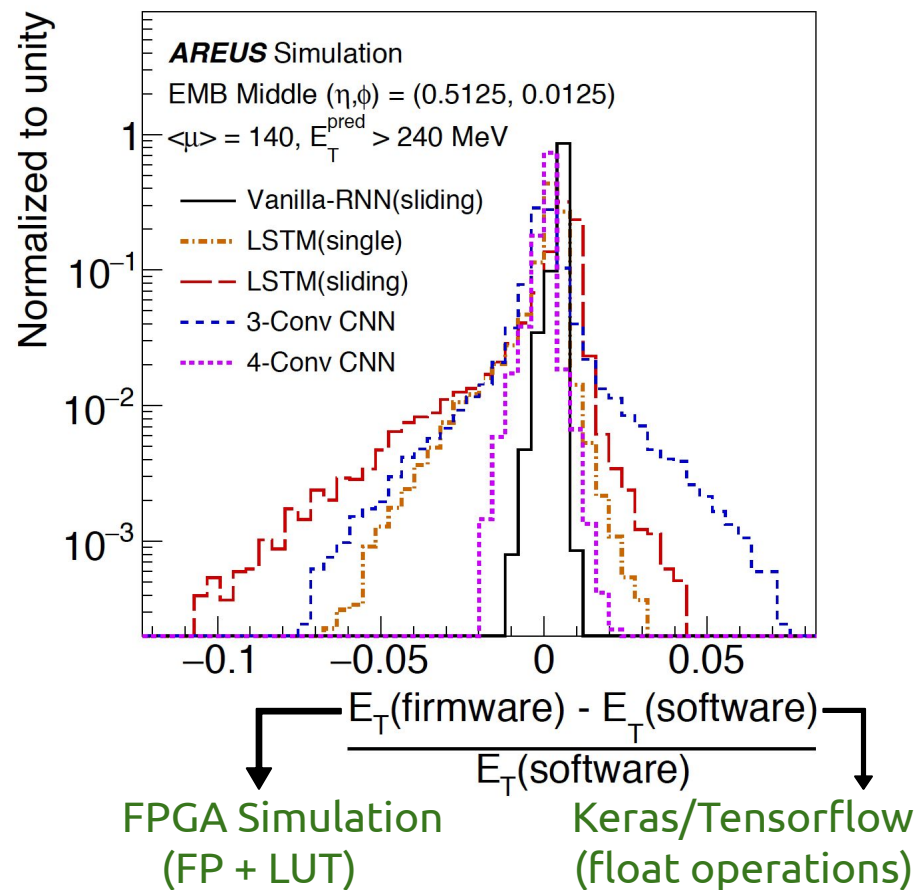
- Pulse samples from AREUS LArCell data

Good compatibility firmware/software  
(RMS 0.6% to 2.2%)

Optimized fixed point and LUT representations:

- minimize resources VS compatibility software/firmware
- 18 bits total (Stratix 10  $\Rightarrow$  18x19 DSP) :
  - 10 decimal for CNNs
  - 13 decimal for RNNs

$\Rightarrow$  Acceptable quantisation noise when using 18 bits (lower than the expected input noise).





# FPGA Implementations: Resource usage

Single LAr cell resource usage estimated from Intel Stratix 10 simulation (Quartus 21.1 and Questa Sim 10.7c)

Network	Frequency	Latency	Resource usage	
	$F_{\max}$ [MHz]	clock(core) cycles	#ALMs	#DSPs
VanillaRNN (sliding)	640	120	5782 (0.6%)	152(2.6%)
3-Conv CNN	344	81	14235(1.5%)	46(0.8%)
4-Conv CNN	334	62	15627(1.7%)	42(0.7%)

- Many readout channels treated by one FPGA  $\Rightarrow$  time-domain multiplexing
- Maximum achievable frequency : 480-600 MHz  $\Rightarrow$  upto 15x multiplexing of 40 MHz input data
- Assuming all available FPGA resources being dedicated to ANN algorithms, 3-Conv CNN and VanillaRNN can reach a value above 384 channels  $\Rightarrow$  can receive data from three FEBs
- Further VHDL and HLS optimisations ongoing to reach even smaller resource usage, shorter latency, and higher clocking frequency

# Conclusion

- HL-LHC will require improving ATLAS LAr energy measurements
  - Two novel methods - CNN and RNN based
- For both CNN/RNN several algorithms are developed:
  - Focused on recovering energy resolution in high pileup environments by using information from past events
    - All methods outperform legacy algorithms in HL-LHC conditions
- FPGA implementation for fast processing:
  - CNN : dedicated VHDL
  - RNN : flexible HLS
    - Good reproduction of Keras results with firmware simulation
    - Optimizations ongoing to reduce resource usage and latency to stay within ATLAS limitations
- CNN/RNN implementation in LAr readout for phase II is challenging, but the preliminary results indicate that it has great potential to improve the energy reconstruction

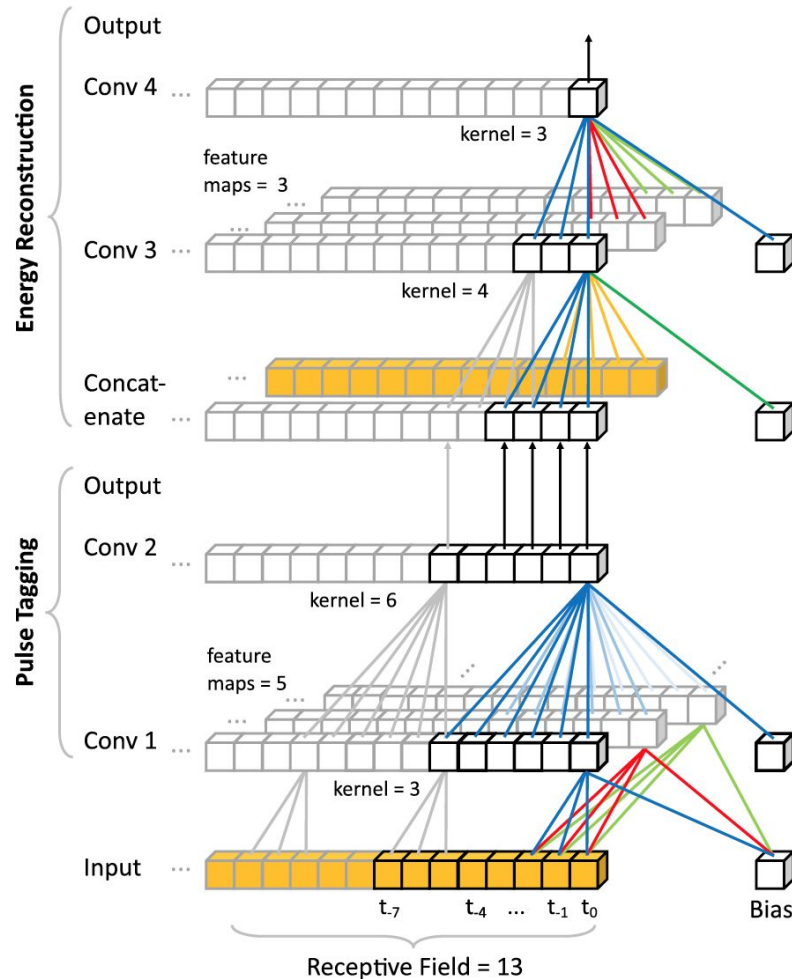
Ref. "Artificial Neural Networks on FPGAs for Real-Time Energy Reconstruction of the ATLAS LAr Calorimeters"  
Aad, G., Berthold, AS., Calvet, T. et al., [\*Comput Softw Big Sci\* 5, 19 \(2021\)](#).

# Backup

# Energy inference with Convolved Neural Networks

1-Dimensional CNN designed with a succession of filters to perform two tasks :

- pulse tagging
- energy reconstruction



## CNN example : shape classification

Apply filters to input data to extract property



feature map:

4 filters (built by training)



Input data

