

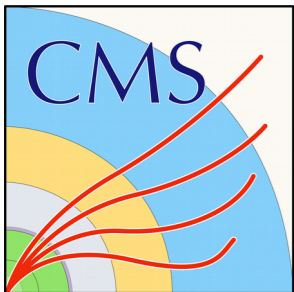
Search for non-resonant $H \rightarrow \tau\tau$ in the four b quark decay channel at CMS

CMS-PAS-HIG-20-005



VIRTUAL

11th Higgs Hunting Conference
Young Scientist Forum
September 22th, 2021



Daniel Guerrero on behalf of the CMS Collaboration

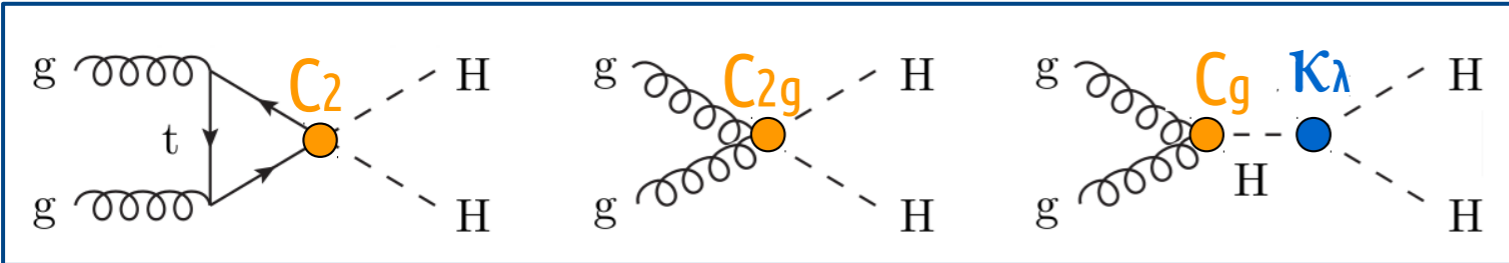
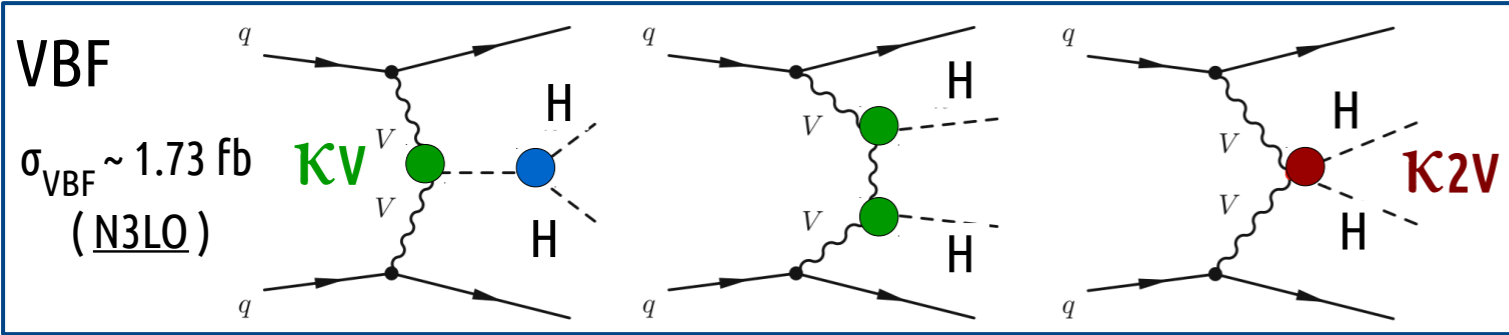
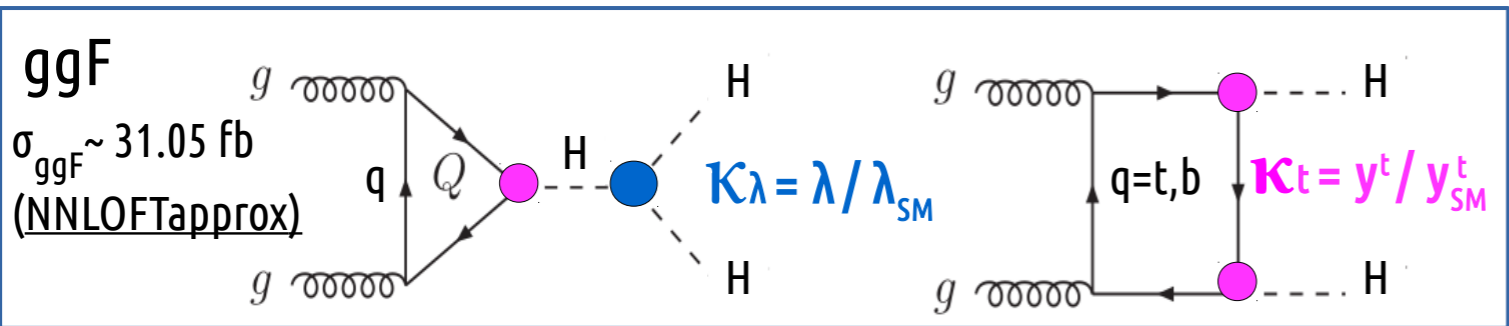
University of Florida
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Non-resonant HH production at the LHC

Direct access to measure the Higgs boson self-coupling (λ)

λ is connected to the Higgs potential shape \rightarrow Crucial test of the SM ($\lambda_{SM} \sim 0.13$)

Main production mechanisms: Gluon fusion (ggF) and Vector boson fusion (VBF)



SM couplings

- Very small cross section (σ)
- Elusive process at current data luminosities

Anomalous Higgs couplings

- Parameterized with coupling modifiers (K)
- Large change on σ and HH mass
- Sensitivity for new physics at the LHC

EFT approach for new physics

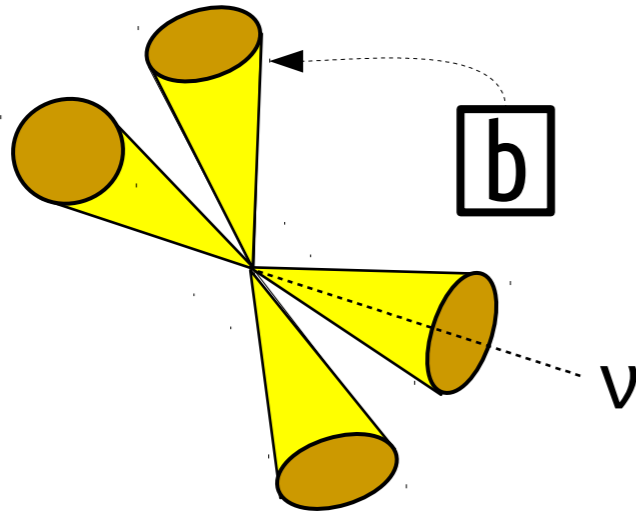
- 5 coupling modifiers: $K\lambda$, K_t , C_2 , C_{2g} , C_g
- 12 Benchmarks from clustering method

HH → bbbb decay channel at CMS Run-2

It has the largest HH branching fraction (~33%) → ~1500 events produced during Run 2 ($L=138 \text{ fb}^{-1}$)
Signal reconstruction is ferociously challenged by the overwhelming production of multi-jet events

Expected signal

Four jets from b quark hadronization

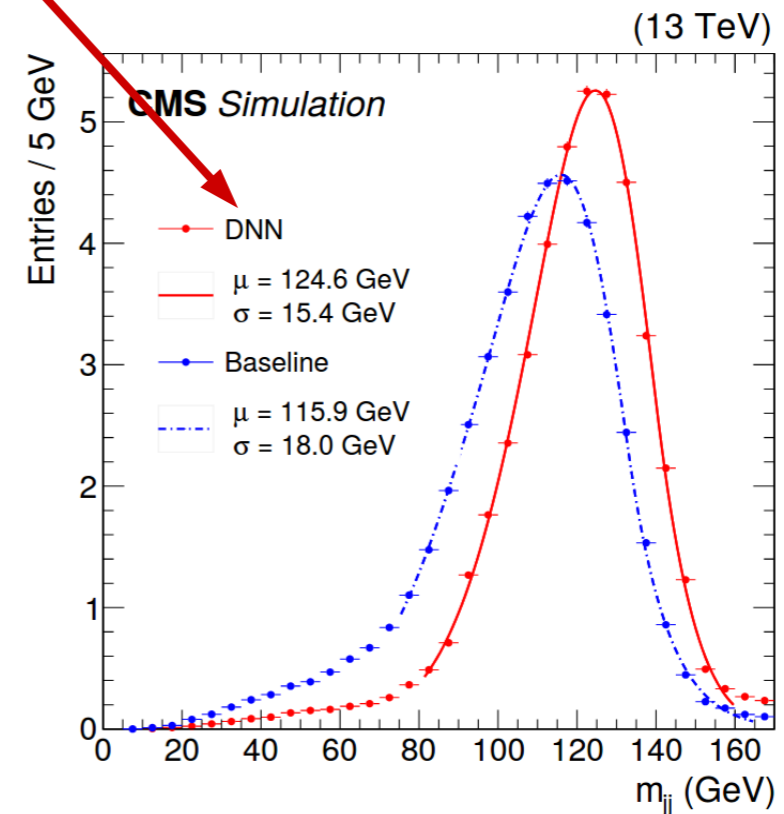


Reconstruction challenges:

- Jet identification: Large udsg/c/g jet background
- Higgs candidate reconstruction:
 - Jet combinatorics
 - Missing energy from neutrinos in B hadrons decays

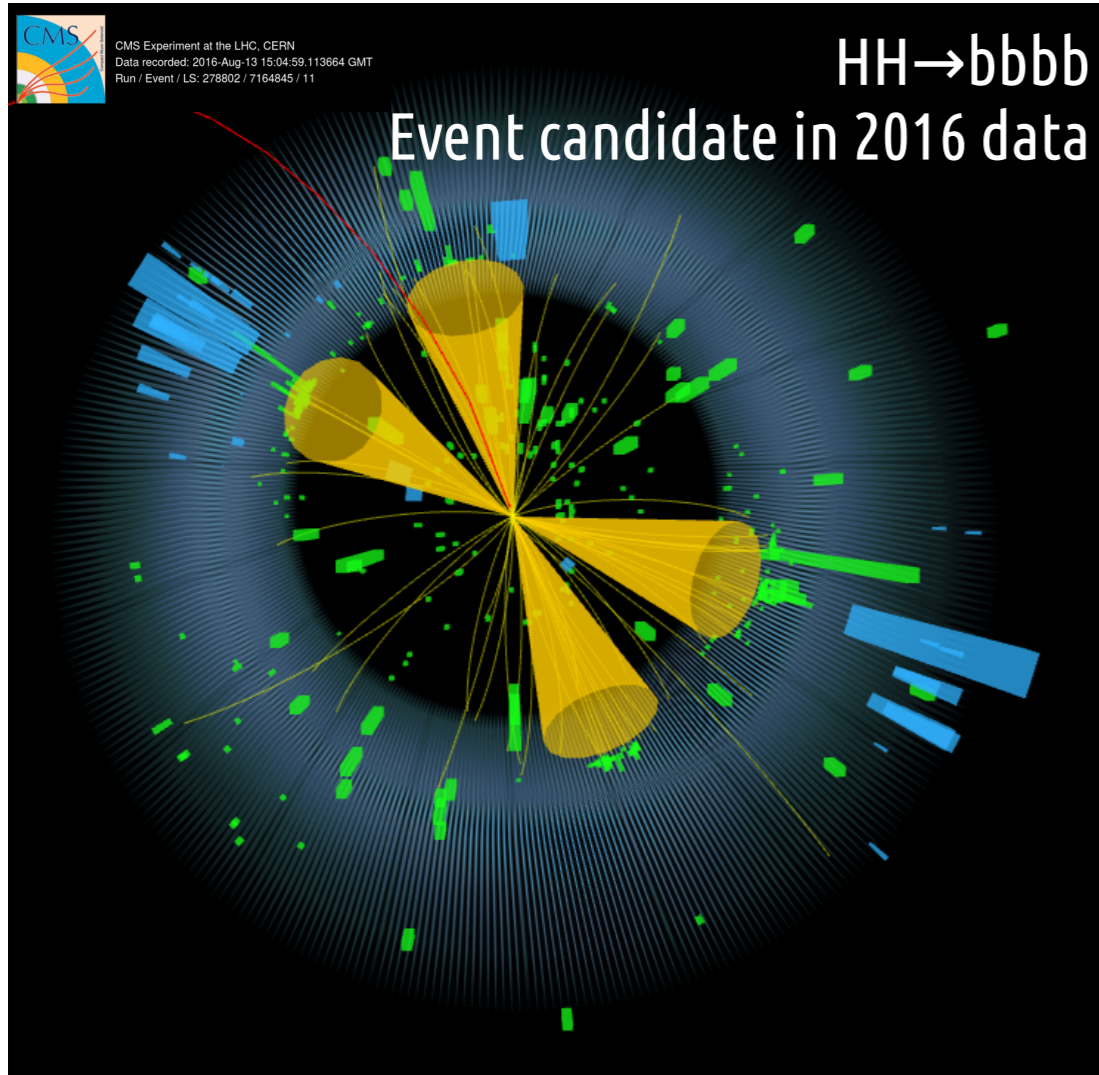
Advanced identification and reconstruction methods

- Jet flavor tagging using DeepJet (DNN)
- b-jet energy regression (DNN)



HH→bbbb decay channel at CMS Run-2

It has the largest HH branching fraction (~33%) → ~1500 events produced during Run 2 ($L=138 \text{ fb}^{-1}$)
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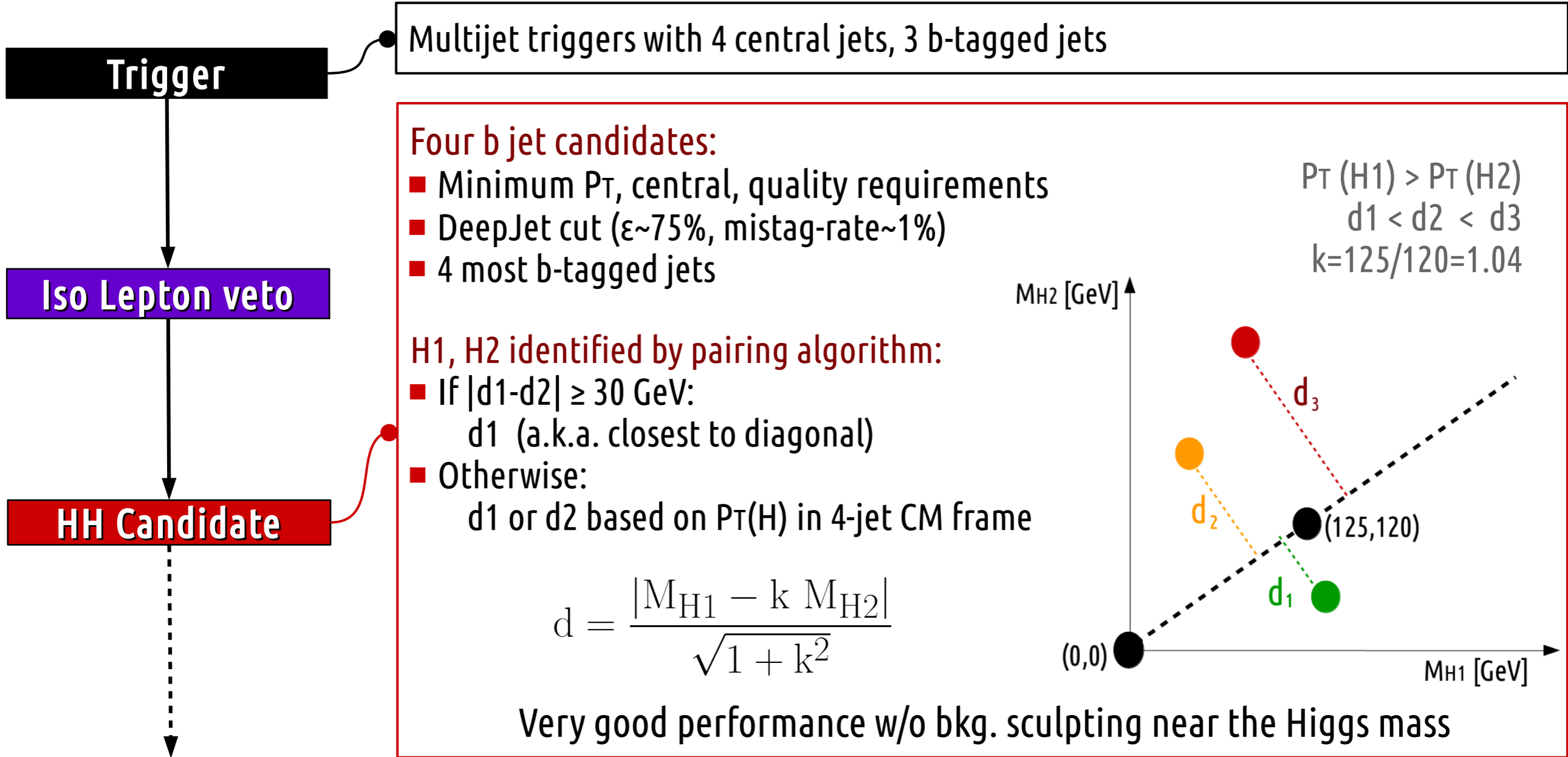


Built using innovative techniques :

- Novel jet pairing for identification of Higgs candidates
- Advanced ggF and VBF categorization
- Powerful background modeling using machine learning

VBF HH→bbbb (highly boosted H's)
CMS-PAS-B2G-20-001, see Alessandra's talk!

Event preselection



Event regions

Several regions are defined to perform the analysis

Two b-tagging regions: '4b' (nominal selection) and '3b' (4th most b-tagged fails DeepJet cut)

m_{H1} - m_{H2} plane regions:

Analysis region (A)

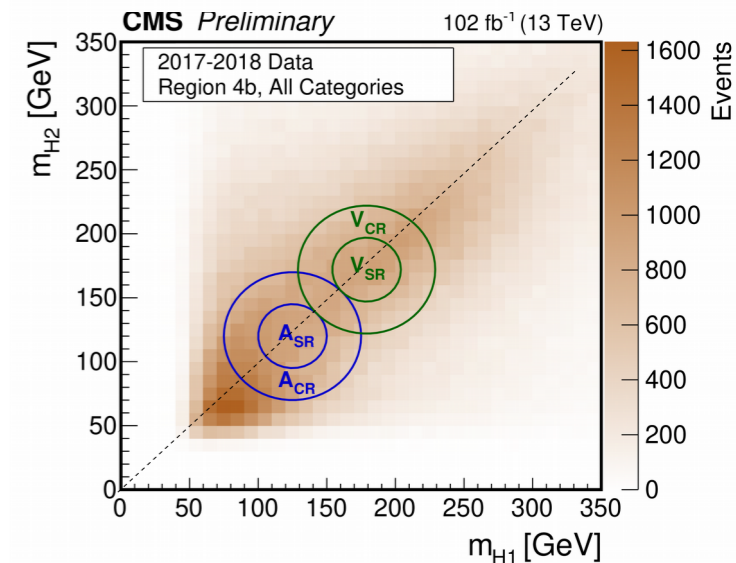
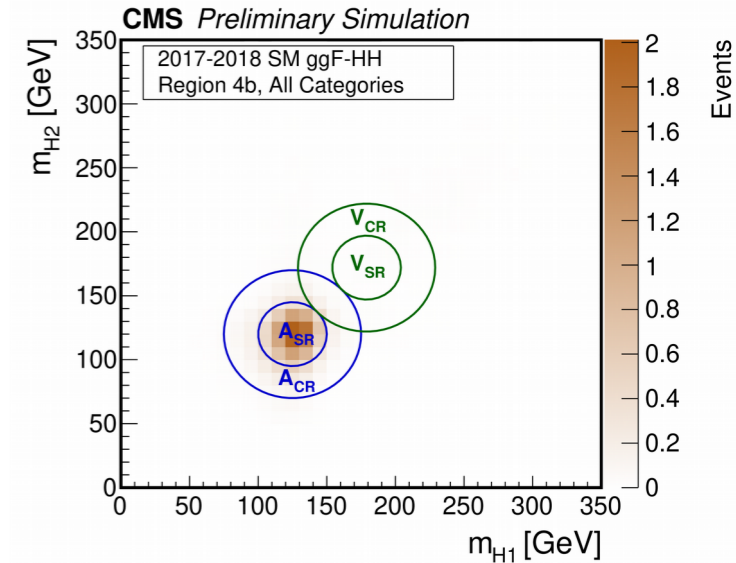
- HH signal-enriched to perform search
- Centered at $(C_1, C_2) = (125 \text{ GeV}, 120 \text{ GeV})$
- Divided in signal (A_{SR}) and control regions (A_{CR})

Validation region (V)

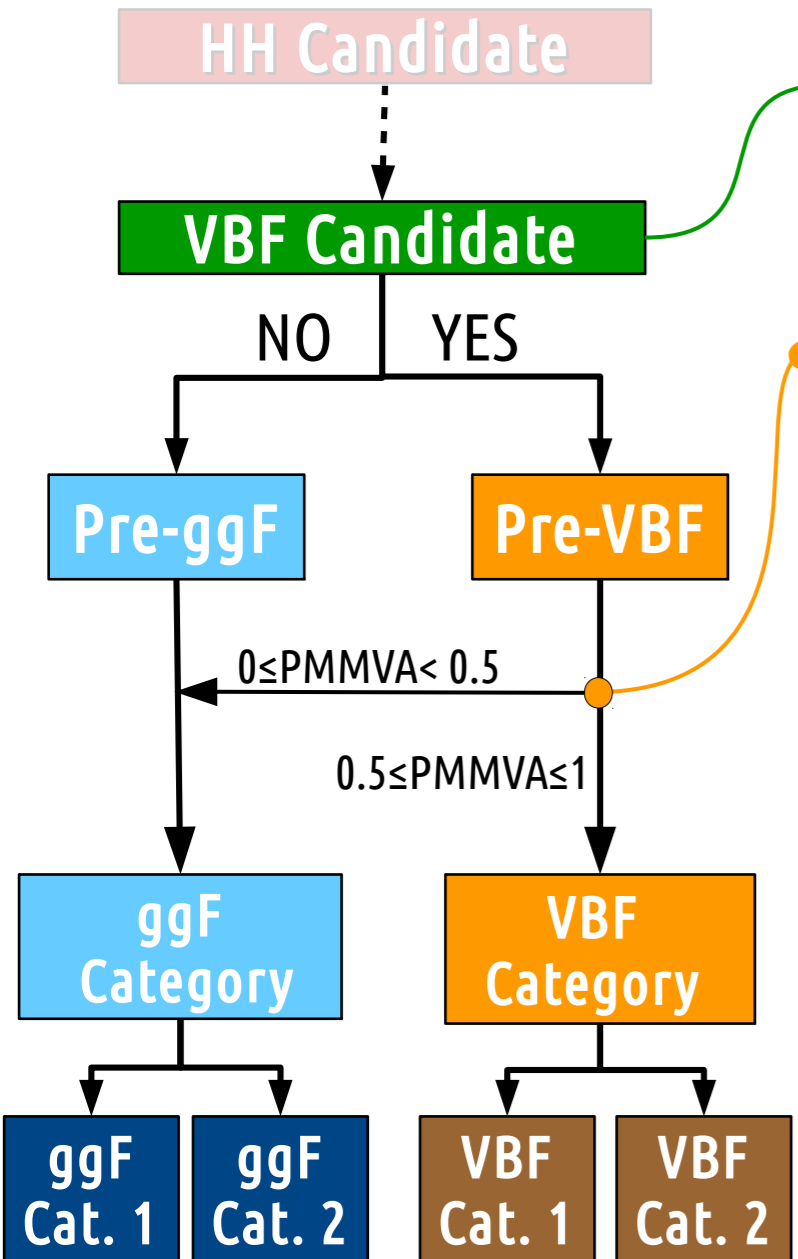
- Signal-free region used to verify full background model closure
- Aligned with pairing diagonal symmetry at $(C_1, C_2) = (179 \text{ GeV}, 172 \text{ GeV})$
- Divided in validation signal (V_{SR}) and control regions (V_{CR})

$$\text{SR: } \sqrt{(m_{H_1} - C_1)^2 + (m_{H_2} - C_2)^2} < 25 \text{ GeV}$$

$$\text{CR: } 25 \text{ GeV} \leq \sqrt{(m_{H_1} - C_1)^2 + (m_{H_2} - C_2)^2} < 50 \text{ GeV}$$



Event categorization and subcategorization



VBF-jet candidates (excluding b-jets): Forward, quality requirements
 VBF-jet pair selection: Two highest P_T jets with opposite- η hemispheres ($\eta(j1) \cdot \eta(j2) < 0$)

A Production Mode MVA (PMMVA) is used to improve purity of the categories

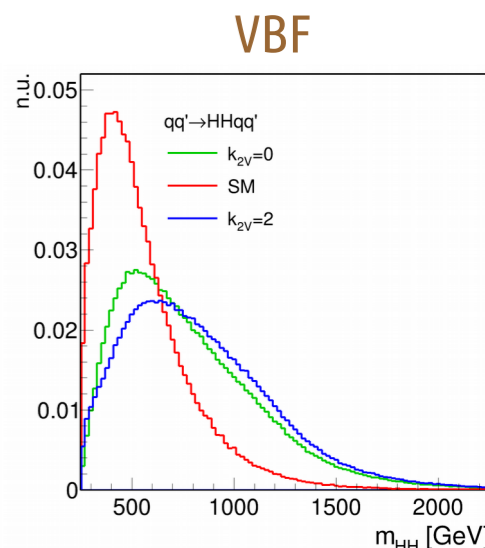
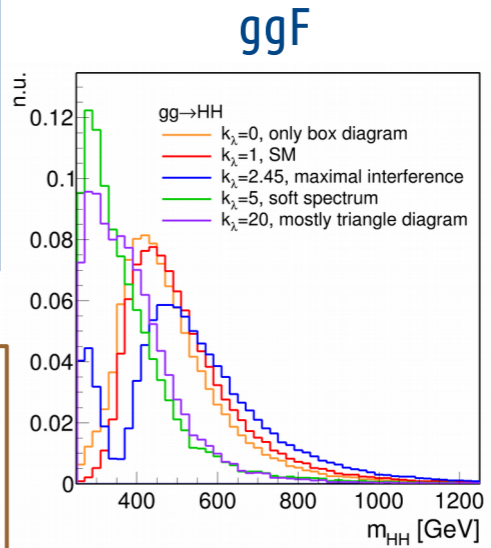
- BDT trained with 13 variables to capture VBF vs ggF topologies in Pre-VBF events
- Samples: Signal (VBF $\kappa_{2V}=2$) vs background (SM ggF HH)

ggF subcategories
 To address different m_{HH} kinematics

- Low m_{HH} (Cat.1): $m_{HH} < 450$ GeV
- High m_{HH} (Cat.2): $m_{HH} \geq 450$ GeV

VBF subcategories
 To address SM and BSM- κ_{2V} kinematics

- SM-like (Cat. 1): $0.5 \leq PMMVA < 0.97$
- BSM- κ_{2V} (Cat. 2): $0.97 \leq PMMVA \leq 1.0$



Background model overview

Data-driven multijet background model using '3b' data to derive '4b' background model
 3b-to-4b shape differences are corrected with BDT re-weighting

The background model is built using ACR(3b) & ACR(4b) data

1. Normalization scaled by transfer factor $\alpha = N_{CR}(4b) / N_{CR}(3b)$
2. Residual mismodeling on key variables are addressed via weights using a trained multidimensional BDT reweighter

Use ACR info + ASR(3b) data \rightarrow ASR(4b) bkg model

Normalization: Transfer factor

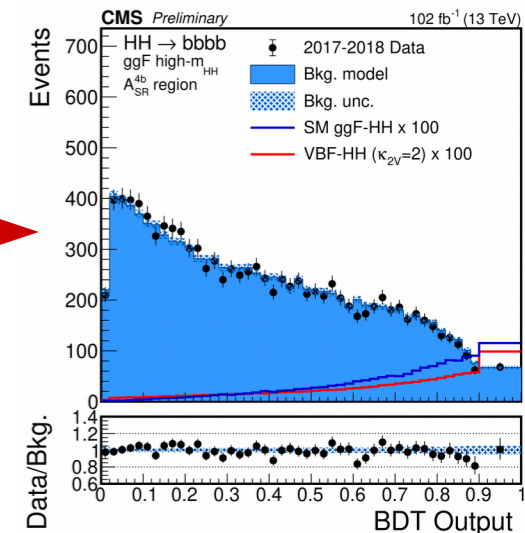
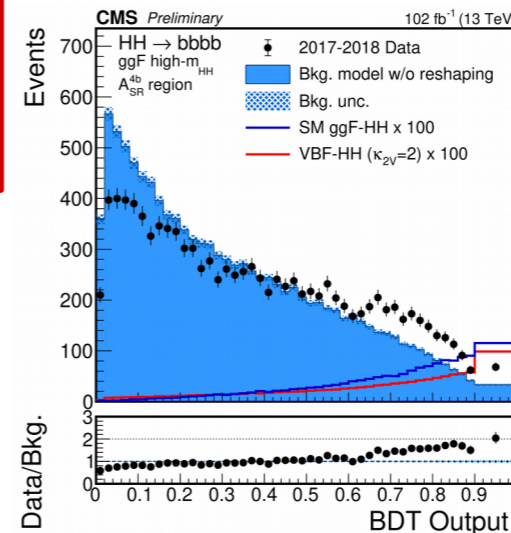
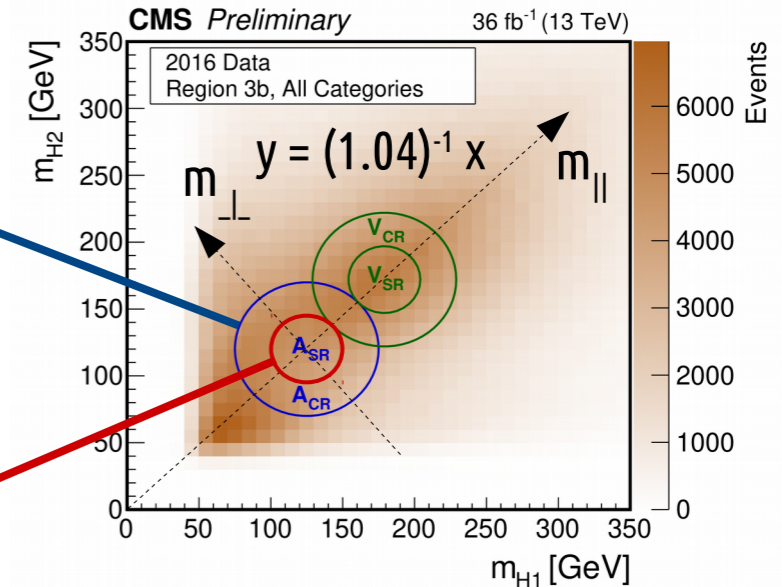
For ggF, it considers 'parallel' mass ($m_{||}$) dependency

For VBF, it is constant

Shape: ASR(3b) distributions are re-shaped by reweighter

Full data/model closure is first verified
 in validation region

Performance in ASR(4b) region \rightarrow



Systematics and signal extraction

2017-2018 observables

Systematic uncertainties:

Background model:

- Statistical uncertainty ASR(3b)
- 3b-to-4b transfer factor
- Validation: residual closure & limited precision
- Shape variation (e.g. ggF alternative training with CR variations)

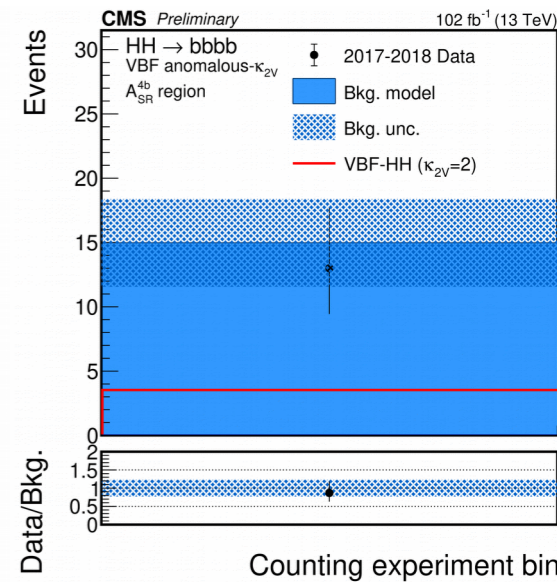
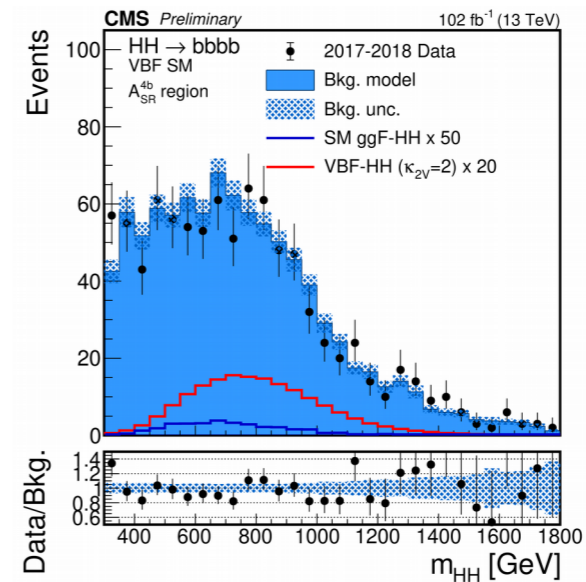
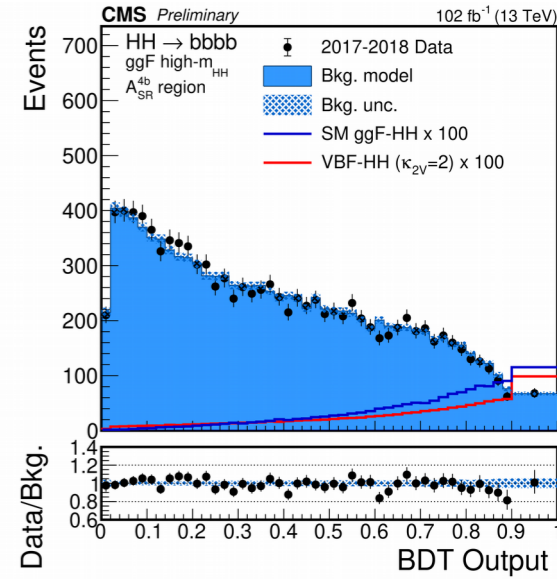
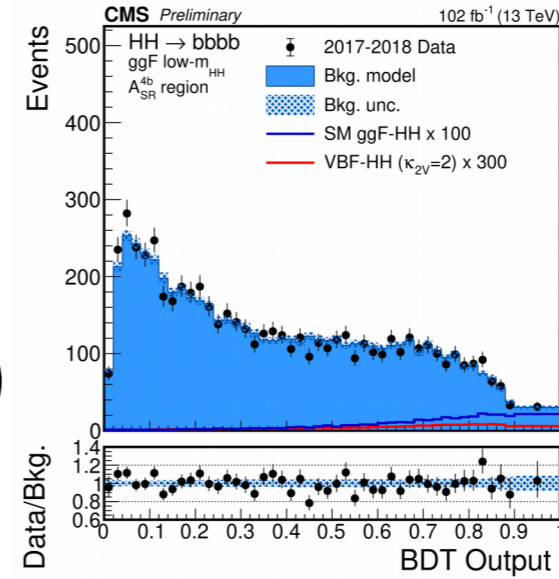
Signal model: experimental, generator, and theoretical

Optimal observables for signal extraction:

ggF categories 1,2: Enhance HH signal with BDT distribution

- Trained by category using 16 variables (SM ggF vs bkg model)
- Bkg split in two:
 - Each half is used to train a classifier
 - Train classifier is applied to the other half

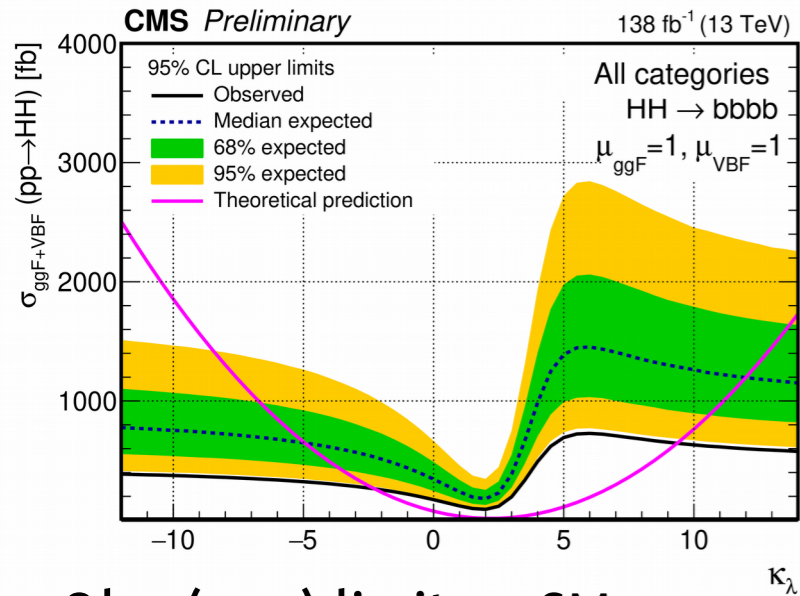
VBF category 1,2: M_{HH} distribution, Counting experiment



Results: Upper limits

No excess of data events is observed relative to the background-only hypothesis
 95% CL upper limits are set using the asymptotic CLs method

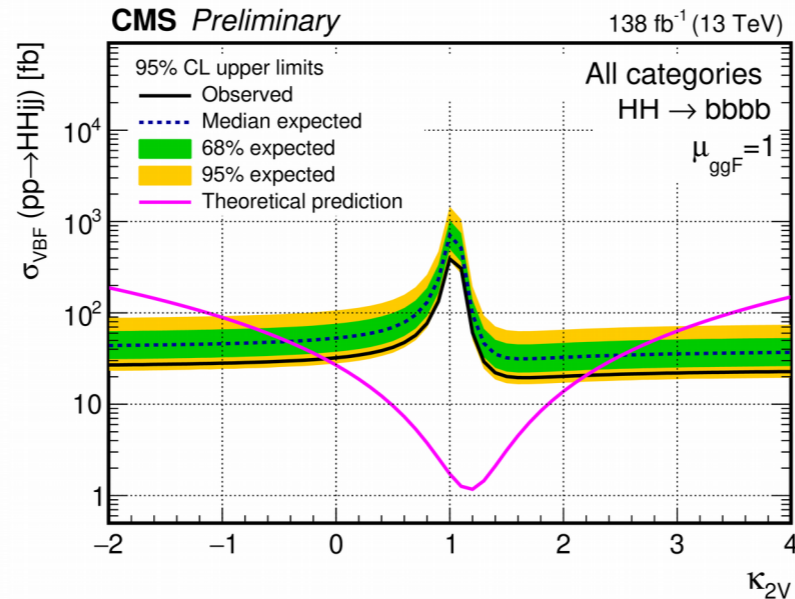
ggF + VBF



Obs. (exp.) limit on SM xs:
 3.6 (7.3) x SM prediction

Obs. (exp.) allowed κ_λ interval:
 $\kappa_\lambda \in [-2.3, 9.4]$ $([-5.0, 12.0])$

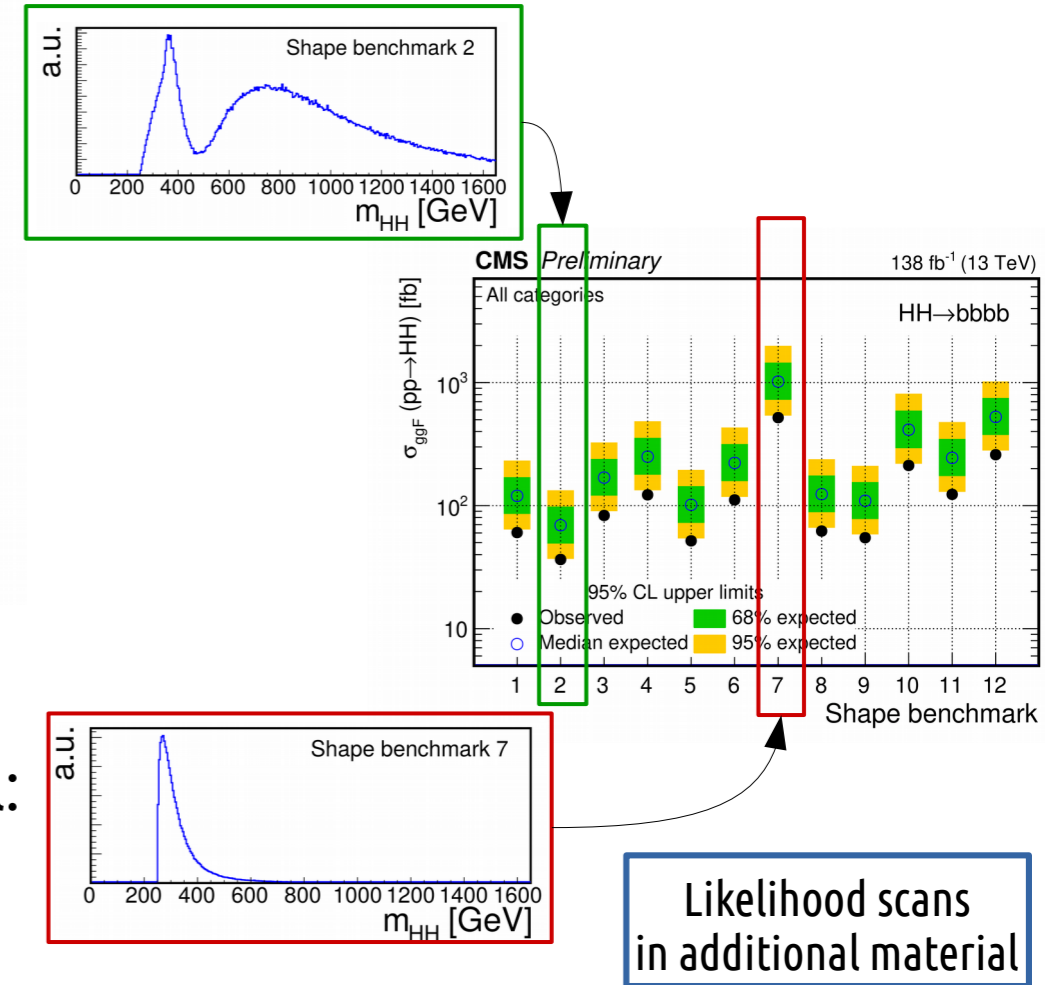
VBF-only



Obs. (exp.) limit on SM xs:
 226 (413) x SM prediction

Obs. (exp.) allowed κ_{2v} interval:
 $\kappa_{2v} \in [-0.1, 2.2]$ $([-0.4, 2.5])$

EFT Benchmarks



Likelihood scans
 in additional material

Conclusions



CMS Experiment at the LHC, CERN
Data recorded: 2016-Aug-13 15:04:59.113664 GMT
Run / Event / LS: 278802 / 7164845 / 11

HH process can shed light on the structure of the Higgs potential

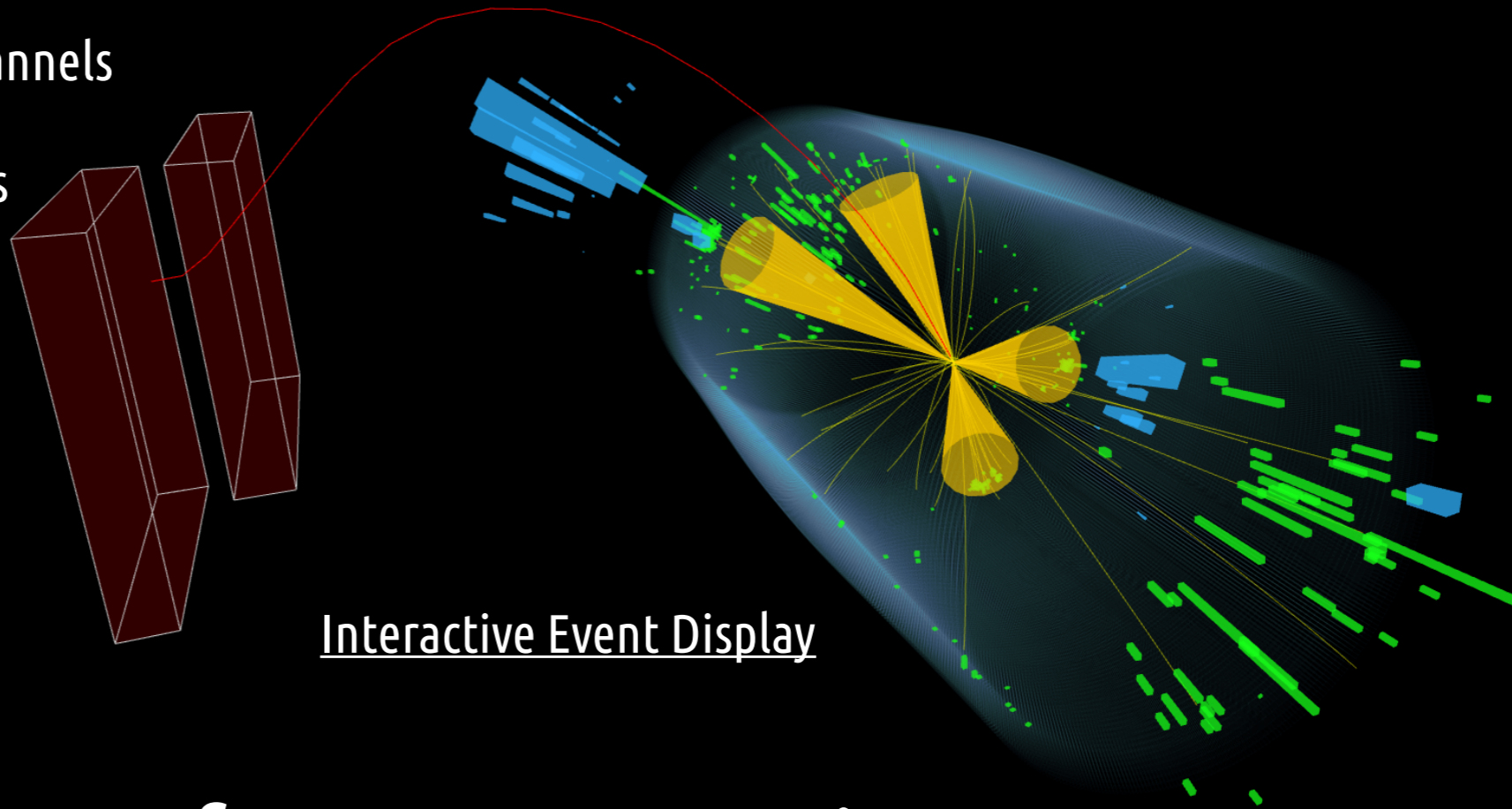
HH \rightarrow bbbb is one of the most sensitive channels

- Leverage on innovative analysis methods
- 5 x better sensitivity than 2016 result

Best LHC constraints on SM production

- Limit on HH κ s : 3.6 x SM prediction
- Limit on VBF κ s : 226 x SM prediction

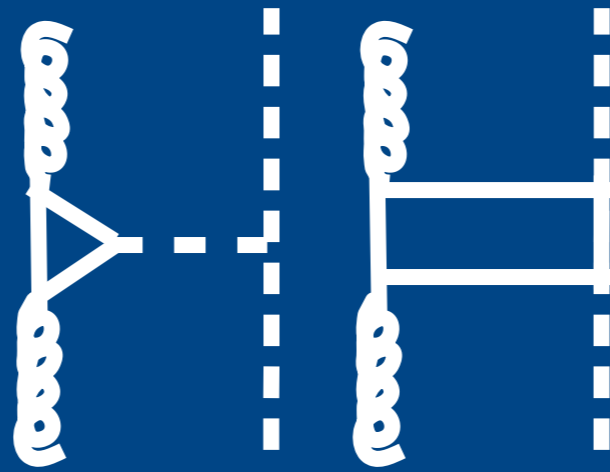
Tight constraints on anomalous couplings



Interactive Event Display

Thank you for your attention!

Additional



Material

Data and MC samples

13 TeV pp collision data:

Dataset	Integrated Luminosity [fb ⁻¹]
2016	36
2017-2018	102

Signal MC simulation:

- 4 ggF samples ($\kappa_\lambda=0,1,2.45,5$) at NLO precision using PowHeg (3 for ggF modeling + 1 for cross-check)
- 7 VBF samples with $\kappa_V, \kappa_{2V}, \kappa_\lambda$ combinations at LO using MadGraph (6 for VBF modeling + 1 cross-check)
 - 2 samples with alternative dipoleRecoil ON option (pythia dipole shower) for systematic uncertainties
- 12 EFT benchmarks = EFT LO samples re-weighted to NLO

Background MC simulation:

- QCD (HT-binned), ttbar, single Higgs are used for cross check studies

Jet Pairing for Higgs candidate reconstruction

Challenge: 4 preselected b-jets \rightarrow 3 possible pairings

Jet pairing method:

Step 1. Compute distance to the diagonal line (d) in plane

- Object ordering: $p_T(H1) > p_T(H2)$
- Diagonal defined with $k = 125/120 = 1.04$
- Pairs ordered by distance: $d_1 < d_2 < d_3$

Step 2. Select the pairing

If $\Delta d = |d_1 - d_2| \geq 30$ GeV:

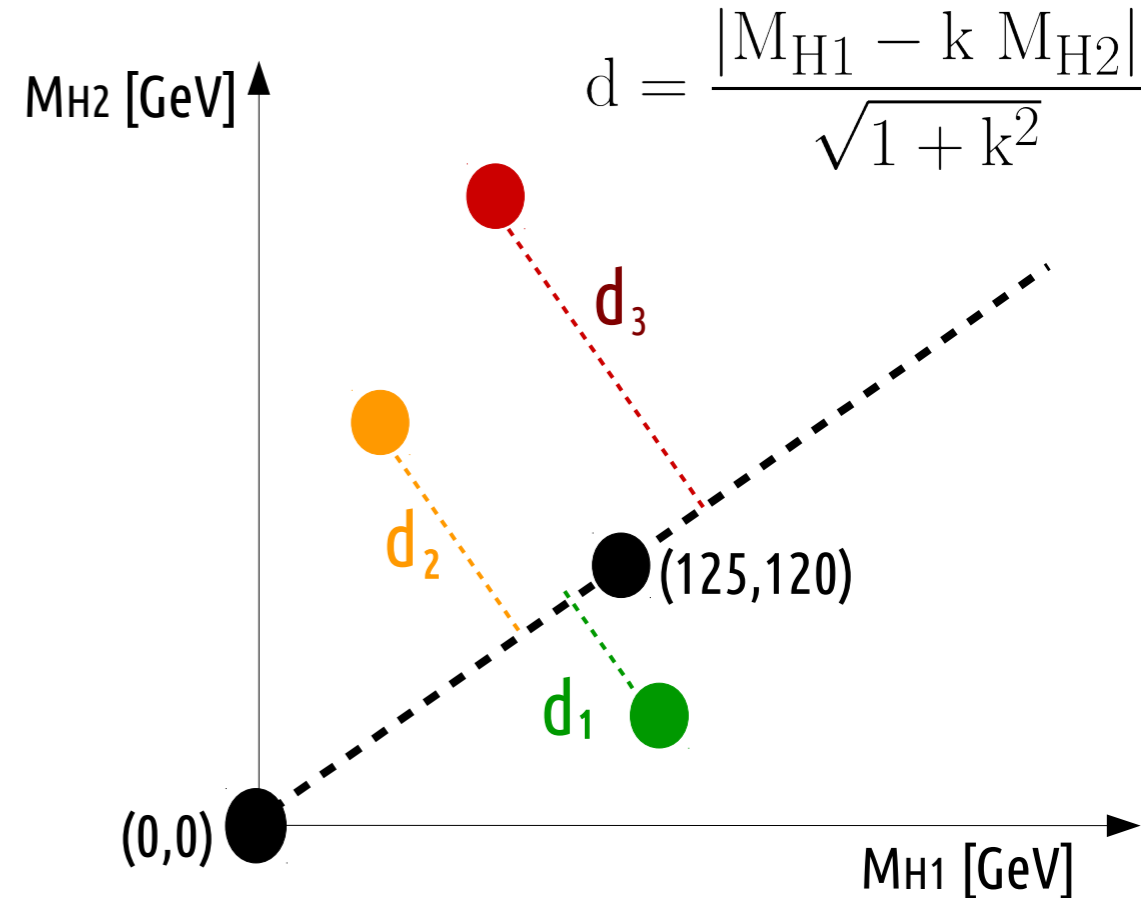
- Choose d_1 pairing (closest to diagonal)

Otherwise:

- Choose d_1 or d_2 based on the highest $p_T(H)$ in 4-jet C.M. frame

Performance:

- Maximizes pairing performance w/o biasing bkg events near the Higgs mass (See slide 6)
- Correct pairing in 96% of SM ggF events. Ranging 82-96% (91-98%) depending on ggF (VBF) hypotheses



A classifier is trained to have categories with higher purity

Targets Pre-VBF events

Signal (S): VBF-HH ($k_{2v}=2$)

- Signature with strongest contribution from longitudinal scattering amplitude $V(L)V(L) \rightarrow HH$
- VBF-HH ($k_{2v}=0$) has similar response

Background (B): NLO SM $ggF-HH$

Variable	Meaning
$p_T(H_1)$ ($p_T(H_2)$)	Transverse momentum of the H_1 (H_2) candidate
$p_T(j_1)$ ($p_T(j_2)$)	Transverse momentum of the j_1 (j_2) candidate
$ \eta(jj) $	VBF-jet pair pseudorapidity
$M(jj)$	VBF-jet pair invariant mass
$\Delta R(H_1, H_2)$	ΔR distance between two Higgs bosons
$\Delta R(H_1, j_1)$	ΔR distance between H_1 and j_1
$\Delta R(H_1, j_2)$	ΔR distance between H_1 and j_2
$\Delta R(H_2, j_1)$	ΔR distance between H_2 and j_1
$\Delta R(H_2, j_2)$	ΔR distance between H_2 and j_2
$ \cos(\theta)^*(j_1) $	$ \cos(\theta) $ of j_1 in the six-jet center of mass frame
$ \cos(\theta)^*(j_2) $	$ \cos(\theta) $ of j_2 in the six-jet center of mass frame
$H1\text{-centrality} \cdot H2\text{-centrality}$	Product of the Higgs boson centralities

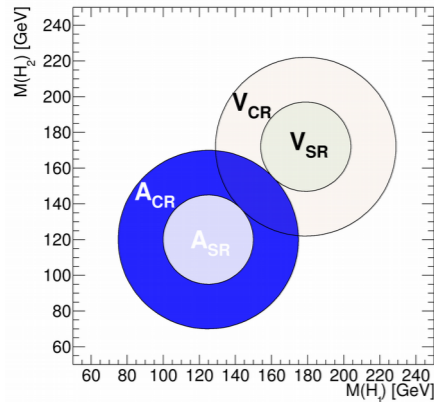
where:

$$H1\text{-centrality} \cdot H2\text{-centrality}: \exp\left[-\left(\frac{\eta(H_1)-\eta_{avg}}{\Delta\eta}\right)^2 - \left(\frac{\eta(H_2)-\eta_{avg}}{\Delta\eta}\right)^2\right],$$

$$\Delta\eta = \eta(j_1) - \eta(j_2) \quad \eta_{avg} = \frac{\eta(j_1) + \eta(j_2)}{2}$$

Background model optimization and tests

Training in analysis control region:



- '3b' vs '4b' training variables used in BDT-reweighter
- ggF: b-jet PTs, input variables for BDT output
- VBF: b-jet PTs, $M(HH)$ & correlated variables

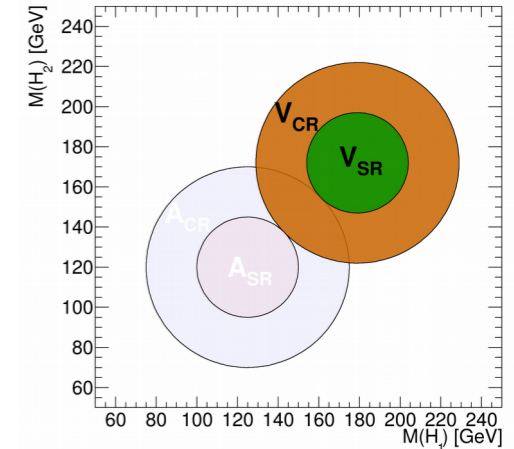
BDT-hyperparameters optimization

- K-S test improvement in individual variables
- A classifier is trained to separate 'target' from 'model'
 - if no separation is possible ($AUC=0.5$)
 - Then, the model is good

All variables are well-modeled in A_{CR} (4b)

Closure tests on the validation region:

Step 1: A background model is trained using validation control region data (V_{CR})



Step 2: Data/model distributions are compared in the validation region $V_{SR}(4b)$ to verify the method closure

All variables are well-modeled in $V_{SR}(4b)$

Self-bias test to check signal contamination:

- Bias is negligible at our level of sensitivity

List of BDT-reweighting variables

GGF categories 1,2

VBF category 1

BDT Reweigher Input variables

Regressed p_T of the leading- p_T b jet of the H_1 candidate
Regressed p_T of the trailing- p_T b jet of the H_1 candidate
Regressed p_T of the leading- p_T b jet of the H_2 candidate
Regressed p_T of the trailing- p_T b jet of the H_2 candidate

Mass of the H_1 candidate, $M(H_1)$
Mass of the H_2 candidate, $M(H_2)$
Mass of the Higgs pair system, m_{HH}
Transverse momentum of the H_1 candidate, $P_T(H_1)$
Transverse momentum of the H_2 candidate, $P_T(H_2)$
Pseudorapidity separation between the two Higgs candidates, $\Delta\eta(H_1, H_2)$
 ΔR distance between two b jets of the H_1 candidate, $\Delta R(H_1(bb))$
 ΔR distance between two b jets of the H_2 candidate, $\Delta R(H_2(bb))$
 $|\cos(\theta)^*(H)|$ in HH frame
 $|\cos(\theta)^*(b)|$ in H_1 frame
Sum of four b jets' regressed p_T
Transverse momentum of the HH system, $p_T(HH)$
Number of tight b-tags in 3 highest b-tags
Sum of 3b's resolution scores
Minimal ΔR distance between two b jets, $\text{Min}|\Delta R(bb)|$
Maximum pseudorapidity separation between two b jets, $\text{Max}|\Delta\eta(bb)|$

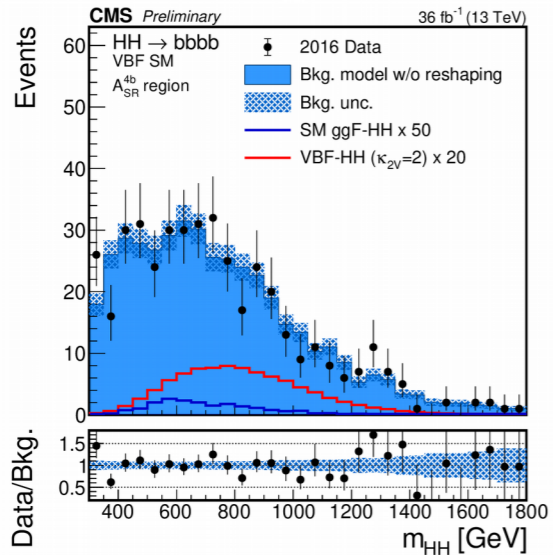
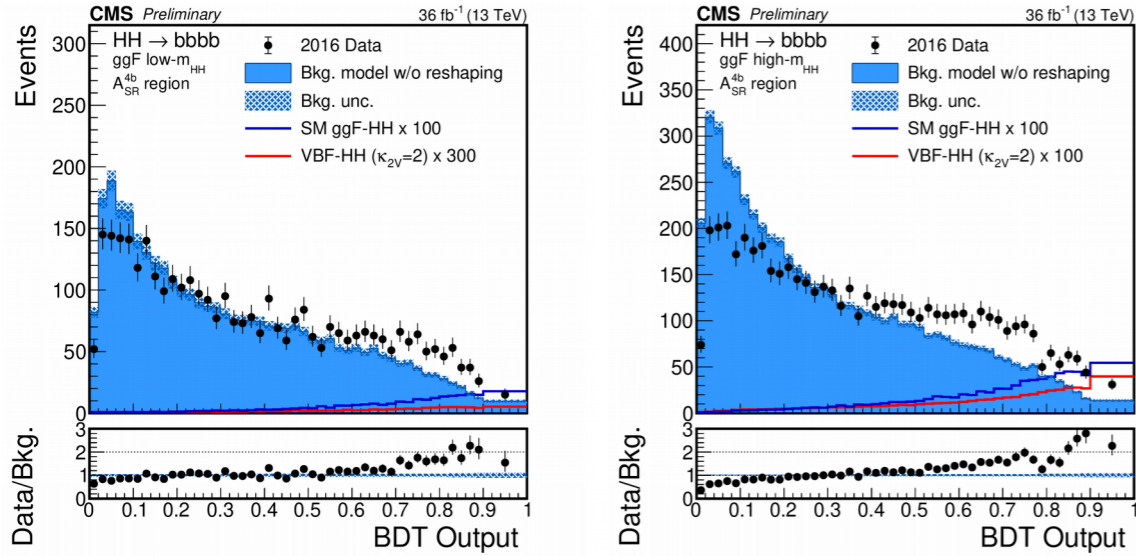
Input features
BDT output

BDT Reweigher Input variables

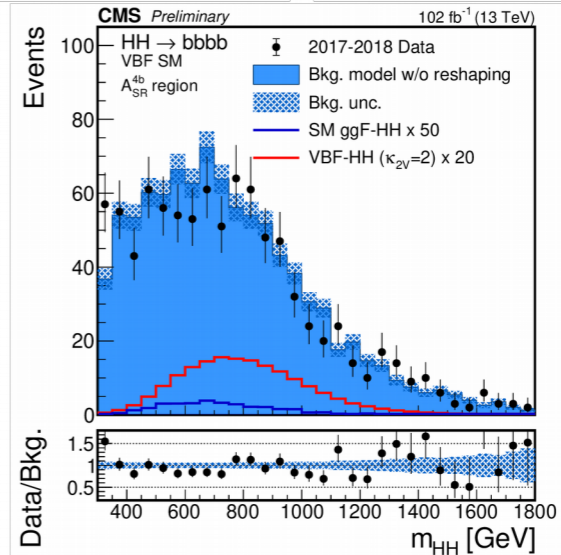
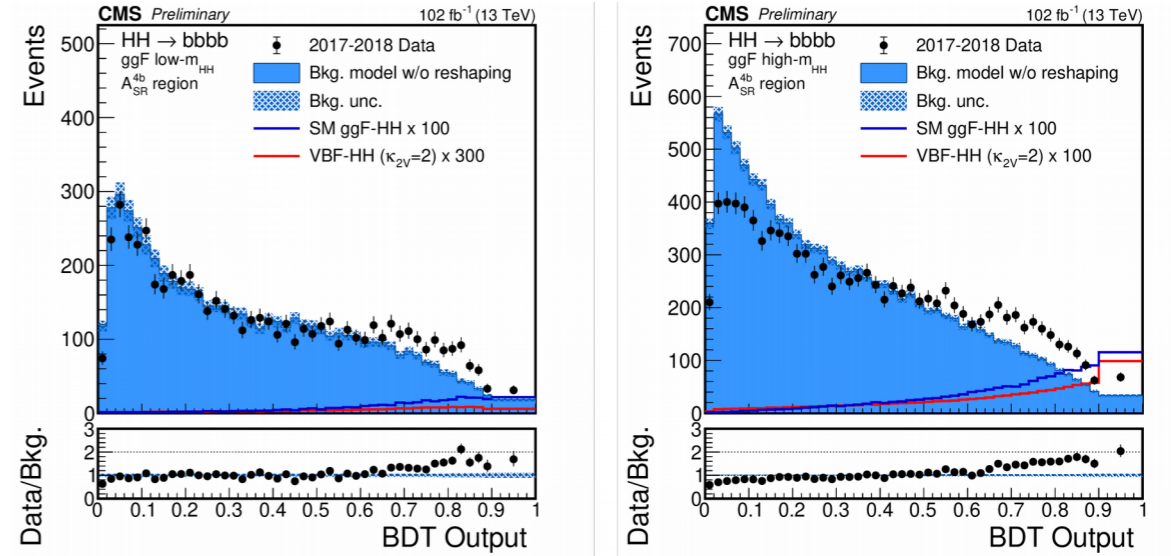
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Mass of the Higgs pair system, m_{HH}
Transverse momentum of the H_1 candidate, $P_T(H_1)$
Transverse momentum of the H_2 candidate, $P_T(H_2)$
Pseudorapidity separation between the two Higgs candidates, $\Delta\eta(H_1, H_2)$
Azimuthal angle separation between the two Higgs candidates, $\Delta\phi(H_1, H_2)$
Mass of the VBF-jet pair system, $M(jj)$
Pseudorapidity separation between the two VBF jets, $\Delta\eta(j1, j2)$
PMMVA score

Signal observables – Pre-fit w/o reshaping

2016 dataset



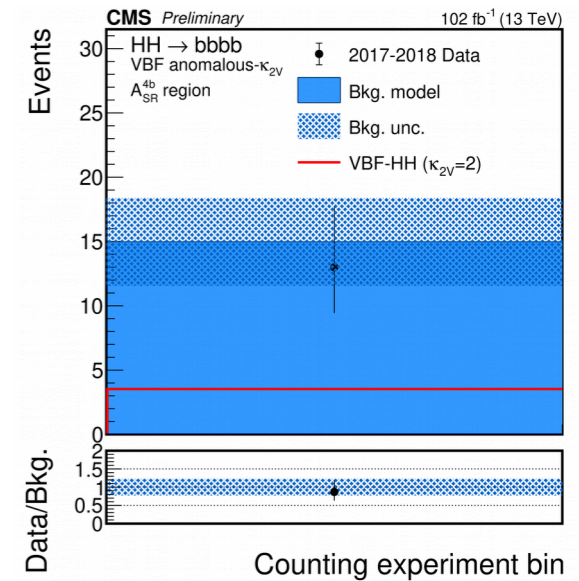
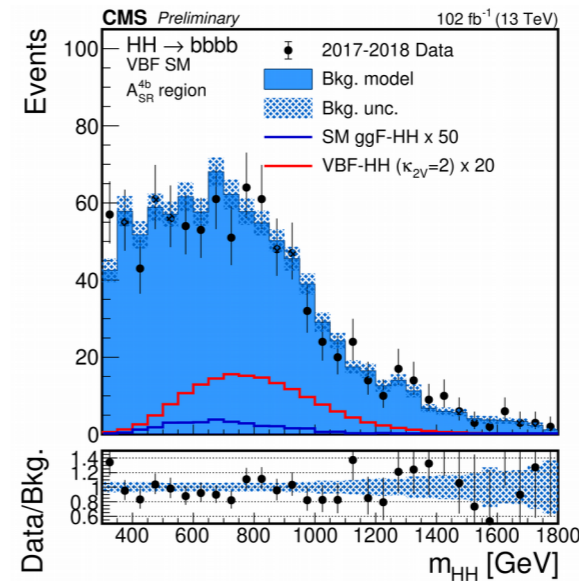
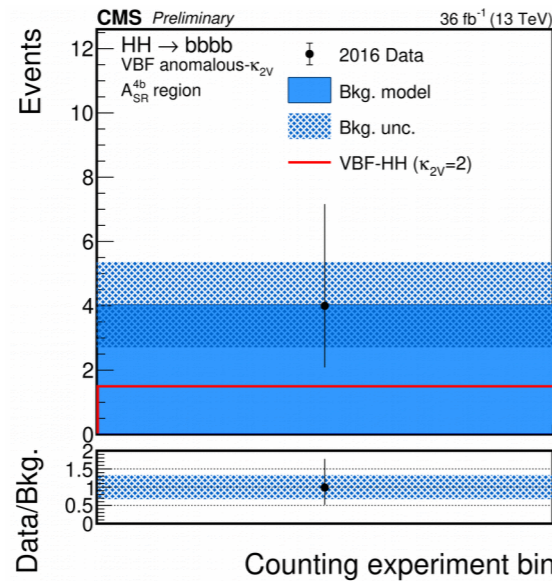
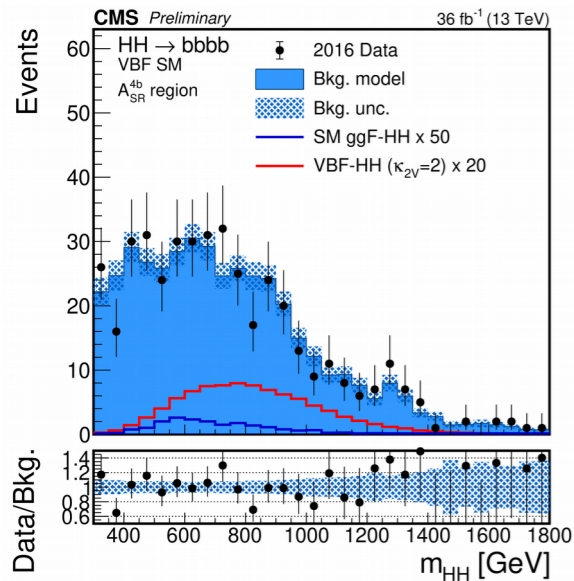
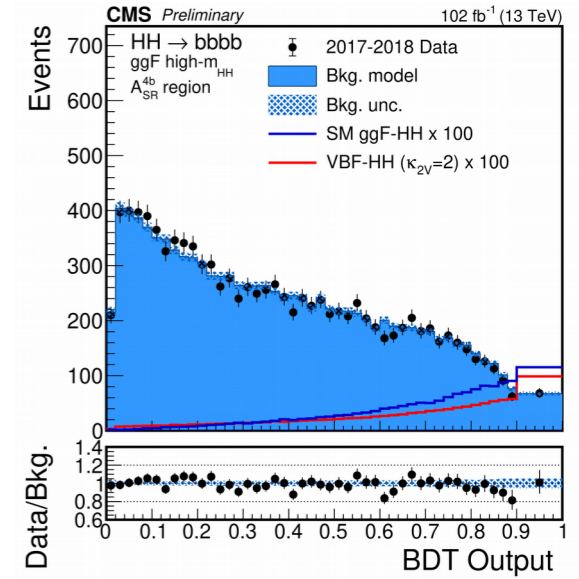
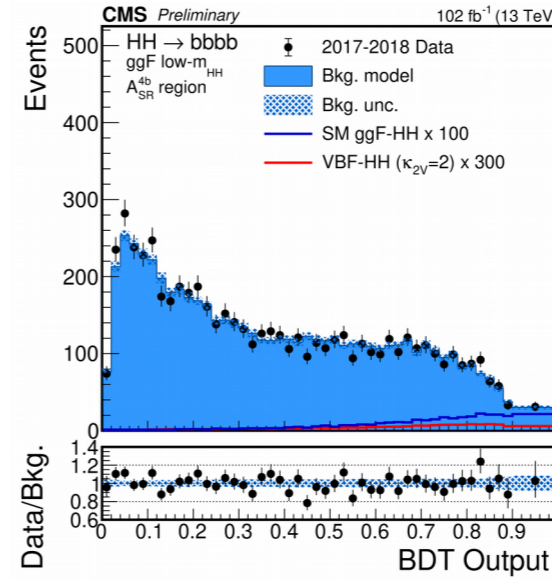
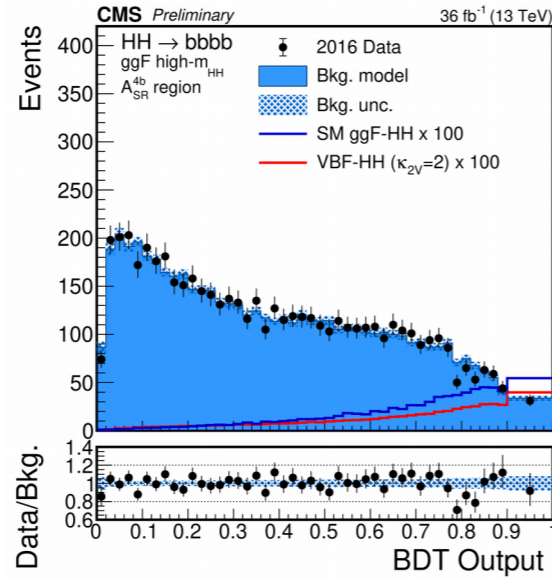
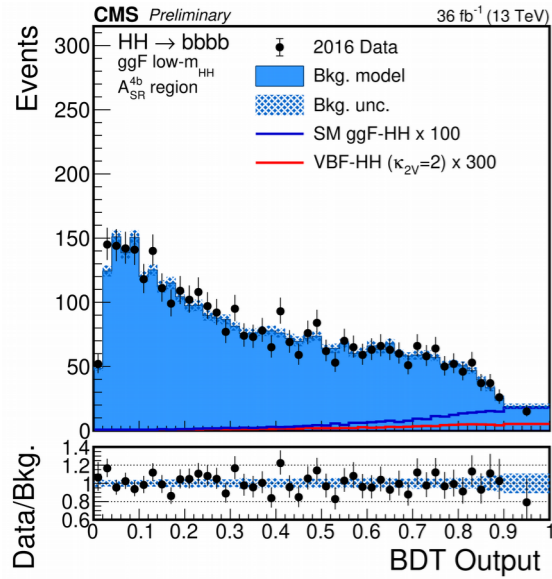
2017-2018 dataset



All signal extraction observables - post-fit

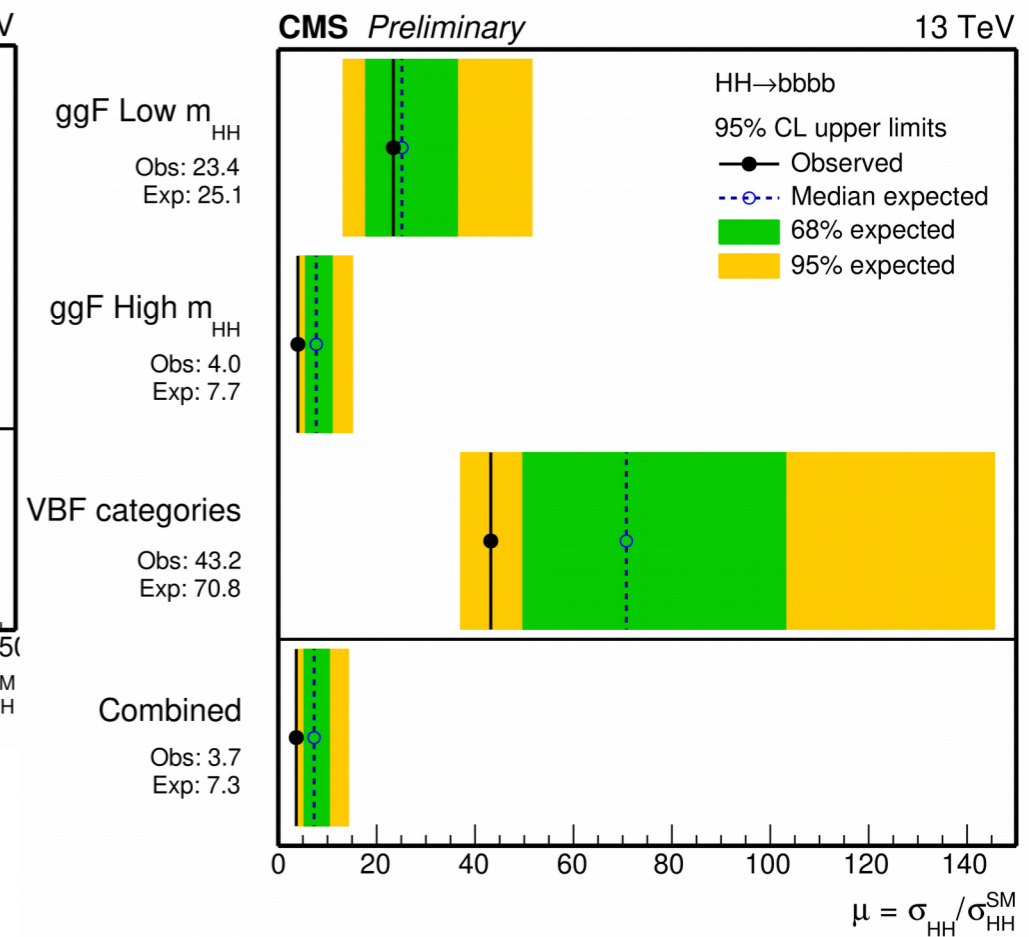
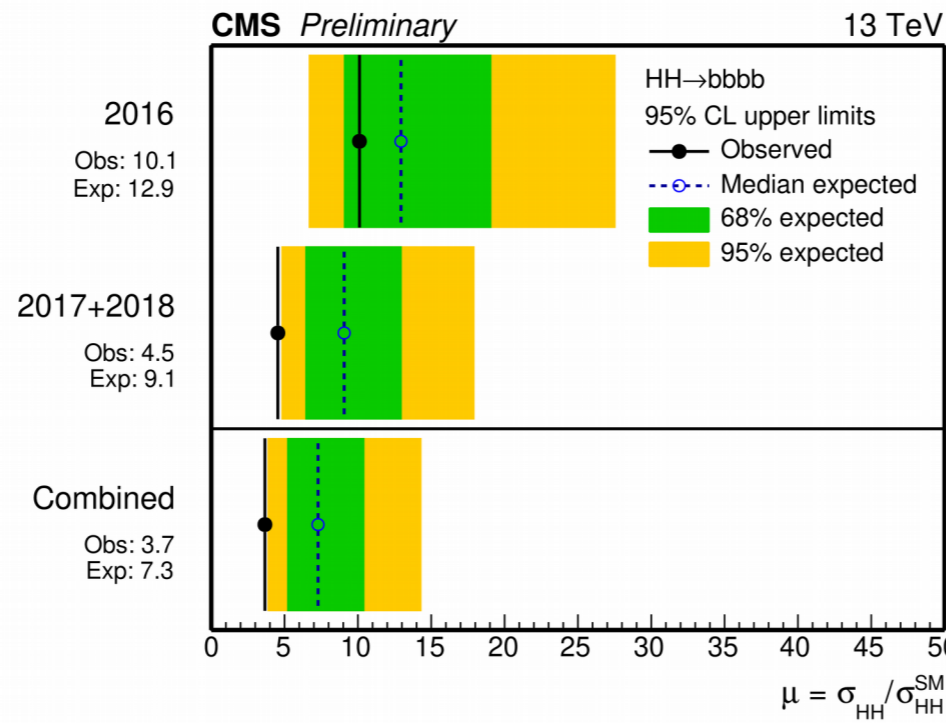
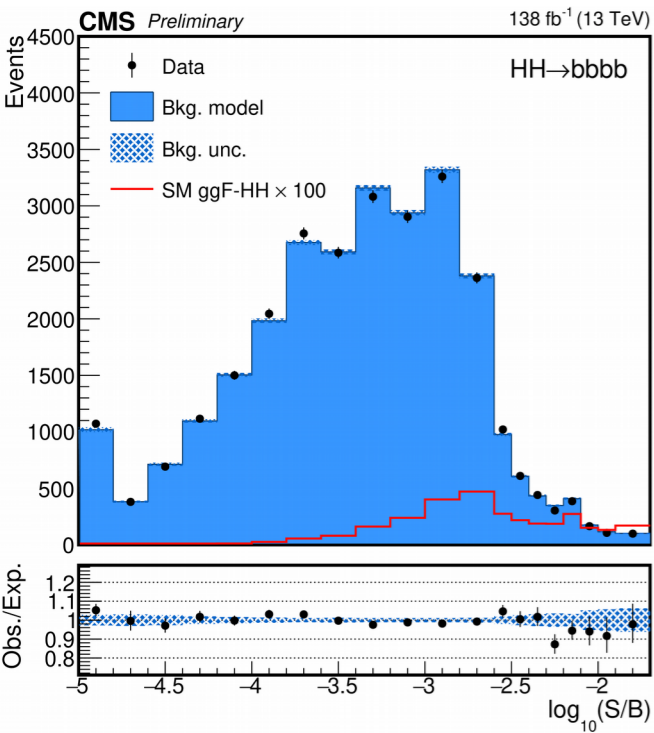
2016 dataset

2017-2018 dataset



Upper limit on signal strength by year and categ

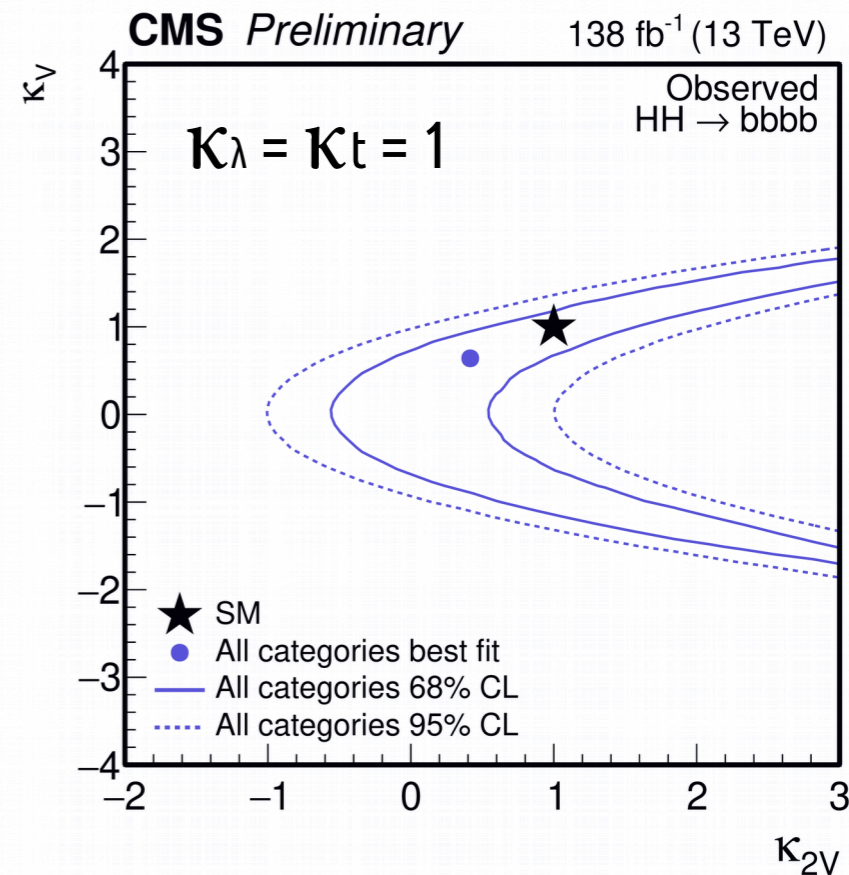
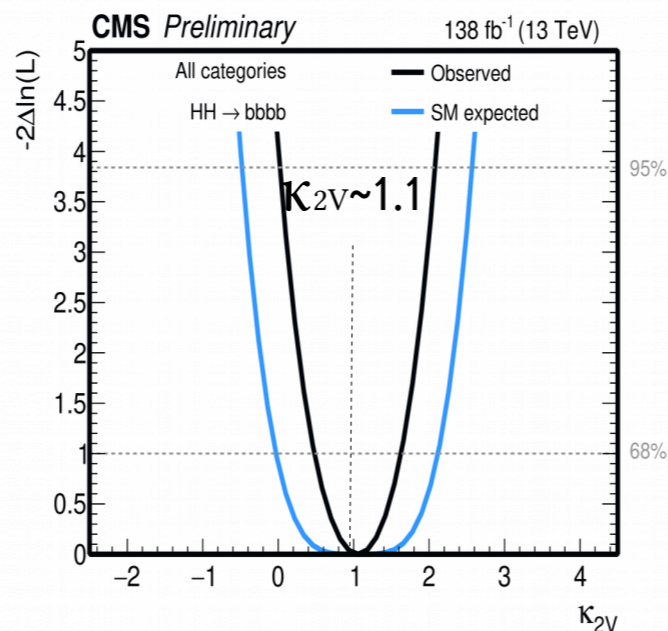
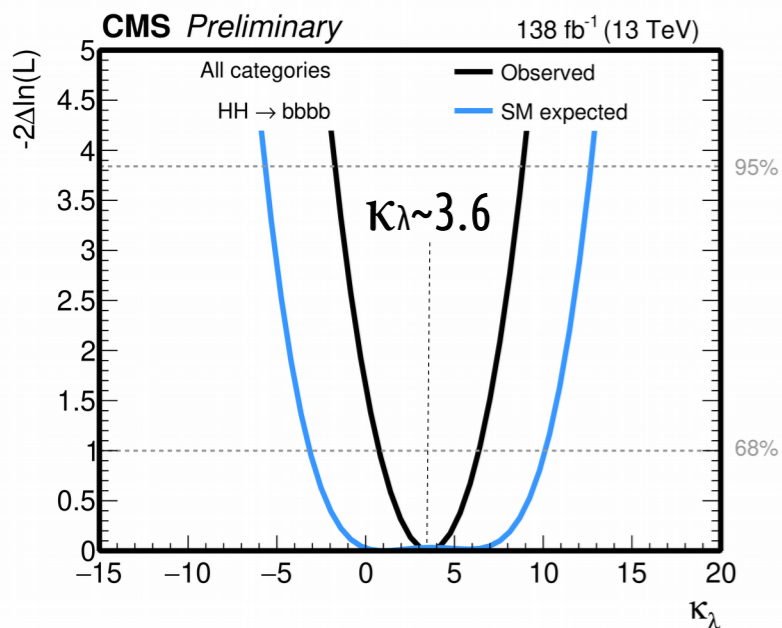
No excess data events is observed relative to the background-only expectation
 95% CL upper limits are set using the asymptotic CLs method



Additional results: Likelihoods scans

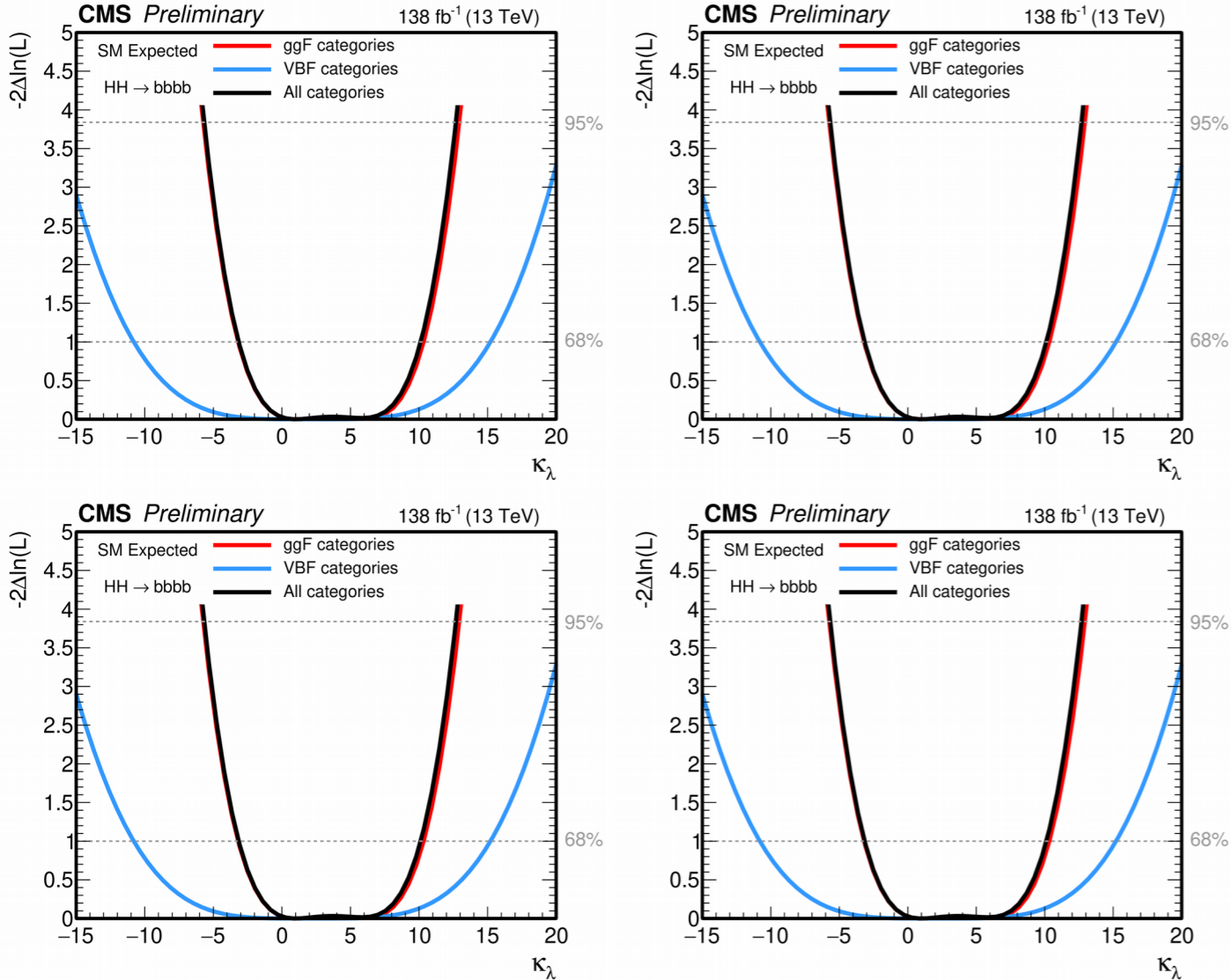
Assuming that a HH signal exist, one can measure couplings using via the negative log-likelihood scan

- Simultaneous fit of the ggF and VBF signal contributions as function of the couplings
- One-dimensional likelihoods → Best-fit + 68% and 95%CL intervals
 - Scan for κ_λ , assuming $\kappa_{2V} = \kappa_V = \kappa_t = 1$
 - Scan for κ_{2V} , assuming $\kappa_\lambda = \kappa_V = \kappa_t = 1$
- Two-dimensional likelihoods → Best-fit + 68% and 95%CL contours
- Observed best-fit values compatible with the SM at 95% CL

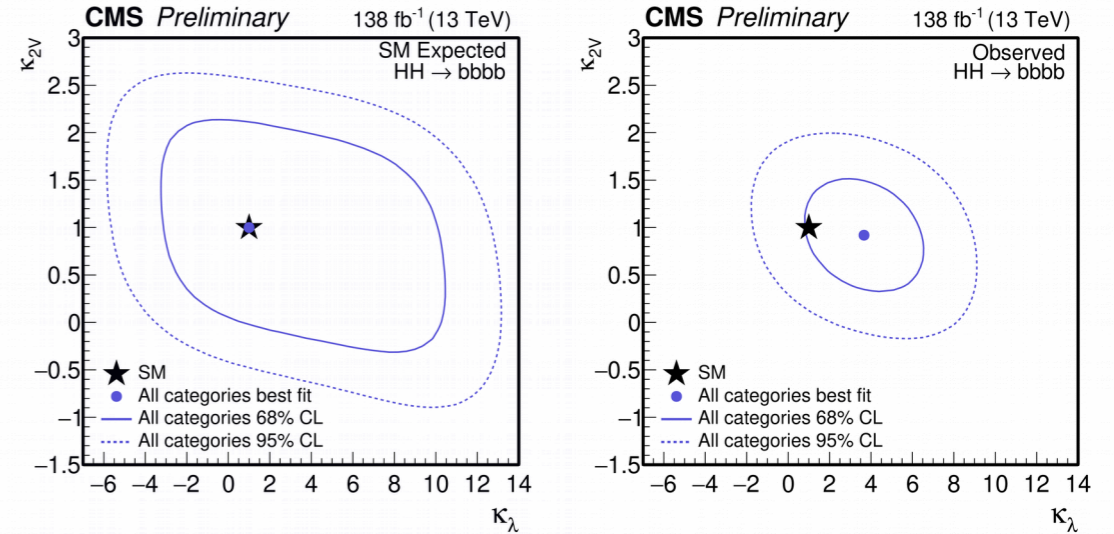


Likelihoods for various couplings

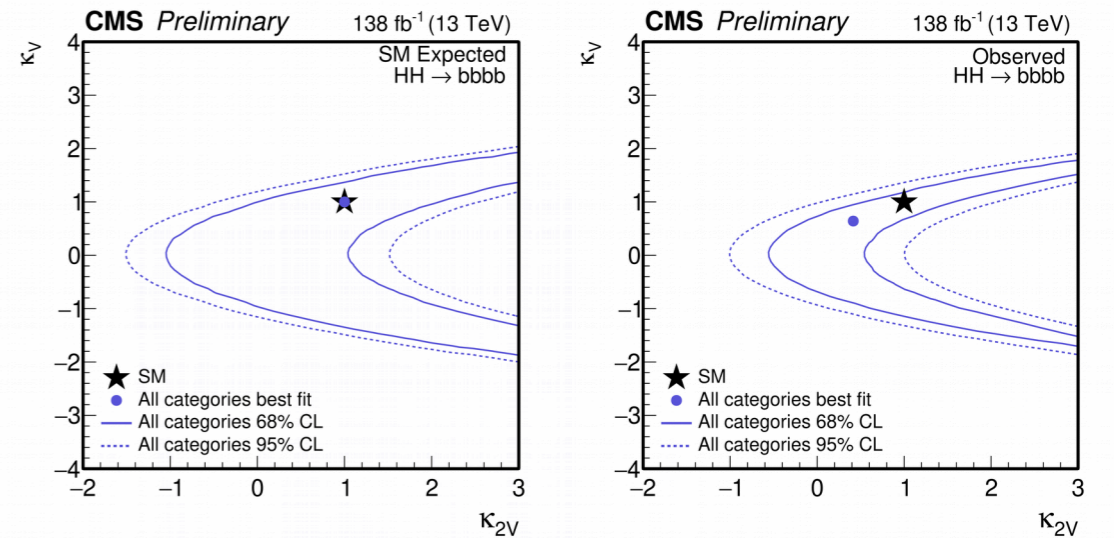
Scan versus κ_λ , assuming $\kappa_{2V} = \kappa_V = \kappa_t = 1$



Contours in (κ_{2V}, κ_V) plane



Contours in $(\kappa_\lambda, \kappa_{2V})$ plane



Systematic uncertainties

Signal experimental:

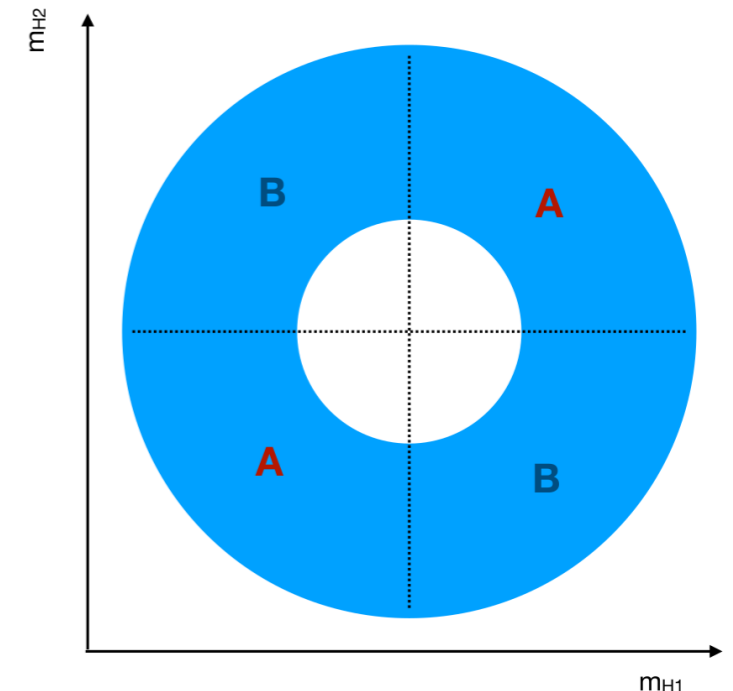
- Luminosity uncertainties in 2016 (1.2%), 2017 (2.3%) and 2018 (2.5%)
- Pile-up reweighting, L1 Pre-firing (2016, 2017)
- b-tagging and trigger efficiency
- Jet energy scale, jet energy resolution

Signal generation and theory:

- Factorization scales, Parton-Shower (PS), and PDF
- Event migration due to PS ISR recoil scheme (only for VBF signals)
- Cross section and final state branching fraction

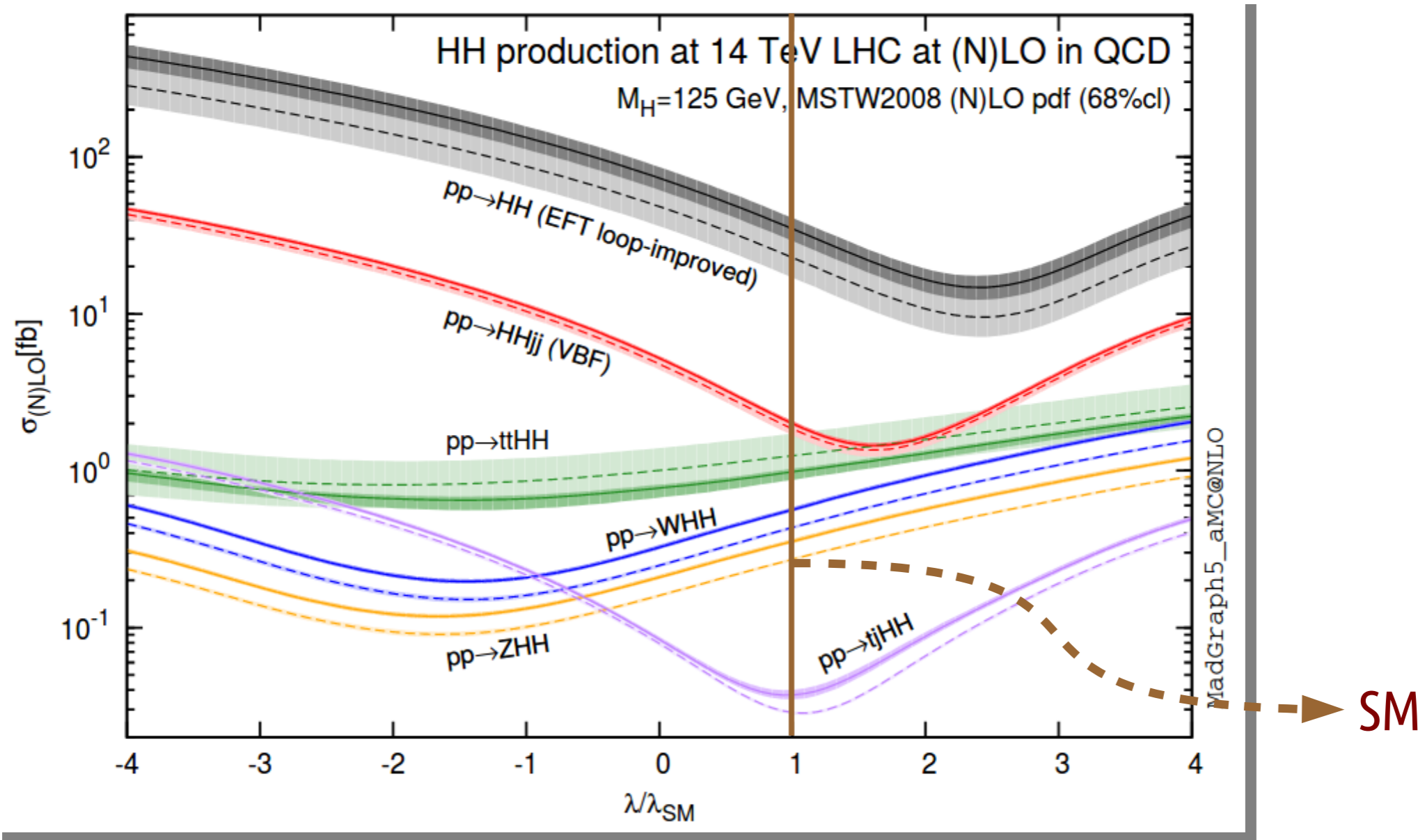
Background modeling:

- Bin-by-bin uncertainty: to account for Poisson fluctuations of the ASR(3b) data
- 3b→4b Transfer factor statistical uncertainty: from limited CR statistics
- Shape uncertainty:
 - ggF cat. 1,2: Alternative shape derived using alternative CR definition
 - VBF cat. 1: Linear fit to M(HH) data/bkg ratio in validation region
- Uncertainty due to VSR(4b) statistical power with respect to ASR(4b)
- Uncertainty on normalization closure in VSR(4b): 1.5 - 4.7% depending on the category and year



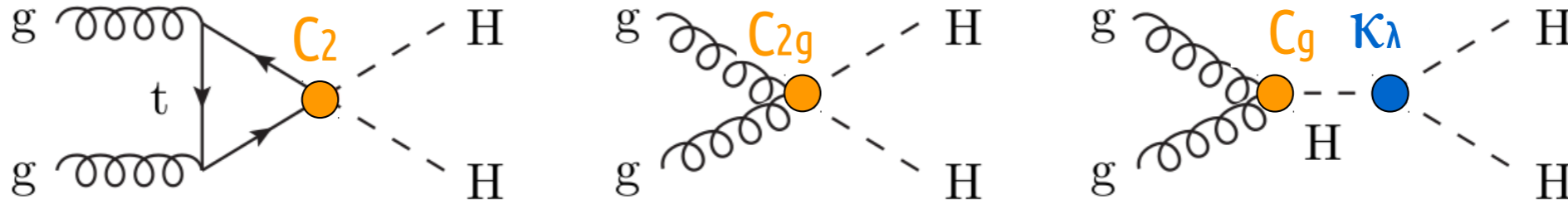
Higgs Pair Production Cross Section

[Phys.Lett.B 732 \(2014\) 142-149](#)



EFT approach for new physics

If the BSM physics scale is beyond the direct reach of the LHC, its effects on the ggF HH production can be studied through a EFT model with three contact interactions (coupling strengths): $ttHH$ (C_2), $ggHH$ (C_{2g}) and ggH (C_g)



12 EFT Benchmarks are defined for LHC searches

They represent topologies of large regions of the 5-dimensional parameter space

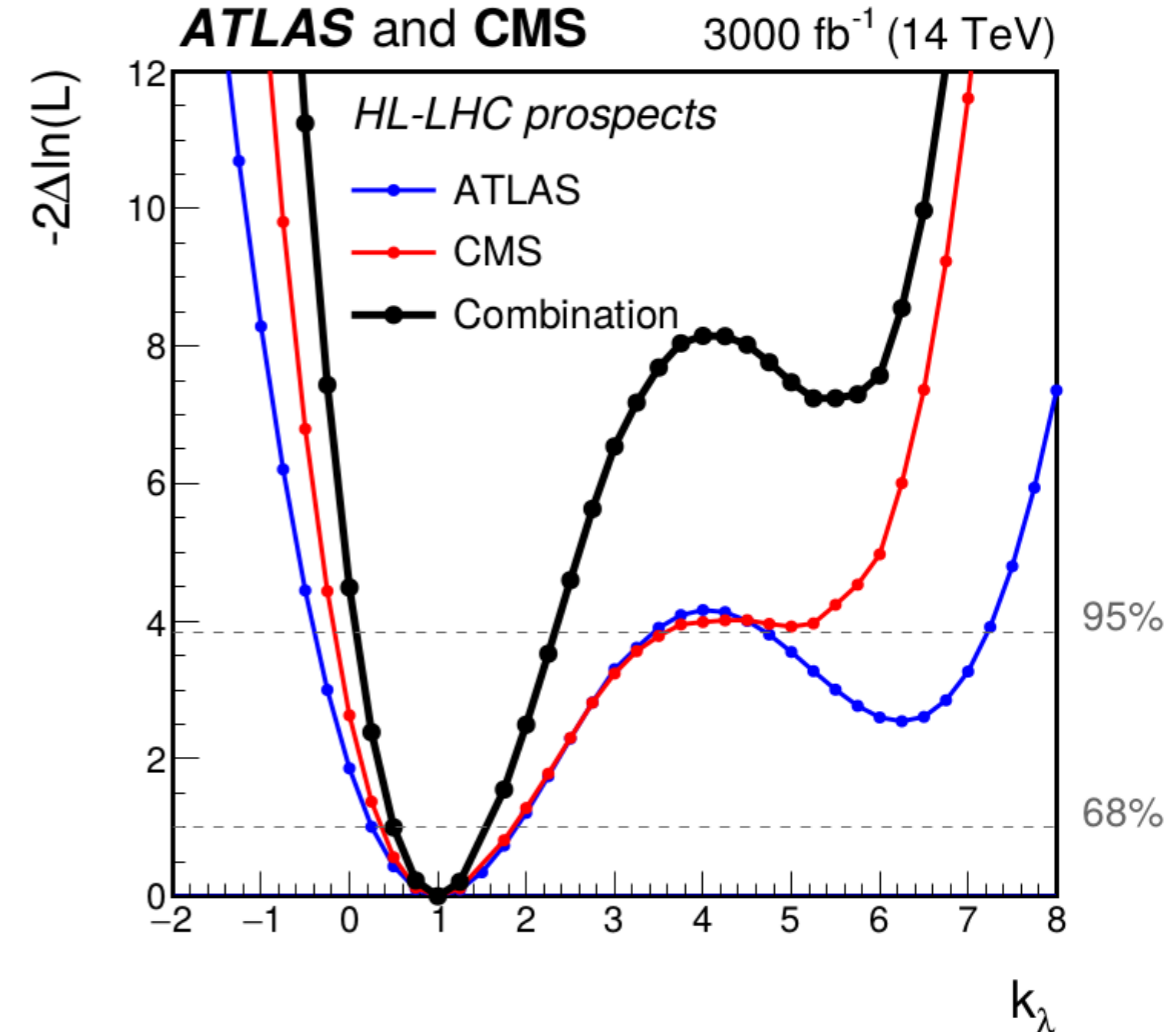
	1	2	3	4	5	6	7	8	9	10	11	12
κ_λ	7.5	1.0	1.0	-3.5	1.0	2.4	5.0	15.0	1.0	10.0	2.4	15.0
κ_t	1.0	1.0	1.0	1.5	1.0	1.0	1.0	1.0	1.0	1.5	1.0	1.0
C_2	-1.0	0.5	-1.5	-3.0	0	0	0	0	1.0	-1.0	0	1.0
C_g	0	-0.8	0	0	0.8	0.2	0.2	-1.0	-0.6	0	1.0	0
C_{2g}	0	0.6	-0.8	0	-1.0	-0.2	-0.2	1.0	0.6	0	-1.0	0

LO model [arXiv:1806.05162](https://arxiv.org/abs/1806.05162)

NLO corrections [arXiv:1806.05162](https://arxiv.org/abs/1806.05162)

	Statistical-only		Statistical + Systematic	
	ATLAS	CMS	ATLAS	CMS
bbbb	1.4	1.2	0.61	0.95
bb $\tau\tau$	2.5	1.6	2.1	1.4
bb $\gamma\gamma$	2.1	1.8	2.0	1.8
bbVV \rightarrow bbllvv	-	0.59	-	0.56
bbZZ \rightarrow bb4l	-	0.37	-	0.37
Combination	3.5	2.8	3.0	2.6
	Combined		Combined	
	4.5		4.0	

Expected significance for SM HH production in standard deviations



Expected combined κ_λ interval
 $0.57 \leq \kappa_\lambda \leq 1.5$ at 68% C.L.