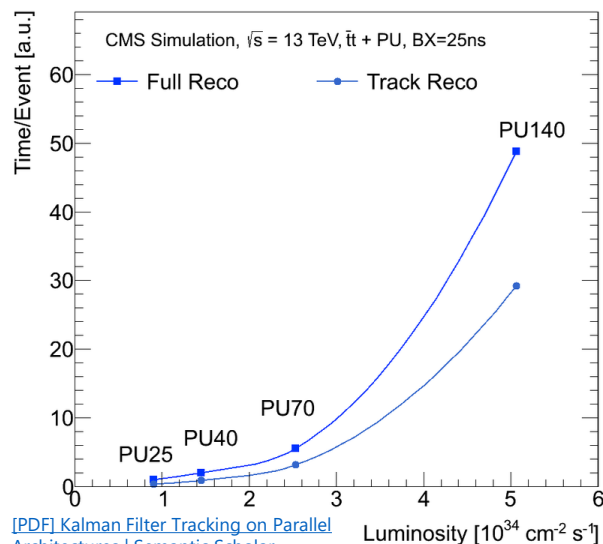


OBJECT CONDENSATION FOR GNN-BASED PARTICLE TRACKING

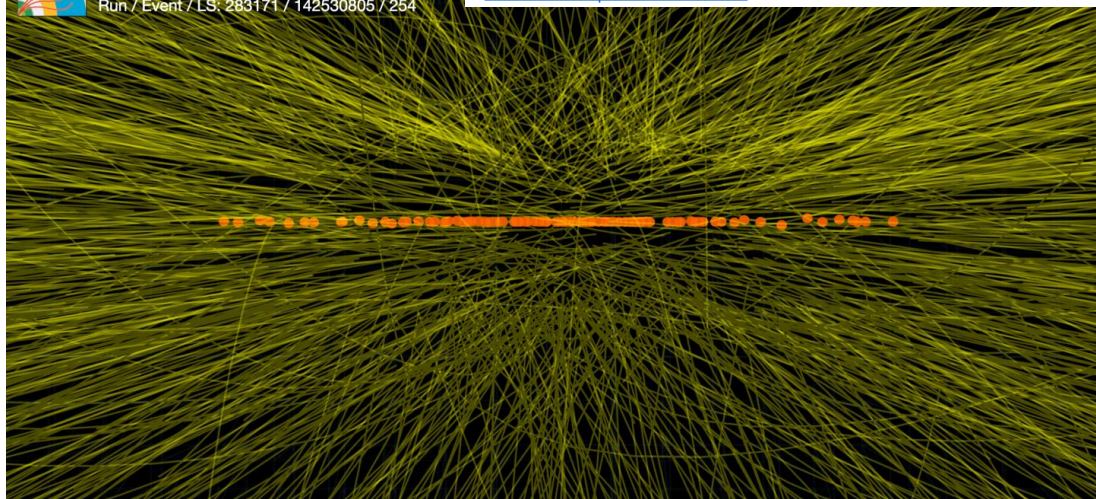
GAGE DEZOORT

04/27/2022

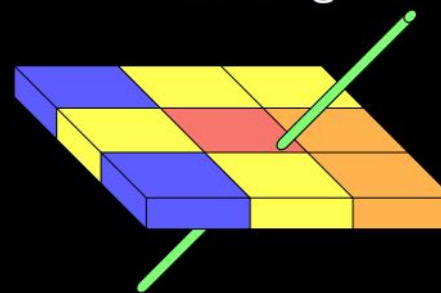
Traditional tracking algorithms scale worse-than-linearly with increasing pileup



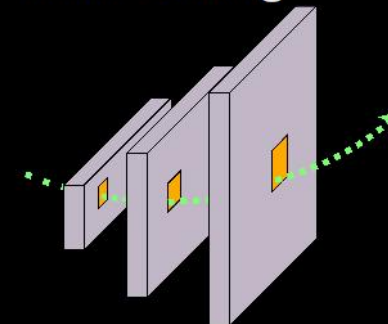
CMS Experiment at the LHC, CERN
Data recorded: 2016-Oct-14 09:56:16.733952 GMT
Run / Event / LS: 283171 / 142530805 / 254



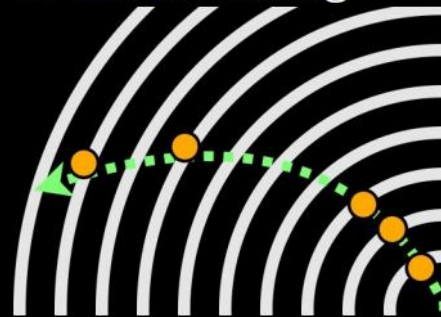
1. Hit clustering



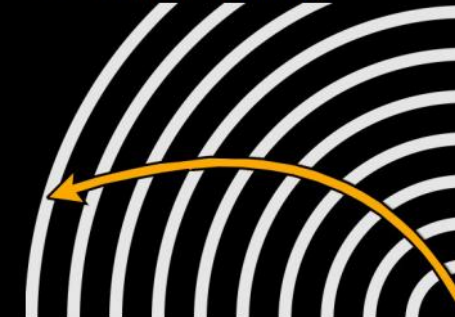
2. Track seeding



3. Track building



4. Track fitting

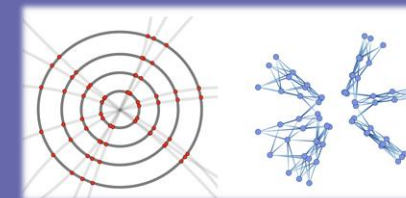


Iterative tracking algorithms are based on the combinatorial Kalman filter (CKF), iteratively extending and fitting tracks from an initial seed

[Connecting the dots: applying deep learning techniques in HEP | EP News \(cern.ch\)](#)

PARTICLE TRACKING

TRADITIONAL TRACKING METHODS

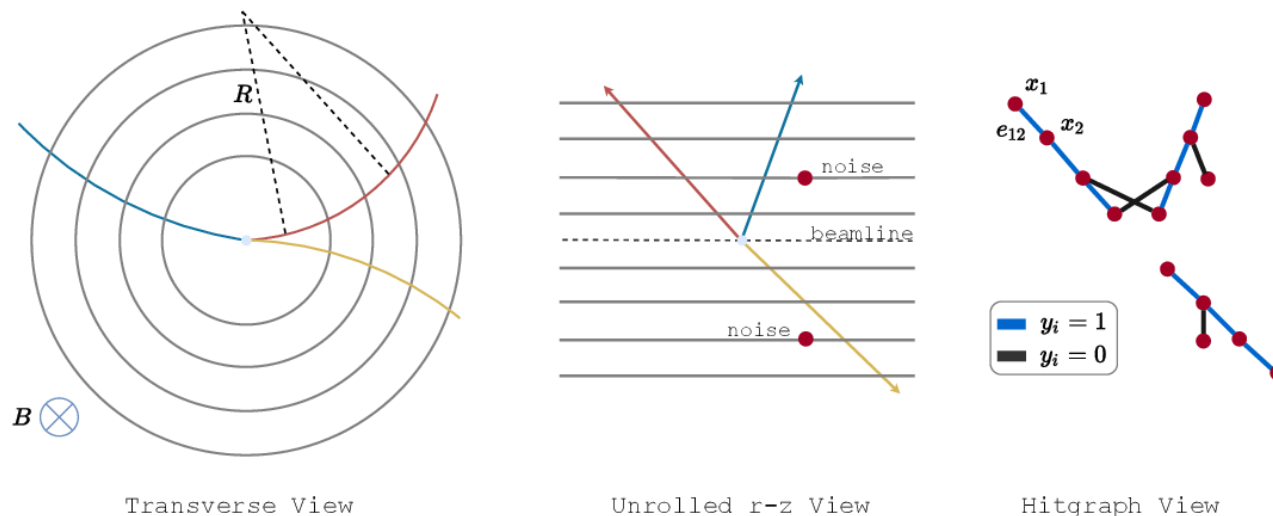


GNN TRACKING

EDGE CLASSIFICATION PARADIGM

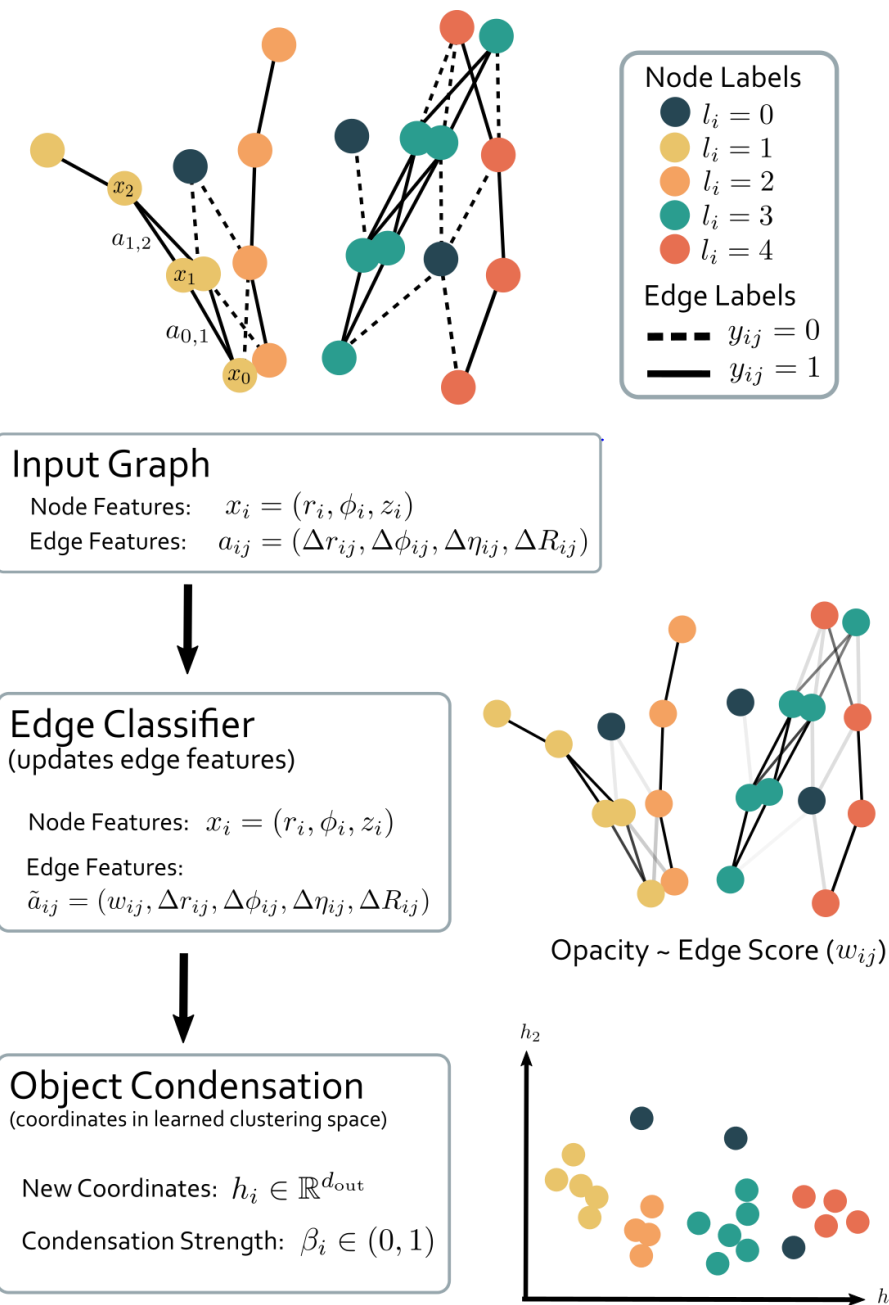
Edge Classification Task

- Draw edges to hypothesize various particle trajectories, train a GNN to classify edges



- Use edge weights to produce tracks (i.e. apply a threshold to produce disjointed subgraphs)
- **Key steps** (general to many GNN workflows)
 - 1) Graph construction from underlying data
 - 2) GNN inference
 - 3) Post-processing of GNN predictions

EDGE CLASSIFICATION / OBJECT CONDENSATION STRATEGY OVERVIEW



GRAPH NEURAL NETWORKS

NEURAL MESSAGE PASSING

Message Passing (MPNN) Layers:

Framework for equivariant graph updates

At each layer k , compute messages in each node's neighborhood:

$$\mathbf{m}_{uv}^{(k)} = \psi^{(k)} \left(\mathbf{h}_u^{(k-1)}, \mathbf{h}_v^{(k-1)}, \mathbf{e}_{uv}^{(k-1)} \right)$$

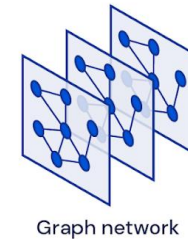
Aggregate messages in a permutation-invariant way:

$$\mathbf{a}_u^{(k)} = \bigoplus_{v \in N(u)} \mathbf{m}_{uv}^{(k)}$$

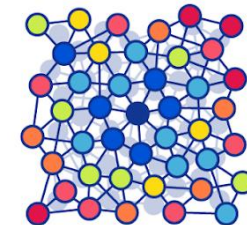
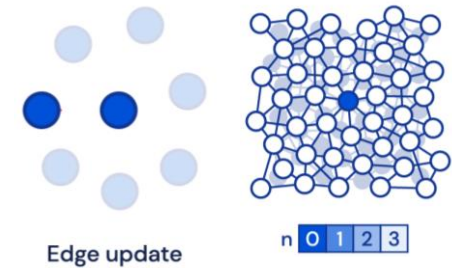
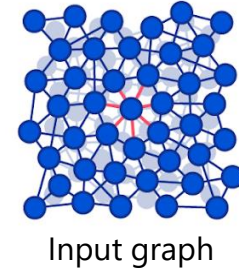
Update the node's state based on the messages it received:

$$\mathbf{h}_u^{(k)} = \phi^{(k)} \left(\mathbf{h}_u^{(k-1)}, \mathbf{a}_u^{(k)} \right)$$

MLP



GNN comprised of multiple message passing layers



GRAPH NEURAL NETWORKS

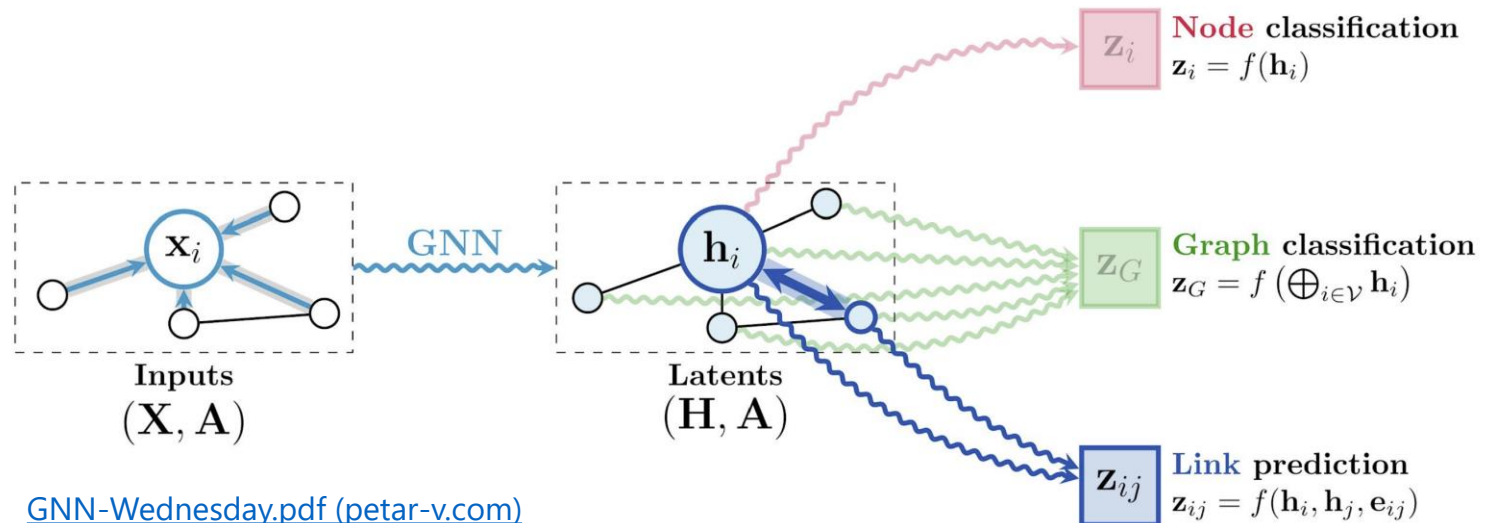
REPEATED MESSAGE PASSING

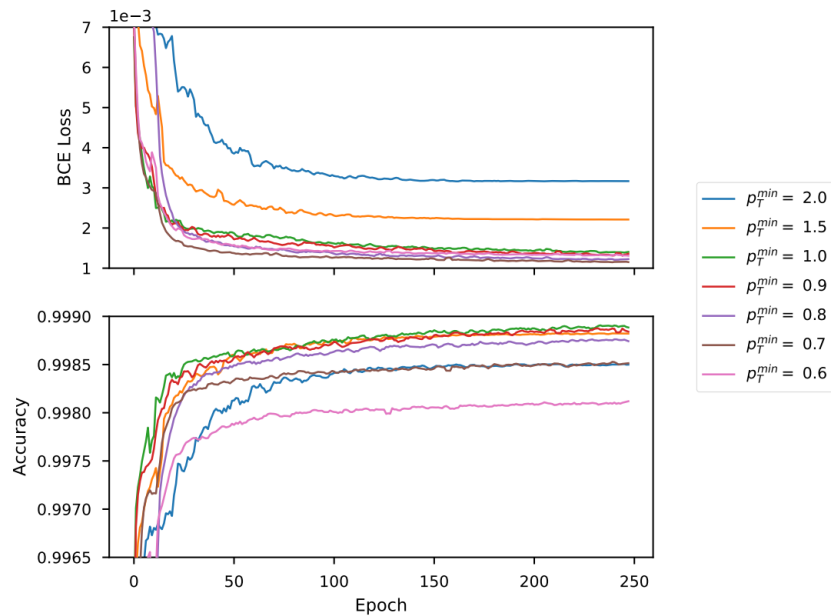
Generic MPNN Layers:

$$\mathbf{h}_u^{(k)} = \phi^{(k)} \left[\mathbf{h}_u^{(k-1)}, \bigoplus_{v \in N(u)} \psi^{(k)} \left(\mathbf{h}_u^{(k-1)}, \mathbf{h}_v^{(k-1)}, \mathbf{e}_{uv}^{(k-1)} \right) \right]$$

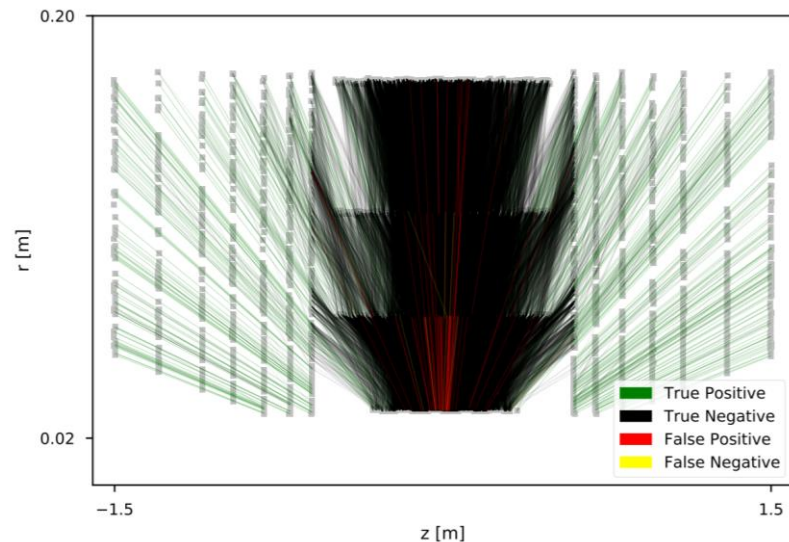
Node Updates: collecting info from each node's k -hop neighborhood at the k^{th} layer

Outputs: node-level, edge-level, or graph-level predictions





loss/accuracy training curves on a range of graph sizes



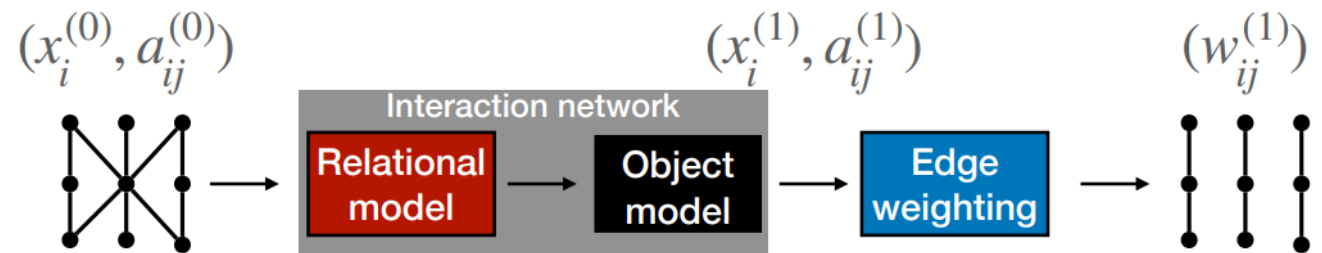
edge classification performance on a single graph

Interaction Networks:

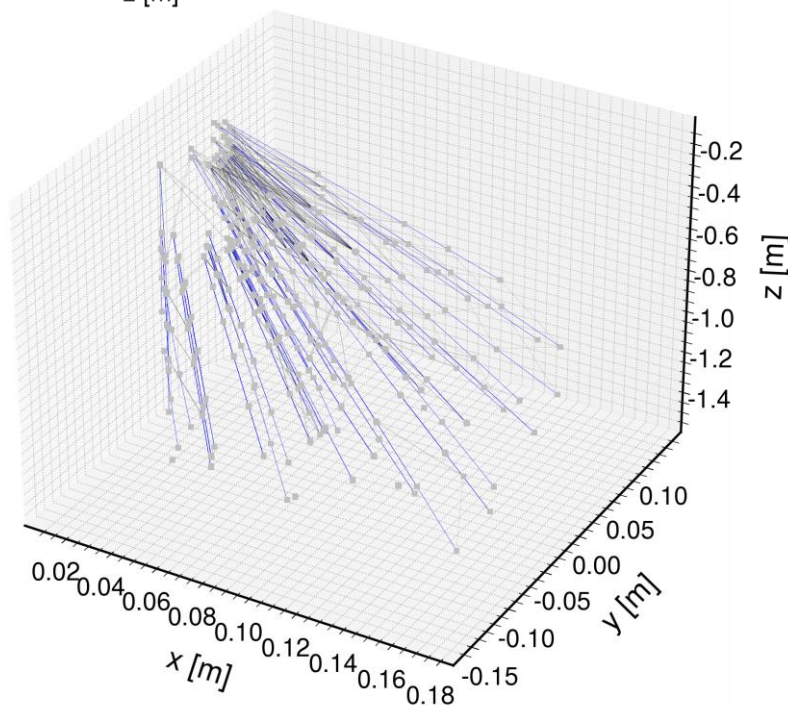
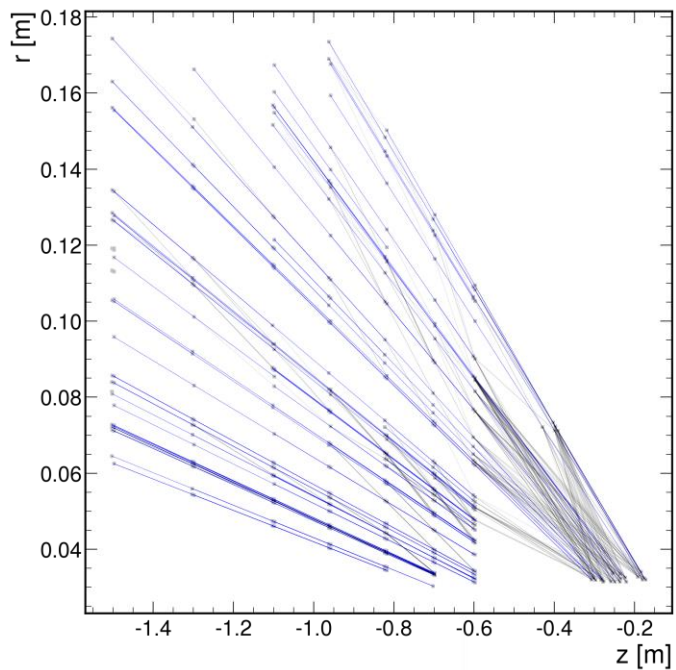
[\[1612.00222\] Interaction Networks for Learning about Objects, Relations and Physics \(arxiv.org\)](#)

Even a single interaction network layer (depth-1 GNN) can achieve excellent edge classification accuracy

- **(Edge Block)** compute an interaction between two entities
- **(Node Block)** use the interaction to update the state of the receiving node



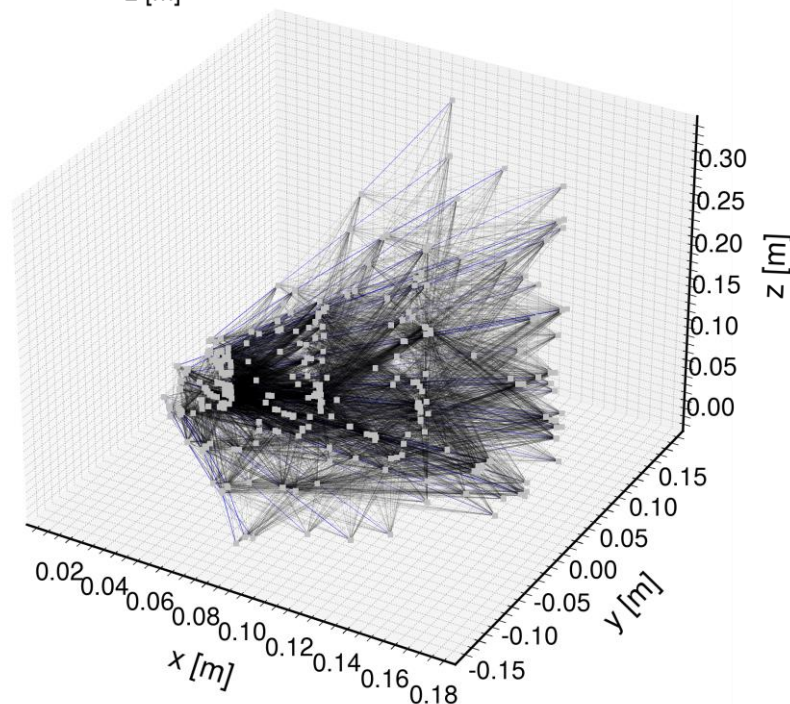
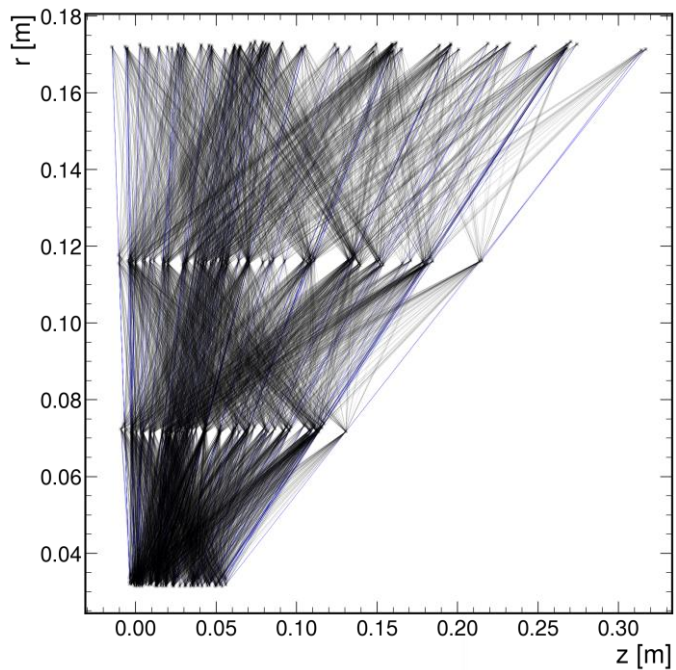
simple architecture explored in [2103.16701.pdf \(arxiv.org\)](#)



GRAPH CONSTRUCTION

EACH EVENT BROKEN (8X8) PHI-ETA SECTORS

- Truth cuts
 - $track\ p_T > 1.0\ GeV$
 - *remove_noise: true*
- Geometric edge selections:
 - $\phi_{slope} < 0.007$
 - $z_0 < 350\ mm$
 - $n_{\phi_sectors}: 8$
 - $n_{\eta_sectors}: 8$
 - ϕ sector overlap: 0.08
 - η sector overlap: 0.125



GRAPH CONSTRUCTION

EACH EVENT BROKEN (8X8) PHI-ETA SECTORS

- Truth cuts
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 - $n_{\eta_sectors}: 8$
 - $\phi\ sector\ overlap: 0.08$
 - $\eta\ sector\ overlap: 0.125$

Edge Classifier (updates edge features)

Node Features: $x_i = (r_i, \phi_i, z_i)$

Edge Features:

$\tilde{a}_{ij} = (w_{ij}, \Delta r_{ij}, \Delta \phi_{ij}, \Delta \eta_{ij}, \Delta R_{ij})$

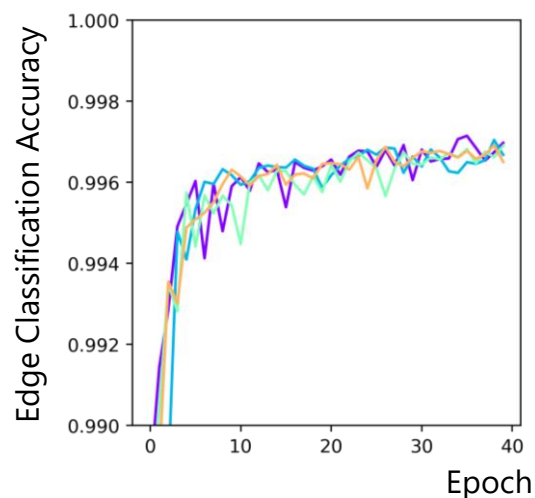


Opacity ~ Edge Score (w_{ij})

- BCE as usual to learn optimal edge weights

$$\mathcal{L}_w(y_j, w_j) = - \sum_{j=1}^{|\mathcal{E}|} (y_j \log w_j + (1 - y_j) \log(1 - w_j))$$

Edge weights converge
to high accuracy at
intermediate stages of
the GNN



EDGE CLASSIFICATION BINARY CROSS ENTROPY

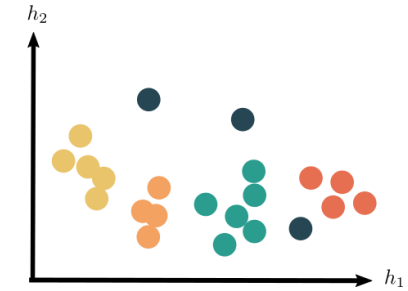
OBJECT CONDENSATION

POTENTIAL LOSS +
BACKGROUND SUPPRESSION

Object Condensation
(coordinates in learned clustering space)

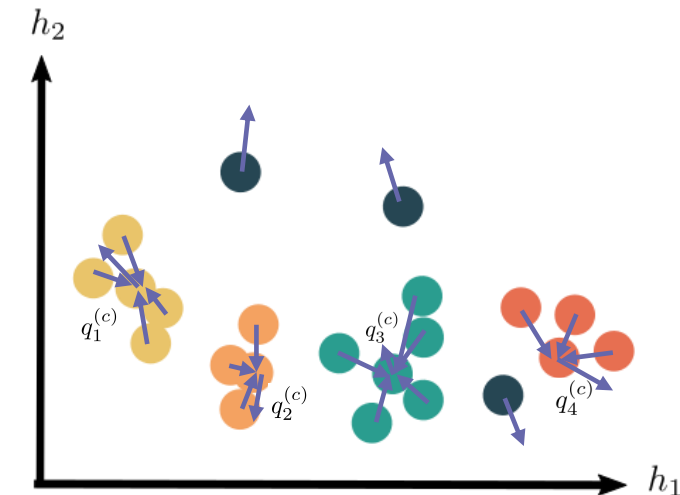
New Coordinates: $h_i \in \mathbb{R}^{d_{\text{out}}}$

Condensation Strength: $\beta_i \in (0, 1)$



- **Predict** condensation “likelihood” ($\beta_i \in (0, 1)$) and learned clustering coordinates ($h_i \in \mathbb{R}^{d_h}$)
- At truth level, use charge likelihoods to find “most likely” condensation point per track
- Attract hits belonging to the same track, repulse others (including noise)

Nodes are attracted to their particle's condensation point and repulsed from other particles'



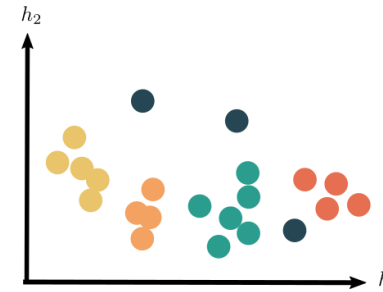
OBJECT CONDENSATION TOTAL LOSS

Object Condensation

(coordinates in learned clustering space)

New Coordinates: $h_i \in \mathbb{R}^{d_{\text{out}}}$

Condensation Strength: $\beta_i \in (0, 1)$



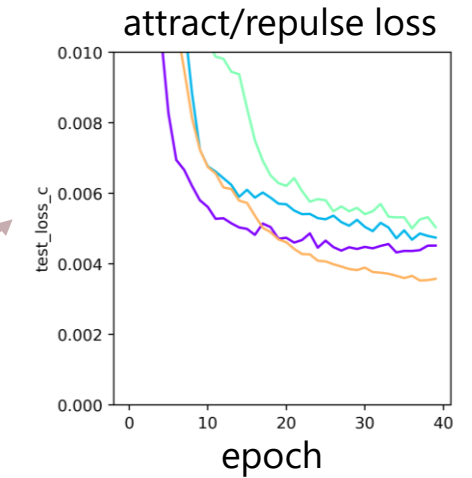
Edge Classification

$$\mathcal{L}_w(y_j, w_j) = - \sum_{j=1}^{|\mathcal{E}|} (y_j \log w_j + (1 - y_j) \log(1 - w_j))$$

Attraction/Repulsion

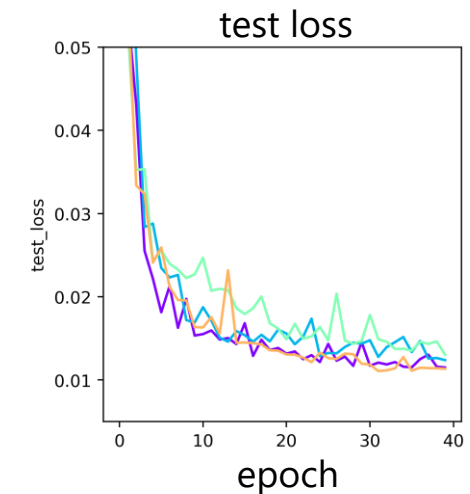
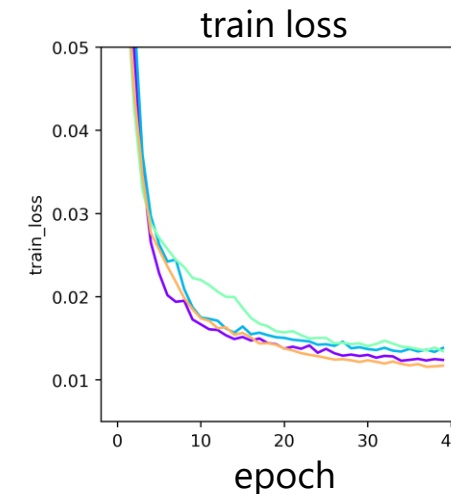
$$\mathcal{L}_V = \frac{1}{|\mathcal{V}|} \sum_{i=1}^{|\mathcal{V}|} q_i \sum_{k=1}^K \left(\mathbb{1}_{(l_i=k)} V_k^{\text{attract}}(h_i) + (1 - \mathbb{1}_{(l_i=k)}) V_k^{\text{repulse}}(h_i) \right)$$

(quadratic) (hinge)

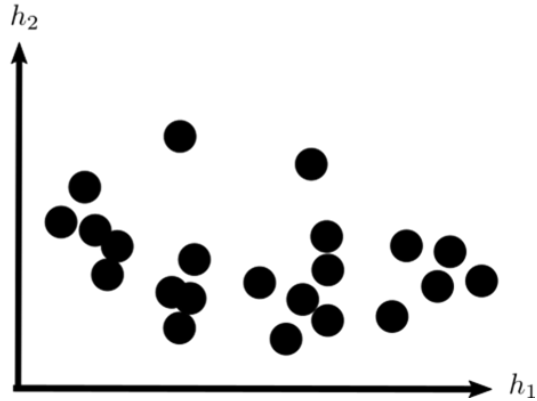


Background Suppression \rightarrow scale
by 2.5×10^{-3}

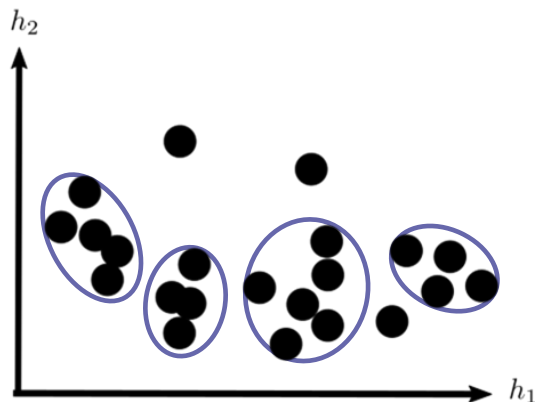
$$\mathcal{L}_\beta = \frac{1}{K} \sum_k (1 - \beta_k^{(c)}) + s_B \frac{\sum_{i=1}^{|\mathcal{V}|} \beta_i \mathbb{1}_{\{l_i=0\}}}{\sum_{i=1}^{|\mathcal{V}|} \mathbb{1}_{\{l_i=0\}}}$$



- GNN output is the set of hit coordinates in the learned (h_1, h_2) space:



- Need to run DBSCAN to generate cluster labels (clustering parameters are optimized per on graph sector):



POSTPROCESSING
DBSCAN → TRACK FINDING

- Perfect Match: fraction of clusters containing every hit associated to a particle and no others



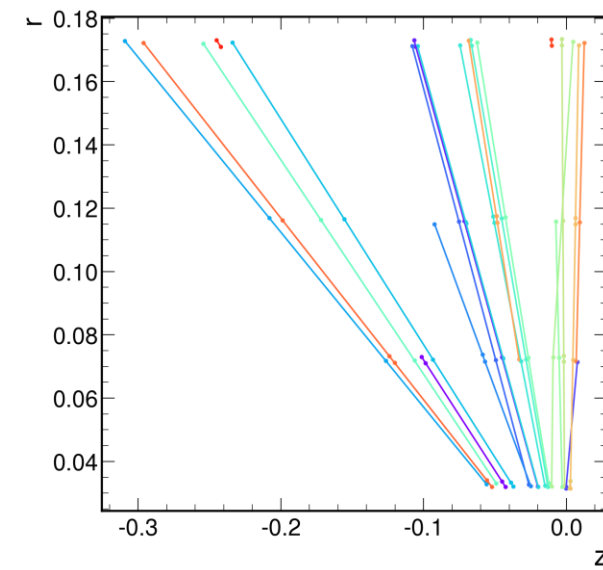
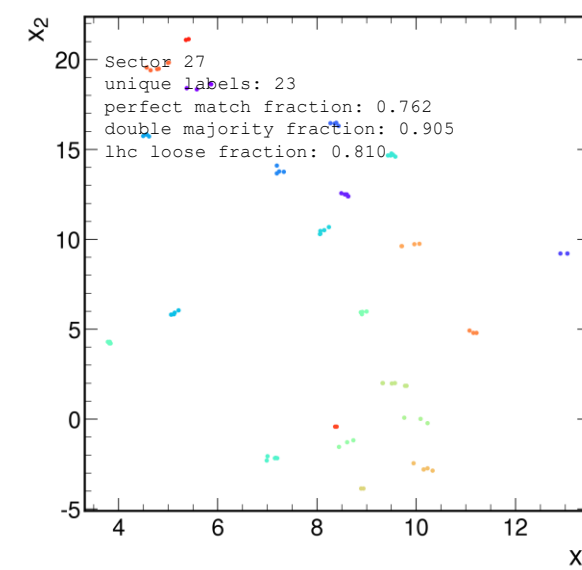
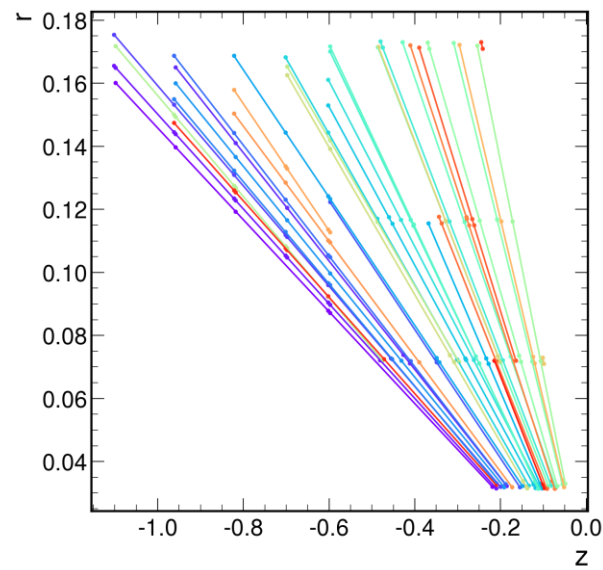
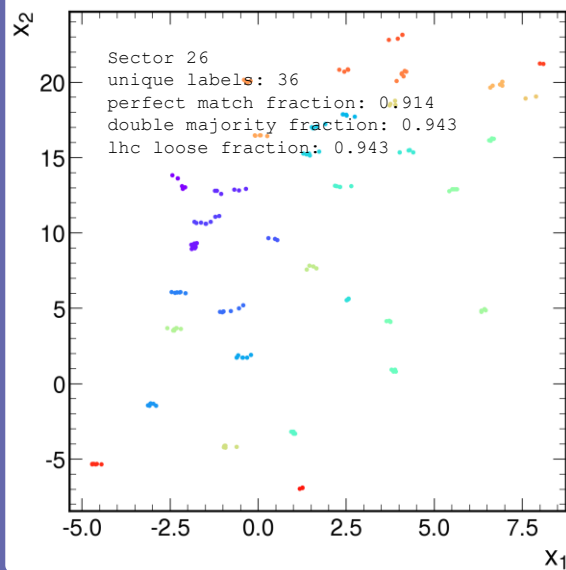
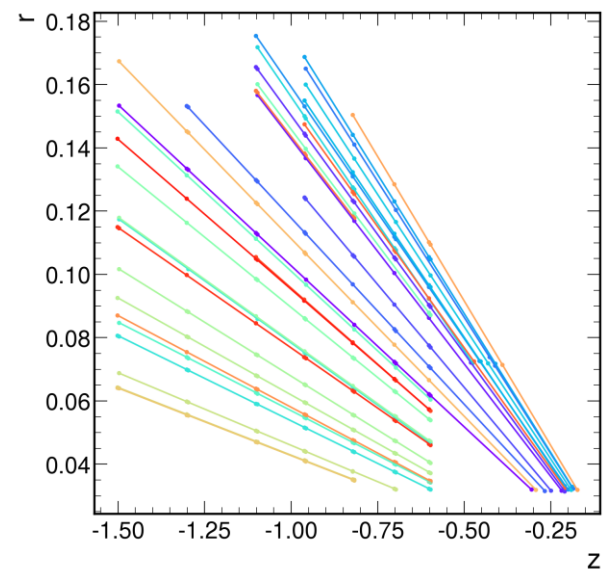
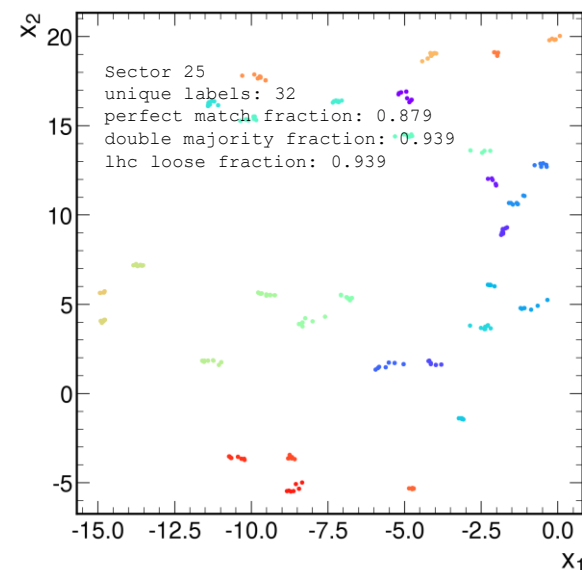
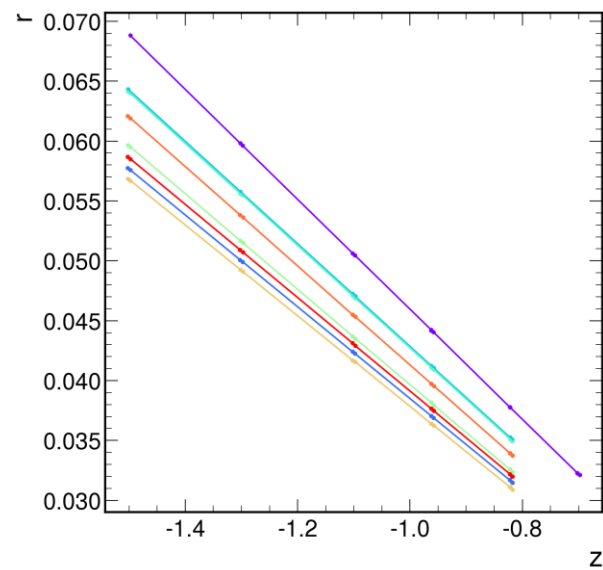
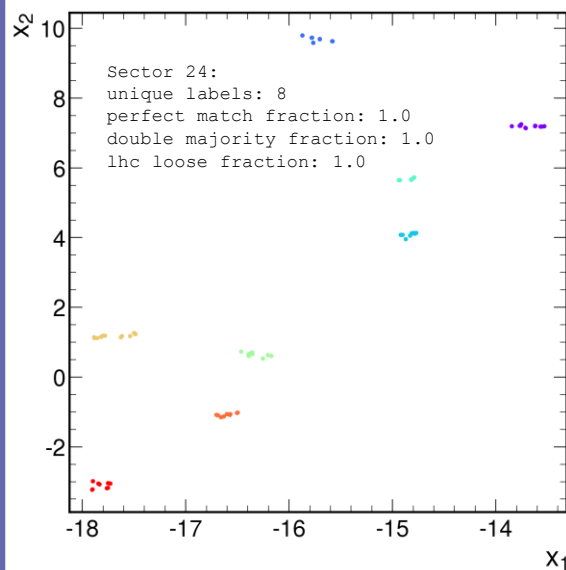
- Double Majority: fraction of clusters comprised of $>50\%$ of same-particle hits and containing $>50\%$ of that particle's hits



- LHC Loose Match: fraction of clusters comprised of $>75\%$ same-particle hits



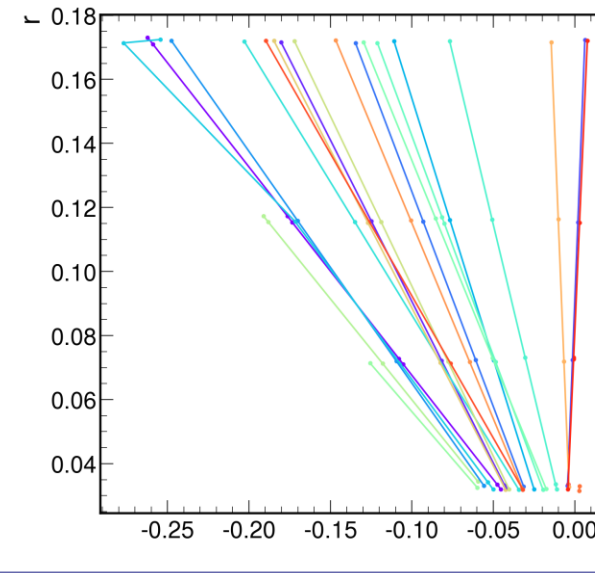
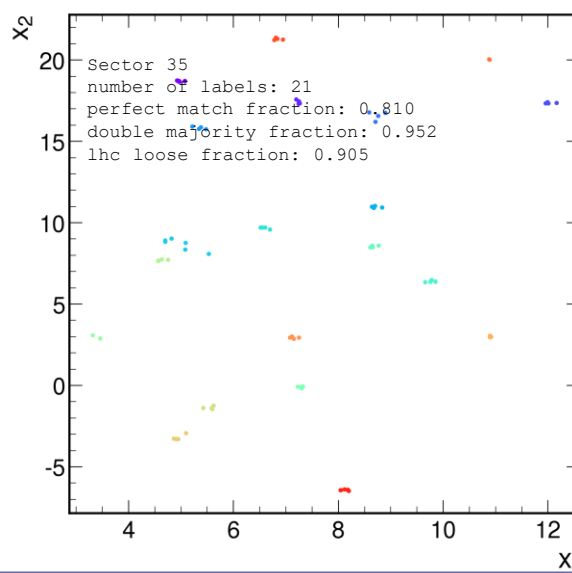
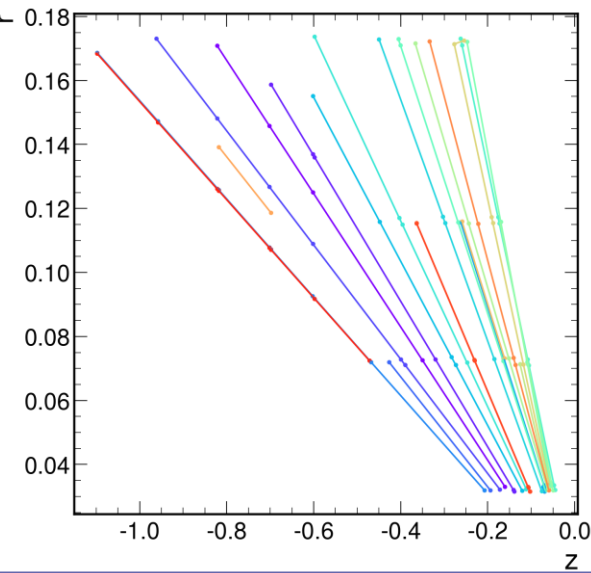
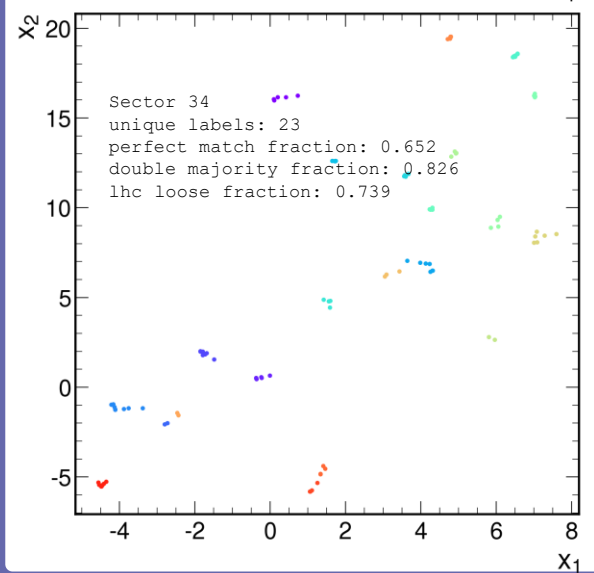
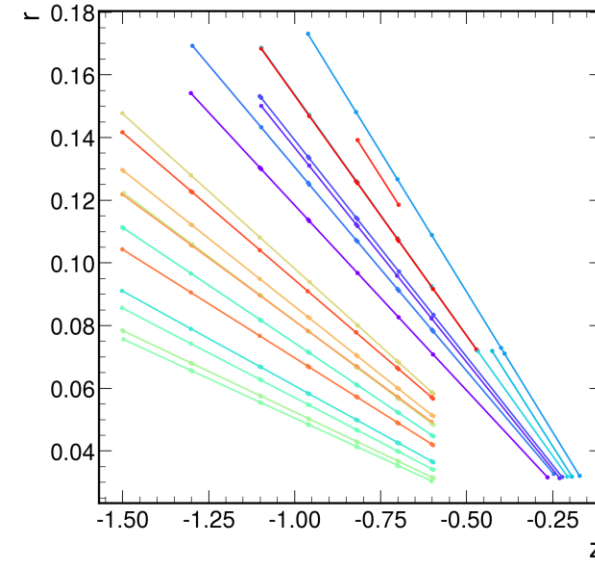
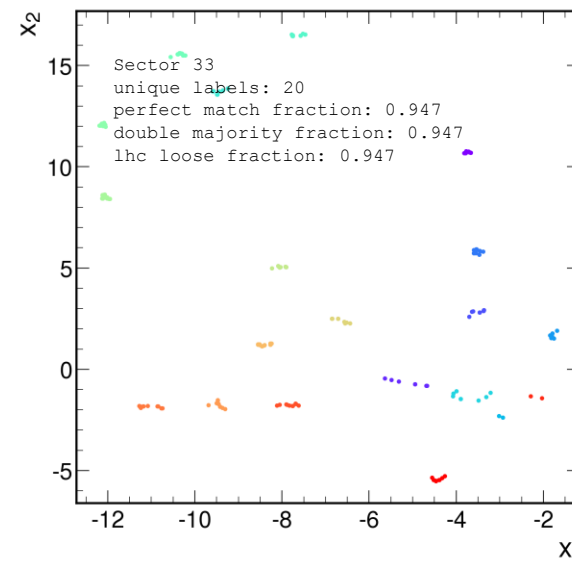
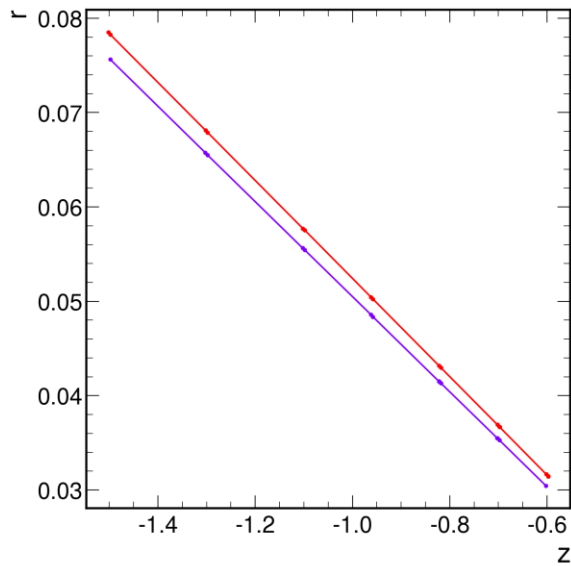
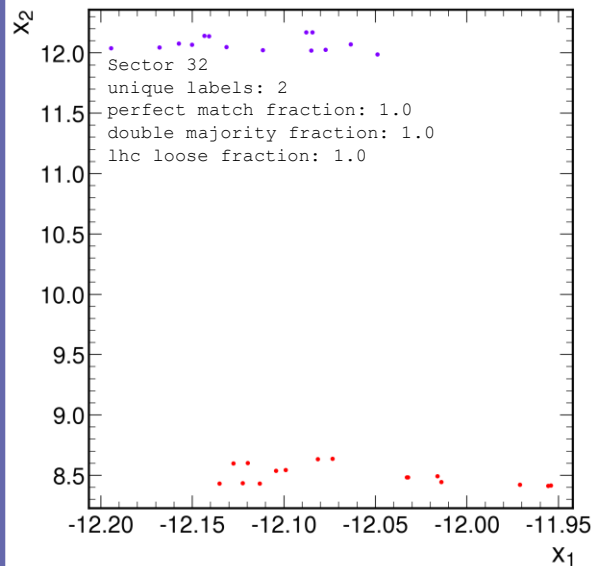
TRACKING EFFICIENCIES VARIOUS DEFINITIONS



EXAMPLE: EVENT #1127
MODEL 10

summary of full event
perfect match fraction: 0.862
double majority fraction: 0.945
lhc loose fraction: 0.906

NOTE: Cluster colors are
DBSCAN labels, not truth
labels!



EXAMPLE: EVENT #1823
 MODEL 10

summary of full event
 perfect match fraction: 0.860
 double majority fraction: 0.939
 lhc loose fraction: 0.909

NOTE: Cluster colors are
 DBSCAN labels, not truth
 labels!

TRACKING EFFICIENCIES

AVERAGED ACROSS $\sim 10^4$ GRAPHS

- Per-graph summary
 - Perfect Match Fraction: 0.827
 - Double Majority Fraction: 0.932
 - LHC Loose Fraction: 0.890
- Per eta-range:
 - Performance decreases with graph construction purity (decreasing eta)

$ \eta $	LHC Loose Match	Double Majority	Perfect Match	Fake Fraction
(0, 1.25)	0.851 +/- 0.070	0.905 +/- 0.058	0.779 +/- 0.099	0.091 +/- 0.072
(1.25, 2.5)	0.895 +/- 0.062	0.934 +/- 0.051	0.842 +/- 0.087	0.071 +/- 0.065
(2.5, 3.75)	0.939 +/- 0.053	0.966 +/- 0.044	0.884 +/- 0.079	0.083 +/- 0.081
(3.75, 5)	0.986 +/- 0.083	0.997 +/- 0.075	0.969 +/- 0.106	0.036 +/- 0.128

Graph construction purity/efficiency isn't consistent among the eta ranges!

CONCLUSIONS

AND FUTURE STEPS

- GNN-based tracking typically involves 1) graph construction, 2) GNN inference (edge classification, object condensation), and 3) postprocessing (track finding)
- Example GNN pipeline based on edge classification and object condensation
 - Object condensation also accommodates track property predictions! → next step
- Future work:
 - Improve graph construction in central barrel region
 - Relax the truth cuts (re-impose noise, zero the p_T cut)
 - Incorporate track parameter predictions
 - Explore dynamic graph construction techniques like GravNet (no edge classification)
 - Full hyperparameter scan over network size/structure