



Universität
Zürich^{UZH}

Radiative Electroweak Symmetry Breaking in the 4321 model

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Julie Pagès

University of Zurich (UZH)



In collaboration with

R. Houtz (Durham U., IPPP) and S. Trifinopoulos (SISSA, Trieste)

B anomalies

Lepton Flavour Universality (LFU)

is an accidental symmetry of the Standard Model (SM) in the limit of vanishing lepton Yukawa couplings

$$U(3)_\ell \times U(3)_e \xrightarrow{Y_\ell \neq 0} U(1)_e \times U(1)_\mu \times U(1)_\tau$$

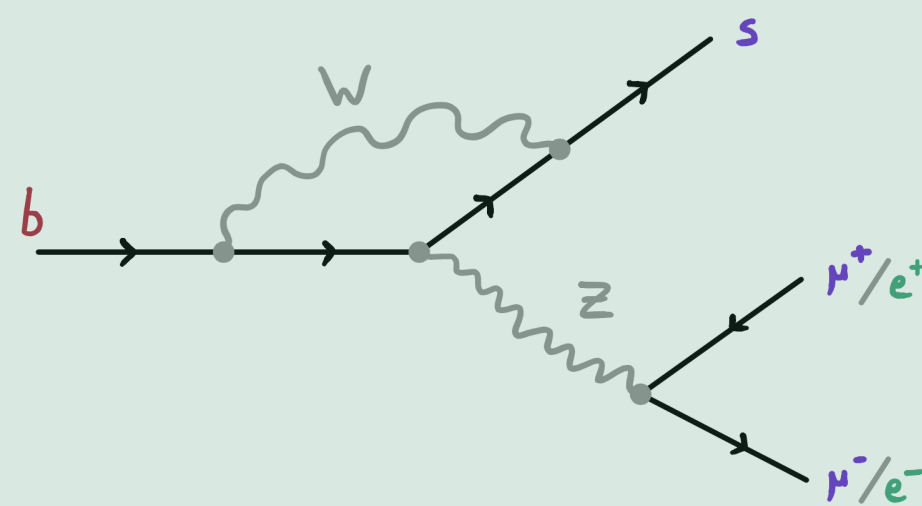
$$y_e \sim 3 \cdot 10^{-6}, \quad y_\mu \sim 6 \cdot 10^{-4}, \quad y_\tau \sim 10^{-2}$$

soft breaking $y_\ell \ll g_i \rightarrow$ approximate LFU

Results since 2013 in semi-leptonic B decays hint towards a new type of interaction violating LFU more

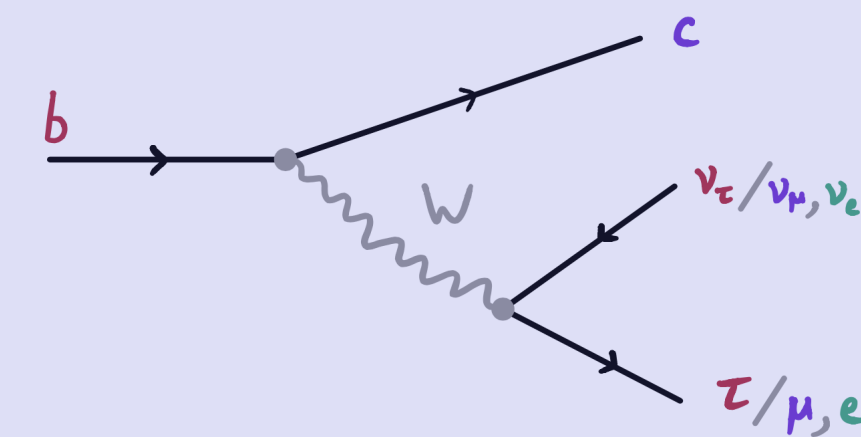
Neutral currents

$b \rightarrow s \ell^+ \ell^-$: universality in μ vs. e



Charged currents

$b \rightarrow c \ell \nu$: universality in τ vs. μ, e

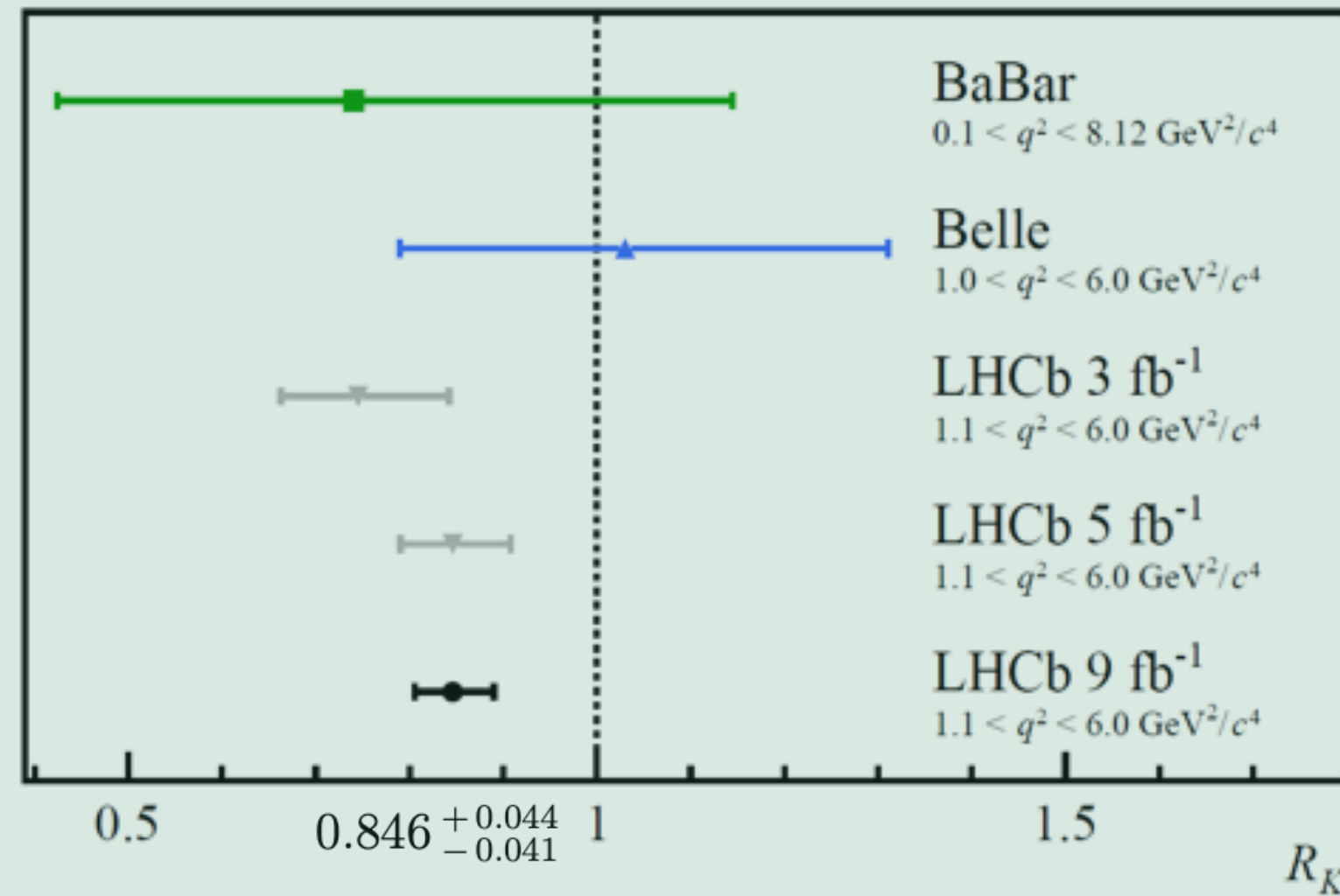


B anomalies

Neutral currents

$b \rightarrow s \ell^+ \ell^-$: universality in μ vs. e

$$R_K = \frac{\Gamma(B \rightarrow K \mu^+ \mu^-)}{\Gamma(B \rightarrow K e^+ e^-)} \quad 3.1 \sigma$$



+ other observables:

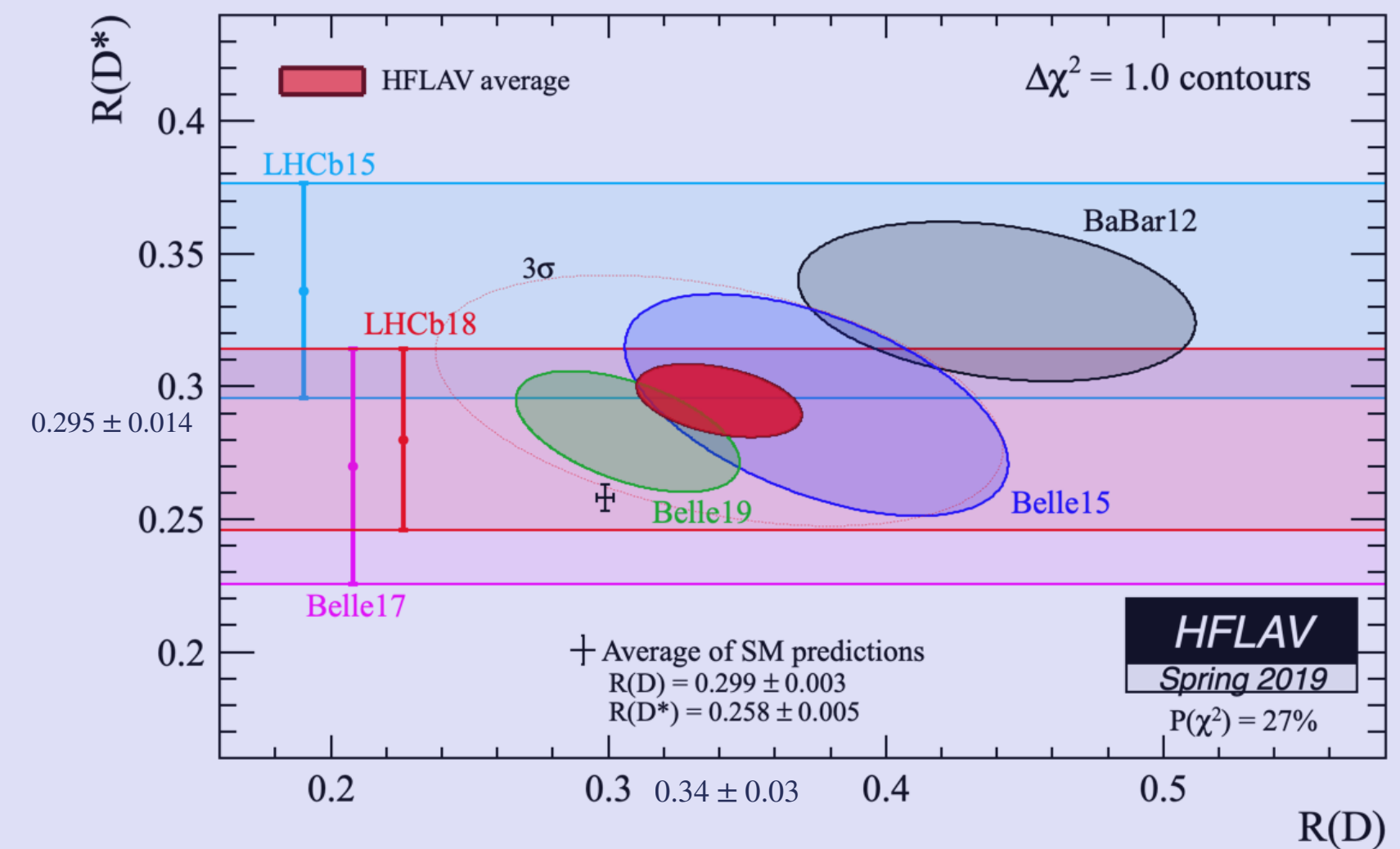
$$R_{K^{(*)}}, P'_5, B \rightarrow K^{(*)} \mu^+ \mu^-, \quad > 4 \sigma$$

$$B_s \rightarrow \mu^+ \mu^-, B_s \rightarrow \phi \mu^+ \mu^-, \dots$$

Charged currents

$b \rightarrow c \ell \nu$: universality in τ vs. μ, e

$$R_{D^{(*)}} = \frac{\Gamma(B \rightarrow D^{(*)} \tau \nu)}{\Gamma(B \rightarrow D^{(*)} \ell \nu)} \quad 3.1 \sigma$$



4321 - Gauge sector

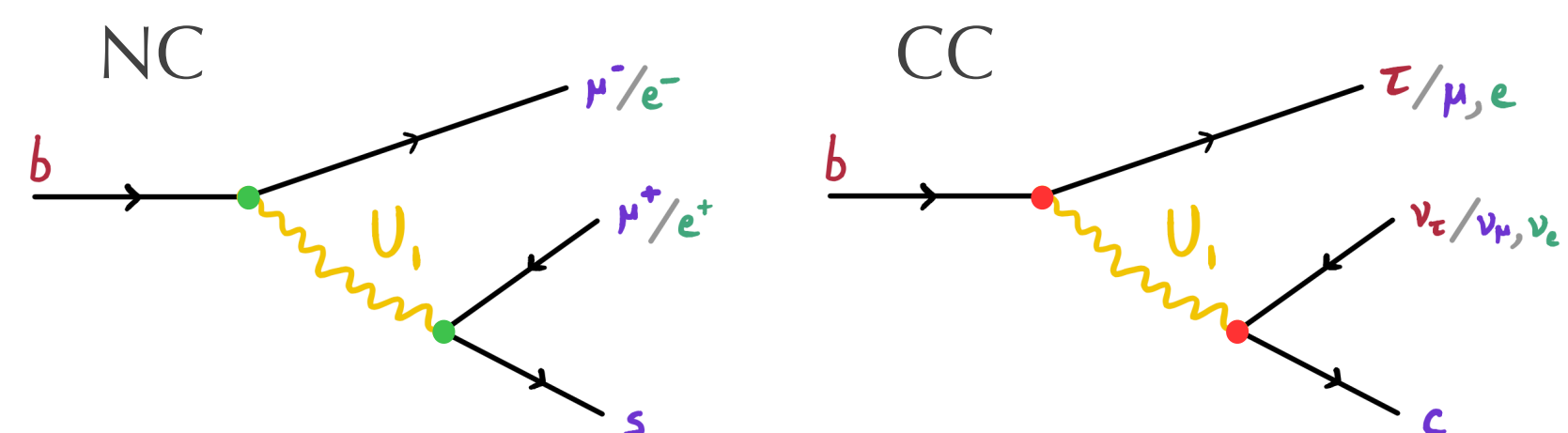
$$\begin{array}{c}
 \text{U(1)}_Y \\
 \hline
 \text{SU(4)} \times \text{SU(3)'} \times \text{SU(2)}_L \times \text{U(1)}_X \longrightarrow \text{SU(3)}_c \times \text{SU(2)}_L \times \text{U(1)}_Y \\
 \begin{array}{c}
 \text{g}_4 \quad \text{g}_3 \\
 \hline
 \text{SU(3)}_c
 \end{array}
 \end{array}
 \begin{array}{c}
 \text{g}_1 \\
 \hline
 \text{g}_s \quad \text{g}_Y \\
 \hline
 + \text{U}_1, \text{G}', \text{Z}'
 \end{array}$$

[Di Luzio et al., 1708.08450;
Bordone et al., 1712.01368;
Greljo, Stefaneke, 1802.04274]

Why an additional $SU(4)$?

- Contains the $U_1 \sim (3,1)_{2/3}$ leptoquark
 - only single mediator solution to both B anomalies

[Buttazzo, Greljo, Isidori, Marzocca, 1706.07808]



- no tree-level $\Delta F = 2$ (4-quark or 4-lepton)
- no resonant production at high- p_T
- no proton decay (protected by accidental $U(1)_B$)

$$SU(4) \sim \begin{pmatrix} G' & U_1 \\ U_1^\dagger & Z' \end{pmatrix}$$

Why not 421, with $SU(3)_c \supset SU(4)$?

- to disentangle the SM color group from $SU(4)$
 - ↪ in the limit $g_4 \gg g_1, g_3$, suppressed G' and Z' coupling to valence quarks
- to break flavour universality (see next slide)

4321 - Fermion content

$$SU(4)_{g_4} \times SU(3)'_{g_3} \times SU(2)_L \times U(1)_X_{g_1} \longrightarrow SU(3)_c_{g_s} \times SU(2)_L \times U(1)_Y_{g_Y}$$

$$\begin{pmatrix} q_L \\ q_L \\ q_L \\ \ell_L \end{pmatrix}$$

Quark-Lepton unification

Lepton number as “the fourth color”

[Pati, Salam, Phys. Rev. D10 (1974) 275]

but not for all families !

Only 3rd family is charged under $SU(4)$ leading to

$$U(2)^5 = U(2)_q \times U(2)_u \times U(2)_d \times U(2)_\ell \times U(2)_e$$

as approximate accidental symmetry

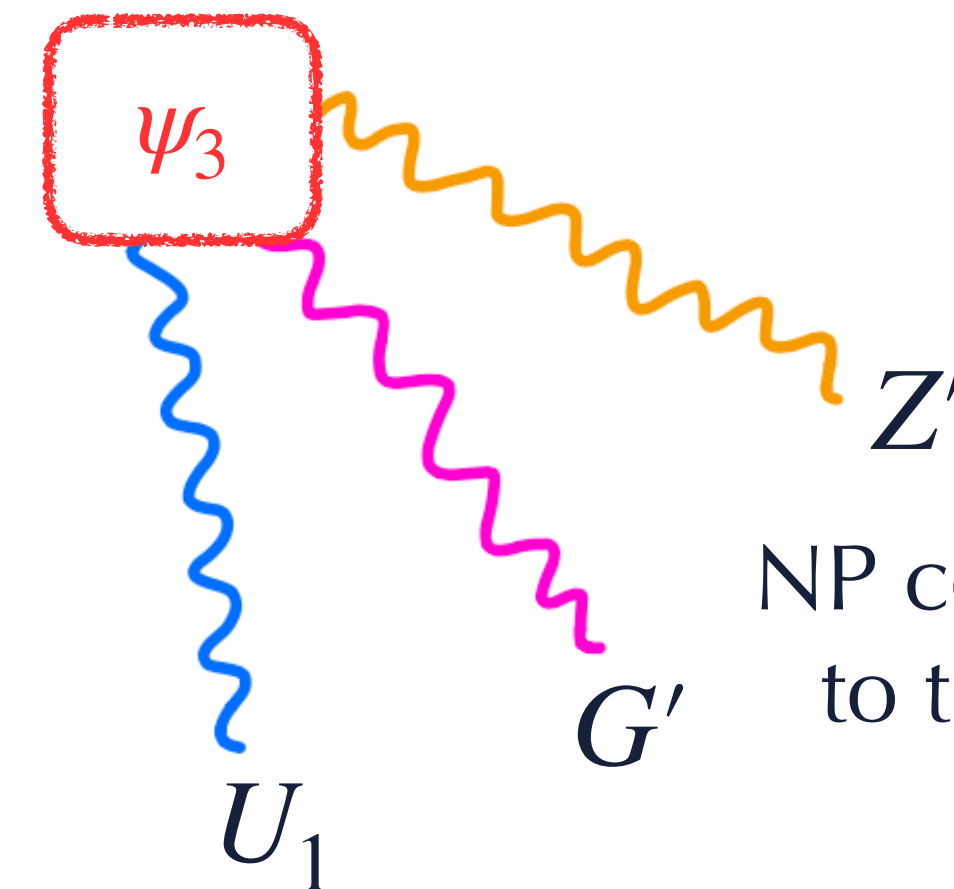
$U(2)^5$ flavour symmetry

[Barbieri, Isidori, Pattori, Senia, 1512.01560]

- New Physics (NP) scale (quark-lepton unification for 3rd family) can be as low as a few TeV



Light families are protected



NP couples mainly to the 3rd family

More about why in general $U(2)^5$ is a powerful flavour symmetry in this video from WIN2021: <https://www.youtube.com/watch?v=OA6AZbLmEqw>

- same as observed in Yukawa couplings: $y_t \gg y_{c,u}$
- broken by spurions generated by scalar vevs

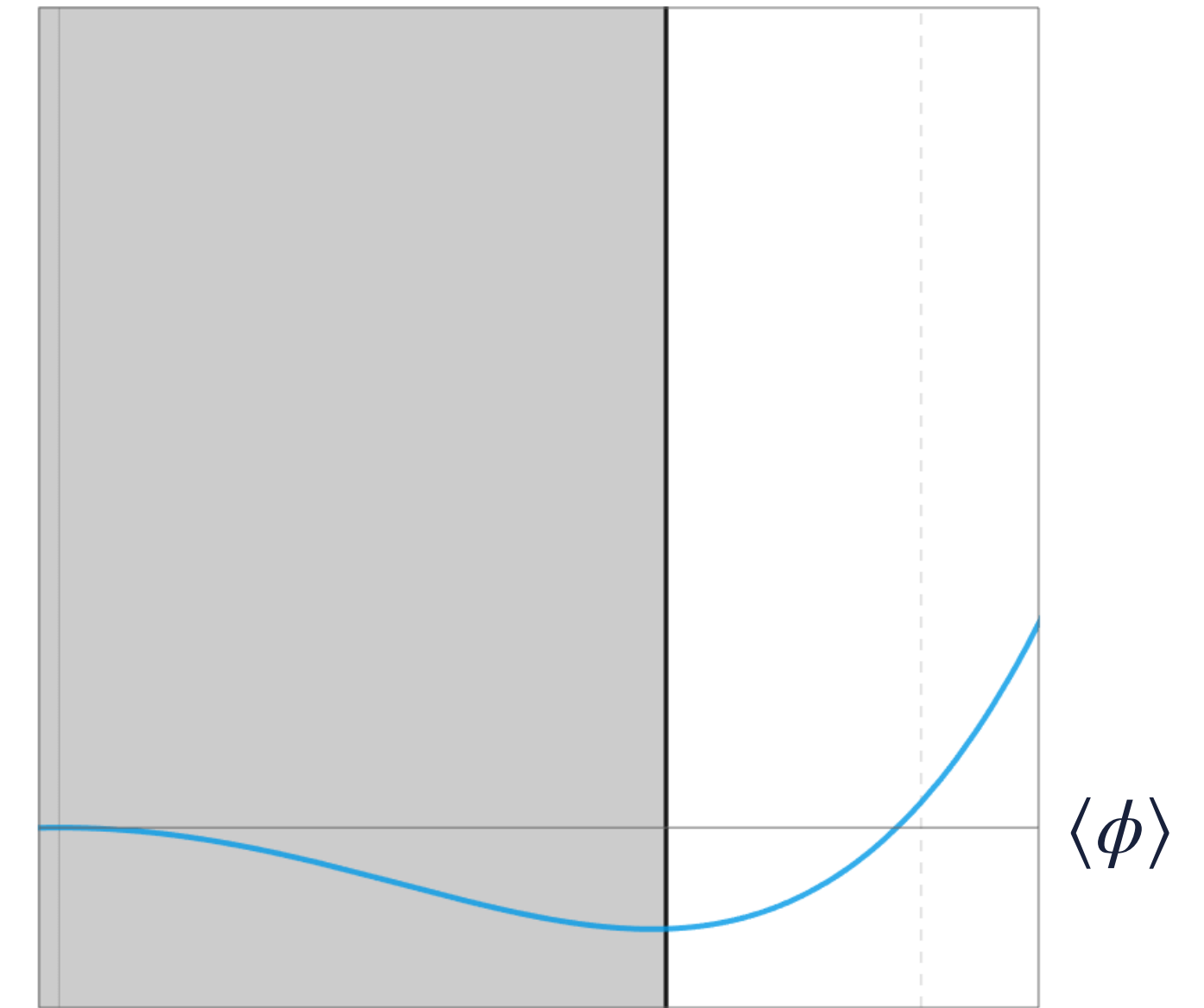
REWSB

Radiative electroweak symmetry breaking:

Electroweak symmetry is conserved at the classical level, but **loop corrections** to the mass parameter of the Higgs boson trigger its spontaneous breaking.

⇒ A positive Higgs mass parameter at high field value can turn negative at lower scale via the **renormalization group flow**.

$V(\langle\phi\rangle)$



Examples:

[Babu, Gogoladze, Khan, 1512.05185]

- Standard Model
- Type-I seesaw model
- Scalar singlet dark matter model

$$\beta_H^{\text{SM}} \propto m_H^2 \quad \times$$

$$\beta_H^{\text{SS1}} = \beta_H^{\text{SM}} - 4|y_\nu|^2 |m_R|^2 \quad \times$$

$$\beta_H^{\text{sDM}} = \beta_H^{\text{SM}} + \lambda_3 m_s^2 \quad \checkmark$$

Necessary ingredients:

- new states
- positive contribution
-

⇒ **TeV-scale new scalars**

4321 - Scalar sector

This is precisely what we have in the 4321 model !

Field	$SU(4)$	$SU(3)'$	$SU(2)_L$	$U(1)_X$
Ω_1	$\bar{4}$	1	1	$-1/2$
Ω_3	$\bar{4}$	3	1	$1/6$

TeV-scale new scalar states!

+ two-Higgs-doublet model (2HDM)

(singlets under $SU(4)$)

- Ω_3 break $SU(4) \times SU(3)' \times U(1)_X \rightarrow SU(3)_c \times U(1)_Y$

vacuum expectation values: $\langle \Omega_3 \rangle \propto \frac{\omega_3}{\sqrt{2}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$ and $\langle \Omega_1 \rangle = \frac{\omega_1}{\sqrt{2}} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$

- $\Omega_{3,1}$ connect light family, resp., quarks and leptons to the 3rd site
- $H_{1,2}$ couple only to 3rd family (ignoring vector-like fermions mixing)
 $\hookrightarrow U(2)^5$ in the Yukawa couplings

Scalar potential and RGE

$$V = V_{\Omega} + V_{2\text{HDM}} + V_{\Omega H}$$

$\{\rho_i\}, m_{\Omega_{1,3}}$ $\{\lambda_i\}, m_{H_{1,2}}$ $\{\eta_i\}$

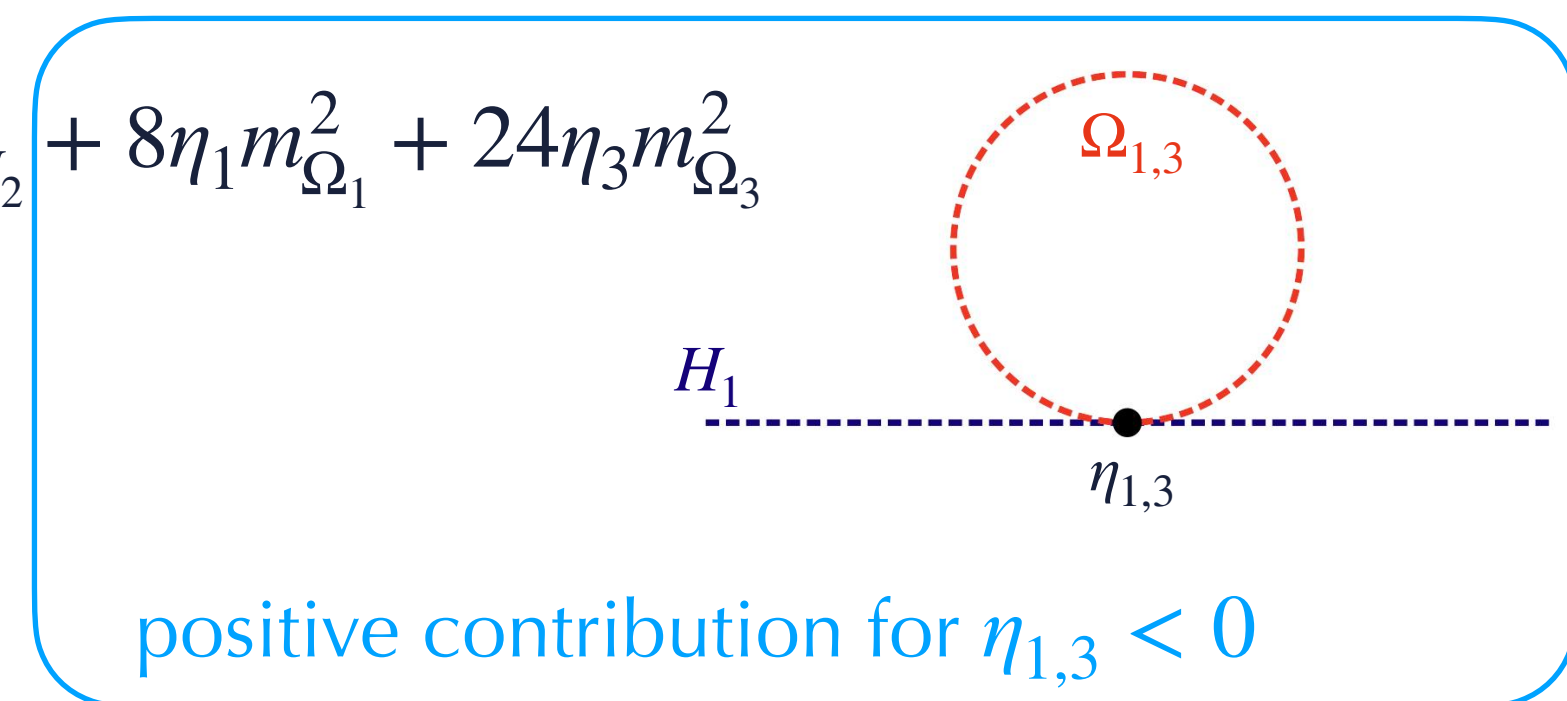
- $V_{2\text{HDM}}$ as in [Branco et al., 1106.0034]
- V_{Ω} as in [Di Luzio et al., 1808.00942]
- $V_{\Omega H} \supset \eta_i H_i^\dagger H_i \Omega_1^\dagger \Omega_1 + \eta_{i+2} H_i^\dagger H_i \text{Tr}[\Omega_3^\dagger \Omega_3], i = 1, 2$

Procedure:

- 1) Compute all β -functions of the Lagrangian parameters for each energy range

crosschecked with RGBeta [Thomsen, 2101.08265]

$$16\pi^2 \beta(m_{H_1}^2) = \left(6\lambda_1 + 8y_t^2 - \frac{3}{2}g_1^2 - \frac{9}{2}g_2^2 \right) m_{H_1}^2 + (4\lambda_3 + 2\lambda_4)m_{H_2}^2 + 8\eta_1 m_{\Omega_1}^2 + 24\eta_3 m_{\Omega_3}^2$$

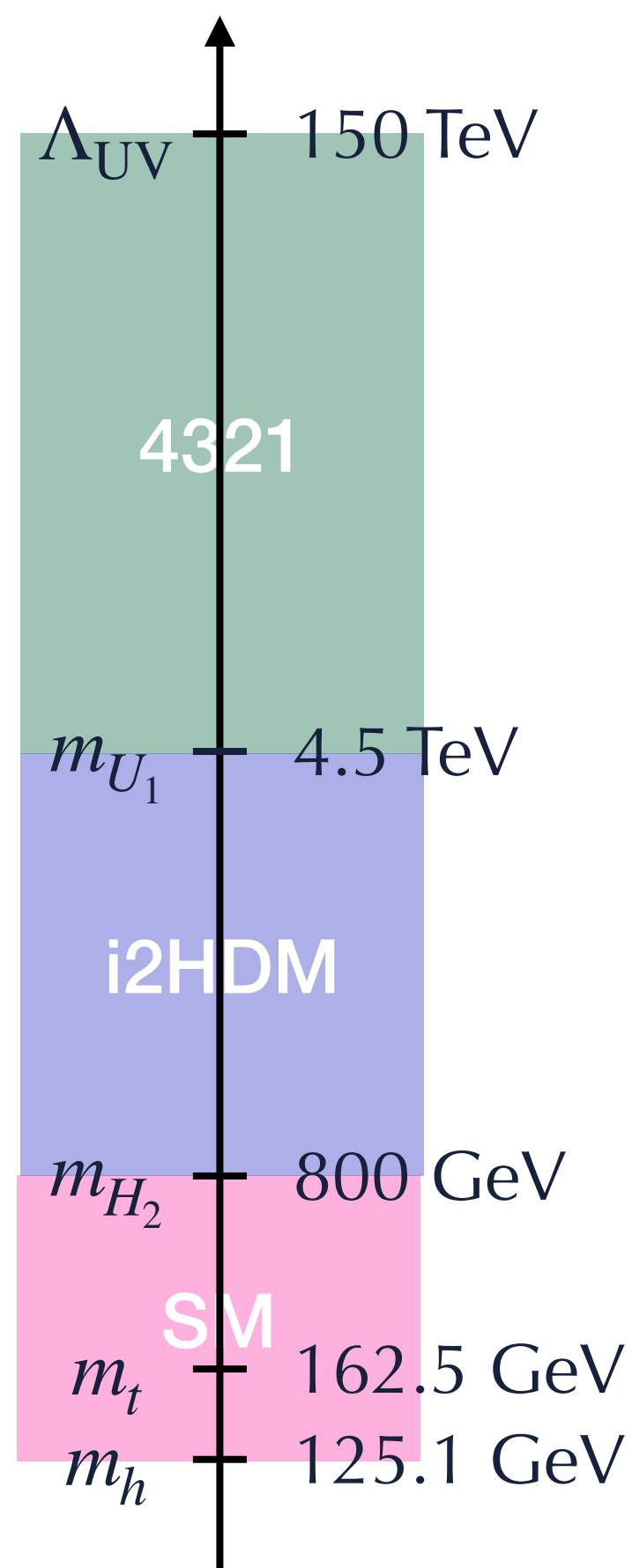


- 2) Define quantity that triggers SSB by changing sign

Diagonalization of the Hessian (equivalent to integrating out $\Omega_{1,3}$) gives the **effective** SM Higgs **mass**:

$$m_{H_1, \text{eff}}^2 = m_{H_1}^2 + \frac{\eta_1}{2} \omega_1^2 + \frac{3}{2} \eta_3 \omega_3^2$$

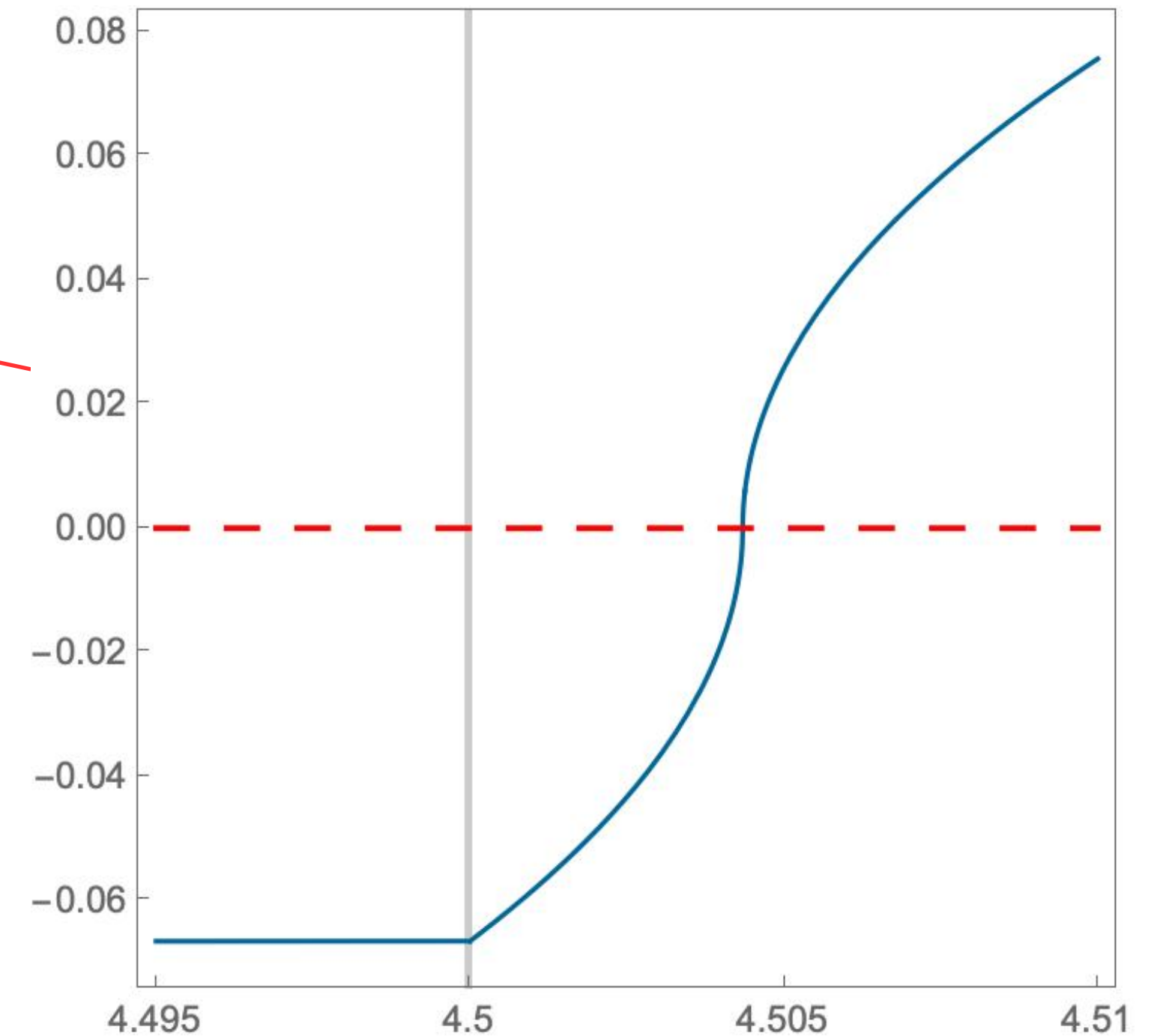
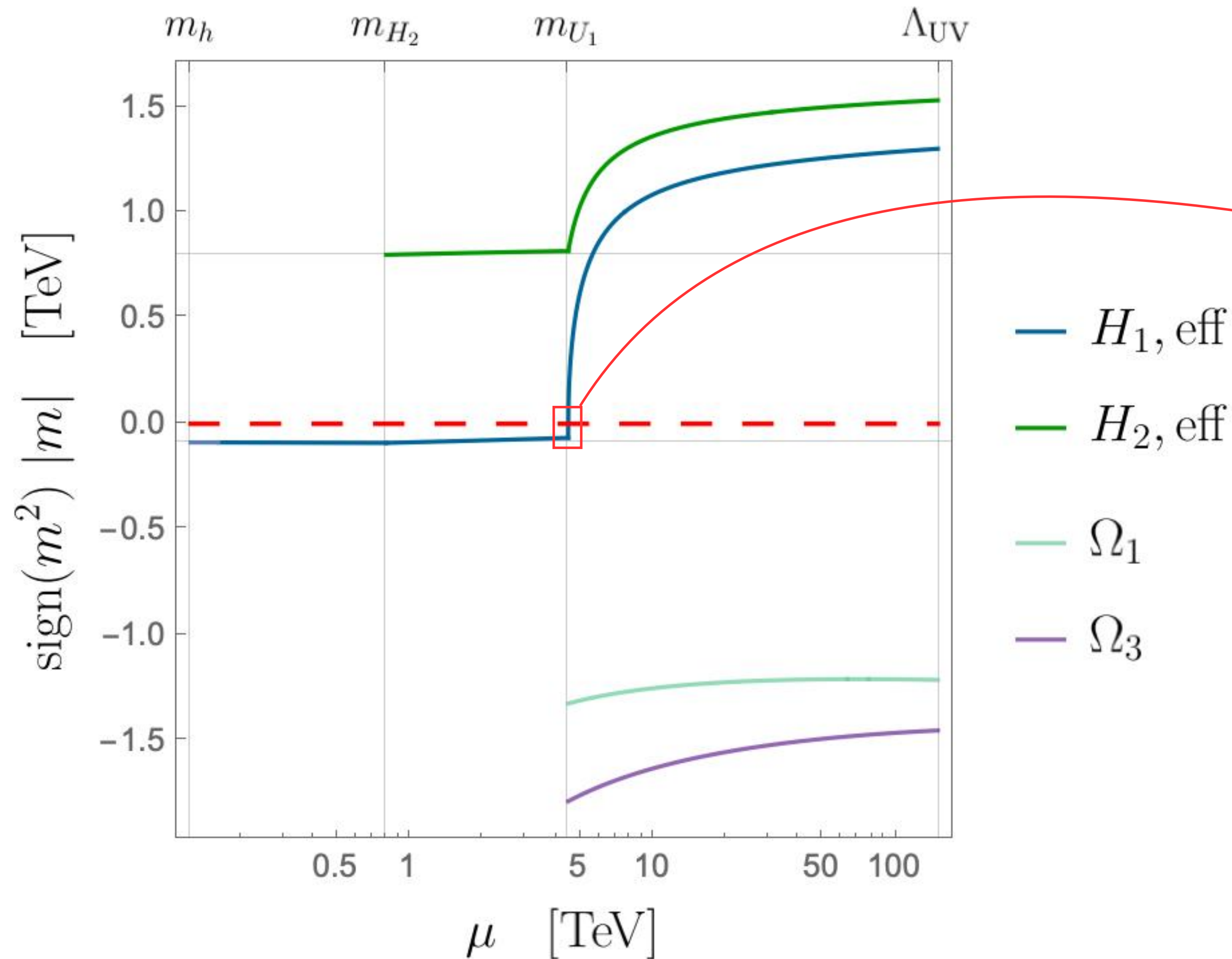
with $\omega_i = \omega_i(m_{\Omega_1}^2, m_{\Omega_3}^2, \{\rho_i\})$



Solutions to the RGE

We found a benchmark where REWSB is realized.

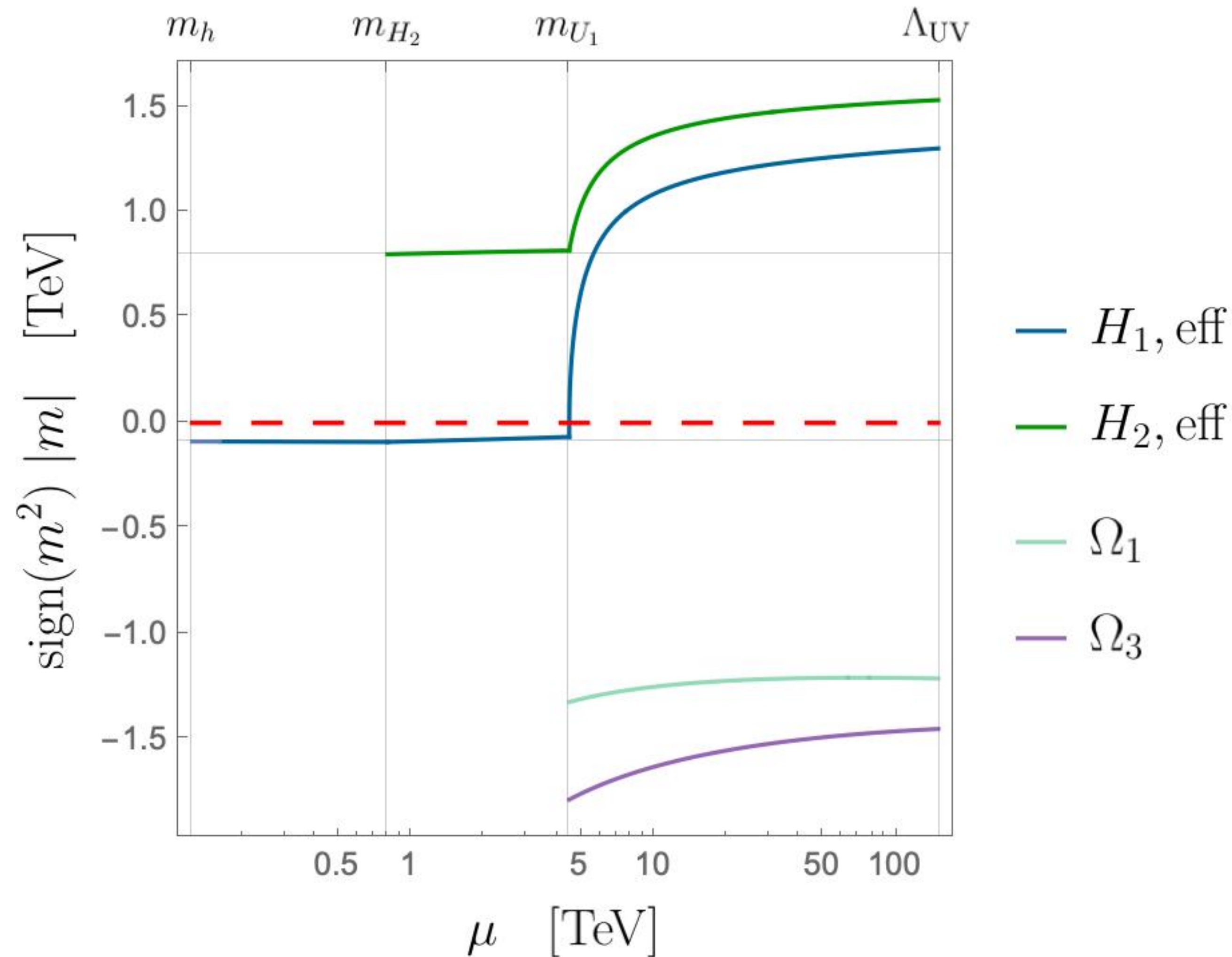
Preliminary



✓ $m_{H_{1, \text{eff}}}$ flips sign, just before integrating out extra states of 4321, provided $\eta_3 < 0$

RGE and fine-tuning

We found a benchmark where REWSB is realized.



Checked:

- ✓ perturbativity until $\sim 10^9$ TeV
- ✓ bounded from below at all scales

Some observations:

- ✓ undeniably an RG effect
 \hookrightarrow without running, positive eigenvalues of the hessian will stay positive.
- ⊖ unavoidable fine-tuning
 \hookrightarrow it generates the strong acceleration near the 4321 breaking scale

Conclusion

The 4321 model

addresses both charge current ($R_{D^{(*)}}$) and neutral current ($R_{K^{(*)}}$) anomalies in semileptonic B-decays. It features:

- ▶ an extended gauge sector containing the U_1 leptoquark
- ▶ quark-lepton unification with a $U(2)^5$ flavour symmetry
- ▶ a rich scalar sector with TeV-scale new states Ω_1 and Ω_3

Radiative Electroweak Symmetry Breaking

is an interesting mechanism to trigger the breaking of the symmetry by flipping the sign of the electroweak Higgs mass via RGE.

- ▶ It can happen in the 4321 model
- ▶ But fine-tuning seems ineluctable

Next step:

quantify the fine-tuning precisely and understand if the renormalisation group flow can relax it or not